THE COUNTY OF DAUPHIN
PENNSYLVANIA

ACTION PLAN FOR 2011

FOR
COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM
AND
HOME INVESTMENT PARTNERSHIP PROGRAM

SUBMITTED TO
U.S. DEPARTMENT OF HOUSING AND
URBAN DEVELOPMENT

NOVEMBER, 2010
DAUPHIN COUNTY
BOARD OF COMMISSIONERS
Jeff Haste, Chairman
Mike Pries, Vice-Chairman
George P. Hartwick, III, Secretary

PREPARED BY
Dauphin County Planning Commission
112 Market Street, 2nd Floor
Harrisburg, PA 17101
Telephone 717-234-2639

FOR
Dauphin County Office of Community & Economic Development
112 Market Street, 7th Floor
P.O. Box 1295
Harrisburg, PA 17108
Telephone 717-780-6250
DAUPHIN COUNTY
2011 ACTION PLAN

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</tbody>
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Appendix 1 – Map showing Distribution of Projects
Appendix 2 – Citizen Participation
Part A

Executive Summary
EXECUTIVE SUMMARY
DAUPHIN COUNTY 2011 ACTION PLAN
Period, January 1, 2011 thru December 31, 2011

Dauphin County’s Consolidated Plan is a five (5) year Plan (2007-2011) that establishes Dauphin County’s general strategy and priorities for housing, community and economic development over the five (5) year period. The strategy is a result of a logical progression from the Housing Market Analysis and Affordable Housing and Homeless needs Assessments to a determination of the County’s priorities based on those needs.

The Dauphin County is required to submit an annual Action Plan to implement the Consolidated Plan. The annual Action Plan sets forth the specific projects and activity that will be undertaken in any given year in meeting the identified needs and goals.

The U.S. Department of Housing and Urban Development (HUD), upon Congressional approval, allocates each year, housing and community development grants to Dauphin County entitlement grant programs. The entitlement programs include Community Development Block Grant (CDBG) and HOME investment Partnership Act (HOME) program. These two programs are administered by Dauphin County Office of Community and Economic Development and Dauphin County Planning Commission under the direction of Dauphin County Commissioners.

For the year 2011, Dauphin County is estimated to receive $1,640,402 in CDBG funds and $633,762 in HOME funds. The proposed activities with allocation of funds are summarized below.

I: FINANCIAL RESOURCES

A. CDBG Entitlement $1,640,402
B. HOME Entitlement $633,762
TOTAL $2,274,164

II: CDBG PROGRAM ACTIVITIES

The following CDBG activities meet the national objectives of the program, namely benefiting low and moderate income persons and/or addressing slums or blight.

<table>
<thead>
<tr>
<th>CDBG ACTIVITY DESCRIPTION</th>
<th>ALLOCATION</th>
<th>TOTAL</th>
</tr>
</thead>
<tbody>
<tr>
<td>A. Infrastructure and Public Facilities – 38.3% of Grant</td>
<td>$628,334</td>
<td></td>
</tr>
<tr>
<td>1. Berrysburg Borough / Municipal Authority</td>
<td>$125,000</td>
<td></td>
</tr>
<tr>
<td>Replacement of four deteriorating underground fiberglass wastewater treatment tanks with above ground concrete tanks and disinfection system. The total project cost is $774,900 and remaining funds will be from H2O grant and local contribution.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>CDBG ACTIVITY DESCRIPTION</td>
<td>ALLOCATION</td>
<td>TOTAL</td>
</tr>
<tr>
<td>---------------------------</td>
<td>------------</td>
<td>-------</td>
</tr>
<tr>
<td>A. Infrastructure and Public Facilities (contd.)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2. Elizabethville Borough / Area Authority</td>
<td>$48,000</td>
<td></td>
</tr>
<tr>
<td>Improvement to Smith Street Sewage Pumping Station by installation of a comminutor and piping changes for bypass pumping around the sewage pumping station. Project cost is $57,600.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>3. Gratz Borough / Municipal Authority</td>
<td>$77,000</td>
<td></td>
</tr>
<tr>
<td>Replacing two grinder pumping stations and upgrading two existing wastewater pumping stations. The pumping station upgrades will include new submersible pumps, new automated control system and new emergency dialer system. Project cost is $157,000 and $70,000 was provided in 2010 CDBG funds.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4. Greater Middletown Economic Development Corporation / Elks Theatre</td>
<td>$135,000</td>
<td></td>
</tr>
<tr>
<td>Repair and upgrade to HVAC system at Elks Theatre. Project cost is $135,000.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>5. Pillow Borough/Authority</td>
<td>$80,000</td>
<td></td>
</tr>
<tr>
<td>Water treatment system upgrades include:  1) Replacement of water treatment building and equipment improvements.  2) Improvements to Well No.4.  3) Installation of larger diameter pipe for additional chlorine contact time.  4) Payment for engineering services. Project cost including engineering services is $160,000 and $80,000 was provided 2010 CDBG funds.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>6. Steelton Borough</td>
<td>$80,000</td>
<td></td>
</tr>
<tr>
<td>Adam Street project is to eliminate slum and blight within a three block residential community. Funds will be used for acquisition, demolition and eventually construction of low and moderate income housing.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>CDBG ACTIVITY DESCRIPTION</td>
<td>ALLOCATION</td>
<td>TOTAL</td>
</tr>
<tr>
<td>--------------------------------------------------------------</td>
<td>------------</td>
<td>--------</td>
</tr>
<tr>
<td><strong>A. Infrastructure and Public Facilities (contd.)</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>7. <strong>Steelton Borough</strong></td>
<td>$83,334</td>
<td></td>
</tr>
<tr>
<td>Felton Apartment Building project consist of conversion and</td>
<td></td>
<td></td>
</tr>
<tr>
<td>adaptive reuse of the former historic Steelton-Highspire</td>
<td></td>
<td></td>
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<tr>
<td>Elementary School building into a 100 rental units with 83</td>
<td></td>
<td></td>
</tr>
<tr>
<td>units for low and moderate income families. CDBG funds will</td>
<td></td>
<td></td>
</tr>
<tr>
<td>be used for ADA compliance to the building. The construction</td>
<td></td>
<td></td>
</tr>
<tr>
<td>cost is estimated to be 10 million dollars.</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>B. Public Service – 6.5% of Grant</strong></td>
<td></td>
<td>$106,000</td>
</tr>
<tr>
<td>8. **Bridging the Gap/Salvation Army of the Harrisburg</td>
<td>$45,000</td>
<td></td>
</tr>
<tr>
<td>Capital City Region, Harrisburg**</td>
<td></td>
<td></td>
</tr>
<tr>
<td>1) Expand existing Bridge the Gap program in Susquehanna</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Township and Steelton/Highspire area, and establishing a new</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Bridge the Gap program in Swatara Township.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2) Update programming curriculum and develop a database to</td>
<td></td>
<td></td>
</tr>
<tr>
<td>better track program participants and impact on their lives.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Bridging the Gap program provides life-skills, youth</td>
<td></td>
<td></td>
</tr>
<tr>
<td>development, prevention and intervention program to youth</td>
<td></td>
<td></td>
</tr>
<tr>
<td>ages 10-18 years.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>9. <strong>The Steelton Senior Art and Fitness Center/ Jump Street</strong></td>
<td>$25,000</td>
<td></td>
</tr>
<tr>
<td><strong>Inc. Steelton Borough</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Establish a new center to serve seniors with innovative</td>
<td></td>
<td></td>
</tr>
<tr>
<td>approach to arts, fitness and healthy lifestyles in</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Steelton Borough.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>10. <strong>Steelton Borough/Code Enforcement</strong></td>
<td>$36,000</td>
<td></td>
</tr>
<tr>
<td>Code Enforcement in the borough by providing salaries for</td>
<td></td>
<td></td>
</tr>
<tr>
<td>code enforcement employees.</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>C. Unprogrammed Funds – 36.3%</strong></td>
<td></td>
<td>$595,318</td>
</tr>
<tr>
<td>11. <strong>Unprogrammed Funds</strong></td>
<td>$595,318</td>
<td></td>
</tr>
<tr>
<td>Use of funds to be determined.</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>D. Administration and Planning – 18.9% of Grant</strong></td>
<td></td>
<td>$310,750</td>
</tr>
<tr>
<td>12. **Dauphin County Office of Community and Economic</td>
<td>$298,750</td>
<td></td>
</tr>
<tr>
<td>Development / Administration -$268,750. Dauphin County</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Planning Commission / Administration -$30,000.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>13. <strong>Paxtang Borough/Planning</strong></td>
<td>$12,000</td>
<td></td>
</tr>
<tr>
<td>Preparation of Subdivision and Land Development Ordinance.</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<p>| CDBG TOTAL FUNDS | $1,640,402 |</p>
<table>
<thead>
<tr>
<th>HOME ACTIVITY DESCRIPTION</th>
<th>ALLOCATION</th>
<th>TOTAL</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>A. Activities – 90% of Grant</strong></td>
<td></td>
<td><strong>$570,386</strong></td>
</tr>
</tbody>
</table>
| 1. Dauphin County Department of Community and Economic Development  
  Countywide housing rehabilitation by providing approximately 15 grants of up to $20,000 each for repairs to low income homeowners in Dauphin County excluding Harrisburg City. | $237,661 |       |
| 2. Dauphin County Office of Community and Economic Development  
  Second or Subordinated Mortgage Program for first-time home buyer with down payment and closing cost assistance. | $237,661 |       |
| 3. Tri-County HDC, Ltd. (15% of Grant)  
  Dauphin County infill program to rehabilitate homes that are affordable to low and moderate income households in Steelton Borough. | $95,064 |       |
| **B. Program Administration – 10% of Grant** |            | **$63,376** |
| 4. Dauphin County Office of Community and Economic Development  
  Administration of HOME Program. | $63,376 |       |

**HOME TOTAL** | **$633,762** |
Part B
Standard Form 424

Community Development Block
Grant Program Application
HOME Investment Partnership
Act Program Application
# Application for Federal Assistance

## 1. Type of Submission:
- Construction
- Non-Construction

## 2. Date Submitted
- November 15, 2010

## 3. Date Received by State
- [State Application Identifier]

## 4. Date Received by Federal Agency
- [Federal Identifier]

## 5. Applicant Information
- **Legal Name:** Dauphin County - DUNS No. 071207955
- **Address:** P.O. Box 1295, Harrisburg, PA 17108
- **Organizational Unit:** Dauphin County Dept. of Comm. and Econ. Dev.
- **Name and Telephone Number:** George H. Conner, 717-780-6254

## 6. Employer Identification Number (EIN):
- 243-6003043

## 7. Type of Applicant:
- [A. State, B. County, C. Municipal, D. Township, E. Interstate, F. Intermunicipal, G. Special District, H. Independent School Dist., I. State Controlled Institution of Higher Learning, J. Private University, K. Indian Tribe, L. Individual, M. Profit Organization, N. Other (Specify) _]

## 8. Type of Application:
- **New**
- **Continuation**
- **Revision**
- Increase Award
- Decrease Award
- Increase Duration
- Decrease Duration
- Other (Specify)

## 9. Name of Federal Agency:
- U.S. Department of HUD

## 10. Catalog of Federal Domestic Assistance Number:
- **Title:** Community Development Block Grant
- **Number:** 14-218

## 11. Descriptive Title of Applicant's Project:
- 2011 - Community Development Block Grant

## 12. Areas Affected by Project (Cities, Counties, States, etc.):
- Dauphin County, excluding City of Harrisburg

## 13. Proposed Project

<table>
<thead>
<tr>
<th>Start Date</th>
<th>Ending Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>1/1/11</td>
<td>12/31/11</td>
</tr>
</tbody>
</table>

## 14. Congressional Districts of:
- a. Applicant: 17th
- b. Project: 17th

## 15. Estimated Funding:
- a. Federal: $1,640,402
- b. Applicant: $0
- c. State: $0
- d. Local: $0
- e. Other: $0
- f. Program Income: $0
- g. TOTAL: $1,640,402

## 16. Is Application Subject to Review by State Executive Order 12372 Process?
- a. YES. THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON:
  - [Date]
- b. No. [ ] PROGRAM IS NOT COVERED BY E.O. 12372 [ ] OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW

## 17. Is the Applicant Delinquent on Any Federal Debt?
- [ ] Yes  If “Yes,” attach an explanation. [x] No

## 18. To the Best of My Knowledge and Belief, All Data in This Application/Preapplication Are True and Correct, the Document Has Been Duly Authorized by the Governing Body of the Applicant and the Applicant Will Comply With the Attached Assurances If the Assistance Is Awarded.
- a. Type Name of Authorized Representative: Jeff Haste
- b. Title: Chairman, Bd. of Commissioners
- c. Telephone Number: (717) 780-6300
- d. Signature of Authorized Representative: [Signature]
- e. Date Signed: 11/9/10

---

Standard Form 424 (Rev. 7-97) Prescribed by OMB Circular A-102
APPLICATION FOR
FEDERAL ASSISTANCE

1. TYPE OF SUBMISSION:
   ✓ Construction
   □ Construction
   □ Non-Construction

2. DATE SUBMITTED
   November 15, 2010

3. DATE RECEIVED BY STATE
   State Application Identifier

4. DATE RECEIVED BY FEDERAL AGENCY
   Federal Identifier

5. APPLICANT INFORMATION

   Legal Name: Dauphin County - DUNS No. 071207955
   Address (give city, county, State, and zip code):
   P.O. Box 1295
   Harrisburg, PA 17108

   Organizational Unit: Dauphin County Dept. of Com. of Econ. Dev.
   Name and telephone number of person to be contacted on matters involving
   this application (give area code) George H. Conner 717-780-6254

6. EMPLOYER IDENTIFICATION NUMBER (EIN):
   236003043

7. TYPE OF APPLICATION: (enter appropriate letter in box)
   A. State
   B. County
   C. Municipal
   D. Township
   E. Interstate
   F. Intermunicipal
   G. Special District
   H. Independent School Dist.
   I. State Controlled Institution of Higher Learning
   J. Private University
   K. Indian Tribe
   L. Individual
   M. Profit Organization
   N. Other (Specify)

8. TYPE OF APPLICATION:
   ✓ New
   □ Continuation
   □ Revision

   If Revision, enter appropriate letter(s) in box(es)
   □ □

   A. Increase Award
   B. Decrease Award
   C. Increase Duration
   D. Decrease Duration
   Other (Specify):

9. NAME OF FEDERAL AGENCY:
   U.S. Department of HUD

10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER:
    14234

   TITLE: HOME Investment Partnerships Program

11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT:
    2004 HOME Program

12. AREAS AFFECTED BY PROJECT (Cities, Counties, States, etc.):
    County-wide outside the City of Harrisburg

13. PROPOSED PROJECT

   Start Date 1/1/11
   Ending Date 12/31/11

   a. Applicant 17th
   b. Project 17th

14. CONGRESSIONAL DISTRICTS OF:

15. ESTIMATED FUNDING:

   a. Federal $633,762
   b. Applicant
   c. State
   d. Local
   e. Other
   f. Program Income
   g. TOTAL $633,762

16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS?

   a. YES. THIS PREAPPLICATION/APPLICATION WAS MADE
      AVAILABLE TO THE STATE EXECUTIVE ORDER 12372
      PROCESS FOR REVIEW ON:
      DATE

   b. No. ☑ PROGRAM IS NOT COVERED BY E.O. 12372
      ☑ OR PROGRAM HAS NOT BEEN SELECTED BY STATE
      FOR REVIEW

17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT?
   ☐ Yes  If "Yes," attach an explanation. ☑ No

18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT, THE
    DOCUMENT HAS BEEN DUTY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE
    ATTACHED ASSURANCES IF THE ASSISTANCE IS AWARDED.

   a. Type Name of Authorized Representative Jeff Hastie
   b. Title Chairman, Bd. of Commissioners
   c. Telephone Number (717) 780-6300
   d. Signature of Authorized Representative
   e. Date Signed 11/10/10

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Standard Form 424 (Rev. 7-97)
Prescribed by OMB Circular A-102
Part C

Authorizing Resolution
RESOLUTION OF THE BOARD OF COMMISSIONERS
OF THE DAUPHIN COUNTY, PENNSYLVANIA
AUTHORIZING SUBMISSION OF THE 2011 ACTION PLAN FOR
FOR HOUSING AND COMMUNITY DEVELOPMENT PROGRAMS

RESOLUTION NO. 42-2010

WHEREAS, under Title I of the Housing and Community Development Act, the Secretary of the
U.S. Department of Housing and Urban Development (HUD) has made grants to the County of Dauphin
Pennsylvania, to implement Community Development Block Grant (CDBG) Programs; and

WHEREAS, under Title II of the Cranston-Gonzales National Affordable Housing Act of 1990,
as amended, HUD has made grants to the County of Dauphin Pennsylvania, to implement the HOME
Program; and

WHEREAS, the County of Dauphin submitted and HUD approved the County’s Consolidated
Plan for Community Planning and Development Programs; and

WHEREAS, the County’s Action Plan for 2011 is consistent with the goals and objectives
established in the Consolidated Plan; and

WHEREAS, a public hearing was held to review the 2011 Annual Action Plan.

NOW, THEREFORE, BE IT RESOLVED by the Board of Commissioners of Dauphin County,
Pennsylvania, that:

1. The Action Plan for 2011 for the County of Dauphin is hereby approved in all respects.

2. The Chairman of the Board of Commissioners hereby is authorized and directed to submit the
Action Plan to HUD allowing for the inclusion of public comments and the responses to those
comments and to provide any supplemental or revised data which HUD may request in connection
with its review.

3. The Chairman Commissioner is hereby authorized and directed to execute grant/loan agreements
under the CDBG and HOME Programs.

Approved this 10 day of November, 2010.

ATTEST:

Chad Saylor,
Chief Clerk/Chief of Staff

BOARD OF COMMISSIONERS
THE COUNTY OF DAUPHIN

Joe Hatte, Chairman

Mike Pries, Vice Chairman

George P. Hartwick, III, Secretary
Part D
Funding Sources
D. Funding Sources/Resources

Federal Resources (Estimate)

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
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</thead>
<tbody>
<tr>
<td>CDBG</td>
<td>$1,640,402</td>
</tr>
<tr>
<td>HOME</td>
<td>$ 633,762</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>$2,274,164</strong></td>
</tr>
</tbody>
</table>

The HUD form titled "Funding Sources" following this section provides a review of the resources that is estimated to be available to Dauphin County for 2011 Community Development Block Grant Program (CDBG) and HOME Investment Partnerships Program. For 2011, Dauphin County anticipates receiving $1,640,402 in CDBG and $633,762 in HOME funds. The total resources for 2011 is expected to be $2,274,164.

The Funding Sources provide Dauphin County's CDBG and HOME Program entitlements funds that are allocated to projects is shown in the "Activities to be Undertaken Projects Listing." Details of each project is listed in Table 3C. In the "Submitted Proposed Projects Totals", the County has allocated $1,328,720 of CDBG and HOME funds to specific projects. This includes the proposed allocation of $758,334 for CDBG and $570,386 for HOME projects. In addition, Dauphin County has allocated $310,750 (18.9%) of CDBG and $63,376 (10%) of HOME funds for Administration and Planning. The combined funds for administration are $374,126. The CDBG Unprogrammed funds are $595,318(36.3%).

Other Resources

The Pennsylvania Optional County Affordable Housing Funds Act of 1992 (the Act) allows for an alternative method for county governments to raise revenues for affordable housing efforts. Using the Act, Dauphin County established an Affordable Housing Trust Fund. Dauphin County will use funds available from the Affordable Housing Trust Fund as match for its HOME program funds. Dauphin County receives funds from the State's Access Grant Program, which will also provide match for HOME program funds. Additionally federal low-income housing tax credits and reduced mortgage rates may also be used to provide match and additional resources for housing activities in Dauphin County. Social service agencies in Dauphin County will avail themselves of funds from the State ESG Program allowing the agencies to increase the number of persons they are able to serve.
FUNDING SOURCES

Entitlement Grant (Estimates)
- CDBG $1,640,402
- ESG $0
- HOME $663,762
- HOPWA $0
  TOTAL $2,274,164

Prior Years’ Program Income NOT previously programmed or reported.
- CDBG $0
- ESG $0
- HOME $0
- HOPWA $0
  TOTAL $0

Programmed Prior Years’ Funds
- CDBG $0
- ESG $0
- HOME $0
- HOPWA $0
  TOTAL $0

Total Estimated Program Income $0

Section 108 Loan Guarantee Fund $0

TOTAL FUNDING SOURCES $2,274,164

Other Funds $0

Submitted Proposed Projects Total (excluding Administration) $1,328,720

Planning and Administration $374,126

Un-Submitted Proposed Projects Totals $0

Includes:
- Unprogrammed CDBG Funds $595,318
- Unprogrammed HOME Funds $0
Part E
Activities to be undertaken
Project Listing
E. Activities to be Undertaken

In order to address the needs identified in the Consolidated Plan (CP), Dauphin County is proposing to undertake the activities listed in the HUD form “Table 3C Consolidated Plan Listing of Projects” in the following pages. The table provides the summary of activity and funding sources including CDBG citation and the National Objective achieved by the activity. National Objectives achieved by this Action Plan include Low/Mod Area (LMA), Low/Mod Clientele (LMC), Slum/Blight (SBS) and Low/Mod housing (LMH).
### Table 3C  
**Consolidated Plan Listing of Projects**

**Jurisdiction’s Name:**  Berrysburg Borough/Municipal Authority

**Priority Need:**  Infrastructure – Sanitary Sewer Improvements

**Project Title:**  Replacement of Wastewater Treatment Tanks

**Description:**  Replacement of four deteriorating underground fiberglass wastewater treatment tanks with above ground concrete tanks and disinfection system.

The activity will benefit 320 persons in the service area with 160 (50%) persons in low and moderate income. (Census 2000)

The total cost of the project is $774,900 and remaining funds will be from H2O grant and local contributions.

**Objective category:**  ☑ Suitable Living Environment  ☐ Decent Housing  ☐ Economic Opportunity

**Outcome category:**  ☐ Availability/Accessibility  ☐ Affordability  ☑ Sustainability

**Location/Target Area:**  Berrysburg Borough

**Street Address:**  Berrysburg, PA  17085

<table>
<thead>
<tr>
<th>Specific Objective Number</th>
<th>Project ID</th>
</tr>
</thead>
<tbody>
<tr>
<td>HUD Matrix Code 03J</td>
<td>CDBG-1</td>
</tr>
<tr>
<td>Type of Recipient Local Government</td>
<td>CDBG National Objective 570.208(a)(I)-LMA</td>
</tr>
<tr>
<td>Start Date (mm/dd/yyyy) 01/01/11</td>
<td>Completion Date (mm/dd/yyyy) 12/31/11</td>
</tr>
<tr>
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<tr>
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The primary purpose of the project is to help: ☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs
Table 3C
Consolidated Plan Listing of Projects

Jurisdiction’s Name: Elizabethville Borough/Area Authority

Priority Need
Infrastructure – Sewage Pumping Station Improvements

Project Title
Smith Street Sewage Pumping Station

Description
Improvement to Smith Street Sewage Pumping Station by installation of a comminutor and piping changes for bypass pumping around the sewage pumping station.

The activity will benefit 1305 persons in the service area with 708 persons in low and moderate income. (Census 2000)
Project cost is $57,600.

Objective category: ☑ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome category: ☐ Availability/Accessibility ☐ Affordability ☑ Sustainability

Location/Target Area: Elizabethville Borough
(Street Address): 
(City, State, ZipCode): Elizabethville, PA 17023

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<td>ESG, HOME, HOPWA</td>
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The primary purpose of the project is to help: ☑ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs
# Table 3C
Consolidated Plan Listing of Projects

**Jurisdiction's Name**  Gratz Borough/Municipal Authority

**Priority Need**  Infrastructure – Sewage Treatment Plant Improvements

**Project Title**  Upgrade Wastewater Pumping Stations

**Description**
Replacing two grinder pumping stations and upgrading two existing wastewater pumping stations. The pumping station upgrades will include new submersible pumps, new automated control system and new emergency dialer system. The project cost is $157,000 and $70,000 were provided in 2010 CDBG funds.

The activity will benefit 680 persons in the service area with 380 (55.8%) persons in low and moderate income.  
(Survey 2008)

**Objective category:**  ☑ Suitable Living Environment  ☐ Decent Housing  ☐ Economic Opportunity
**Outcome category:**  ☐ Availability/Accessibility  ☐ Affordability  ☑ Sustainability

**Location/Target Area:**  Gratz Borough

**Street Address:**  Gratz, PA 17030

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The primary purpose of the project is to help: ☑ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs
**Table 3C**

**Consolidated Plan Listing of Projects**

**Jurisdiction's Name**  
Greater Middletown Economic Development Corp.

**Priority Need**  
Economic Development

**Project Title**  
Elks Theatre HVAC Upgrade

**Description**  
Elks Theatre in Middletown Borough has been operating as a movie theatre for over 80 years. The theatre was purchased by a non-profit Greater Middletown Economic Development Corp (GMEDC) to rehabilitate an old building and operate the theatre. Repairs and upgrade are proposed to HVAC system. Performing arts/cultural center will operate 7 days a week.

**Objective category:**  
☐ Suitable Living Environment  
☐ Decent Housing  
☒ Economic Opportunity  

**Outcome category:**  
☐ Availability/Accessibility  
☐ Affordability  
☒ Sustainability

**Location/Target Area**  
30 West Emaus Street, Middletown, PA 17057 / Middletown Borough

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The primary purpose of the project is to help:  
☐ the Homeless  
☐ Persons with HIV/AIDS  
☐ Persons with Disabilities  
☐ Public Housing Needs
Table 3C
Consolidated Plan Listing of Projects

Jurisdiction’s Name: Pillow Borough/Authority

Priority Need
Infrastructure – Water Improvements

Project Title
Water Treatment System Upgrades.

Description
Water treatment system upgrades include:
1. Replacement of water Treatment Building and Equipment Improvements.
2. Improvements to Well No.4.
3. Installation of larger diameter pipe for additional chlorine contact time.
4. Payment for engineering services.
The project cost is $160,000 and $80,000 was provided in 2010 CDBG funds.

The activity will benefit 342 persons with 162 (47.40%) persons in low and moderate income. (Census 2000)

Objective category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome category: ☐ Availability/Accessibility ☐ Affordability ☒ Sustainability

Location/Target Area: Pillow Borough

(Street Address): Pillow, PA 17080

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The primary purpose of the project is to help: ☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs.
Table 3C
Consolidated Plan Listing of Projects

**Jurisdiction's Name**  Steelton Borough

**Priority Need**
Acquisition and elimination of slum and blight

**Project Title**
Adam Street Revitalization Project

**Description**
The funds will be used for acquisition and demolition of blighted properties on Adam, Ridge and Harrisburg Streets. The site eventually will be developed for affordable housing.

**Objective category:**
- □ Suitable Living Environment
- ☑ Decent Housing
- □ Economic Opportunity

**Outcome category:**
- □ Availability/Accessibility
- ☑ Affordability
- □ Sustainability

**Location/Target Area:**
Adam Street, Ridge Street and Harrisburg Street.

**Street Address:**
Steelton, PA 17113

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**Funding Sources:**
- CDBG $80,000
- ESG
- HOME
- HOPWA
- Total Formula $80,000
- Prior Year Funds $117,915
- Assisted Housing
- PHA
- Other Funding
- Total $197,915

The primary purpose of the project is to help:
- ☑ the Homeless
- □ Persons with HIV/AIDS
- □ Persons with Disabilities
- □ Public Housing Needs
Table 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name: Steelton Borough

Priority Need
Housing -- Affordable Housing/Removal of Architectural Barriers

Project Title
Felton Building Apartments

Description
Former historic Steelton-Highspire Elementary School is being redeveloped and adaptive reuse for 100 unit mixed income rental housing community. 83 units will be for low income households and 17 units available at market rate apartments. Total cost of the project is 10 million dollars. CDBG funds will be used for ADA compliance.

The activity will benefit 83% of households in low income.

Objective category: ☐ Suitable Living Environment ☑ Decent Housing ☐ Economic Opportunity
Outcome category: ☐ Availability/Accessibility ☑ Affordability ☐ Sustainability

Location/Target Area: Felton Building Apartments

(Street Address): 100 Block of S. Third St., 4th and Walnut Streets
(City, State, Zip Code): Steelton, PA 17113

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| Local Government          | CDBG National Objective 570.208(a)(3)-LMH |

| Start Date (mm/dd/yyyy)   | Completion Date (mm/dd/yyyy) |
| 01/01/11                  | 12/31/14                   |

| Local ID                  | Performance Indicator       |
| 2011-7                    | Housing Units               |
|                           | Annual Units 100            |

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The primary purpose of the project is to help: ☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs
Table 3C
Consolidated Plan Listing of Projects

**Jurisdiction's Name**: Salvation Army of Harrisburg Capital City Region

**Priority Need**
Public Service – Youth Services

**Project Title**
Bridging the Gap

**Description**
Bridging the Gap program provides life-skills youth development prevention and intervention program for youth ages 10-18 years.

1) **Expansion of existing program in Susquehanna Township Middle School** (100 youth) and Steelton/Highspire area (200 youth). Establish a new Bridge the Gap program in Swatara Township to serve 30 youth. The school administrators select the youth for the program.

2) **Update programming curriculum and develop a data base to better track program participants and impact on their lives.**

**Objective category:**
- [x] Suitable Living Environment
- [ ] Decent Housing
- [ ] Economic Opportunity

**Outcome category:**
- [x] Availability/Accessibility
- [ ] Affordability
- [ ] Sustainability

**Location/Target Area:**

(1) Susquehanna Township Middle School  
801 Wood Street, Harrisburg, PA 17109

(2) Steelton- Highspire School District  
250 Reynders Avenue, Steelton, PA 17113

**Street Address:**

**City, State, ZipCode:**

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**Funding Sources:**

- **CDBG** $45,000
- **ESG**
- **HOME**
- **HOPWA**
- **Total Formula** $45,000
- **Prior Year Funds** $45,000
- **Assisted Housing**
- **PHA**
- **Other Funding**
- **Total** $90,000

The primary purpose of the project is to help: 
- [ ] the Homeless
- [ ] Persons with HIV/AIDS
- [ ] Persons with Disabilities
- [ ] Public Housing Needs
### Table 3C
Consolidated Plan Listing of Projects

**Jurisdiction’s Name**  
Jump Street, Inc.

**Priority Need**  
Public Services - Senior Program

**Project Title**  
The Steelton Senior Arts and Fitness Center

**Description**  
Jump Street is a nonprofit organization that will start a new program for Senior in Steelton Borough. The program will provide seniors with an innovative approach to the arts, fitness, and healthy lifestyles. The major partner will be Highmark Blue Shield which will design the fitness and healthy lifestyle experiences. Jump Street will rent a modest facility (approximately 1200 square feet) and create a part-time administrative position along with paying for instruction time for artist/teachers. The program is expected to serve 100 seniors. Currently there is no senior center in Steelton Borough.

**Objective category:**  
- [x] Suitable Living Environment
- [ ] Decent Housing
- [ ] Economic Opportunity

**Outcome category:**  
- [ ] Availability/Accessibility
- [ ] Affordability
- [x] Sustainability

**Location/Target Area:**  
Entire Borough of Steelton.

**Street Address:**  
Steelton, PA 17113

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The primary purpose of the project is to help: [ ] the Homeless [ ] Persons with HIV/AIDS [ ] Persons with Disabilities [ ] Public Housing Needs.
Table 3C
Consolidated Plan Listing of Projects

**Jurisdiction’s Name**  Steelton Borough

**Priority Need**
Public Service - Code Enforcement

**Project Title**
Salaries for Steelton Borough Code Enforcement Employees

**Description**
The Borough’s housing stock is very old and is in need of inspection and repair. The grant will provide salaries for code enforcement employees, who will do building inspections to improve the condition of the housing stock.
The code enforcement effort will create decent housing and benefit the entire borough population of 5,794 persons with 54.33 percent in low and moderate income (Census 2000).

**Objective category:**  □ Suitable Living Environment  □ Decent Housing  □ Economic Opportunity
**Outcome category:**  □ Availability/Accessibility  □ Affordability  □ Sustainability

**Location/Target Area:**  Entire Borough of Steelton..

**(Street Address):**
**(City, State, ZipCode):**  Steelton, PA  17113

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**Funding Sources:**
- CDBG: $36,000
- ESG
- HOME
- HOPWA
- Total Formula: $36,000
- Prior Year Funds
- Assisted Housing
- PHA
- Other Funding
- Total: $36,000

The primary purpose of the project is to help:  □ the Homeless  □ Persons with HIV/AIDS  □ Persons with Disabilities  □ Public Housing Needs
### Table 3C
Consolidated Plan Listing of Projects

**Jurisdiction’s Name**: Dauphin County Department of Community and Economic Development

**Priority Need**
Unprogrammed Funds

**Project Title**
CDBG Unprogrammed Funds

**Description**
Use of funds to be determined.

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<td>Economic Opportunity</td>
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<td>Availability/Accessibility</td>
<td>Sustainability</td>
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**Location/Target Area**: Dauphin County Department of Community and Economic Development

**Street Address**:
112 Market St., 7th Floor

**City, State, Zip Code**:
Harrisburg, PA 17101

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<td>CDBG $595,318</td>
</tr>
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</tr>
<tr>
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<td>HOME</td>
</tr>
<tr>
<td>01/01/11</td>
<td>31/12/11</td>
<td>HOPWA $595,318</td>
</tr>
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<td>Performance Indicator</td>
<td>Annual Units</td>
<td>Total Formula $595,318</td>
</tr>
<tr>
<td>Local ID 2011-11</td>
<td>Units Upon Completion</td>
<td>Prior Year Funds</td>
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</table>

The primary purpose of the project is to help: □ the Homeless □ Persons with HIV/AIDS □ Persons with Disabilities □ Public Housing Needs
Table 3C  
Consolidated Plan Listing of Projects

**Jurisdiction’s Name**: Dauphin County Office of Community & Economic Development

**Priority Need**
Program Administration

**Project Title**
CDBG Program Administration

**Description**
Perform activities which include planning, preparation of grant application and subrecipient agreements, environmental reviews, monitoring and implementation of project activities and general administration of the CDBG Program. Funds allocated for administration is $298,750 of which, the allocation for Dauphin County Department of Community and Economic Development is $268,750 and for Dauphin County Planning Commission is $30,000.

**Objective category:**
- □ Suitable Living Environment
- □ Decent Housing
- □ Economic Opportunity

**Outcome category:**
- □ Availability/Accessibility
- □ Affordability
- □ Sustainability

**Location/Target Area:** Dauphin County Office of Community and Economic Development

**(Street Address):** 112 Market St., 7th Floor  
**(City, State, ZipCode):** Harrisburg, PA 17015

<table>
<thead>
<tr>
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<tbody>
<tr>
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<td></td>
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<td>$298,750</td>
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</tr>
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<tr>
<td></td>
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<td>Prior Year Funds</td>
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</tbody>
</table>

The primary purpose of the project is to help: □ the Homeless □ Persons with HIV/AIDS □ Persons with Disabilities □ Public Housing Needs
Table 3C
Consolidated Plan Listing of Projects

Jurisdiction’s Name: Paxtang Borough

Priority Need
Planning

Project Title
Subdivision and Land Development Ordinance

Description
Preparation of Paxtang Borough Subdivision and Land Development Ordinance to implement the recently adopted mini-comprehensive plan and related downtown improvement plan and ensure consistency with new zoning ordinance currently being completed.

Objective category: ☒ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome category: ☐ Availability/Accessibility ☐ Affordability ☒ Sustainability

Location/Target Area:
Paxtang Borough
3423 Derry Street
Harrisburg, PA 17110

<table>
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<td>CDBG-13 (Planning)</td>
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<td>Start Date</td>
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</tr>
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<td>Local ID</td>
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Funding Sources:

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<th>Amount</th>
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<tr>
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</tr>
<tr>
<td>HOME</td>
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</tr>
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<tr>
<td>Total Formula</td>
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<tr>
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<td>Assisted Housing</td>
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<td>PHA</td>
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<td>Other Funding</td>
<td>0</td>
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<tr>
<td>Total</td>
<td>$12,000</td>
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</table>

The primary purpose of the project is to help: ☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs
Table 3C
Consolidated Plan Listing of Projects

**Jurisdiction’s Name**: Dauphin County Office of Community & Economic Development

**Priority Need**
Housing – Rehabilitation of Single Family Residential Unit

**Project Title**
Countywide Housing Rehabilitation – HOME Program

**Description**
The housing rehabilitation program will provide financial assistance of up to $20,000 to low and moderate income homeowners for housing rehabilitation. Approximately 15 homes are proposed to be rehabilitated.

**Objective category**: □ Suitable Living Environment □ Decent Housing □ Economic Opportunity

**Outcome category**: □ Availability/Accessibility □ Affordability □ Sustainability

**Location/Target Area**: Dauphin County, excluding Harrisburg City

**Street Address**: Dauphin County, PA

<table>
<thead>
<tr>
<th>Specific Objective Number</th>
<th>Project ID</th>
<th>Funding Sources</th>
</tr>
</thead>
<tbody>
<tr>
<td>HOME - 1</td>
<td>HOME</td>
<td>CDBG</td>
</tr>
</tbody>
</table>

| HUD Matrix Code 14A       | CDBG Citation 570.202 | ESG            |
| Type of Recipient County Government | CDBG National Objective 570.208(a)(3)-LMH | HOME $ 237,661 |
| Start Date (mm/dd/yyyy) 1/1/11 | Completion Date (mm/dd/yyyy) 12/31/11 | HOPWA           |
| Performance Indicator Housing Units | Annual Units 15 Housing Units | Total Formula $ 237,661 |
| Local ID 10-1(H) | Units Upon Completion 15 Housing Units | Prior Year Funds $ 237,660 |
|                          |                          | Assisted Housing |
|                          |                          | PHA             |
|                          |                          | Other Funding Total $ 475,321 |

The primary purpose of the project is to help: □ the Homeless □ Persons with HIV/AIDS □ Persons with Disabilities □ Public Housing Needs
### Table 3C
Consolidated Plan Listing of Projects

**Jurisdiction’s Name**: Dauphin County Office of Community & Economic Development

**Priority Need**
Housing – Homeownership Assistance

**Project Title**
Second or subordinated Mortgage Program

**Description**
The funds will be used for Second or subordinate mortgage program for first-time homebuyer with down payment and closing cost assistance. Up to 40 homebuyers will be served.

**Location/Target Area**: Dauphin County, excluding Harrisburg City

**Specific Objective Number**

<table>
<thead>
<tr>
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<th>Project ID</th>
<th>Funding Sources</th>
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<td>HUD Matrix Code 13</td>
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<td>CDBG National Objective 570.208(a)(3)-LMH</td>
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<td>Start Date (mm/dd/yyyy) 01/01/11</td>
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<td>Local ID 2011-2(11)</td>
<td>Units Upon Completion 40</td>
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**Funding Sources**
- CDBG
- ESG
- HOME $237,661
- HOPWA
- Total Formula $237,661
- Prior Year Funds $241,393
- Assisted Housing
- PHA
- Other Funding
- Total $479,054

The primary purpose of the project is to help: [ ] the Homeless [ ] Persons with HIV/AIDS [ ] Persons with Disabilities [ ] Public Housing Needs
Table 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name  Tri-County HDC, Ltd.

Priority Need
Housing  Rehabilitation of Single Family Residential Unit

Project Title
Dauphin County Infill Project – HOME Program

Description
Tri-County HDC, Ltd. is a non-profit organization and it proposes to rehabilitate homes that are affordable to low and moderate income households in Steelton Borough. Funds provided are 15% of the total HOME grant.

Objective category:  □ Suitable Living Environment  □ Decent Housing  □ Economic Opportunity
Outcome category:  □ Availability/Accessibility  □ Affordability  □ Sustainability

Location/Target Area:  Steelton Borough

(Street Address):  Steelton, PA  17113

<table>
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<td>Type of Recipient CHDO</td>
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<td>Start Date (mm/dd/yyyy)</td>
<td>Completion Date (mm/dd/yyyy)</td>
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<td>Annual Units</td>
<td>HOPWA</td>
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<td>Housing Units</td>
<td></td>
<td>Total Formula  $95,064</td>
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The primary purpose of the project is to help:  □ the Homeless  □ Persons with HIV/AIDS  □ Persons with Disabilities  □ Public Housing Needs
### Table 3C
Consolidated Plan Listing of Projects

**Jurisdiction's Name**  Dauphin County Office of Community & Economic Development

**Priority Need**
HOME Administration

**Project Title**
HOME Program Administration

**Description**
Dauphin County Office of Community and Economic Development will administer the HOME Program and provide guidance in the implementation of the program. The administration amount represents 10% of the estimated HOME grant

**Objective category:**
- [ ] Suitable Living Environment
- [ ] Decent Housing
- [ ] Economic Opportunity

**Outcome category:**
- [ ] Availability/Accessibility
- [ ] Affordability
- [ ] Sustainability

**Location/Target Area:**
Dauphin County Office of Community and Economic Development

**(Street Address):**
112 Market St., 7th Floor

**(City, State, ZipCode):**
Harrisburg, PA 17101

<table>
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<tr>
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<td>Completion Date (mm/dd/yyyy) 12/31/11</td>
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<td>Performance Indicator N/A</td>
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The primary purpose of the project is to help: [ ] Homeless [ ] Persons with HIV/AIDS [ ] Persons with Disabilities [ ] Public Housing Needs
Part F
Geographic Distribution of Projects/Activities
F. Geographic Distribution

CDBG funds are provided to activities throughout Dauphin County. Most of the CDBG program funds are being used to support site specific infrastructure, public facilities, and public service activities. The HOME and ADDI funds are allocated for housing projects in Dauphin County outside of the City of Harrisburg. Map contained in Appendix -1 show the location of site specific CDBG projects.
Part G
Homeless and Other
Special Needs Activities
G. Homeless and Other Special Needs Activities

Activities to Address Emergency Shelter and Transitional Housing Needs

Through its continuum of care process, Dauphin County places a high priority on the creation of permanent supportive housing. Dauphin County will, however, consider requests to provide funds to the operators of emergency shelters to allow them to operate their programs. Dauphin County will consider requests for funding to develop housing for the homeless and will support applications by agencies seeking HUD, State, and private funding to provide emergency shelter and transitional housing. Dauphin County's highest priority for use of its CDBG and HOME funds is for permanent housing.

In 2006, CDBG funds were provided to Wesley Union Community Development Corp (CHDO) for the construction of a homeless shelter for 25 homeless persons.

In 2009, CDBG funds were provided to purchase two (2) condominium dwelling units for VA approved housing for homeless veteran women.

In 2009, additional funds were provided for the construction of Susquehanna Harbor Safe Haven, a homeless shelter for men.

Activities to Prevent Persons from Becoming Homeless

During the program year 2003 and 2004, Dauphin County provided funds to the Adult and Family Services of Dauphin County Department of Human Services to establish a new Countywide Homeless Management Information System. This would be a significant improvement for data collection and integration of information into a single repository of homeless data. This will ensure a standard format for the information that will be captured and will provide data integrity for reporting purposes. Through the detail that will be captured, it will be able to determine where resources should be allocated for the expansion of services and/or where a re-allocation of resources may be needed.

Dauphin County administers an Assistance Office, which offers a variety of programs for low income households. The Dauphin County Assistance Office operates from the Dauphin County Human Service Center, Inc. in Elizabethville giving convenient access to services by residents in the north portion of Dauphin County. Dauphin County also administers a Human Services Office that serves as the oversight, planning and coordination agency all County Human Services Departments including the County Area Agency on Aging, the Department of Drugs and Alcohol Services, and the County Mental Health and Retardation Program.

Also, the State of Pennsylvania administers a Homeless Assistance Fund providing households with funds to avoid eviction. In Dauphin County the Homeless Assistance Funds are administered by HELP. HELP uses the funds to prevent eviction by providing rental assistance to help families with delinquent rent payments as well as security deposits.
The Pennsylvania Housing Finance Agency (PHFA) administers a Homeowners’ Emergency Assistance Program (HEMAP). The HEMAP program provides mortgage assistance payments to homeowners who are in danger of losing their homes through foreclosure and through no fault of their own and who have a reasonable prospect of resuming mortgage payments within the prescribed time frame. HEMAP Counseling Agencies in Dauphin County include CCS of Western PA, Community Action Commission of Capital Region, Loveship, Inc., PHFA, and the Urban League of Metropolitan Harrisburg.

To Help Make the Transition to Permanent Housing

Dauphin County provided CDBG funds in 2005 to Community Action Commission for its program called Success Academy for education, training and employment services to LMI persons. With better paying jobs these persons may be encouraged to move into home ownership.

Address the Special Needs of Persons who are not Homeless

There are a variety of services and facilities in Dauphin County that provide for services that assist populations with special needs. Many of the services are provided directly by Dauphin County through various agencies including Dauphin County Human Services, Dauphin County Mental Retardation Services, Dauphin County Rug and Alcohol Services, Dauphin County Weatherization, and Dauphin County Area Agency on Aging. The services provide a direct benefit to populations with special needs in Dauphin County.

Dauphin County provided funds in 2004 to the Local Housing Option Team (LHOT). LHOT will use the funds to support a staff person. The staff person will be a resource person for case managers and landlords; identify and coordinate housing training; work as a liaison between the LHOT and the housing community; develop and complete a housing needs assessment to identify needs of people with disabilities, type of housing and services needed; look at traditional and nontraditional models and how to best use existing housing opportunities so that LHOT can design program or housing opportunities; compile a directory of landlords, programs and organizations that can provide housing and services to people with disabilities; maximize use of home ownership programs for people with disabilities through publications, recruitment and training; serve as a liaison to help LHOT identify potential funding sources; and develop a peer mentoring program for home ownership.

Dauphin County provided HOME funds in 2007 for the construction of Section 811 housing for 12 handicap accessible apartments in Swatara Township.

To Help Senior Programs

Dauphin County is providing CDBG funds in 2011 for Steelton Senior Arts and Fitness Center to provide seniors with innovative approach to arts, fitness and healthy lifestyle in Steelton Borough.
Part H
Other Actions
H. Other Actions

General Actions

Address Obstacles to Meeting Underserved Needs

Dauphin County will continue to use its entitlement funds to provide assistance with activities that meet the underserved needs of the community. Through continued support of the CACH in the completion and implementation of the continuum of care Dauphin County will be appraised of the needs of the underserved and the changes in the needs over time. This will facilitate use of scare funds in an efficient way.

Dauphin County is continuing to provide funds in 2010 to Goodwill Keystone Area to purchase used cars for low and moderate income families with children who need transportation to work as part of Wheels for Work Program.

Funds are provide in 2010 to Hamilton Health Center to provide medical services from one consolidated building to low income families which include residents of Dauphin County.

Funds are provided in 2010 to YMCA of Greater Harrisburg to provide Employment Empowerment Program called YW Works, to Homeless, victims of domestic violence, disable or veterans. Services will empower clients through seminars, one-to-one coaching, employment placement, career coordination and job retention follow-up.

Funds are provided in 2010 to The Salvation Army of the Harrisburg Capital Region to provide life-skill youth development prevention and intervention program for youth ages 10-18 years from low income families.

Funds are provided in 2010 to Rutherford Youth club for emergency repairs. Majority of membership are youth from low and moderate income families.

Funds are provided in 2011 to Salvation Army of the Harrisburg Capital City Region, Harrisburg to: a) Expand existing Bridge the Gap program in Susquehanna Township and Steelton/Highspire area and establish a new Bridge the Gap program in Swatara Township. b) Update programming curriculum and develop a data base to better track program participants and impact on their lives.

Bridge the Gap program provides life-skills, youth development, prevention and intervention program to youth ages 10-18 years.

Foster and Maintain Affordable Housing

Dauphin County is continuing to provide funds in 2010 for low and moderate income households for housing rehabilitation and for Second or Subordinated Mortgage program for First-Time Home Buyers. The housing programs ensure habitable housing units for households and allow households who would not otherwise be able to afford safe decent housing to purchase a unit.
In 2010, funds are provided for Felton Building Apartments project for conversion and adaptive reuse of former historic Steelton-highspire Elementary School building into 100 rental units with 83 units for low and moderate income families in Steelton Borough. Funds are again provided in 2011 for Felton Building Apartments.

There were two non-profit agencies that develop affordable housing for low-income households in Dauphin County. One was the Susquehanna Housing Initiatives, which has been operating since 1992, has developed 38 units of affordable housing in Dauphin County. The other was the Tri-County Housing Development Corporation, which was incorporated in 1990, has developed 35 units of affordable housing in Dauphin County and is developing 42 additional units. The two non-profits merged in 2003 and the new name is the Tri-County HDC, Inc.

The Dauphin County continues to provide HOME funds in 2011 to this organization for the Urban Infill affordable housing program in Steelton Borough. The funds are provided for rehabilitation of dwellings that are affordable for sale to low and moderate income households in Steelton Borough.

**Reduce Lead-Based Paint Hazards**

Dauphin County considers the presence of lead-based paint hazards a serious health problem. The Childhood Lead Poisoning Prevention Program offers Lead Poisoning Screening in Dauphin County. The Lead Poisoning Screening program provides blood-lead screening, medical referral, education for parents, and environmental inspection to identify the source of lead hazards to a child with lead poisoning. Lead poisoning screening is available to children under six years old and pregnant women.

**Reduce the Number of Poverty Level Families**

Through implementation of its housing and community development program Dauphin County expects to reduce the number of families with incomes below the poverty level. Dauphin County has a number of programs that address the needs of households with incomes below the poverty level. Although there are no direct anti-poverty initiatives in the CDBG program, other County agencies deal directly with this issue. Dauphin County supports a number of social service providers identified in Part DII of the CP that provide direct services to households with poverty or near poverty level incomes. Many of these services are geared to creating self sufficiency and improving skills and employability.

The Welfare to Work Reform, resulting in TANF, encourages householders to find employment. While work is no assurance that household income will rise above poverty, supports are in place to supplement that income.
Dauphin County has an aggressive economic development program aimed at bringing in and retaining high value employment in the community. Good paying jobs and job opportunities are being created. Educational initiatives seek to provide the skills necessary to fill jobs in new fields and those enhanced by technology.

Dauphin County will implement Section 3 Employment and Contracting policies on all applicable contracts, ensuring outreach to low income residents when job opportunities are created by CDBG activities.

Because poverty is a function of income, its effect on housing opportunity and choice is apparent. Conversely, without adequate, stable housing, alleviating poverty is more difficult.

The HACD provides Section 8 vouchers to households, principally those at incomes below the 30 percent of the median family income of the MSA. Such supports stabilize housing and allow lower income household to expend resources for other necessities.

Dauphin County MH/MR has partnered with the HACD and City of Harrisburg Housing Authority to provide housing opportunities for persons with mental illness and provides on-going case management and services to maintain independent living.

The Capitol Area Coalition on Homelessness is an umbrella organization of service providers linking emergency, transitional and permanent supportive housing to service providers to address the needs of the homeless. With support from McKinney funding, after-shelter care programs are being developed to provide support to households that leave shelter and transitional housing to maintain independent living.

**Owner Occupied Housing Rehabilitation Program**

To maintain the existing housing stock from deterioration, Dauphin County is providing funds for the countywide housing rehabilitation program.

**Develop Institutional Structure and Enhance Coordination**

The Dauphin County Board of Commissioners has delegated the responsibility for the administration of the Dauphin County’s CDBG and HOME Programs to the Dauphin County Office of Community and Economic Development, and Dauphin County Planning Commission. The County agencies will participate with other groups where appropriate, such as it does in planning for the homeless and facilitate cooperative problem solving in Dauphin County.

**Public Housing**

Dauphin County is not funding public housing improvements or resident initiatives. The Public Housing Agency will continue to receive Capital Program funds to maintain its properties in safe and sanitary conditions for the residents and continue to seek funds for programs to improve the quality of life of current residents. The Public Housing Agency will be provided with funds to support lead abatement of properties participating in the Section 8 Voucher program with two or more bedrooms. The Public Housing Agency’s Agency Plan is on file with Dauphin County.
Dauphin County will consider requests by the Public Housing Agency for Certifications of Consistency with the Consolidated Plan.
Part I
Program Specific Requirements
I. Special Program Requirements

- **CDBG**

The One Year Action Plan describes the activities that Dauphin County will undertake with its 2011 CDBG Entitlement grant. The County does not anticipate any program income during 2011. The County will not have any surplus from urban renewal settlements, nor will it have any grant funds returned to the line of credit for which planned use has not been included in a prior plan.

- **HOME**

The HOME program was initiated by the County in FY 2002 when it became an Urban County.

Dauphin County has adopted a HOME Investment Partnerships Program Resale/Recapture Policy for Home Ownership Activities. The resale/recapture policy is based on the Final Rule of the Home Investment Partnership Program published on September 16, 1996. Specifically, the policy is based on 24 CFR 92.254, Qualification as Affordable Housing: Home Ownership.

The issue of resale/recapture arises when a homeowner that received home buyer assistance under the HOME Program decides to sell the property. If the property is sold after the period of affordability has expired, there are no restrictions in terms of resale or recapture of HOME funds that apply to such a transaction. However, if the sale occurs before the period of affordability has expired, certain regulatory limitations apply.

The regulations at 92.254 (a)(5) give the participating jurisdiction two broad options relative to the treatment of properties that are sold before the period of affordability has expired:

1. The owner that received HOME assistance must sell the home to a low income family that will use the property as their principal residence, or
2. The participating jurisdiction must recapture some or all of the HOME investment that was initially extended to the home buyer

It is the policy of Dauphin County, wherever possible to recapture the appropriate amount of the HOME investment rather than restricting the sale to a qualified low-income family that will use the property as its principal residence.

**Calculating the Amount of Recapture** [92.254(a) (5) (ii) (A) (5)]

The recapture amount is equal to the fair market value of the property at the time of initial sale to the home buyer LESS the sales price of the home PLUS any direct assistance (i.e., down payment assistance or closing cost assistance) extended to the home buyer. For example, if the fair market value at the time of initial sale was $30,000, the sales price was $25,000 and $2,000 was extended to the home buyer in the form of down payment assistance, the recapture amount would be $7,000 ($30,000 - $25,000 = $5,000 + $2,000 = $7,000).
Recapture Method

- In accordance with 24 CFR 92.254(a)(5)(ii)(A)(1), the County will recapture the entire amount of the HOME Investment subject to the following forgiveness provisions:

- 20 percent of the HOME Investment will be forgiven each year that the assisted homeowner owns and occupies the home for the loan amount was $14,999 and 10 percent for loan amount between $15,000 to $20,000.

If no HOME funds are subject to recapture, the following resale restrictions of the HOME regulations will apply:

- the property must be sold to a low income family that will use the property as its principal residence,

- the owner must receive a fair return on his/her investment, including the value of any capital improvements,

- the property must remain affordable to a reasonable range of low income home buyers,

- affordability must be enforced by means of deed restrictions, covenants running with the land or other similar mechanisms,

- upon foreclosure, a transfer in lieu of foreclosure, or upon assignment of an FHA insured mortgage to HUD, all affordability restrictions must terminate,

- the County may use preemptive rights (i.e., right of first refusal, purchase options, etc.) to purchase the property prior to foreclosure to preserve affordability, and

- the affordability restrictions shall be revived according to the original terms if the owner of record before termination subsequently obtains an ownership interest in the property; this provision shall also apply to any entity that includes the former owner or persons that have family or business ties with the former owner.

The following is a breakdown of the resale/recapture provisions as they apply to the HOME funded projects in Dauphin County.

1. **"HOME Housing Rehabilitation Countywide"** provides up to $20,000 for the rehabilitation of owner-occupied units with the following repayment schedule.
- Schedule for repayment of loans up to $14,999

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<th># of Years in Unit</th>
<th>Repayment Forgiveness Amount for Loan Amount up to $14,999</th>
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</thead>
<tbody>
<tr>
<td>1</td>
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<tr>
<td>3</td>
<td>60%</td>
</tr>
<tr>
<td>4</td>
<td>40%</td>
</tr>
<tr>
<td>5</td>
<td>20%</td>
</tr>
</tbody>
</table>

- Schedule for repayment of loans between $15,000 to $20,000

<table>
<thead>
<tr>
<th># of Years In Unit</th>
<th>Repayment Forgiveness Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>100%</td>
</tr>
<tr>
<td>2</td>
<td>90%</td>
</tr>
<tr>
<td>3</td>
<td>80%</td>
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<tr>
<td>4</td>
<td>70%</td>
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<td>5</td>
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<td>6</td>
<td>50%</td>
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<td>7</td>
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<td>8</td>
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<td>9</td>
<td>20%</td>
</tr>
<tr>
<td>10</td>
<td>10%</td>
</tr>
</tbody>
</table>

2. **"HOME DPA Countywide" currently provides a second/subordinated mortgage loan amount up $5,000.**

   Must be repaid in full upon the sale of the home regardless of the period of time that the Family remains in the home.

3. **"HOME Urban Infill Housing"**

   Recapture/Resale provisions will be applied in a manner deemed most appropriate for this program.
Part J
Monitoring
J. Monitoring

Regulations concerning the Consolidated Plan state that it “must describe the standards and procedures that the jurisdiction will use to monitor activities carried out in furtherance of the plan and will use to ensure long term compliance with requirements of the programs involved, including minority business outreach and the comprehensive planning requirements.” This section describes the monitoring standards and procedures that Dauphin County will follow during the implementation of the Consolidated Plan (CP) and the Annual Action Plan.

Monitoring Programs and Activities

Dauphin County Office of Community and Economic Development (DCED) will have the responsibility on the progress towards meeting the goals of the Consolidated Plan (CP).

Dauphin County Office of Community and Economic Development (DCED) will monitor activities carried out to further the CP and Action Plan to ensure long-term compliance with program requirements. The objectives of this monitoring are to make sure those activities: 1) comply with all regulations governing their administrative, financial, and programmatic operations; 2) achieve their performance objectives within schedule and budget; and 3) comply with the CP and Action Plan.

Internal controls have been designed to ensure adequate segregation of duties. DCED prepares agreements for non-housing activities supported by CDBG and HOME Programs. Invoices are monitored for compliance with the approved spending plan and federal regulations. Dauphin County Office of Community and Economic Development is the administrators of the County’s Integrated Disbursement and Information System (IDIS) and is responsible for setting up and administering activities.
Where assistance is provided to homeowners for housing rehabilitation activities, Dauphin County uses written procedures in place for the Housing Rehabilitation Program. DCED staff develops cost estimates and specification packages that provide for any violations of local codes to be corrected. On-site inspections promote satisfactory completion before payment is made.

**Minority Business Outreach**

Dauphin County shall encourage participation by minority-owned businesses in CDBG and HOME assisted activities. Dauphin County shall maintain records concerning the participation of minority-owned businesses to assess the results of its efforts and to complete the semiannual “Minority Business Enterprise Report” to HUD. Any contractor interested in bidding on jobs available under the Housing Rehabilitation Program will receive an application package and, if qualified, will be included on a list of contractors to receive announcements about the availability of bid packages.
Comprehensive Planning Requirements

To ensure compliance with the comprehensive planning requirements of the Consolidated Plan process, Dauphin County Office of Community and Economic Development will review the process on an ongoing basis. The review will assess compliance with federal requirements concerning citizen participation and consistency of actions taken with those specified in Dauphin County's "Citizen Participation Plan." Records documenting actions taken will be maintained for each program year.

Monitoring Subrecipients by the County

The Dauphin County Office of Community and Economic Development will monitor grant subrecipients for compliance with program requirements.
Part K
Certifications
CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

**Affirmatively Further Fair Housing** — The jurisdiction will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

**Anti-displacement and Relocation Plan** — It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential anti-displacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

**Drug Free Workplace** — It will or will continue to provide a drug-free workplace by:

1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee’s workplace and specifying the actions that will be taken against employees for violation of such prohibition;

2. Establishing an ongoing drug-free awareness program to inform employees about -
   
   (a) The dangers of drug abuse in the workplace;
   (b) The grantee’s policy of maintaining a drug-free workplace;
   (c) Any available drug counseling, rehabilitation, and employee assistance programs; and
   (d) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;

3. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph 1;

4. Notifying the employee in the statement required by paragraph 1 that, as a condition of employment under the grant, the employee will -
   
   (a) Abide by the terms of the statement; and
   (b) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

5. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph 4(b) from an employee or otherwise receiving actual notice of such
conviction. Employers of convicted employees must provide notice, including position
title, to every grant officer or other designee on whose grant activity the convicted
employee was working, unless the Federal agency has designated a central point for the
receipt of such notices. Notice shall include the identification number(s) of each affected
grant;

6. Taking one of the following actions, within 30 calendar days of receiving notice under
subparagraph 4(b), with respect to any employee who is so convicted -

(a) Taking appropriate personnel action against such an employee, up to and
including termination, consistent with the requirements of the Rehabilitation Act
of 1973, as amended; or
(b) Requiring such employee to participate satisfactorily in a drug abuse assistance or
rehabilitation program approved for such purposes by a Federal, State, or local
health, law enforcement, or other appropriate agency;

7. Making a good faith effort to continue to maintain a drug-free workplace through
implementation of paragraphs 1, 2, 3, 4, 5 and 6.

Anti-Lobbying -- To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to,
y any person for influencing or attempting to influence an officer or employee of any
agency, a Member of Congress, an officer or employee of Congress, or an employee of a
Member of Congress in connection with the awarding of any Federal contract, the
making of any Federal grant, the making of any Federal loan, the entering into of any
cooperative agreement, and the extension, continuation, renewal, amendment, or
modification of any Federal contract, grant, loan, or cooperative agreement;

2. If any funds other than Federal appropriated funds have been paid or will be paid to any
person for influencing or attempting to influence an officer or employee of any agency, a
Member of Congress, an officer or employee of Congress, or an employee of a Member
of Congress in connection with this Federal contract, grant, loan, or cooperative
agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report
Lobbying," in accordance with its instructions; and

3. It will require that the language of paragraph (n) of this certification be included in the
award documents for all subawards at all tiers (including subcontracts, subgrants, and
contracts under grants, loans, and cooperative agreements) and that all subrecipients shall
 certify and disclose accordingly.

Authority of Jurisdiction -- The consolidated plan is authorized under State and local law (as
applicable) and the jurisdiction possesses the legal authority to carry out the programs for which
it is seeking funding, in accordance with applicable HUD regulations.
Consistency with plan — The housing activities to be undertaken with CDBG, HOME, ESG, and HOPWA funds are consistent with the strategic plan.

Section 3 — it will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR part 135.

Signature/Authorized Official
Jeff Haste, Chairman
Board of Dauphin County Commissioners

Date
SPECIFIC CDBG CERTIFICATIONS

The Entitlement Community certifies that:

Citizen Participation -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

Community Development Plan -- Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that provide decent housing, expand economic opportunities primarily for persons of low and moderate income. (See CFR 24 570.2 and CFR 24 part 570)

Following a Plan -- It is following a current consolidated plan (or Comprehensive Housing Affordability Strategy) that has been approved by HUD.

Use of Funds -- It has complied with the following criteria:

1. **Maximum Feasible Priority.** With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available;

2. **Overall Benefit.** The aggregate use of CDBG funds including section 108 guaranteed loans during program year 2002, (a period specified by the grantee consisting of one, two, or three specific consecutive program years), shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;

3. **Special Assessments.** It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.
The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the, capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

**Excessive Force** — It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and

2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction;

**Compliance With Anti-discrimination laws** — The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

**Lead-Based Paint** — Its notification, inspection, testing and abatement procedures concerning lead-based paint will comply with the requirements of 24 CFR 570.608;

**Compliance with Laws** — it will comply with applicable laws.

Signature/Authorized Official

[Signature]

Joffi Laste, Chairman

Board of Dauphin County Commissioners

Date

11/10/10
SPECIFIC HOME CERTIFICATIONS

The HOME participating jurisdiction certifies that:

**Tenant Based Rental Assistance** -- If the participating jurisdiction intends to provide tenant--based rental assistance:

The use of HOME funds for tenant-based rental assistance is an essential element of the participating jurisdiction's consolidated plan for expanding the supply, affordability, and availability of decent, safe, sanitary, and affordable housing.

**Eligible Activities and Costs** — it is using and will use HOME funds for eligible activities and costs, as described in 24 CFR 92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in 92.214.

**Appropriate Financial Assistance** — before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing.

Signature Authorized Official

Jeff Haste, Chairman

Board of Dauphin County Commissioners

Date 11/10/10
APPENDIX TO CERTIFICATIONS

INSTRUCTIONS CONCERNING LOBBYING AND DRUG-FREE WORKPLACE REQUIREMENTS

A. Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than $10,000 and not more than $100,000 for each such failure.

B. Drug-Free Workplace Certification

1. By signing and/or submitting this application or grant agreement, the grantee is providing the certification.

2. The certification is a material representation of fact upon which reliance is placed when the agency awards the grant. If it is later determined that the grantee knowingly rendered a false certification, or otherwise violates the requirements of the Drug-Free Workplace Act, HUD, in addition to any other remedies available to the Federal Government, may take action authorized under the Drug-Free Workplace Act.

3. Workplaces under grants, for grantees other than individuals, need not be identified on the certification. If known, they may be identified in the grant application. If the grantee does not identify the workplaces at the time of application, or upon award, if there is no application, the grantee must keep the identity of the workplace(s) on file in its office and make the information available for Federal inspection. Failure to identify all known workplaces constitutes a violation of the grantee's drug-free workplace requirements.

4. Workplace identifications must include the actual address of buildings (or parts of buildings) or other sites where work under the grant takes place. Categorical descriptions may be used (e.g., all vehicles of a mass.-transit authority or State highway department while in operation, State employees in each local unemployment office, and performers in concert halls or radio stations).

5. If the workplace identified to the agency changes during the performance of the grant, the grantee shall inform the agency of the change(s), if it previously identified the workplaces in question (see paragraph three).
6. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, state, zip code)

**Dauphin County Office of Community and Economic Development**  
112 Market Street, 7th, Floor  
P.O. box 1295  
Harrisburg, PA 17108

Check ____ if there are workplaces on file that are not identified here.

The certification with regard to the drug-free workplace required by 24 CFR part 24, subpart F.

7. Definitions of terms in the Nonprocurement Suspension and Debarment common rule and Drug-Free Workplace common rule apply to this certification. Grantees' attention is called, in particular, to the following definitions from these rules:

"**Controlled substance**" means a controlled substance in Schedules I through V of the Controlled Substances Act (21 U.S.C. 812) and as further defined by regulation (21 CFR 1308.11 through 1308.15);

"**Conviction**" means a finding of guilt (including a plea of nolo contendere) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes;

"**Criminal drug statute**" means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, use, or possession of any controlled substance;

"**Employee**" means the employee of a grantee directly engaged in the performance of work under a grant, including: (i) All "direct charge" employees; (ii) all "indirect charge" employees unless their impact or involvement is insignificant to the performance of the grant; and (iii) temporary personnel and consultants who are directly engaged in the performance of work under the grant and who are on the grantee's payroll. This definition does not include workers not on the payroll of the grantee (e.g., volunteers, even if used to meet a matching requirement; consultants or independent contractors not on the grantee's payroll; or employees of subrecipients or subcontractors in covered workplaces).
Part L

Appendices
Appendix - I

Map
Appendix - 2

Citizen Participation
CITIZEN PARTICIPATION

Information on citizen participation including Public Notices, Public Hearing Minutes and Citizen Comments are available at:

Office of Community and Economic Development
Dauphin County
112 Market Street, 7th Floor.
P.O. Box 1295
Harrisburg, PA 17108
Phone (717) 780-6254

Attn: George H. Connor, Deputy Director and Community Development Coordinator