THE COUNTY OF DAUPHIN
PENNSYLVANIA

ACTION PLAN FOR 2013

FOR

COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM
AND
HOME INVESTMENT PARTNERSHIP PROGRAM

SUBMITTED TO

U.S. DEPARTMENT OF HOUSING AND
URBAN DEVELOPMENT

NOVEMBER, 2012
DAUPHIN COUNTY
BOARD OF COMMISSIONERS
Jeff Haste, Chairman
Mike Pries, Vice-Chairman
George P. Hartwick, Ill, Secretary

PREPARED BY
Dauphin County Planning Commission
112 Market Street, 2nd Floor
Harrisburg, PA 17101
Telephone 717-234-2639

FOR
Dauphin County Office of Community & Economic Development
112 Market Street, 7th Floor
P.O. Box 1295
Harrisburg, PA 17108
Telephone 717-780-6250
# DAUPHIN COUNTY
## 2013 ACTION PLAN

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Part A

Executive Summary
Dauphin County’s Consolidated Plan is a five (5) year Plan (2012-2016) that establishes Dauphin County’s general strategy and priorities for housing, community and economic development over the five (5) year period. The strategy is a result of a logical progression from the Housing Market Analysis and Affordable Housing and Homeless needs Assessments to a determination of the County’s priorities based on those needs.

The Dauphin County is required to submit an annual Action Plan to implement the Consolidated Plan. The annual Action Plan sets forth the specific projects and activity that will be undertaken in any given year in meeting the identified needs and goals.

The U.S. Department of Housing and Urban Development (HUD), upon Congressional approval, allocates each year, housing and community development grants to Dauphin County entitlement grant programs. The entitlement programs include Community Development Block Grant (CDBG) and HOME investment Partnership Act (HOME) program. These two programs are administered by Dauphin County Office of Community and Economic Development and Dauphin County Planning Commission under the direction of Dauphin County Commissioners.

For the year 2012, Dauphin County received $1,144,924 in CDBG funds and $395,640 in HOME funds. The proposed activities with allocation of funds are summarized below.

### I: FINANCIAL RESOURCES

<table>
<thead>
<tr>
<th>A. CDBG Entitlement</th>
<th>$1,144,924</th>
</tr>
</thead>
<tbody>
<tr>
<td>B. HOME Entitlement</td>
<td>$ 395,640</td>
</tr>
<tr>
<td>TOTAL</td>
<td>$1,540,564</td>
</tr>
</tbody>
</table>

### II: CDBG PROGRAM ACTIVITIES

The following CDBG activities meet the national objectives of the program, namely benefiting low and moderate income persons and/or addressing slums or blight.

<table>
<thead>
<tr>
<th>CDBG ACTIVITY DESCRIPTION</th>
<th>ALLOCATION</th>
<th>TOTAL</th>
</tr>
</thead>
<tbody>
<tr>
<td>A. Infrastructure and Public Facilities – 34.8% of Grant</td>
<td>$150,000</td>
<td>$399,161</td>
</tr>
<tr>
<td>1. Highspire Borough</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Rhoda Avenue Reconstruction project – Phase 2.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Complete roadway reconstruction and storm water improvement project for approx. 5 blocks of Rhoda Avenue.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>CDBG ACTIVITY DESCRIPTION</td>
<td>ALLOCATION</td>
<td>TOTAL</td>
</tr>
<tr>
<td>---------------------------</td>
<td>------------</td>
<td>-------</td>
</tr>
<tr>
<td>A. Infrastructure and Public Facilities (contd.)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2. Lykens Borough Authority</td>
<td>$150,000</td>
<td></td>
</tr>
<tr>
<td>Division Street Water Main Upgrade. Replacement of water main line along Division Street in the western end of the Borough.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>3. Steelton Borough</td>
<td>$44,891</td>
<td></td>
</tr>
<tr>
<td>Adam Street project continuation. Additional infrastructure upgrades including the installation of a storm water runoff system at the planned site.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4. Penbrook Borough</td>
<td>$4,270</td>
<td></td>
</tr>
<tr>
<td>Funding would help to resurface the basketball court and adjacent play area, install swing set and slide, permanent tables and benches, permanent recycling receptacles.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>5. Senior Wellness Center YMCA</td>
<td>$50,000</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>CDBG ACTIVITY DESCRIPTION</td>
<td>ALLOCATION</td>
<td>TOTAL</td>
</tr>
<tr>
<td>B. Public Service – 14.8% of Grant</td>
<td>$169,850</td>
<td></td>
</tr>
<tr>
<td>6. Community Action Commission/ Housing Counseling Services</td>
<td>$30,000</td>
<td></td>
</tr>
<tr>
<td>The Commission will employ a certified mortgage Intervention specialist who will work one-on-one with Homeowners in mortgage delinquency and facing potential foreclosure. The project also will provide classes on home maintenance, budgeting and financial management.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>7. Bridging the Gap/ Salvation Army of the Harrisburg Capital City Region, Harrisburg</td>
<td>$21,000</td>
<td></td>
</tr>
<tr>
<td>1) 300 youths to be served through existing partnerships with Susquehanna Township Schools &amp; Steelton Highspire Districts and New Beginnings Summer Youth Enrichment Program.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2) Increase sessions in School Suspension program as part of their weekly Alternative Education curriculum.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>8. Steelton Borough/Code Enforcement</td>
<td>$92,500</td>
<td></td>
</tr>
<tr>
<td>Code Enforcement in the borough by providing salaries for code enforcement employees.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>9. Jump Street/ Thrive</td>
<td>$26,350</td>
<td></td>
</tr>
<tr>
<td>Continuation of existing partnership of funders, Program providers, community assets, professional Instructors and caring volunteers to expand “Thrive”. Expansion of the center’s services.</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>---</td>
<td>---</td>
<td>---</td>
</tr>
<tr>
<td>C. Unprogrammed Funds – 30.3%</td>
<td></td>
<td>$346,928</td>
</tr>
<tr>
<td>10. Unprogrammed Funds</td>
<td>Use of funds to be determined.</td>
<td>$346,928</td>
</tr>
</tbody>
</table>

| D. Administration and Planning – 20% of Grant |   | $228,985 |
| 11. Dauphin County Office of Community and Economic Development |   | $228,985 |

<table>
<thead>
<tr>
<th></th>
<th>HOME TOTAL FUNDS</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>$1,144,924</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>HOME ACTIVITY DESCRIPTION</th>
<th>ALLOCATION</th>
<th>TOTAL</th>
</tr>
</thead>
<tbody>
<tr>
<td>A. Activities – 90% of Grant</td>
<td></td>
<td>$356,076</td>
</tr>
<tr>
<td>1. Dauphin County Office of Community and Economic Development</td>
<td>Countywide housing rehabilitation for repairs to low income homeowners in Dauphin County excluding Harrisburg City.</td>
<td>$138,474</td>
</tr>
<tr>
<td>2. Dauphin County Office of Community and Economic Development</td>
<td>Second or Subordinated Mortgage Program for first-time home buyer with down payment and closing cost assistance.</td>
<td>$138,474</td>
</tr>
<tr>
<td>3. Tri-County HDC, Ltd.</td>
<td>Infill project in Steelton Borough to rehabilitate homes that are affordable to low and moderate income households.</td>
<td>$59,346 (15%) $19,782 (5%)</td>
</tr>
</tbody>
</table>

| B. Program Administration – 10% of Grant |   | $39,564 |
| 4. Dauphin County Office of Community and Economic Development | Administration of HOME Program. | $39,564 |

| HOME TOTAL |   | $395,640 |
Part B
Standard Form 424

Community Development Block Grant Program Application
HOME Investment Partnership
Act Program Application
APPLICATION FOR
FEDERAL ASSISTANCE

2. DATE SUBMITTED
November 15, 2012

1. TYPE OF SUBMISSION:
Application
Preapplication
☐ Construction
☐ Construction
☐ Non-Construction
☐ Non-Construction

3. DATE RECEIVED BY STATE

4. DATE RECEIVED BY FEDERAL AGENCY

5. APPLICANT INFORMATION

Legal Name:
Dauphin County - DUNS No.0/120/955
Address (give city, county, State, and zip code):
P O Box 1295
Harrisburg, PA 17108

6. EMPLOYER IDENTIFICATION NUMBER (EIN):
236003043

7. TYPE OF APPLICANT: (enter appropriate letter in box)

A. State
B. County
C. Municipal
D. Township
E. Interstate
F. Intermunicipal
G. Special District
H. Independent School Dist.
I. State Controlled Institution of Higher Learning
J. Private University
K. Indian Tribe
L. Individual
M. Profit Organization
N. Other (Specify)__________

Organizational Unit:
Dauphin County Dept. of Comm. and Econ. Dev

Name and telephone number of person to be contacted on matters involving
this application (give area code)
George H. Connor
(717) 780-6254

8. TYPE OF APPLICATION:

☐ New
☐ Continuation
☐ Revision

If Revision, enter appropriate letter(s) in box(es)
☐ A. Increase Award
☐ B. Decrease Award
☐ C. Increase Duration
☐ D. Decrease Duration
☐ Other(specify):

9. NAME OF FEDERAL AGENCY:

U.S. Department of HUD

10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER:

14218

TITLE: Community Development Block Grant

12. AREAS AFFECTED BY PROJECT (Cities, Counties, States, etc.):

Dauphin County, excluding City of Harrisburg

13. PROPOSED PROJECT

14. CONGRESSIONAL DISTRICTS OF:

Start Date
1/1/13

Ending Date
12/31/13

a. Applicant

Project

b. 17th

15. ESTIMATED FUNDING:

a. Federal

$1,144,924

b. Applicant

$c

c. State

$d

d. Local

$e

e. Other

$f

f. Program Income

$g

g. TOTAL

$1,144,924

16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS?

a. YES. THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON:

DATE

b. No. ☐ PROGRAM IS NOT COVERED BY E. O. 12372 ☐ OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW

17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT?

☐ Yes IF "Yes," attach an explanation. ☑ No

18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT, THE DOCUMENT HAS BEEN DIIVY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS AWARDED.

a. Type Name of Authorized Representative

Jeff Haste

b. Title

Chairman, Board of Commissioners

c. Telephone Number

(717) 780-6300

d. Signature of Authorized Representative

Yes

e. Date Signed

11/14/12

Authorized for Local Reproduction

Standard Form 424 (Rev. 7-97)

Prescribed by OMB Circular A-102
APPLICATION FOR
FEDERAL ASSISTANCE

2. DATE SUBMITTED
November 15, 2012

1. TYPE OF SUBMISSION:
☐ Application
☐ Preapplication
☒ Non-Construction

3. DATE RECEIVED BY STATE
State Application Identifier

4. DATE RECEIVED BY FEDERAL AGENCY
Federal Identifier

5. APPLICANT INFORMATION

Legal Name:
Dauphin County - DUNS No.071207955
Organizational Unit:
Dauphin County Dept. of Comm. and Econ. Dev

Address (give city, county, State, and zip code):
P.O.Box 1295
Harrisburg, PA 17108
Name and telephone number of person to be contacted on matters involving
this application (give area code)
George H. Connor
(717) 780-6254

6. EMPLOYER IDENTIFICATION NUMBER (EIN):
23003043

7. TYPE OF APPLICANT: (enter appropriate letter in box)

A. State
B. County
C. Municipal
D. Township
E. Interstate
F. Intermunicipal
G. Special District
H. Independent School Dist.
I. State Controlled Institution of Higher Learning
J. Private University
K. Indian Tribe
L. Individual
M. Profit Organization
N. Other (Specify)

8. TYPE OF APPLICATION:
☒ New
☐ Continuation
☐ Revision

If Revision, enter appropriate letter(s) in box(es)
☐ ☐

A. Increase Award
B. Decrease Award
C. Increase Duration
D. Decrease Duration
Other (specify):

9. NAME OF FEDERAL AGENCY:
U.S. Department of HUD

10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER:

TITLE: Community Development Block Grant

11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT:
2013 HOME Program

12. AREAS AFFECTED BY PROJECT (Cities, Counties, States, etc.):
Dauphin County, excluding City of Harrisburg

13. PROPOSED PROJECT
14. CONGRESSIONAL DISTRICTS OF:

Start Date
1/1/13
 Ending Date
12/31/13

a. Applicant
17th
b. Project
17th

15. ESTIMATED FUNDING:

a. Federal

b. Applicant


395,640

c. State

d. Local

e. Other

f. Program Income

g. TOTAL

$ 395,640

16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS?

☐ Yes
☐ No

17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT?
☐ Yes
☐ No

18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT, THE DOCUMENT HAS BEEN DUTY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS AWARDED.

☐ Signature of Authorized Representative

Jeff Haste
Chairman, Board of Commissioners

(717) 780-6300

Authorized for Local Reproduction

Previous Edition Usable

Standard Form 424 (Rev. 7-97)
Prescribed by OMB Circular A-102
Part C

Authorizing Resolution
RESOLUTION OF THE BOARD OF COMMISSIONERS
OF THE DAUPHIN COUNTY, PENNSYLVANIA
AUTHORIZING SUBMISSION OF THE 2013 ACTION PLAN
FOR
FOR HOUSING AND COMMUNITY DEVELOPMENT PROGRAMS

RESOLUTION NO. 24-2012

WHEREAS, under Title I of the Housing and Community Development Act, the Secretary of the U.S. Department of Housing and Urban Development (HUD) has made grants to the County of Dauphin Pennsylvania, to implement Community Development Block Grant (CDBG) Programs; and

WHEREAS, under Title II of the Cranston-Gonzales National Affordable Housing Act of 1990, as amended, HUD has made grants to the County of Dauphin Pennsylvania, to implement the HOME Program; and

WHEREAS, the County of Dauphin submitted and HUD approved the County's 2012-2016 Consolidated Plan for Community Planning and Development Programs; and

WHEREAS, the County's Action Plan for 2013 is consistent with the goals and objectives established in the 2012-2016 Consolidated Plan; and

WHEREAS, a public hearing was held to review the 2013 Annual Action Plan

NOW, THEREFORE, BE IT RESOLVED by the Board of Commissioners of Dauphin County, Pennsylvania, that:

1. The Action Plan for 2013 for the County of Dauphin is hereby approved in all respects.

2. The Chairman of the Board of Commissioners hereby is authorized and directed to submit the Action Plan/Consolidated Plan to HUD allowing for the inclusion of public comments and the responses to those comments and to provide any supplemental or revised data which HUD may request in connection with its review.

3. The Chairman Commissioner is hereby authorized and directed to execute grant/loan agreements under the CDBG and HOME Programs.

Approved this 14th day of November, 2012.

ATTEST:

[Signature]
Laura Evans,
Chief Clerk/Chief of Staff

BOARD OF COMMISSIONERS
THE COUNTY OF DAUPHIN

[Signature]
Jeff Hartle, Chairman

[Signature]
Mike Price, Vice-Chairman

[Signature]
George P. Hartwick, III, Secretary
Part D
Funding Sources
D. Funding Sources/Resources

Federal Resources

CDBG - $1,144,924  
HOME - $395,640  
Total - $1,540,564

The HUD form titled "Funding Sources" following this section provides a review of the resources that is estimated to be available to Dauphin County for 2013 Community Development Block Grant Program (CDBG) and HOME Investment Partnerships Program. For 2012, Dauphin County received $1,144,924 in CDBG and $395,640 in HOME funds. The total resources for 2012 are $1,540,564.

The Funding Sources provide Dauphin County's CDBG and HOME Program entitlements funds that are allocated to projects is shown in the "Activities to be Undertaken Projects Listing." Details of each project is listed in Table 3C. In the "Submitted Proposed Projects Totals", the County has allocated $925,087 of CDBG and HOME funds to specific projects. This includes the proposed allocation of $569,011 for CDBG and $356,076 for HOME projects. In addition, Dauphin County has allocated $228,985 (20%) of CDBG and $39,564 (10%) of HOME funds for Administration and Planning. The combined funds for administration are $268,549. The CDBG Unprogrammed funds are $346,928.

Other Resources

The Pennsylvania Optional County Affordable Housing Funds Act of 1992 (the Act) allows for an alternative method for county governments to raise revenues for affordable housing efforts. Using the Act, Dauphin County established an Affordable Housing Trust Fund. Dauphin County will use funds available from the Affordable Housing Trust Fund as match for its HOME program funds. Dauphin County receives funds from the State’s Access Grant Program, which will also provide match for HOME program funds. Additionally federal low-income housing tax credits and reduced mortgage rates may also be used to provide match and additional resources for housing activities in Dauphin County. Social service agencies in Dauphin County will avail themselves of funds from the State ESG Program allowing the agencies to increase the number of persons they are able to serve.
# FUNDING SOURCES

## Entitlement Grant

<table>
<thead>
<tr>
<th>Program</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>CDBG</td>
<td>$1,144,924</td>
</tr>
<tr>
<td>ESG</td>
<td>$0</td>
</tr>
<tr>
<td>HOME</td>
<td>$395,640</td>
</tr>
<tr>
<td>HOPWA</td>
<td>$0</td>
</tr>
</tbody>
</table>

**TOTAL** $1,540,564

## Prior Years’ Program Income NOT previously programmed or reported.

<table>
<thead>
<tr>
<th>Program</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>CDBG</td>
<td>$0</td>
</tr>
<tr>
<td>ESG</td>
<td>$0</td>
</tr>
<tr>
<td>HOME</td>
<td>$0</td>
</tr>
<tr>
<td>HOPWA</td>
<td>$0</td>
</tr>
</tbody>
</table>

**TOTAL** $0

## Programmed Prior Years’ Funds

<table>
<thead>
<tr>
<th>Program</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>CDBG</td>
<td>$0</td>
</tr>
<tr>
<td>ESG</td>
<td>$0</td>
</tr>
<tr>
<td>HOME</td>
<td>$0</td>
</tr>
<tr>
<td>HOPWA</td>
<td>$0</td>
</tr>
</tbody>
</table>

**TOTAL** $0

## Total Estimated Program Income

$0

## Section 108 Loan Guarantee Fund

$0

## TOTAL FUNDING SOURCES

$1,540,564

## Other Funds

$0

## Submitted Proposed Projects Total (excluding Administration)

$925,087

## Planning and Administration

$268,549

## Un-Submitted Proposed Projects Totals

Includes:

- Unprogrammed CDBG Funds $346,928
- Unprogrammed HOME Funds $0
Part E
Activities to be undertaken
Project Listing
E. **Activities to be Undertaken**

In order to address the needs identified in the Consolidated Plan (CP), Dauphin County is proposing to undertake the activities listed in the HUD form “Table 3C Consolidated Plan Listing of Projects” in the following pages. The table provides the summary of activity and funding sources including CDBG citation and the National Objective achieved by the activity. National Objectives achieved by this Action Plan include Low/Mod Area (LMA), Low/Mod Clientele (LMC), Slum/Blight (SBS) and Low/Mod housing (LMH).
Table 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name: Borough of Highspire

Priority Need
Infrastructure – Reconstruction of an existing roadway

Project Title
Rhoda Avenue Reconstruction Project – Phase 2

Description
This application is to fund complete roadway reconstruction (base & wearing) and installation of a new storm water system (inlets, manholes, and pipes) on five (5) blocks of Rhoda Avenue.

Objective category: Suitable Living Environment
Outcome category: Sustainability

Location/Target Area: Highspire Borough

(Street Address): Highspire, PA 17034

<table>
<thead>
<tr>
<th>Specific Objective Number</th>
<th>Project ID</th>
</tr>
</thead>
<tbody>
<tr>
<td>HUD Matrix Code 031</td>
<td>CDBG - 1</td>
</tr>
<tr>
<td>Type of Recipient Local Government</td>
<td>CDBG Citation 570.201(C)</td>
</tr>
<tr>
<td>Type of Recipient Local Government</td>
<td>CDBG National Objective 570.208(a)(1) - LMA</td>
</tr>
<tr>
<td>Start Date (mm/dd/yyyy)</td>
<td>Completion Date (mm/dd/yyyy)</td>
</tr>
<tr>
<td>01/01/2013</td>
<td>31/12/2013</td>
</tr>
<tr>
<td>Performance Indicator 01-Persons</td>
<td>Annual Units 98</td>
</tr>
<tr>
<td>Local ID 2013-1</td>
<td>Units Upon Completion 98</td>
</tr>
</tbody>
</table>

Funding Sources:

<table>
<thead>
<tr>
<th>Source</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>CDBG</td>
<td>$150,000</td>
</tr>
<tr>
<td>ESG</td>
<td></td>
</tr>
<tr>
<td>HOME</td>
<td></td>
</tr>
<tr>
<td>HOPWA</td>
<td></td>
</tr>
<tr>
<td>Total Formula</td>
<td>$150,000</td>
</tr>
<tr>
<td>Prior Year Funds</td>
<td>$78,300</td>
</tr>
<tr>
<td>Assisted Housing</td>
<td></td>
</tr>
<tr>
<td>PHA</td>
<td></td>
</tr>
<tr>
<td>Other Funding</td>
<td></td>
</tr>
<tr>
<td>Total</td>
<td>$228,300</td>
</tr>
</tbody>
</table>

The primary purpose of the project is to help: [ ] the Homeless [ ] Persons with HIV/AIDS [ ] Persons with Disabilities [ ] Public Housing Needs
### Table 3C
Consolidated Plan Listing of Projects

**Jurisdiction’s Name**  
Lykens Borough Authority

**Priority Need**  
Infrastructure – Water System Improvements

**Project Title**  
Division Street Water Main Upgrade

**Description**  
Replacement of a water main line along Division Street in the western end of the Borough. With the new pipe, the service connections will provide better water flow and pressure to the residents.

The activity will benefit 3105 persons in the service area with 1637 (52.7%) persons in low and moderate income. (Census 2010)

**Objective category:**  
☒ Suitable Living Environment  
☐ Decent Housing  
☐ Economic Opportunity

**Outcome category:**  
☐ Availability/Accessibility  
☐ Affordability  
☒ Sustainability

**Location/Target Area:**  
Berrysburg Borough

(Street Address):  
Berrysburg, PA 17085

<table>
<thead>
<tr>
<th>Specific Objective Number</th>
<th>Project ID</th>
<th>Funding Sources</th>
</tr>
</thead>
<tbody>
<tr>
<td>HUD Matrix Code 03J</td>
<td>CDBG-2</td>
<td>CDBG $ 150,000</td>
</tr>
<tr>
<td></td>
<td>CDBG Citation 570.201(C)</td>
<td>ESG</td>
</tr>
<tr>
<td>Type of Recipient Local Government</td>
<td>CDBG National Objective 570.208(a)(1)-LMA</td>
<td>HOME</td>
</tr>
<tr>
<td>Start Date (mm/dd/yyyy)</td>
<td>Completion Date (mm/dd/yyyy)</td>
<td>HOPWA $ 150,000</td>
</tr>
<tr>
<td>01/01/2013</td>
<td>12/31/2013</td>
<td>Total Formula</td>
</tr>
<tr>
<td>Performance Indicator 01-Persons</td>
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The primary purpose of the project is to help: ☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs
Table 3C
Consolidated Plan Listing of Projects

Jurisdiction’s Name: Steelton Borough

Priority Need
Acquisition and elimination of slum and blight

Project Title
Adam Street Revitalization Project

Description
The funds will be used for Adams Street Project continuation and additional infrastructure upgrades including the installation of a storm water runoff system at the planned site.

Objective category: ☑ Suitable Living Environment ☑ Decent Housing ☐ Economic Opportunity
Outcome category: ☐ Availability/Accessibility ☑ Affordability ☐ Sustainability

Location/Target Area: Adam Street, Ridge Street and Harrisburg Street.

(Street Address): (City, State, Zip Code): Steelton, PA 17113

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<thead>
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The primary purpose of the project is to help: ☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs
Table 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name: Borough of Penbrook

Priority Need
Infrastructure – Park Improvements

Project Title
Community Park Improvement – Phase 2

Description
Phase 2 – Funding will help resurface the basketball court and adjacent play area, install swing set and slide, permanent tables and benches, permanent recycling receptacles.
The activity will benefit 3035 persons in the service area with 1451 persons in low and moderate income. (Census 2010)

Objective category: ☑️ Suitable Living Environment  ☐ Decent Housing  ☐ Economic Opportunity
Outcome category:  ☐ Availability/Accessibility  ☐ Affordability  ☑️ Sustainability

Location/Target Area: Borough of Penbrook

(Street Address): (City, State, ZipCode): Harrisburg, PA 17103

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The primary purpose of the project is to help: ☐ the Homeless  ☐ Persons with HIV/AIDS  ☐ Persons with Disabilities  ☐ Public Housing Needs
Table 3C
Consolidated Plan Listing of Projects

**Jurisdiction’s Name**  Senior Wellness Center

**Priority Need**  
Public Service – Expansion of services

**Project Title**  
Senior Wellness Center – Phase 2

**Description**  
The Northern Dauphin County Branch YMCA Senior Wellness Center will expand the YMCA’s services to the senior population of Upper Dauphin County. Funding is needed in order to furnish the center with specialized equipment and rehabilitation equipment that will give the senior citizens a fun, safe, recreational and beneficial Senior Wellness Center.

**Objective category:**  
- Suitable Living Environment
- Decent Housing
- Economic Opportunity

**Outcome category:**  
- Availability/Accessibility
- Affordability
- Sustainability

**Location/Target Area:**  
Dauphin County excluding City of Harrisburg.

**Street Address:**

**City, State, ZipCode:**

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**Funding Sources:**

- CDBG  
- ESG
- HOME
- HOPWA
- Total Formula 50,000
- Prior Year Funds
- Assisted Housing
- PHA
- Other Funding
- Total 50,000

The primary purpose of the project is to help:  
- the Homeless
- Persons with HIV/AIDS
- Persons with Disabilities
- Public Housing Needs
Table 3C  
Consolidated Plan Listing of Projects

**Jurisdiction's Name**: Community Action Commission

**Priority Need**
Public Service - Counseling Services

**Project Title**
Housing Counseling Services

**Description**
The Commission will employ a certified mortgage intervention specialist who will work one-on-one with homeowners in mortgage delinquency and facing potential foreclosure. The project also will provide classes on home maintenance, budgeting and financial management.

**Objective category:**  
- [ ] Suitable Living Environment  
- [ ] Decent Housing  
- [x] Economic Opportunity

**Outcome category:**  
- [x] Availability/Accessibility  
- [ ] Affordability  
- [ ] Sustainability

**Location/Target Area:** Dauphin County excluding City of Harrisburg.

**Street Address:**

**Funding Sources:**

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**Specific Objective Number**:  
- HUD Matrix Code 05H

**Project ID**: CDBG-7

**CDBG Citation**: 570.201(e)

**Type of Recipient Non-Profit**: CDBG National Objective 570.208(a)(2)-LMC

**Start Date (mm/dd/yyyy)**: 01/01/2013

**Completion Date (mm/dd/yyyy)**: 12/31/2013

**Performance Indicator**
- [ ] Annual Units
- [ ] 75
- [ ] Units Upon Completion
- [ ] 75

**Local ID**: 2013-6

The primary purpose of the project is to help: [ ] the Homeless  [ ] Persons with HIV/AIDS  [ ] Persons with Disabilities  [ ] Public Housing Needs
Table 3C
Consolidated Plan Listing of Projects

**Jurisdiction’s Name**  Salvation Army of Harrisburg Capital City Region

**Priority Need**
Public Service – Youth Services

**Project Title**
Bridging the Gap

**Description**
Bridging the Gap program provides life-skills youth development prevention and intervention program for youth ages 10-18 years.

1) 300 youths will be served through existing partnerships with Susquehanna Township Schools & Steelton Highspire Districts and New Beginnings Summer Youth Enrichment Program.
2) Increasing sessions in School Suspension program as part of their weekly Alternative Education curriculum.

**Objective category:**  ☑ Suitable Living Environment  ☐ Decent Housing  ☐ Economic Opportunity

**Outcome category:**  ☑ Availability/Accessibility  ☐ Affordability  ☐ Sustainability

**Location/Target Area:**  (1) Susquehanna Township Middle School
801 Wood Street, Harrisburg, PA 17109

(Street Address):  (2) Steelton- Highspire School District
250 Reynolds Avenue, Steelton, PA 17113

**City, State, ZipCode:**

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The primary purpose of the project is to help: ☐ the Homeless  ☐ Persons with HIV/AIDS  ☐ Persons with Disabilities  ☐ Public Housing Needs
### Table 3C
Consolidated Plan Listing of Projects

**Jurisdiction’s Name**  
Steelton Borough

**Priority Need**  
Public Service - Code Enforcement

**Project Title**  
Salaries for Steelton Borough Code Enforcement Employees

**Description**  
The Borough’s housing stock is very old and is in need of inspection and repair. The grant will provide salaries for code enforcement employees, who will do building inspections to improve the condition of the housing stock. The code enforcement effort will create decent housing and benefit the entire borough population of 5,794 persons with 54 percent in low and moderate income (Census 2010).

**Objective category:**  
- [ ] Suitable Living Environment  
- [x] Decent Housing  
- [ ] Economic Opportunity

**Outcome category:**  
- [ ] Availability/Accessibility  
- [ ] Affordability  
- [x] Sustainability

**Location/Target Area:**  
Entire Borough of Steelton.

**Street Address:**  
Steelton, PA 17113

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**Funding Sources:**

- CDBG  
  - Total Formula $92,500
- ESG  
- HOME  
- HOPWA  
- Prior Year Funds $70,666
- Assisted Housing
- PHA
- Other Funding
- Total $163,166

The primary purpose of the project is to help:  
- [ ] the Homeless  
- [ ] Persons with HIV/AIDS  
- [ ] Persons with Disabilities  
- [ ] Public Housing Needs
Table 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name: Jump Street, Inc.

Priority Need
Public Services - Senior Program

Project Title
The Steelton Senior Arts and Fitness Center

Description
Jump Street is a nonprofit organization that will continue existing partnership of funders, program providers, community assets, professional instructors and caring volunteers to expand “Thrive”. The program is expected to serve 100 seniors. Currently this is the only senior center in Steelton Borough and is serving 40 persons. With the expansion, it will serve 200 low and moderate senior citizens.

Objective category: ✓ Suitable Living Environment ☐ Decent Housing ☐ Economic Opportunity
Outcome category: ☐ Availability/Accessibility ☐ Affordability ✓ Sustainability

Location/Target Area: Entire Borough of Steelton.

(Street Address): (City, State, ZipCode): Steelton, PA 17113

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<td>HOPWA, Total Formula</td>
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The primary purpose of the project is to help: ☐ the Homeless ☐ Persons with HIV/AIDS ☐ Persons with Disabilities ☐ Public Housing Needs
### Table 3C
**Consolidated Plan Listing of Projects**

**Jurisdiction’s Name**: Dauphin County Department of Community and Economic Development

**Priority Need**
Unprogrammed Funds

**Project Title**
CDBG Unprogrammed Funds

**Description**
Use of funds to be determined.

**Objective category:**
- [ ] Suitable Living Environment
- [ ] Decent Housing
- [ ] Economic Opportunity
- [ ] Availability/Accessibility
- [ ] Affordability
- [ ] Sustainability

**Location/Target Area**: Dauphin County Department of Community and Economic Development

**Street Address**: 112 Market St., 7th Floor

**City, State, Zip Code**: Harrisburg, PA 17101

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The primary purpose of the project is to help:
- [ ] the Homeless
- [ ] Persons with HIV/AIDS
- [ ] Persons with Disabilities
- [ ] Public Housing Needs
Table 3C
Consolidated Plan Listing of Projects

**Jurisdiction's Name**  
Dauphin County Office of Community & Economic Development

**Priority Need**  
Program Administration

**Project Title**  
CDBG Program Administration

**Description**  
Perform activities which include planning, preparation of grant application and subrecipient agreements, environmental reviews, monitoring and implementation of project activities and general administration of the CDBG Program.

**Objective category:**  
- [ ] Suitable Living Environment  
- [ ] Decent Housing  
- [ ] Economic Opportunity

**Outcome category:**  
- [ ] Availability/Accessibility  
- [ ] Affordability  
- [ ] Sustainability

**Location/Target Area:**  
Dauphin County Office of Community and Economic Development

**(Street Address):** 112 Market St., 7th Floor  
**(City, State, ZipCode):** Harrisburg, PA 17015

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**The primary purpose of the project is to help:**  
- [ ] the Homeless  
- [ ] Persons with HIV/AIDS  
- [ ] Persons with Disabilities  
- [ ] Public Housing Needs
Table 3C
Consolidated Plan Listing of Projects

Jurisdiction’s Name: Dauphin County Office of Community & Economic Development

Priority Need
Housing – Rehabilitation of Single Family Residential Unit

Project Title
Countywide Housing Rehabilitation – HOME Program

Description
The housing rehabilitation program will provide financial assistance of up to $20,000 to low and moderate income homeowners for housing rehabilitation. Approximately 15 homes are proposed to be rehabilitated.

Objective category:

- [ ] Suitable Living Environment
- [x] Decent Housing
- [ ] Economic Opportunity

Outcome category:

- [ ] Availability/Accessibility
- [ ] Affordability
- [x] Sustainability

Location/Target Area: Dauphin County, excluding Harrisburg City

(Street Address):
(City, State, Zip Code): Dauphin County, PA

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The primary purpose of the project is to help:
- [ ] the Homeless
- [ ] Persons with HIV/AIDS
- [ ] Persons with Disabilities
- [ ] Public Housing Needs
Table 3C  
Consolidated Plan Listing of Projects

Jurisdiction’s Name  Dauphin County Office of Community & Economic Development

Priority Need  
Housing – Homeownership Assistance

Project Title  
Second or subordinated Mortgage Program

Description  
The funds will be used for Second or subordinate mortgage program for first-time homebuyer with down payment and closing cost assistance. Up to 40 homebuyers will be served.

Objective category:  
☐ Suitable Living Environment  ☒ Decent Housing  ☐ Economic Opportunity

Outcome category:  
☐ Availability/Accessibility  ☒ Affordability  ☐ Sustainability

Location/Target Area:  Dauphin County, excluding Harrisburg City

(Street Address):  
(City, State, ZipCode):  Dauphin County, PA

<table>
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<tr>
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The primary purpose of the project is to help:  ☐ the Homeless  ☐ Persons with HIV/AIDS  ☐ Persons with Disabilities  ☐ Public Housing Needs
### Table 3C
Consolidated Plan Listing of Projects

**Jurisdiction’s Name**  Tri-County HDC, Ltd.

**Priority Need**
Housing – Rehabilitation of Single Family Residential Unit

**Project Title**
Dauphin County Infill Project – HOME Program

**Description**
Tri-County HDC, Ltd. is a non-profit organization and it proposes to rehabilitate homes that are affordable to low and moderate income households in Steelton Borough. Funds provided are 15% of the total HOME grant for CHDO’s and 5% of the total HOME grant as operating expenses.

**Objective category:**
- □ Suitable Living Environment
- □ Decent Housing
- □ Economic Opportunity

**Outcome category:**
- □ Availability/Accessibility
- □ Affordability
- □ Sustainability

**Location/Target Area:** Steelton Borough

**Street Address:**
**(City, State, Zip Code):** Steelton, PA 17113

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<td>Local ID</td>
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</table>

**Funding Sources:**

- CDBG
- ESG
- HOME $ 79,128
- HOPWA
- Total Formula $ 79,128
- Prior Year Funds $ 79,128
- Assisted Housing
- PHA
- Other Funding
- Total $ 158,256

The primary purpose of the project is to help: □ the Homeless □ Persons with HIV/AIDS □ Persons with Disabilities □ Public Housing Needs
Table 3C
Consolidated Plan Listing of Projects

**Jurisdiction's Name**  Dauphin County Office of Community & Economic Development

**Priority Need**
HOME Administration

**Project Title**
HOME Program Administration

**Description**
Dauphin County Office of Community and Economic Development will administer the HOME Program and provide guidance in the implementation of the program. The administration amount represents 10% of the estimated HOME grant.

**Objective category:**  □ Suitable Living Environment  □ Decent Housing  □ Economic Opportunity

**Outcome category:**  □ Availability/Accessibility  □ Affordability  □ Sustainability

**Location/Target Area:**  Dauphin County Office of Community and Economic Development

(Street Address):  112 Market St., 7th Floor
(City, State, ZipCode):  Harrisburg, PA  17101

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<tr>
<td>Total Formula</td>
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<td>Prior Year Funds</td>
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<td>Other Funding</td>
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<tr>
<td>Total</td>
<td>$ 39,564</td>
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</tbody>
</table>

The primary purpose of the project is to help:  □ the Homeless  □ Persons with HIV/AIDS  □ Persons with Disabilities  □ Public Housing Needs
Part F
Geographic Distribution of Projects/Activities
F. Geographic Distribution

CDBG funds are provided to activities throughout Dauphin County. Most of the CDBG program funds are being used to support site specific infrastructure, public facilities, and public service activities. The HOME and ADDI funds are allocated for housing projects in Dauphin County outside of the City of Harrisburg. Map contained in Appendix -1 show the location of site specific CDBG projects.
Part G
Homeless and Other
Special Needs Activities
G. Homeless and Other Special Needs Activities

Activities to Address Emergency Shelter and Transitional Housing Needs

Through its continuum of care process, Dauphin County places a high priority on the creation of permanent supportive housing. Dauphin County will, however, consider requests to provide funds to the operators of emergency shelters to allow them to operate their programs. Dauphin County will consider requests for funding to develop housing for the homeless and will support applications by agencies seeking HUD, State, and private funding to provide emergency shelter and transitional housing. Dauphin County’s highest priority for use of its CDBG and HOME funds is for permanent housing.

In 2006, CDBG funds were provided to Wesley Union Community Development Corp (CHDO) for the construction of a homeless shelter for 25 homeless persons.

In 2009, CDBG funds were provided to purchase two (2) condominium dwelling units for VA approved housing for homeless veteran women.

In 2009, additional funds were provided for the construction of Susquahanna 1labor Safe Haven, a homeless shelter for men.

Activities to Prevent Persons from Becoming Homeless

During the program year 2003 and 2004, Dauphin County provided funds to the Adult and Family Services of Dauphin County Department of Human Services to establish a new Countywide Homeless Management Information System. This would be a significant improvement for data collection and integration of information into a single repository of homeless data. This will ensure a standard format for the information that will be captured and will provide data integrity for reporting purposes. Through the detail that will be captured, it will be able to determine where resources should be allocated for the expansion of services and/or where a re-allocation of resources may be needed.

Dauphin County administers an Assistance Office, which offers a variety of programs for low income households. The Dauphin County Assistance Office operates from the Dauphin County Human Service Center, Inc. in Elizabethville giving convenient access to services by residents in the north portion of Dauphin County. Dauphin County also administers a Human Services Office that serves as the oversight, planning and coordination agency all County Human Services Departments including the County Area Agency on Aging, the Department of Drugs and Alcohol Services, and the County Mental Health and Retardation Program.

Also, the State of Pennsylvania administers a Homeless Assistance Fund providing households with funds to avoid eviction. In Dauphin County the Homeless Assistance Funds are administered by HELP. HELP uses the funds to prevent eviction by providing rental assistance to help families with delinquent rent payments as well as security deposits.
The Pennsylvania Housing Finance Agency (PHFA) administers a Homeowners' Emergency Assistance Program (HEMAP). The HEMAP program provides mortgage assistance payments to homeowners who are in danger of losing their homes through foreclosure and through no fault of their own and who have a reasonable prospect of resuming mortgage payments within the prescribed time frame. HEMAP Counseling Agencies in Dauphin County include CCS of Western PA, Community Action Commission of Capital Region, Loveship, Inc., PHFA, and the Urban League of Metropolitan Harrisburg.

To Help Make the Transition to Permanent Housing

Dauphin County provided CDBG funds in 2005 to Community Action Commission for its program called Success Academy for education, training and employment services to LMI persons. With better paying jobs these persons may be encouraged to move into home ownership.

Address the Special Needs of Persons who are not Homeless

There are a variety of services and facilities in Dauphin County that provide for services that assist populations with special needs. Many of the services are provided directly by Dauphin County through various agencies including Dauphin County Human Services, Dauphin County Mental Retardation Services, Dauphin County Rug and Alcohol Services, Dauphin County Weatherization, and Dauphin County Area Agency on Aging. The services provide a direct benefit to populations with special needs in Dauphin County.

Dauphin County provided funds in 2004 to the Local Housing Option Team (LHOT). LHOT will use the funds to support a staff person. The staff person will be a resource person for case managers and landlords; identify and coordinate housing training; work as a liaison between the LHOT and the housing community; develop and complete a housing needs assessment to identify needs of people with disabilities, type of housing and services needed; look at traditional and nontraditional models and how to best use existing housing opportunities so that LHOT can design program or housing opportunities; compile a directory of landlords, programs and organizations that can provide housing and services to people with disabilities; maximize use of home ownership programs for people with disabilities through publications, recruitment and training; serve as a liaison to help LHOT identify potential funding sources; and develop a peer mentoring program for home ownership.

Dauphin County provided HOME funds in 2007 for the construction of Section 811 housing for 12 handicap accessible apartments in Swatara Township.

To Help Senior Programs

Dauphin County allocated CDBG funds in 2012 for Steelton Senior Arts and Fitness Center to provide seniors with innovative approach to arts, fitness and healthy lifestyle in Steelton Borough.
Part H
Other Actions
H. Other Actions

General Actions

Address Obstacles to Meeting Underserved Needs

Dauphin County will continue to use its entitlement funds to provide assistance with activities that meet the underserved needs of the community. Through continued support of the CACH in the completion and implementation of the continuum of care Dauphin County will be appraised of the needs of the underserved and the changes in the needs over time. This will facilitate use of scare funds in an efficient way.

Funds are provided in 2010 to Hamilton Health Center to provide medical services from one consolidated building to low income families which include residents of Dauphin County.

Funds are provided in 2010 to YMCA of Greater Harrisburg to provide Employment Empowerment Program called YW Works, to Homeless, victims of domestic violence, disable or veterans. Services will empower clients through seminars, one-to-one coaching, employment placement, career coordination and job retention follow-up.

Funds are provided in 2010 to The Salvation Army of the Harrisburg Capital Region to provide life-skill youth development prevention and intervention program for youth ages 10-18 years from low income families.

Funds are provided in 2010 to Rutherford Youth club for emergency repairs. Majority of membership are youth from low and moderate income families.

Funds are provided in 2012 to Salvation Army of the Harrisburg Capital City Region, Harrisburg to: a) Expand existing Bridge the Gap program in Susquehanna Township and Steelton/Highspire area and expand services in Swatara Township. b) Increasing sessions in School Suspension program as part of their weekly Alternative Education curriculum. Bridge the Gap program provides life-skills, youth development, prevention and intervention program to youth ages 10-18 years.

Foster and Maintain Affordable Housing

Dauphin County is continuing to provide funds in 2012 for low and moderate income households for housing rehabilitation and for Second or Subordinated Mortgage program for First-Time Home Buyers. The housing programs ensure habitable housing units for households and allow households who would not otherwise be able to afford safe decent housing to purchase a unit.

In 2011, funds are provided for Felton Building Apartments project for conversion and adaptive reuse of former historic Steelton-highspire Elementary School building into 100 rental units with 83 units for low and moderate income families in Steelton Borough.
There were two non-profit agencies that develop affordable housing for low-income households in Dauphin County. One was the Susquehanna Housing Initiatives, which has been operating since 1992, has developed 38 units of affordable housing in Dauphin County. The other was the Tri-County Housing Development Corporation, which was incorporated in 1990, has developed 35 units of affordable housing in Dauphin County and is developing 42 additional units. The two non-profits merged in 2003 and the new name is the Tri-County HADC, Inc.

The Dauphin County continues to provide HOME funds in 2012 to this organization for the Urban Infill affordable housing program in Steelton Borough. The funds are provided for rehabilitation of dwellings that are affordable for sale to low and moderate income households in Steelton Borough.

**Reduce Lead-Based Paint Hazards**

Dauphin County considers the presence of lead-based paint hazards a serious health problem. The Childhood Lead Poisoning Prevention Program offers Lead Poisoning Screening in Dauphin County. The Lead Poisoning Screening program provides blood-lead screening, medical referral, education for parents, and environmental inspection to identify the source of lead hazards to a child with lead poisoning. Lead poisoning screening is available to children under six years old and pregnant women.

**Reduce the Number of Poverty Level Families**

Through implementation of its housing and community development program Dauphin County expects to reduce the number of families with incomes below the poverty level. Dauphin County has a number of programs that address the needs of households with incomes below the poverty level. Although there are no direct anti-poverty initiatives in the CDBG program, other County agencies deal directly with this issue. Dauphin County supports a number of social service providers identified in Part DII of the CP that provide direct services to households with poverty or near poverty level incomes. Many of these services are geared to creating self sufficiency and improving skills and employability.

The Welfare to Work Reform, resulting in TANF, encourages householders to find employment. While work is no assurance that household income will rise above poverty, supports are in place to supplement that income.

Dauphin County has an aggressive economic development program aimed at bringing in and retaining high value employment in the community. Good paying jobs and job opportunities are being created. Educational initiatives seek to provide the skills necessary to fill jobs in new fields and those enhanced by technology.
Dauphin County will implement Section 3 Employment and Contracting policies on all applicable contracts, ensuring outreach to low income residents when job opportunities are created by CDBG activities.

Because poverty is a function of income, its effect on housing opportunity and choice is apparent. Conversely, without adequate, stable housing, alleviating poverty is more difficult.

The HACD provides Section 8 vouchers to households, principally those at incomes below the 30 percent of the median family income of the MSA. Such supports stabilize housing and allow lower income household to expend resources for other necessities.

Dauphin County MH/MR has partnered with the HACD and City of Harrisburg Housing Authority to provide housing opportunities for persons with mental illness and provides on-going case management and services to maintain independent living.

The Capitol Area Coalition on Homelessness is an umbrella organization of service providers linking emergency, transitional and permanent supportive housing to service providers to address the needs of the homeless. With support from McKinney funding, after-shelter care programs are being developed to provide support to households that leave shelter and transitional housing to maintain independent living.

**Owner Occupied Housing Rehabilitation Program**

To maintain the existing housing stock from deterioration, Dauphin County is providing funds for the countywide housing rehabilitation program.

**Develop Institutional Structure and Enhance Coordination**

The Dauphin County Board of Commissioners has delegated the responsibility for the administration of the Dauphin County’s CDBG and HOME Programs to the Dauphin County Office of Community and Economic Development, and Dauphin County Planning Commission. The County agencies will participate with other groups where appropriate, such as it does in planning for the homeless and facilitate cooperative problem solving in Dauphin County.

**Public Housing**

Dauphin County is not funding public housing improvements or resident initiatives. The Public Housing Agency will continue to receive Capital Program funds to maintain its properties in safe and sanitary conditions for the residents and continue to seek funds for programs to improve the quality of life of current residents. The Public Housing Agency will be provided with funds to support lead abatement of properties participating in the Section 8 Voucher program with two or more bedrooms. The Public Housing Agency’s Agency Plan is on file with Dauphin County. Dauphin County will consider requests by the Public Housing Agency for Certifications of Consistency with the Consolidated Plan.
Part I
Program Specific Requirements
I. Special Program Requirements

  CDBG

The One Year Action Plan describes the activities that Dauphin County will undertake with its 2013 CDBG Entitlement grant. The County does not anticipate any program income during 2013. The County will not have any surplus from urban renewal settlements, nor will it have any grant funds returned to the line of credit for which planned use has not been included in a prior plan.

  HOME

The HOME program was initiated by the County in FY 2002 when it became an Urban County.

Dauphin County has adopted a HOME Investment Partnerships Program Resale/Recapture Policy for Home Ownership Activities. The resale/recapture policy is based on the Final Rule of the Home Investment Partnership Program published on September 16, 1996. Specifically, the policy is based on 24 CFR 92.254, Qualification as Affordable Housing: Home Ownership.

The issue of resale/recapture arises when a homeowner that received home buyer assistance under the HOME Program decides to sell the property. If the property is sold after the period of affordability has expired, there are no restrictions in terms of resale or recapture of HOME funds that apply to such a transaction. However, if the sale occurs before the period of affordability has expired, certain regulatory limitations apply.

The regulations at 92.254 (a)(5) give the participating jurisdiction two broad options relative to the treatment of properties that are sold before the period of affordability has expired:

1. The owner that received HOME assistance must sell the home to a low income family that will use the property as their principal residence, or
2. The participating jurisdiction must recapture some or all of the HOME investment that was initially extended to the home buyer.

It is the policy of Dauphin County, wherever possible to recapture the appropriate amount of the HOME investment rather than restricting the sale to a qualified low-income family that will use the property as its principal residence.

Calculating the Amount of Recapture [92.254(a) (5) (ii) (A) (5)]

The recapture amount is equal to the fair market value of the property at the time of initial sale to the home buyer LESS the sales price of the home PLUS any direct assistance (i.e., down payment assistance or closing cost assistance) extended to the home buyer. For example, if the fair market value at the time of initial sale was $30,000, the sales price was $25,000 and $2,000 was extended to the home buyer in the form of down payment assistance, the recapture amount would be $7,000 ($30,000 - $25,000 = $5,000 + $2,000 = $7,000).
Recapture Method

- In accordance with 24 CFR 92.254(a)(5)(ii)(A)(1), the County will recapture the entire amount of the HOME Investment subject to the following forgiveness provisions:

- 20 percent of the HOME Investment will be forgiven each year that the assisted homeowner owns and occupies the home for the loan amount was $14,999 and 10 percent for loan amount between $15,000 to $20,000.

If no HOME funds are subject to recapture, the following resale restrictions of the HOME regulations will apply:

- the property must be sold to a low income family that will use the property as its principal residence,

- the owner must receive a fair return on his/her investment, including the value of any capital improvements,

- the property must remain affordable to a reasonable range of low income home buyers,

- affordability must be enforced by means of deed restrictions, covenants running with the land or other similar mechanisms,

- upon foreclosure, a transfer in lieu of foreclosure, or upon assignment of an FHA insured mortgage to HUD, all affordability restrictions must terminate,

- the County may use preemptive rights (i.e., right of first refusal, purchase options, etc.) to purchase the property prior to foreclosure to preserve affordability, and

- the affordability restrictions shall be revived according to the original terms if the owner of record before termination subsequently obtains an ownership interest in the property; this provision shall also apply to any entity that includes the former owner or persons that have family or business ties with the former owner.

The following is a breakdown of the resale/recapture provisions as they apply to the HOME funded projects in Dauphin County.

1. “HOME Housing Rehabilitation Countywide” provides up to $20,000 for the rehabilitation of owner-occupied units with the following repayment schedule.
• Schedule for repayment of loans up to $14,999

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<td>4</td>
<td>40%</td>
</tr>
<tr>
<td>5</td>
<td>20%</td>
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• Schedule for repayment of loans between $15,000 to $20,000

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<td>20%</td>
</tr>
<tr>
<td>10</td>
<td>10%</td>
</tr>
</tbody>
</table>

2. **“HOME DPA Countywide” currently provides a second/subordinated mortgage loan amount up $5,000.**

   Must be repaid in full upon the sale of the home regardless of the period of time that the Family remains in the home.

3. **“HOME Urban Infill Housing”**

   Recapture/Resale provisions will be applied in a manner deemed most appropriate for this program.
Part J

Monitoring
J. Monitoring

Regulations concerning the Consolidated Plan state that it “must describe the standards and procedures that the jurisdiction will use to monitor activities carried out in furtherance of the plan and will use to ensure long term compliance with requirements of the programs involved, including minority business outreach and the comprehensive planning requirements.” This section describes the monitoring standards and procedures that Dauphin County will follow during the implementation of the Consolidated Plan (CP) and the Annual Action Plan.

Monitoring Programs and Activities

Dauphin County Office of Community and Economic Development (DCED) will have the responsibility on the progress towards meeting the goals of the Consolidated Plan (CP).

Dauphin County Office of Community and Economic Development (DCED) will monitor activities carried out to further the CP and Action Plan to ensure long-term compliance with program requirements. The objectives of this monitoring are to make sure those activities: 1) comply with all regulations governing their administrative, financial, and programmatic operations; 2) achieve their performance objectives within schedule and budget; and 3) comply with the CP and Action Plan.

Internal controls have been designed to ensure adequate segregation of duties. DCED prepares agreements for non-housing activities supported by CDBG and HOME Programs. Invoices are monitored for compliance with the approved spending plan and federal regulations. Dauphin County Office of Community and Economic Development is the administrators of the County’s Integrated Disbursement and Information System (IDIS) and is responsible for setting up and administering activities.
Where assistance is provided to homeowners for housing rehabilitation activities, Dauphin County uses written procedures in place for the Housing Rehabilitation Program. DCED staff develops cost estimates and specification packages that provide for any violations of local codes to be corrected. On-site inspections promote satisfactory completion before payment is made.

**Minority Business Outreach**

Dauphin County shall encourage participation by minority-owned businesses in CDBG and HOME assisted activities. Dauphin County shall maintain records concerning the participation of minority-owned businesses to assess the results of its efforts and to complete the semiannual “Minority Business Enterprise Report” to HUD. Any contractor interested in bidding on jobs available under the Housing Rehabilitation Program will receive an application package and, if qualified, will be included on a list of contractors to receive announcements about the availability of bid packages.
Comprehensive Planning Requirements

To ensure compliance with the comprehensive planning requirements of the Consolidated Plan process, Dauphin County Office of Community and Economic Development will review the process on an ongoing basis. The review will assess compliance with federal requirements concerning citizen participation and consistency of actions taken with those specified in Dauphin County's "Citizen Participation Plan." Records documenting actions taken will be maintained for each program year.

Monitoring Subrecipients by the County

The Dauphin County Office of Community and Economic Development will monitor grant subrecipients for compliance with program requirements.
Part K

Certifications
CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

Affirmatively Further Fair Housing — The jurisdiction will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

Anti-displacement and Relocation Plan — It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential anti-displacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

Drug Free Workplace — It will or will continue to provide a drug-free workplace by:

1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;

2. Establishing an ongoing drug-free awareness program to inform employees about -

   (a) The dangers of drug abuse in the workplace;
   (b) The grantee's policy of maintaining a drug-free workplace;
   (c) Any available drug counseling, rehabilitation, and employee assistance programs; and
   (d) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;

3. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph 1;

4. Notifying the employee in the statement required by paragraph 1 that, as a condition of employment under the grant, the employee will -

   (a) Abide by the terms of the statement; and
   (b) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

5. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph 4(b) from an employee or otherwise receiving actual notice of such
conviction. Employers of convicted employees must provide notice, including position
title, to every grant officer or other designee on whose grant activity the convicted
employee was working, unless the Federal agency has designated a central point for the
receipt of such notices. Notice shall include the identification number(s) of each affected
grant;

6. Taking one of the following actions, within 30 calendar days of receiving notice under
subparagraph 4(b), with respect to any employee who is so convicted -

(a) Taking appropriate personnel action against such an employee, up to and
    including termination, consistent with the requirements of the Rehabilitation Act
    of 1973, as amended; or

(b) Requiring such employee to participate satisfactorily in a drug abuse assistance or
    rehabilitation program approved for such purposes by a Federal, State, or local
    health, law enforcement, or other appropriate agency;

7. Making a good faith effort to continue to maintain a drug-free workplace through
   implementation of paragraphs 1, 2, 3, 4, 5 and 6.

Anti-Lobbying -- To the best of the jurisdiction’s knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to,
   any person for influencing or attempting to influence an officer or employee of any
   agency, a Member of Congress, an officer or employee of Congress, or an employee of a
   Member of Congress in connection with the awarding of any Federal contract, the
   making of any Federal grant, the making of any Federal loan, the entering into of any
   cooperative agreement, and the extension, continuation, renewal, amendment, or
   modification of any Federal contract, grant, loan, or cooperative agreement;

2. If any funds other than Federal appropriated funds have been paid or will be paid to any
   person for influencing or attempting to influence an officer or employee of any agency, a
   Member of Congress, an officer or employee of Congress, or an employee of a Member
   of Congress in connection with this Federal contract, grant, loan, or cooperative
   agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report
   Lobbying," in accordance with its instructions; and

3. It will require that the language of paragraph (n) of this certification be included in the
   award documents for all subawards at all tiers (including subcontracts, subgrants, and
   contracts under grants, loans, and cooperative agreements) and that all subrecipients shall
   certify and disclose accordingly.

Authority of Jurisdiction -- The consolidated plan is authorized under State and local law (as
applicable) and the jurisdiction possesses the legal authority to carry out the programs for which
it is seeking funding, in accordance with applicable HUD regulations.
Consistency with plan — The housing activities to be undertaken with CDBG, HOME, ESG, and HOPWA funds are consistent with the strategic plan.

Section 3 -- it will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR part 135.

[Signature]
Signature/Authorized Official
Jeff Maste, Chairman
Board of Dauphin County Commissioners

[Date]
11/14/12
SPECIFIC CDBG CERTIFICATIONS

The Entitlement Community certifies that:

Citizen Participation — It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

Community Development Plan -- Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that provide decent housing, expand economic opportunities primarily for persons of low and moderate income. (See CFR 24 570.2 and CFR 24 part 570)

Following a Plan -- It is following a current consolidated plan (or Comprehensive Housing Affordability Strategy) that has been approved by HUD.

Use of Funds -- It has complied with the following criteria:

1. Maximum Feasible Priority. With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available);

2. Overall Benefit. The aggregate use of CDBG funds including section 108 guaranteed loans during program year 2002, (a period specified by the grantee consisting of one, two, or three specific consecutive program years), shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;

3. Special Assessments. It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.
The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the, capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

**Excessive Force** — It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and

2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction;

**Compliance With Anti-discrimination laws** — The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

**Lead-Based Paint** — Its notification, inspection, testing and abatement procedures concerning lead-based paint will comply with the requirements of 24 CFR 570.608;

**Compliance with Laws** — it will comply with applicable laws.

[Signature]

Jeff Haste, Chairman
Board of Dauphin County Commissioners

[Date] 11/14/12
SPECIFIC HOME CERTIFICATIONS

The HOME participating jurisdiction certifies that:

**Tenant Based Rental Assistance** — If the participating jurisdiction intends to provide tenant-based rental assistance:

The use of HOME funds for tenant-based rental assistance is an essential element of the participating jurisdiction's consolidated plan for expanding the supply, affordability, and availability of decent, safe, sanitary, and affordable housing.

**Eligible Activities and Costs** — it is using and will use HOME funds for eligible activities and costs, as described in 24 CFR 92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in 92.214.

**Appropriate Financial Assistance** — before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing.

__________________________
Signature/Authorized Official
Jeff Kaste, Chairman
Board of Dauphin County Commissioners

__________________________
Date
11/14/12
SPECIFIC HOME CERTIFICATIONS

The HOME participating jurisdiction certifies that:

**Tenant Based Rental Assistance** — If the participating jurisdiction intends to provide tenant-based rental assistance:

The use of HOME funds for tenant-based rental assistance is an essential element of the participating jurisdiction's consolidated plan for expanding the supply, affordability, and availability of decent, safe, sanitary, and affordable housing.

**Eligible Activities and Costs** — it is using and will use HOME funds for eligible activities and costs, as described in 24 CFR 92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in 92.214.

**Appropriate Financial Assistance** — before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing.

______________________________
Signature/Authorized Official
Jeff Haste, Chairman
Board of Dauphin County Commissioners

______________________________
Date
Part L
Appendices
APPENDIX TO CERTIFICATIONS

INSTRUCTIONS CONCERNING LOBBYING AND DRUG-FREE WORKPLACE REQUIREMENTS

A. Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than $10,000 and not more than $100,000 for each such failure.

B. Drug-Free Workplace Certification

1. By signing and/or submitting this application or grant agreement, the grantee is providing the certification.

2. The certification is a material representation of fact upon which reliance is placed when the agency awards the grant. If it is later determined that the grantee knowingly rendered a false certification, or otherwise violates the requirements of the Drug-Free Workplace Act, HUD, in addition to any other remedies available to the Federal Government, may take action authorized under the Drug-Free Workplace Act.

3. Workplaces under grants, for grantees other than individuals, need not be identified on the certification. If known, they may be identified in the grant application. If the grantee does not identify the workplaces at the time of application, or upon award, if there is no application, the grantee must keep the identity of the workplace(s) on file in its office and make the information available for Federal inspection. Failure to identify all known workplaces constitutes a violation of the grantee's drug-free workplace requirements.

4. Workplace identifications must include the actual address of buildings (or parts of buildings) or other sites where work under the grant takes place. Categorical descriptions may be used (e.g., all vehicles of a mass.-transit authority or State highway department while in operation, State employees in each local unemployment office, and performers in concert halls or radio stations).

5. If the workplace identified to the agency changes during the performance of the grant, the grantee shall inform the agency of the change(s), if it previously identified the workplaces in question (see paragraph three).
6. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, state, zip code)

Dauphin County Office of Community and Economic Development
112 Market Street, 7th Floor
P.O. box 1295
Harrisburg, PA 17108

Check _____ if there are workplaces on file that are not identified here.

The certification with regard to the drug-free workplace required by 24 CFR part 24, subpart F.

7. Definitions of terms in the Nonprocurement Suspension and Debarment common rule and Drug-Free Workplace common rule apply to this certification. Grantees' attention is called, in particular, to the following definitions from these rules:

"Controlled substance" means a controlled substance in Schedules I through V of the Controlled Substances Act (21 U.S.C. 812) and as further defined by regulation (21 CFR 1308.11 through 1308.15);

"Conviction" means a finding of guilt (including a plea of nolo contendere) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes;

"Criminal drug statute" means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, use, or possession of any controlled substance;

"Employee" means the employee of a grantee directly engaged in the performance of work under a grant, including: (i) All "direct charge" employees; (ii) all "indirect charge" employees unless their impact or involvement is insignificant to the performance of the grant; and (iii) temporary personnel and consultants who are directly engaged in the performance of work under the grant and who are on the grantee's payroll. This definition does not include workers not on the payroll of the grantee (e.g., volunteers, even if used to meet a matching requirement; consultants or independent contractors not on the grantee's payroll; or employees of subrecipients or subcontractors in covered workplaces).
Appendix - I
Map
Appendix - 2
Citizen Participation
CITIZEN PARTICIPATION

Information on citizen participation including Public Notices, Public Hearing Minutes and Citizen Comments are available at:

Office of Community and Economic Development
Dauphin County
112 Market Street, 7th Floor.
P.O. Box 1295
Harrisburg, PA 17108
Phone (717) 780-6254
Attn: George H. Connor, Deputy Director and Community Development Coordinator