

OSWEGO CANAL CORRIDOR BOA

APPENDIX J: DRI SMALL PROJECT REVIEW

NOVEMBER 2019

HOUSING TRUST FUND CORPORATION
Program Description Form

Office of Community Renewal (OCR) state funded programs including:
Adirondack Community Housing Trust (ACHT), Buffalo Main Streets Initiative (BMSI), OCR Managed Downtown Revitalization Initiative (DRI), New York Main Street (NYMS), Rural Area Revitalization Projects (RARP), and Urban Initiatives (UI).

Local Program Administrator (LPA): City of Oswego

Funding Program: DRI **Program Municipality:** Oswego

SHARS ID: 20170236 **Program County:** Oswego

Check all activities that apply to the Program or Project:

- | | |
|------------------------------------------------------------------|---------------------------------------------|
| <input checked="" type="checkbox"/> Façade/Storefront Renovation | <input type="checkbox"/> Streetscape |
| <input type="checkbox"/> Interior Building Renovation | <input type="checkbox"/> New Construction |
| <input type="checkbox"/> Commercial | <input type="checkbox"/> Site Work |
| <input type="checkbox"/> Residential | <input type="checkbox"/> Ground Disturbance |

For Site-Specific Review, outline the specific scope of work for the project(s). The formal scope of work should also be attached.

Remove and replace awnings and awnings signage

Description of Target Area:

Describe the target area or project site location and attach a map of the target area or project site.

Environmental Compliance Areas/ SEQR Classification Evaluation:

Specifically identify if any of the following activities will or may occur as part of this program: substantial improvement in a flood zone; projects in or adjacent to Agricultural Districts; work on a building determined by SHPO to have historic or cultural significance; ground disturbance; zoning changes, change in actual building use.

Primary Contact for Environmental Review Issues:

Prepared by: _____

Title: _____

Date: _____

Phone Number: _____

Email Address: _____

HOUSING TRUST FUND CORPORATION
Environmental Compliance Checklist

Office of Community Renewal (OCR) state funded programs including:
Adirondack Community Housing Trust (AHT), Buffalo Main Streets Initiative (BMSI), OCR Managed Downtown Revitalization Initiative (DRI), New York Main Street (NYMS), Rural Area Revitalization Projects (RARP), and Urban Initiatives (UI).

Local Program Administrator (LPA): City of Oswego

Funding DRI **Project Municipality:** Oswego

Program:
SHARS ID: 20170236 **Project County:** Oswego

Review Type: Programmatic **Project Address (if site specific):** 11 West Seneca

Compliance Area	Attachments Included	Compliance Procedures
A. <u>Historic/Cultural Resources:</u> Section 14.09 of the Parks, Recreation and Historic Preservation Law.	<input checked="" type="checkbox"/>	The SHPO No Adverse Impact determination is attached.
B. <u>Flood Plains:</u> 6 NYCRR Part 502, Floodplain Management Criteria for State Projects.	<input checked="" type="checkbox"/>	The project is not within a SFHA. A copy of the FIRM map, with the Panel Number and Effective Date is included.
C. <u>Zoning:</u> C1. Projects must conform to local land use plans and receive all necessary zoning and site plan approvals and permits.	<input type="checkbox"/>	The project will not require zoning modifications, variances or a special use permit for issuance of a building permit. See attached documentation.
C2. Projects that result in a change in the building use must be identified.	<input type="checkbox"/>	The existing use of the building is commercial; the proposed use of the building is commercial.
D. <u>Coastal Zones:</u> 19 NYCRR Part 600, Coastal Zone Management	<input checked="" type="checkbox"/>	The project is located within the coastal zone but is not a Type I or Unlisted action.
E. <u>Site Contamination- Hazardous Materials:</u> Projects funded under the Program must be free of hazardous materials which could affect the health and safety of the occupants or conflict with the intended utilization of the property.	<input checked="" type="checkbox"/>	The project involves minor exterior activities only without new construction, interior building rehabilitation, or ground disturbance with a total cost of \$10,000 or less. A Site Contamination Evaluation will not be completed.
F. <u>Lead Based Paint:</u> All activities impacting dwelling units or child occupied facilities must be free from the hazards posed by lead-based paint. <i>Refer to the Program Policy for Lead-Based Paint.</i>	<input type="checkbox"/>	Any projects that will involve the disturbance of painted surfaces will be evaluated using the Program Policy for Lead-Based Paint.
G. <u>Asbestos Containing Materials</u> NYS Department of Labor at 12 NYCRR Part 56	<input type="checkbox"/>	Asbestos Containing Materials (ACM) that will be disturbed as part of program activities will be handled and disposed of according to NYS Department of Labor requirements at 12 NYCRR Part 56 and local regulations.

H. Radon EPA map of Radon Zones; EPA Radon Mitigation Standards	<input type="checkbox"/>	The target area is located in a zone with moderate or high potential for radon levels to exceed the U.S. EPA action level (4pCi/L or higher). New construction or rehabilitation of residential units and common areas will include post-renovation testing and if elevated levels are found, a radon mitigation system will be installed in accordance with EPA Radon Mitigation Standards.
I. Wetlands: 6 NYCRR Part 663, Freshwater Wetlands Permit Requirements and, Section 404 of the Clean Water Act	<input type="checkbox"/>	The project does not involve new construction, ground disturbance or is entirely within an urban, built-up area.

J. Endangered Species: 6 NYCRR Part 182, Endangered and Threatened Species	<input checked="" type="checkbox"/>	The project is in a built up urban area and does not involve new construction, ground disturbance, or tree cutting.
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- zoning changes;
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- if the work constitutes a SEQR Unlisted action.

Certification

I am authorized to execute contract materials for the program award to the Local Program Administrator (LPA) named above. I have read this Checklist and by signing this document agree with the statements made herein and agree that: (1) site specific checklists will be prepared and submitted to the OCR for each project site and additional documentation will be provided as necessary for the circumstances listed above; (2) project activities will be conducted in conformance with the described compliance procedures; (3) an environmental determination letter or approval from OCR will be received before taking any physical action on a site or incurring costs related to a specific activity; and, (4) costs incurred for activities completed prior to the SEQR determination and submission of the site-specific checklist will not be eligible for reimbursement.

Signature:

Printed Name: William Barlow, Jr.
Title: Mayor

Prepared by: _____
Title: _____

Date: _____

Phone: _____

Email: _____



Parks, Recreation, and Historic Preservation

ANDREW M. CUOMO
Governor

ROSE HARVEY
Commissioner

October 29, 2018

Mr. Tim Stahl
Deputy Director
City of Oswego
44 East Bridge Street
Oswego, NY 13126

Re: HTFMS
Oswego DRI - 11 West Seneca Street
11 west seneca street, oswego, NY 13126
18PR07027
DRI Project No. 20170236

Dear Mr. Stahl:

Thank you for requesting the comments of the Office of Parks, Recreation and Historic Preservation (OPRHP). We have reviewed the project in accordance with the New York State Historic Preservation Act of 1980 (Section 14.09 of the New York Parks, Recreation and Historic Preservation Law). These comments are those of the OPRHP and relate only to Historic/Cultural resources. They do not include potential environmental impacts to New York State Parkland that may be involved in or near your project. Such impacts must be considered as part of the environmental review of the project pursuant to the State Environmental Quality Review Act (New York Environmental Conservation Law Article 8) and its implementing regulations (6 NYCRR Part 617).

Based upon this review, it is the New York State Office of Parks, Recreation and Historic Preservation's opinion that your project will have no impact on archaeological and/or historic resources listed in or eligible for the New York State and National Registers of Historic Places.

If further correspondence is required regarding this project, please be sure to refer to the OPRHP Project Review (PR) number noted above.

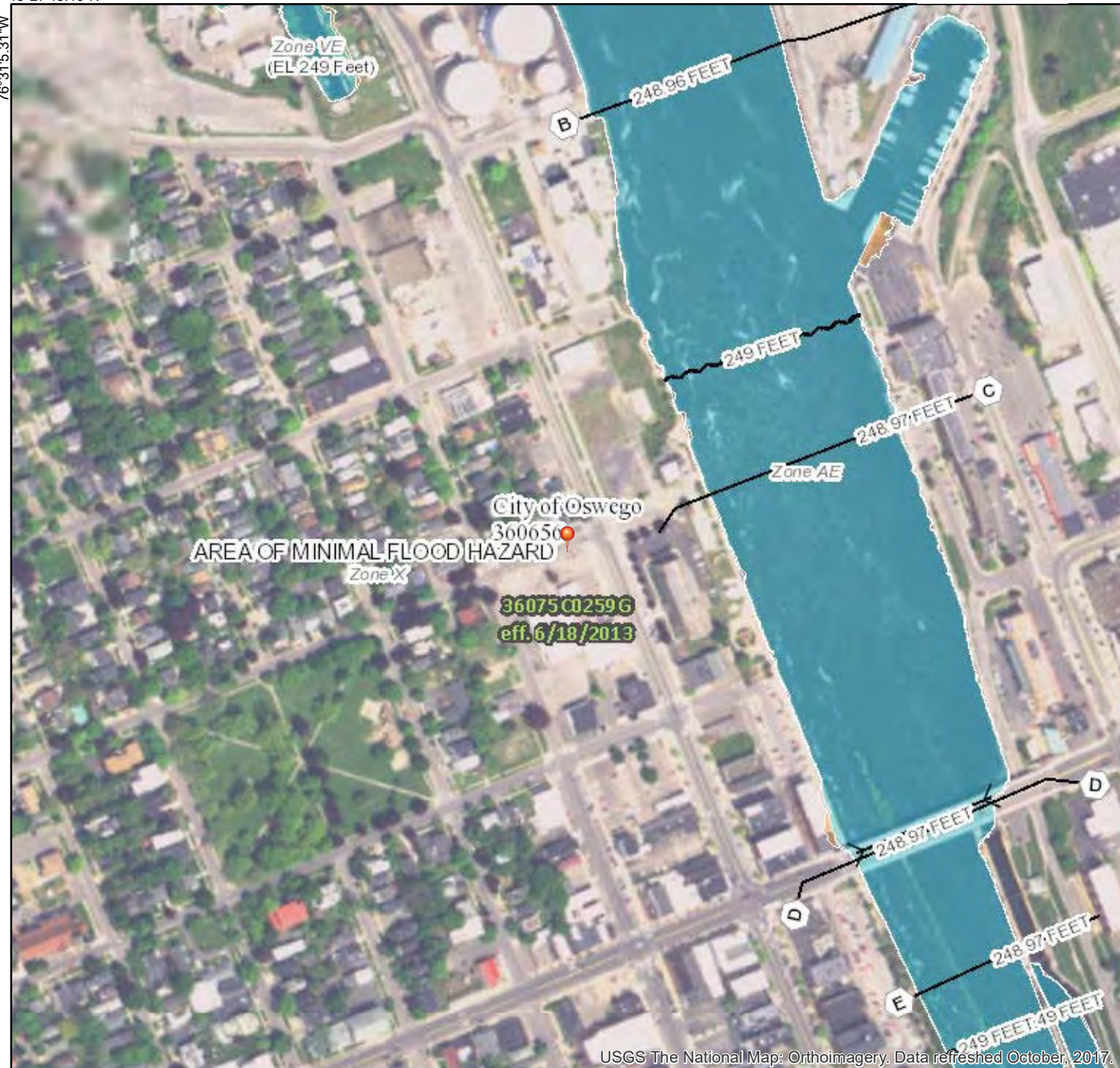
Sincerely,

Michael F. Lynch, P.E., AIA
Director, Division for Historic Preservation

National Flood Hazard Layer FIRMette



43°27'45.49"N



USGS The National Map: Orthoimagery. Data refreshed October, 2017.

0 250 500 1,000 1,500 2,000 Feet 1:6,000

43°27'19.38"N

Legend

SEE FIS REPORT FOR DETAILED LEGEND AND INDEX MAP FOR FIRM PANEL LAYOUT

SPECIAL FLOOD HAZARD AREAS		Without Base Flood Elevation (BFE) Zone A, V, A99
		With BFE or Depth Zone AE, AO, AH, VE, AR
		Regulatory Floodway
OTHER AREAS OF FLOOD HAZARD		0.2% Annual Chance Flood Hazard, Areas of 1% annual chance flood with average depth less than one foot or with drainage areas of less than one square mile Zone X
		Future Conditions 1% Annual Chance Flood Hazard Zone X
		Area with Reduced Flood Risk due to Levee. See Notes. Zone X
		Area with Flood Risk due to Levee Zone D
OTHER AREAS		NO SCREEN Area of Minimal Flood Hazard Zone X
		Effective LOMRs
GENERAL STRUCTURES		Area of Undetermined Flood Hazard Zone D
		Channel, Culvert, or Storm Sewer
		Levee, Dike, or Floodwall
OTHER FEATURES		20.2 Cross Sections with 1% Annual Chance Water Surface Elevation
		17.5 Coastal Transect
		Base Flood Elevation Line (BFE)
		Limit of Study
		Jurisdiction Boundary
		Coastal Transect Baseline
		Profile Baseline
		Hydrographic Feature
MAP PANELS		Digital Data Available
		No Digital Data Available
		Unmapped



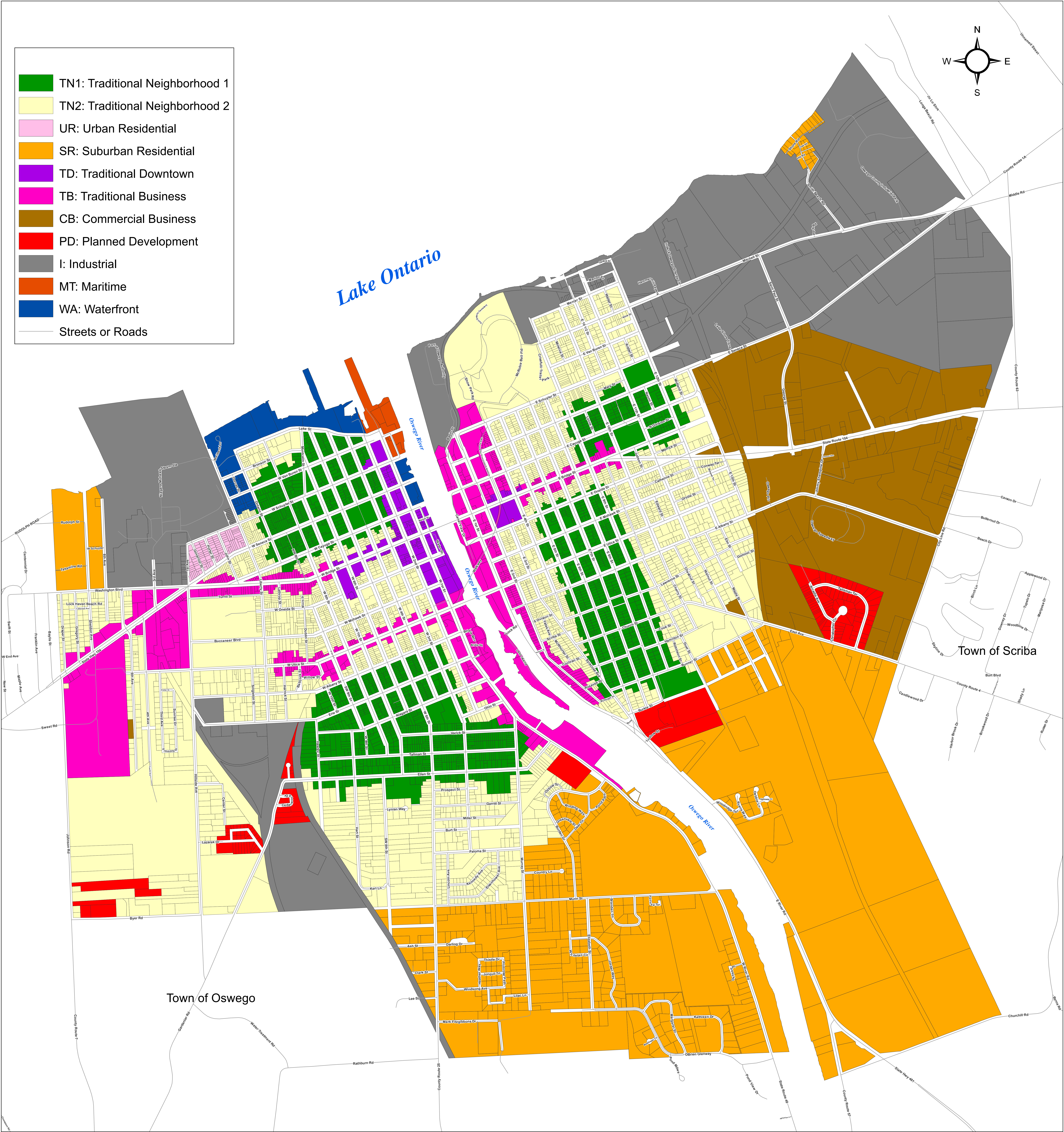
The pin displayed on the map is an approximate point selected by the user and does not represent an authoritative property location.

This map complies with FEMA's standards for the use of digital flood maps if it is not void as described below. The basemap shown complies with FEMA's basemap accuracy standards

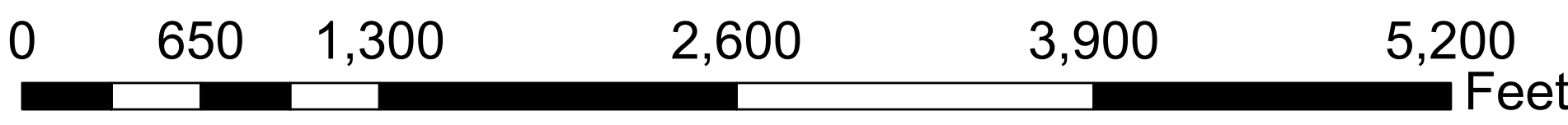
The flood hazard information is derived directly from the authoritative NFHL web services provided by FEMA. This map was exported on 2/7/2019 at 3:06:27 PM and does not reflect changes or amendments subsequent to this date and time. The NFHL and effective information may change or become superseded by new data over time.

This map image is void if the one or more of the following map elements do not appear: basemap imagery, flood zone labels, legend, scale bar, map creation date, community identifiers, FIRM panel number, and FIRM effective date. Map images for unmapped and unmodernized areas cannot be used for regulatory purposes.

City of Oswego Zoning Map

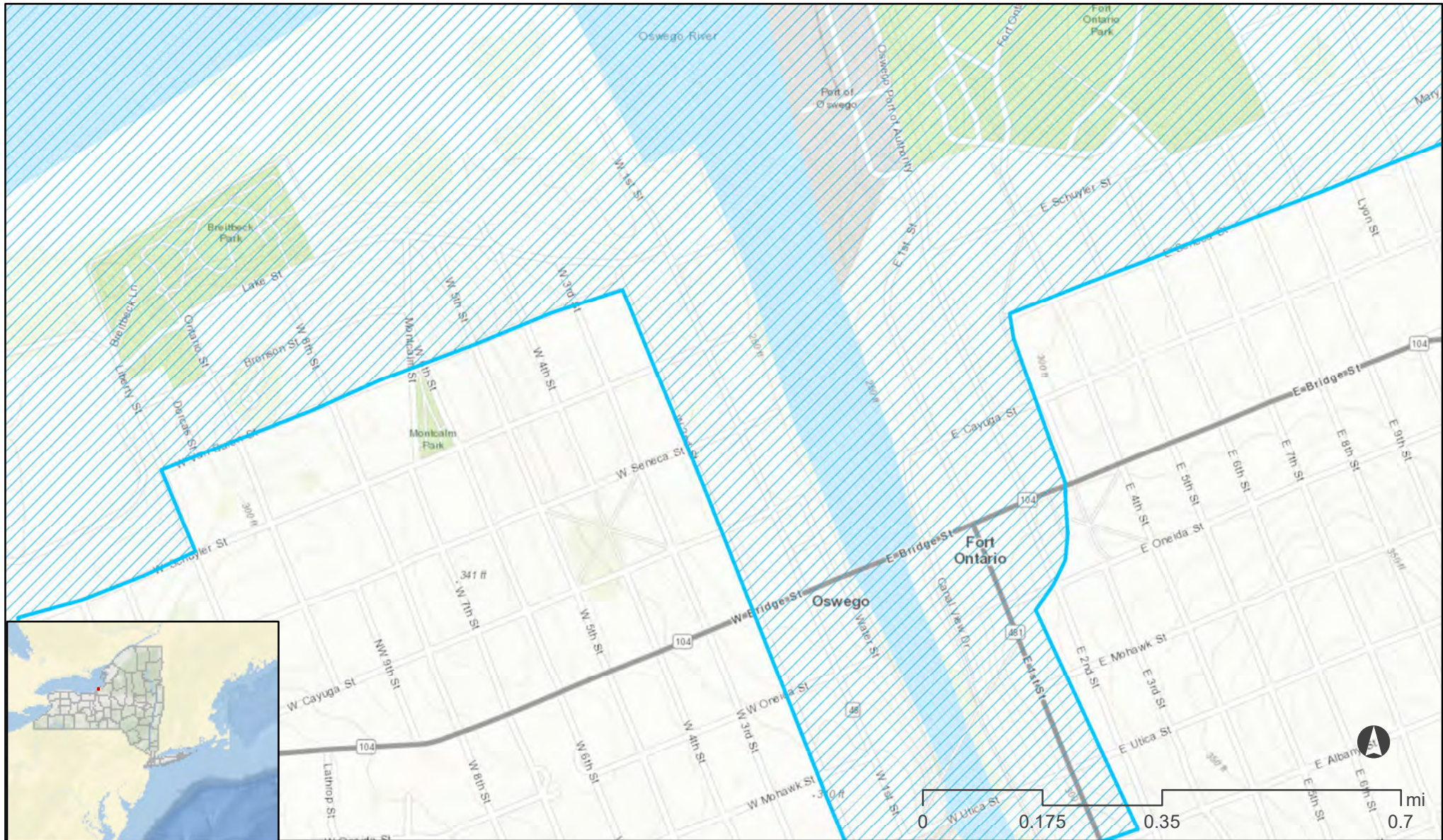


For:
City of Oswego
Zoning & Planning Office
13 West Oneida Street
Oswego, NY 13126
(315) 342-8153



By:
Oswego County Community Development, Tourism & Planning
46 East Bridge Street
Oswego, NY 13126
(315)349-8295
Karen B. Noyes, AICP
April 8, 2019

11 West Seneca St Coastal Boundary Map



— Coastal_Boundary_Polyline_update CoastalBoundary_Polygon_March2017



**Department
of State**

The New York Department of State (DOS) gives no warranty, expressed or implied, as to the accuracy, reliability, or completeness of data shown on this map product. DOS does not assume responsibility for the use or application of any information represented on this map nor responsibility for any error, omission or other discrepancy between the electronic and printed versions of documents.

Oswego Downtown Revitalization Initiative

DOWNTOWN IMPROVEMENT FUND APPLICATION **DUE JULY 18TH, 2018

I. GENERAL INFORMATION

A. Applicant Information

Name Robert L. Malone Jr. (R+L Malone III Inc)		
Mailing Address 176 Hall Rd		
City Hannibal	County Oswego	Zip Code 13074
Telephone No. 315-380-5157	Fax No.	Email Address RMalone@oswego.officetown@gmail.com

B. Building Owner Information (if different from Applicant)

Name Jeff Holbrook		
Mailing Address 305 E Seneca St		
City Oswego	County Oswego	Zip Code 13126
Telephone No.	Fax No.	Email Address

C. Property Information

Property Address 11 W Seneca St		
City Oswego	County Oswego	Zip Code 13126
Landmark Status <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		

D. Grant Request Information

Proposed Use of Grant (select all that apply)	
<input checked="" type="checkbox"/> Building Signage Grant	<input checked="" type="checkbox"/> Façade Improvements Grant
<input type="checkbox"/> Residential Conversion Grant	<input type="checkbox"/> Storefront/Commercial Improvement Grant

Grant Request	<p>Façade Improvement <u>\$ 10,470.00</u> (Requires minimum 20% Match - Maximum \$25,000)</p> <p>Mixed Use Expansion _____ (Requires minimum 25% Match - Maximum \$60,000)</p> <p>Building Signage _____ (No Required Match - Maximum \$3,500)</p> <p>Total Requested <u>\$ 10,470.00</u> (maximum \$80,000)</p>
---------------	-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------

E. Project Information

Start Date <u>9/18</u>	End Date <u>TBD</u>
Please attach to this application a brief description of the proposed scope of work which includes: the major elements to be rehabilitated, the number of apartments to be renovated, and the planned start and end dates for the project.	

F. Additional Requirements for Façade and Signage Grants

Applicants for façade grants must attach to this application a photograph of the current building façade and a sketch of the proposed façade renovation for SHPO review.

G. Financial Information

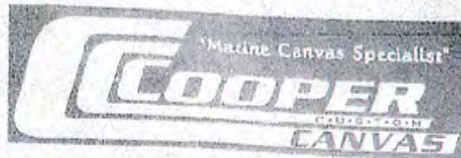
Applicants must indicate the estimated budget and sources of matching funds for the proposed renovation. Use <u>Appendix 2</u> as a guide for your reporting.
Please list all current and previous real estate development and renovation projects and business improvement and expansion you have been involved with during the past five (5) years. Attach additional sheets if necessary.

APPENDIX 2 SOURCES OF FUNDS

Including the Downtown Improvement Fund, list each source of funds for the proposed project; the amount requested from that source; whether each source is federal, state, local, private, or other; and the status of the funds. Use the codes listed below to provide funding source and status.

Assistance Types: Loan (L), Grant (G), Equity (E)
 Funding Types: Federal (F), State (S), Private (P), Local (L), Other (O)
 Status Codes: Committed (C), Pending Approval (PA)

Financing Sources				
Name of Funding Source	Amount	Assistance Type	Funding Type	Status
1. Osage Co Fw.	10,470 ⁰⁰	L	P	PA
2.				
3.				
4.				
5.				
6.				
7. Total Financing (sum lines 1-6)				



~~12370 State Route 98~~
NEWARK, NEW YORK 13111
~~(315) 334-9980~~ (315) 374-3127

CUSTOMER'S ORDER NO. _____ PHONE _____ DATE 7/17/18

NAME Office Tavern

ADDRESS West 1st St

Oswego, NY 13126

SO. D. EV. CASH C.O.D. CHARGE ON ACCT. MOSE RETD. PAID OUT

QTY. DESCRIPTION PRICE AMOUNT

Estimate to...

Recover Existing Awning
on Cayuga St side with
NEW Graphics & Eegrate
and wood break for door. \$ 5475.00

Recover Patio Awning on
W. 1st St with Graphics \$ 4995.00

Total Estimate
\$ 10470.00

TAX NA

RECEIVED BY _____

TOTAL \$ 10470.00

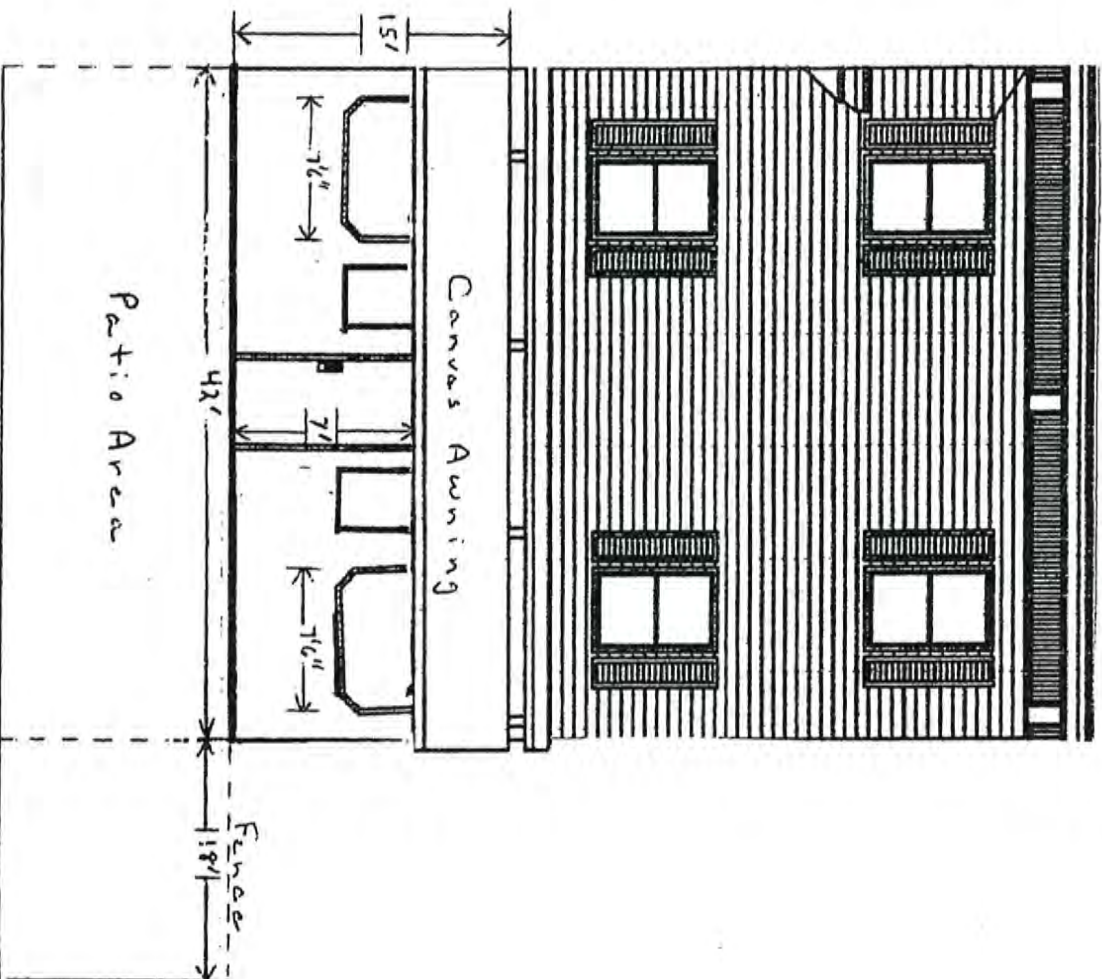
1436

All claims and returned goods MUST be
accompanied by this bill.

THANK YOU

Product 311108

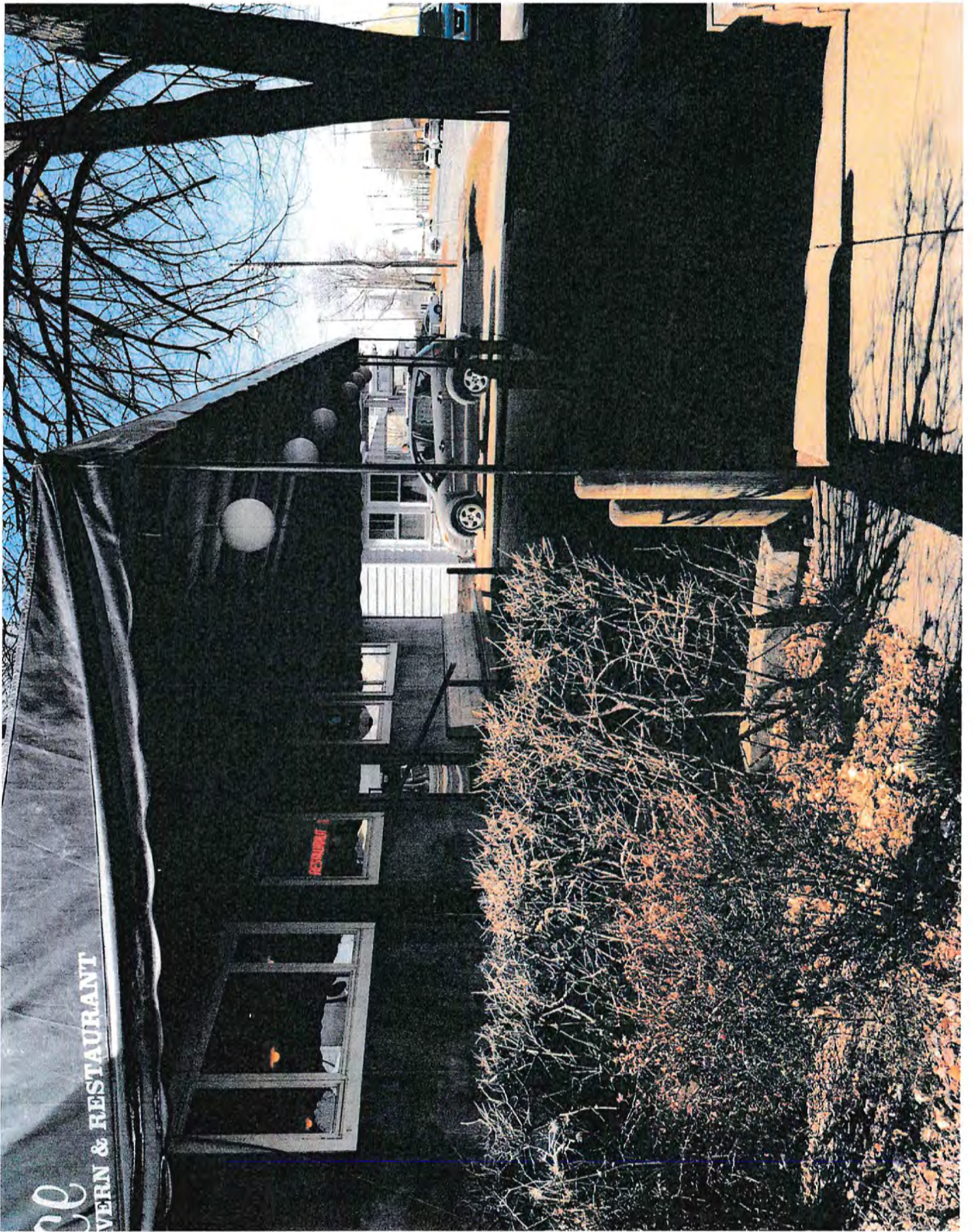
OFFICE TAVERN, LLC
11-15 WEST SENECA STREET
CORNER OF WEST FIRST AND WEST SENECA
OSWEGO, NY 13126



FRONT ELEVATION DIAGRAM







APPLICANT CERTIFICATIONS

I certify I own the property located at 11 W Seneca St, or that I am authorized by the building owner to file this submission with Oswego Downtown Revitalization Initiative - Downtown Improvement Fund (the "Program") on the owner's behalf, and am authorized to execute all necessary documents; that I am authorized to carry out the proposed activities and that I will comply with all applicable statutes, rules and regulations. I, the building owner or a party authorized by the building's owner, am applying to the Downtown Improvement Fund for approval to participate in the Program.

I certify that all statements contained in this application are true, complete, and correct to the best of my belief and are made in good faith, and I agree to immediately inform the Downtown Improvement Fund of any changes. I understand that a false certification or failure to disclose material information shall be grounds for termination of any award.

A. I further certify that:

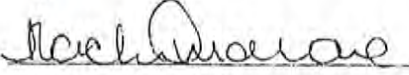
- a. The property described in this application:
 - does not have any outstanding taxes that are due and payable, and
 - either (1) does not have any building code violations, or (2) if applying for a building renovation grant, any and all building code violations will be remedied during the renovation process and will be discharged from record.
 - Does not have any delinquent commercial loans with the City of Oswego's Community Development Office.
- b. The proposed project has been reviewed by the primary funder and has obtained or is in the process of obtaining approval of the funder.
- c. I understand the guidelines with respect to design, affordable housing, publicity, and other matters described in the application package.

B. I agree to permit a representative from the Downtown Improvement Fund to visually inspect the property described in this application to ensure that health or safety issues do not exist.



Signature of Building Owner

7/18/18 Jeff Holbrook managing member
Date Print Name/Title



Signature of Grant Applicant
(if other than building owner)

7/18/18 Rachel Malone V.P.
Date Print Name/Title

July 18th, 2018

R & R Malone III Inc.
DBA "The Office Tavern"
176 Hall Road
Hannibal, NY 13074

City of Oswego
Office of Economic Development
44 E Bridge Street
Oswego, NY 13126

To whom it may concern:

Description of proposed work for The Office Tavern, 11 W Seneca St Oswego, NY 13126.

Recovering two (2) existing 14 foot awnings on Seneca Street side with new graphics, egg crate, and wind break for door. \$5475.00

Recovering existing 42 foot awning on patio with new graphics. \$4995.00

Proposed start date will depend on allocation of funds and availability of contractor. Est Fall 2018

Please see attached all documentation for the Downtown Revitalization Initiative including photos & estimate from Cooper Custom Canvas.

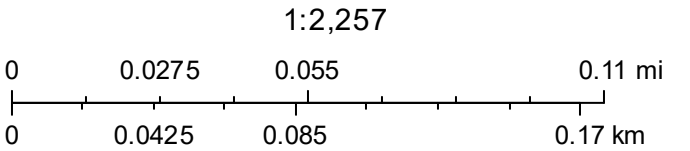
Regards,
Rachel Malone

Vice President
R&R Malone III Inc.
176 Hall Road Hannibal
Oswego.officetavern@gmail.com

11 West Seneca St NYSDEC Endangered and Threatened Species Map



February 8, 2019



Sources: Esri, HERE, Garmin, Intermap, increment P Corp., GEBCO, USGS, FAO, NPS, NRCAN, GeoBase, IGN, Kadaster NL, Ordnance Survey, Esri Japan, METI, Esri China (Hong Kong), swisstopo, © OpenStreetMap contributors, and the GIS User Community

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New Sign for building

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Describe the target area or project site location and attach a map of the target area or project site.

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Phone Number: _____

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HOUSING TRUST FUND CORPORATION
Environmental Compliance Checklist

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Signature:

Printed Name: William Barlow, Jr.
Title: Mayor

Prepared by: _____
Title: _____

Date: _____

Phone: _____

Email: _____



Parks, Recreation, and Historic Preservation

ANDREW M. CUOMO
Governor

ROSE HARVEY
Commissioner

October 29, 2018

Mr. Tim Stahl
Deputy Director
City of Oswego
44 East Bridge Street
Oswego, NY 13126

Re: HTFMS
Oswego DRI - 37 East First Street
37 east first street, oswego, NY 13126
18PR07049
DRI Project No. 20170236

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Sincerely,

Michael F. Lynch, P.E., AIA
Director, Division for Historic Preservation

National Flood Hazard Layer FIRMette



43°27'46.60"N



USGS The National Map: Orthoimagery. Data refreshed October, 2017. 1:6,000 Feet 0 250 500 1,000 1,500 2,000

43°27'20.48"N

Legend

SEE FIS REPORT FOR DETAILED LEGEND AND INDEX MAP FOR FIRM PANEL LAYOUT

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		With BFE or Depth Zone AE, AO, AH, VE, AR
		Regulatory Floodway
OTHER AREAS OF FLOOD HAZARD		0.2% Annual Chance Flood Hazard, Areas of 1% annual chance flood with average depth less than one foot or with drainage areas of less than one square mile Zone X
		Future Conditions 1% Annual Chance Flood Hazard Zone X
		Area with Reduced Flood Risk due to Levee. See Notes. Zone X
		Area with Flood Risk due to Levee Zone D
OTHER AREAS		NO SCREEN Area of Minimal Flood Hazard Zone X
		Effective LOMRs
GENERAL STRUCTURES		Area of Undetermined Flood Hazard Zone D
		Channel, Culvert, or Storm Sewer
		Levee, Dike, or Floodwall
OTHER FEATURES		20.2 Cross Sections with 1% Annual Chance
		17.5 Water Surface Elevation
		Coastal Transect
		Base Flood Elevation Line (BFE)
		Limit of Study
		Jurisdiction Boundary
MAP PANELS		Coastal Transect Baseline
		Profile Baseline
		Hydrographic Feature
		Digital Data Available
		No Digital Data Available
		Unmapped



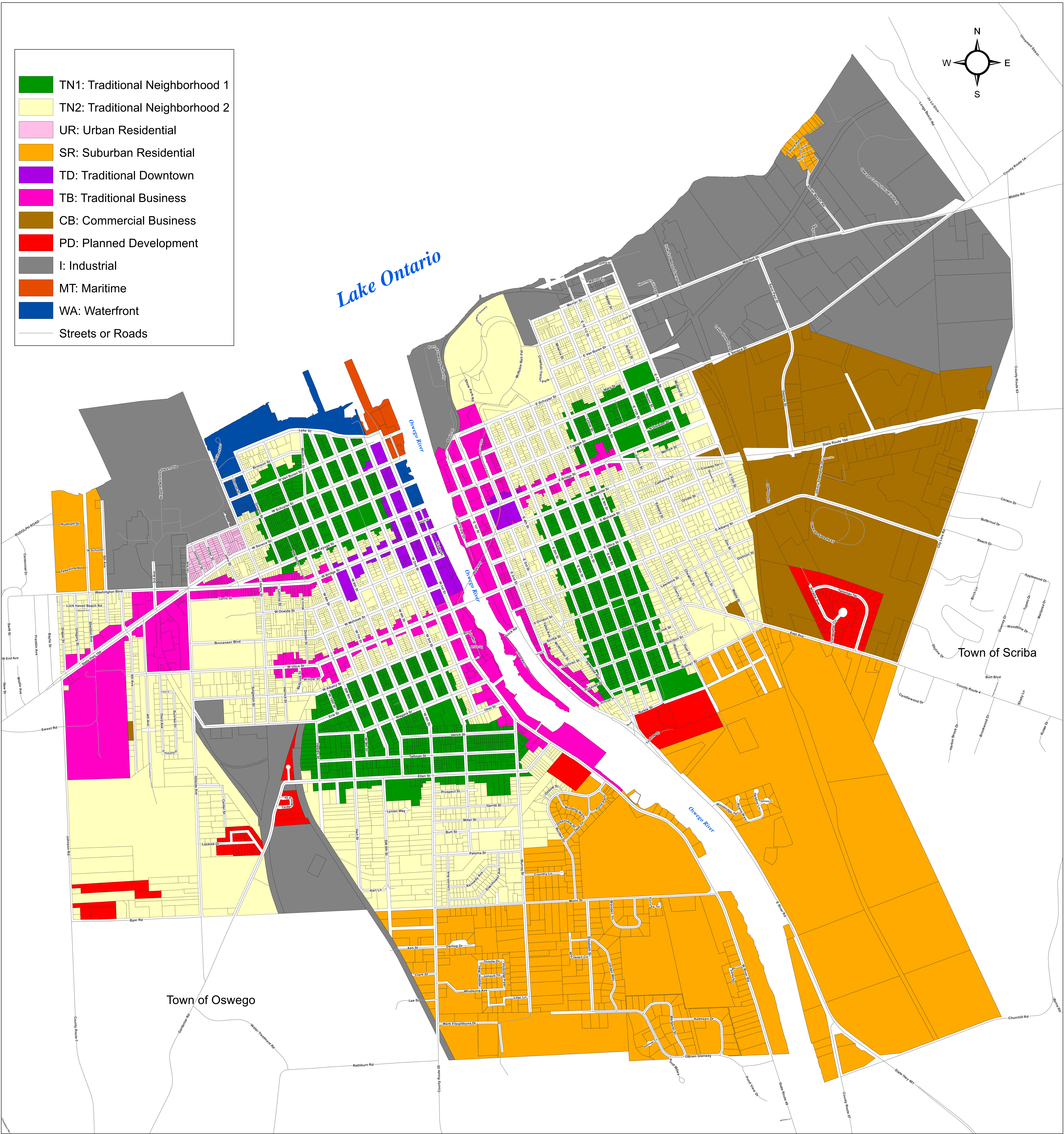
The pin displayed on the map is an approximate point selected by the user and does not represent an authoritative property location.

This map complies with FEMA's standards for the use of digital flood maps if it is not void as described below. The basemap shown complies with FEMA's basemap accuracy standards

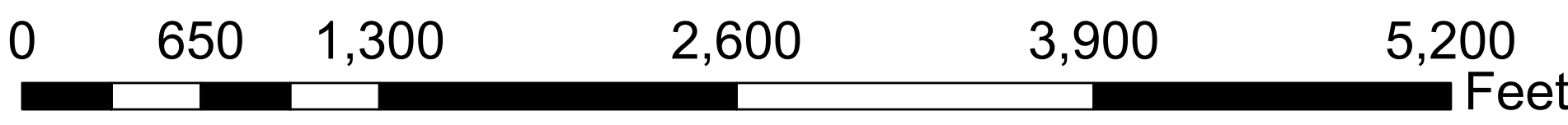
The flood hazard information is derived directly from the authoritative NFHL web services provided by FEMA. This map was exported on 2/7/2019 at 3:16:54 PM and does not reflect changes or amendments subsequent to this date and time. The NFHL and effective information may change or become superseded by new data over time.

This map image is void if the one or more of the following map elements do not appear: basemap imagery, flood zone labels, legend, scale bar, map creation date, community identifiers, FIRM panel number, and FIRM effective date. Map images for unmapped and unmodernized areas cannot be used for regulatory purposes.

City of Oswego Zoning Map

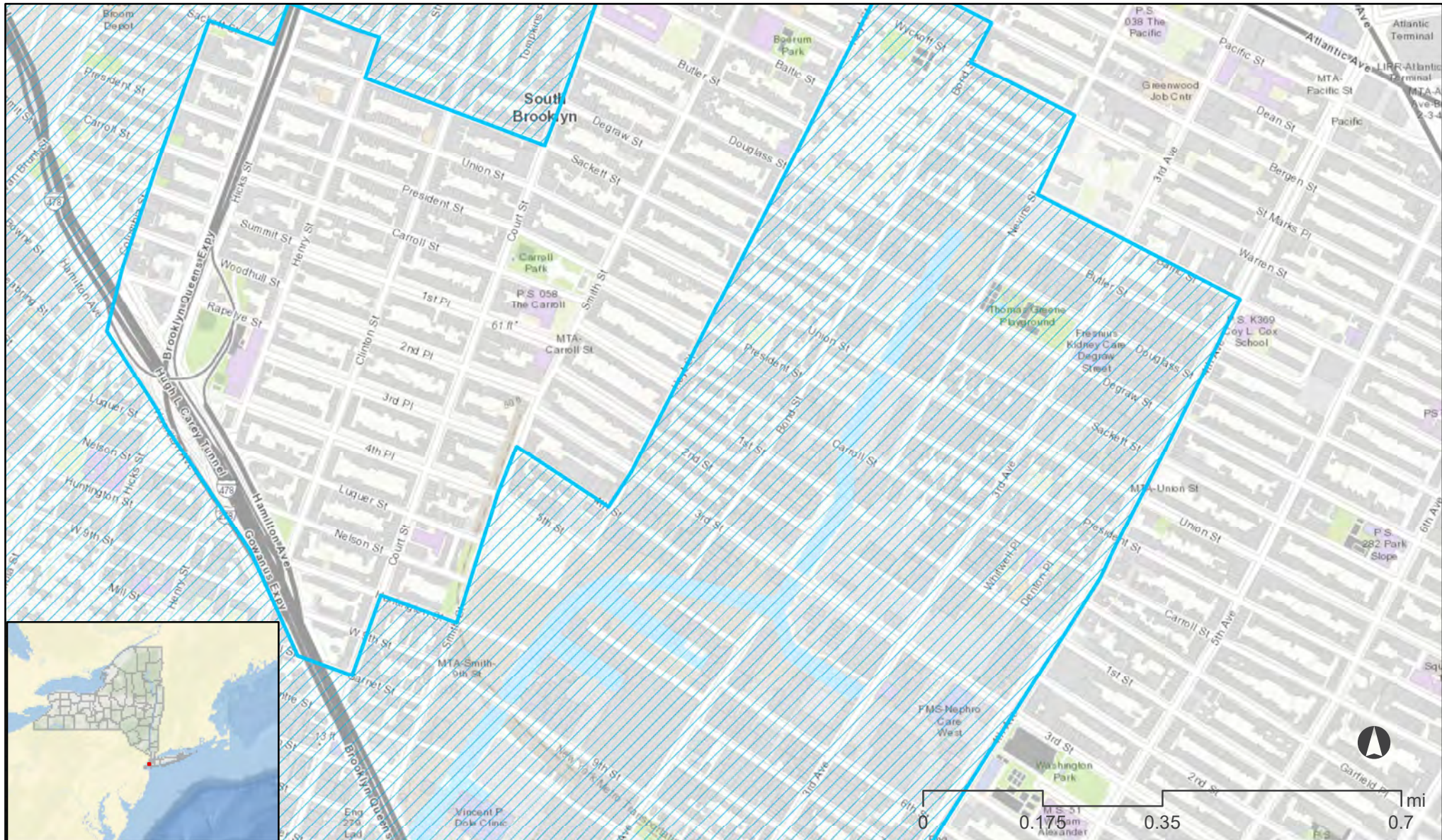


For:
City of Oswego
Zoning & Planning Office
13 West Oneida Street
Oswego, NY 13126
(315) 342-8153



By:
Oswego County Community Development, Tourism & Planning
46 East Bridge Street
Oswego, NY 13126
(315)349-8295
Karen B. Noyes, AICP
April 8, 2019

37 East 1st Street Coastal Boundary Map



— Coastal_Boundary_Polyline_update CoastalBoundary_Polygon_March2017



Department
of State

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Oswego Downtown Revitalization Initiative

DOWNTOWN IMPROVEMENT FUND APPLICATION **DUE JULY 18TH, 2018

I. GENERAL INFORMATION

A. Applicant Information

Name Megan A. Pecora		
Mailing Address 37 E 1st ST		
City Oswego	County Oswego	Zip Code 13126
Telephone No. (315) 216-18123	Fax No. (315) 216-4380	Email Address megan@portcityinc.com

B. Building Owner Information (if different from Applicant)

Name Steven Canale		
Mailing Address 29 E 1st ST		
City Oswego	County Oswego	Zip Code 13126
Telephone No. (315) 343-0308	Fax No.	Email Address

C. Property Information

Property Address 37 E 1st ST		
City Oswego	County Oswego	Zip Code 13126
Landmark Status <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		

D. Grant Request Information

Proposed Use of Grant (select all that apply)	
<input checked="" type="checkbox"/> Building Signage Grant	<input type="checkbox"/> Façade Improvements Grant
<input type="checkbox"/> Residential Conversion Grant	<input type="checkbox"/> Storefront/Commercial Improvement Grant

Grant Request	Façade Improvement _____ (Requires minimum 20% Match - Maximum \$25,000)
	Mixed Use Expansion _____ (Requires minimum 25% Match - Maximum \$60,000)
	Building Signage <u>\$1,145</u> _____ (No Required Match - Maximum \$3,500)
	Total Requested _____ (maximum \$80,000)

E. Project Information

Start Date <u>Summer / Fall 2018</u>	End Date <u>Summer / Fall 2018</u>
Please attach to this application a brief description of the proposed scope of work which includes: the major elements to be rehabilitated, the number of apartments to be renovated, and the planned start and end dates for the project.	

F. Additional Requirements for Façade and Signage Grants

Applicants for façade grants must attach to this application a photograph of the current building façade and a sketch of the proposed façade renovation for SHPO review.

G. Financial Information

Applicants must indicate the estimated budget and sources of matching funds for the proposed renovation. Use <u>Appendix 2</u> as a guide for your reporting.
Please list all current and previous real estate development and renovation projects and business improvement and expansion you have been involved with during the past five (5) years. Attach additional sheets if necessary. <u>2013 - Current - started a day center and added more products & services each year. Also have hired an employee(s)</u>

Brief description of the proposed work:

We would like to add our name along with our logo to the top of the building. The letters will be gold to look similar to letters that would look period specific to the building.

Adding signage to the top of the building will attract more people to our business, especially the business people staying across the street at the hotels.

10" Gold Lettering w/ Logo, 10' length



Area where lettering
will be placed



Hunt Signs

86 St. Rt.104A, Oswego NY, 13126

315-342-7576

huntsigns1@gmail.com

Estimate: Port City Copy Center

7/16/18

Please reference layout.

**1, set of 10" gold letters w' digital
logo print_____**

\$ 835.00

Installation_____

310.00

APPLICANT CERTIFICATIONS

I certify I own the property located at 37 E 1st St, or that I am authorized by the building owner to file this submission with Oswego Downtown Revitalization Initiative – Downtown Improvement Fund (the “Program”) on the owner’s behalf, and am authorized to execute all necessary documents; that I am authorized to carry out the proposed activities and that I will comply with all applicable statutes, rules and regulations. I, the building owner or a party authorized by the building’s owner, am applying to the Downtown Improvement Fund for approval to participate in the Program.

I certify that all statements contained in this application are true, complete, and correct to the best of my belief and are made in good faith, and I agree to immediately inform the Downtown Improvement Fund of any changes. I understand that a false certification or failure to disclose material information shall be grounds for termination of any award.

A. I further certify that:

a. The property described in this application:

- does not have any outstanding taxes that are due and payable, and
- either (1) does not have any building code violations, or (2) if applying for a building renovation grant, any and all building code violations will be remedied during the renovation process and will be discharged from record.
- Does not have any delinquent commercial loans with the City of Oswego’s Community Development Office.

b. The proposed project has been reviewed by the primary funder and has obtained or is in the process of obtaining approval of the funder.

c. I understand the guidelines with respect to design, affordable housing, publicity, and other matters described in the application package.

B. I agree to permit a representative from the Downtown Improvement Fund to visually inspect the property described in this application to ensure that health or safety issues do not exist.

Signature of Building Owner

Date

Print Name/Title

Meghan A. Pearson

Signature of Grant Applicant
(if other than building owner)

7/18/18

Date

Meghan A. Pearson, Business Owner

Print Name/Title



Oswego Downtown Revitalization Initiative

DOWNTOWN IMPROVEMENT FUND PRE-APPLICATION

Please circle: Downtown Business Owner OR Downtown Property Owner

Contact Information:

Business Name Port City Copy Center Inc.

Business Address 37 E 1st St

Business Owner's Name Megan A. Pecora

Are you the Owner of the property? Yes OR No

If No, please list the property owner's contact information

Steve Canale (315) 529-0510

Are you interested in Downtown Improvement Fund for the following Mixed Use Building Rehabilitation activities: (Circle all that apply)

-Façade Improvements

-Building Signage

-Residential Conversion of Upper Story

-Storefront/commercial expansion, improvement or renovation

Project description (please briefly describe your project idea and how it fits into the downtown revitalization initiative efforts):

We would like to add lettering to the top of our storefront. The lettering would match the Aesthetics of the building

Project Location: 37 E 1st St

Estimate project cost: \$ 1,145

Do you have financing in place or have the ability to obtain financing including owner equity for the required match? Yes

Please initial here in that you understand that this grant if you are successfully awarded is reimbursable and you are required to finance upfront MP

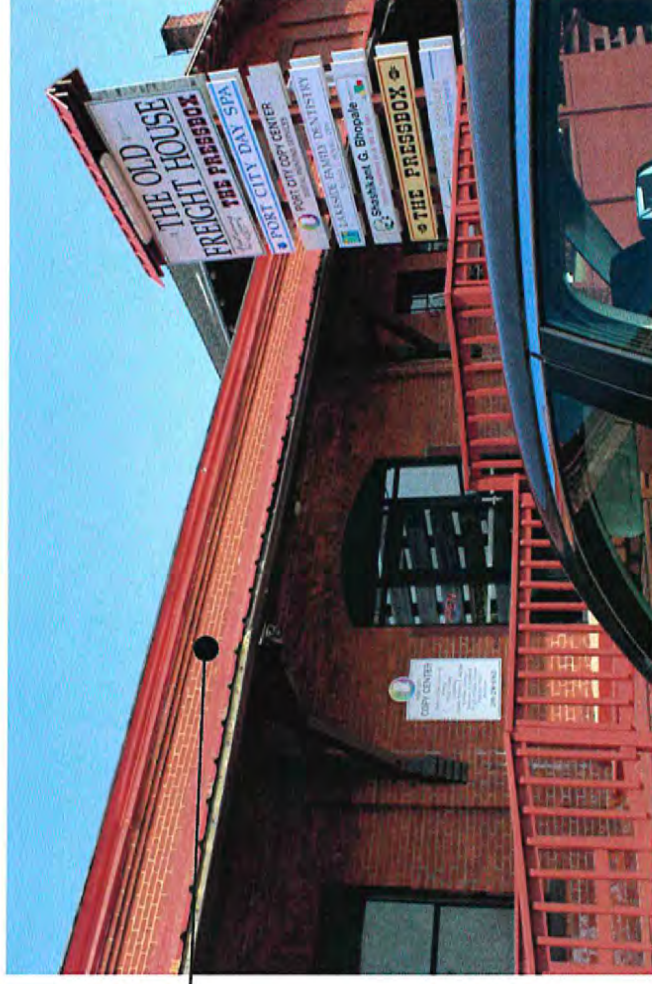
Is there anything else that you would like to add about your potential project? _____

Please return to: City of Oswego Office of Economic Development, 44 E. Bridge Street, Oswego, NY

10" Gold Lettering w/ Logo, 10' length



Area where lettering
will be placed



Hunt Signs

86 St. Rt.104A, Oswego NY, 13126

315-342-7576

huntsigns1@gmail.com

Estimate: Port City Copy Center

7/16/18

Please reference layout.

1, set of 10" gold letters w' digital
logo print_____

\$ 835.00

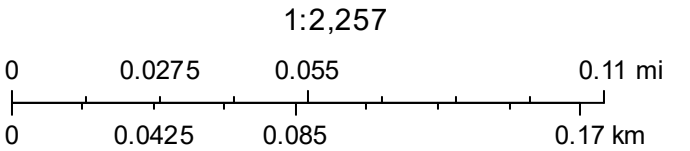
Installation_____

310.00

37 East 1st Street NYSDEC Endangered and Threatened Species Map



February 8, 2019



Sources: Esri, HERE, Garmin, Intermap, increment P Corp., GEBCO, USGS, FAO, NPS, NRCAN, GeoBase, IGN, Kadaster NL, Ordnance Survey, Esri Japan, METI, Esri China (Hong Kong), swisstopo, © OpenStreetMap contributors, and the GIS User Community

HOUSING TRUST FUND CORPORATION
Program Description Form

Office of Community Renewal (OCR) state funded programs including:

Adirondack Community Housing Trust (ACHT), Buffalo Main Streets Initiative (BMSI), OCR Managed Downtown Revitalization Initiative (DRI), New York Main Street (NYMS), Rural Area Revitalization Projects (RARP), and Urban Initiatives (UI).

Local Program Administrator (LPA): City of Oswego

Funding Program: DRI

Program Municipality: Oswego

SHARS ID: 20170236

Program County: Oswego

Check all activities that apply to the Program or Project:

- ☐ Façade/Storefront Renovation
- ☐ Interior Building Renovation
 - ☐ Commercial
 - ☐ Residential

- ☐ Streetscape
- ☐ New Construction
- ☒ Site Work
- ☒ Ground Disturbance

For Site-Specific Review, outline the specific scope of work for the project(s). The formal scope of work should also be attached.

Rehabilitate vacant lot to create usable modern courtyard with stampcrete, band stage, fire pits, bathroom.

Description of Target Area:

Describe the target area or project site location and attach a map of the target area or project site.

Environmental Compliance Areas/ SEQR Classification Evaluation:

Specifically identify if any of the following activities will or may occur as part of this program: substantial improvement in a flood zone; projects in or adjacent to Agricultural Districts; work on a building determined by SHPO to have historic or cultural significance; ground disturbance; zoning changes, change in actual building use.

Primary Contact for Environmental Review Issues:

Prepared by: _____

Title: _____

Date: _____

Phone Number: _____

Email Address: _____

HOUSING TRUST FUND CORPORATION
Environmental Compliance Checklist

Office of Community Renewal (OCR) state funded programs including:
Adirondack Community Housing Trust (AHT), Buffalo Main Streets Initiative (BMSI), OCR Managed Downtown Revitalization Initiative (DRI), New York Main Street (NYMS), Rural Area Revitalization Projects (RARP), and Urban Initiatives (UI).

Local Program Administrator (LPA): City of Oswego

Funding DRI **Project Municipality:** Oswego

Program:
SHARS ID: 20170236 **Project County:** Oswego

Review Type: Programmatic **Project Address (if site specific):** 49-63 W Bridge St

Compliance Area	Attachments Included	Compliance Procedures
A. <u>Historic/Cultural Resources:</u> Section 14.09 of the Parks, Recreation and Historic Preservation Law.	<input checked="" type="checkbox"/>	The SHPO No Adverse Impact determination is attached.
B. <u>Flood Plains:</u> 6 NYCRR Part 502, Floodplain Management Criteria for State Projects.	<input checked="" type="checkbox"/>	The project is not within a SFHA. A copy of the FIRM map, with the Panel Number and Effective Date is included.
C. <u>Zoning:</u> C1. Projects must conform to local land use plans and receive all necessary zoning and site plan approvals and permits.	<input type="checkbox"/>	The project will not require zoning modifications, variances or a special use permit for issuance of a building permit. See attached documentation.
C2. Projects that result in a change in the building use must be identified.	<input type="checkbox"/>	The existing use of the building is commercial; the proposed use of the building is commercial.
D. <u>Coastal Zones:</u> 19 NYCRR Part 600, Coastal Zone Management	<input checked="" type="checkbox"/>	The project is located within the coastal zone but is not a Type I or Unlisted action.
E. <u>Site Contamination- Hazardous Materials:</u> Projects funded under the Program must be free of hazardous materials which could affect the health and safety of the occupants or conflict with the intended utilization of the property.	<input checked="" type="checkbox"/>	An environmental professional has certified that the project site is free of hazardous materials, contamination, toxic chemicals and gases, and radioactive substances. The certification and back up documentation are attached.
F. <u>Lead Based Paint:</u> All activities impacting dwelling units or child occupied facilities must be free from the hazards posed by lead-based paint. <i>Refer to the Program Policy for Lead-Based Paint.</i>	<input checked="" type="checkbox"/>	The project involves exterior renovations or interior commercial renovations only. Window openings into residential or child-occupied facility floors will not be impacted as part of the project scope of work.
G. <u>Asbestos Containing Materials</u> NYS Department of Labor at 12 NYCRR Part 56	<input type="checkbox"/>	Asbestos Containing Materials (ACM) that will be disturbed as part of program activities will be handled and disposed of according to NYS Department of Labor requirements at 12 NYCRR Part 56 and local regulations.
H. <u>Radon</u>	<input type="checkbox"/>	The target area is located in a zone with moderate or high potential for radon levels

EPA map of Radon Zones; EPA Radon Mitigation Standards		to exceed the U.S. EPA action level (4pCi/L or higher). New construction or rehabilitation of residential units and common areas will include post-renovation testing and if elevated levels are found, a radon mitigation system will be installed in accordance with EPA Radon Mitigation Standards.
I. Wetlands: 6 NYCRR Part 663, Freshwater Wetlands Permit Requirements and, Section 404 of the Clean Water Act	<input type="checkbox"/>	The project involves new construction or ground disturbance and is not in a built-up area and prior to the start of project work, a wetlands determination will be made and, if necessary, a permit will be obtained from the DEC or ACOE prior to construction."

J. Endangered Species: 6 NYCRR Part 182, Endangered and Threatened Species	<input checked="" type="checkbox"/>	The project is in a built up urban area and does not involve new construction, ground disturbance, or tree cutting.
K. Agricultural Districts: Agriculture and Markets Law Article 25-AA, Sections 303 and 304, Agricultural Districts	<input type="checkbox"/>	The program is not located in an agricultural district, does not involve any activities with potential to convert farmland to nonagricultural use and does not require an Agricultural Data Statement.

Individual site specific checklists may require more detailed supporting documentation and review in circumstances such as:

- substantial improvement in a flood zone;
- projects in, or adjacent to, Agricultural Districts;
- work on a building determined by SHPO to have historic or cultural significance;
- ground disturbance;
- zoning changes;
- a change in actual building use (whether or not this change is locally regulated);
- if the work constitutes a SEQRL Unlisted action.

Certification

I am authorized to execute contract materials for the program award to the Local Program Administrator (LPA) named above. I have read this Checklist and by signing this document agree with the statements made herein and agree that: (1) site specific checklists will be prepared and submitted to the OCR for each project site and additional documentation will be provided as necessary for the circumstances listed above; (2) project activities will be conducted in conformance with the described compliance procedures; (3) an environmental determination letter or approval from OCR will be received before taking any physical action on a site or incurring costs related to a specific activity; and, (4) costs incurred for activities completed prior to the SEQRL determination and submission of the site-specific checklist will not be eligible for reimbursement.

Signature:

Printed Name: William Barlow, Jr.

Title: Mayor

Prepared by: _____

Title: _____

Date: _____

Phone: _____

Email: _____



Parks, Recreation, and Historic Preservation

ANDREW M. CUOMO
Governor

ROSE HARVEY
Commissioner

October 23, 2018

Mr. Tim Stahl
Deputy Director
City of Oswego
44 East Bridge Street
Oswego, NY 13126

Re: HTFMS
Oswego DRI - 49-63 West Bridge Street
49 West Bridge Street, Oswego, NY 13126
18PR06881
DRI Project No. 20170236

Dear Mr. Stahl:

Thank you for requesting the comments of the Office of Parks, Recreation and Historic Preservation (OPRHP). We have reviewed the project in accordance with the New York State Historic Preservation Act of 1980 (Section 14.09 of the New York Parks, Recreation and Historic Preservation Law). These comments are those of the OPRHP and relate only to Historic/Cultural resources. They do not include potential environmental impacts to New York State Parkland that may be involved in or near your project. Such impacts must be considered as part of the environmental review of the project pursuant to the State Environmental Quality Review Act (New York Environmental Conservation Law Article 8) and its implementing regulations (6 NYCRR Part 617).

Based upon this review, it is the New York State Office of Parks, Recreation and Historic Preservation's opinion that your project will have no impact on archaeological and/or historic resources listed in or eligible for the New York State and National Registers of Historic Places.

If further correspondence is required regarding this project, please be sure to refer to the OPRHP Project Review (PR) number noted above.

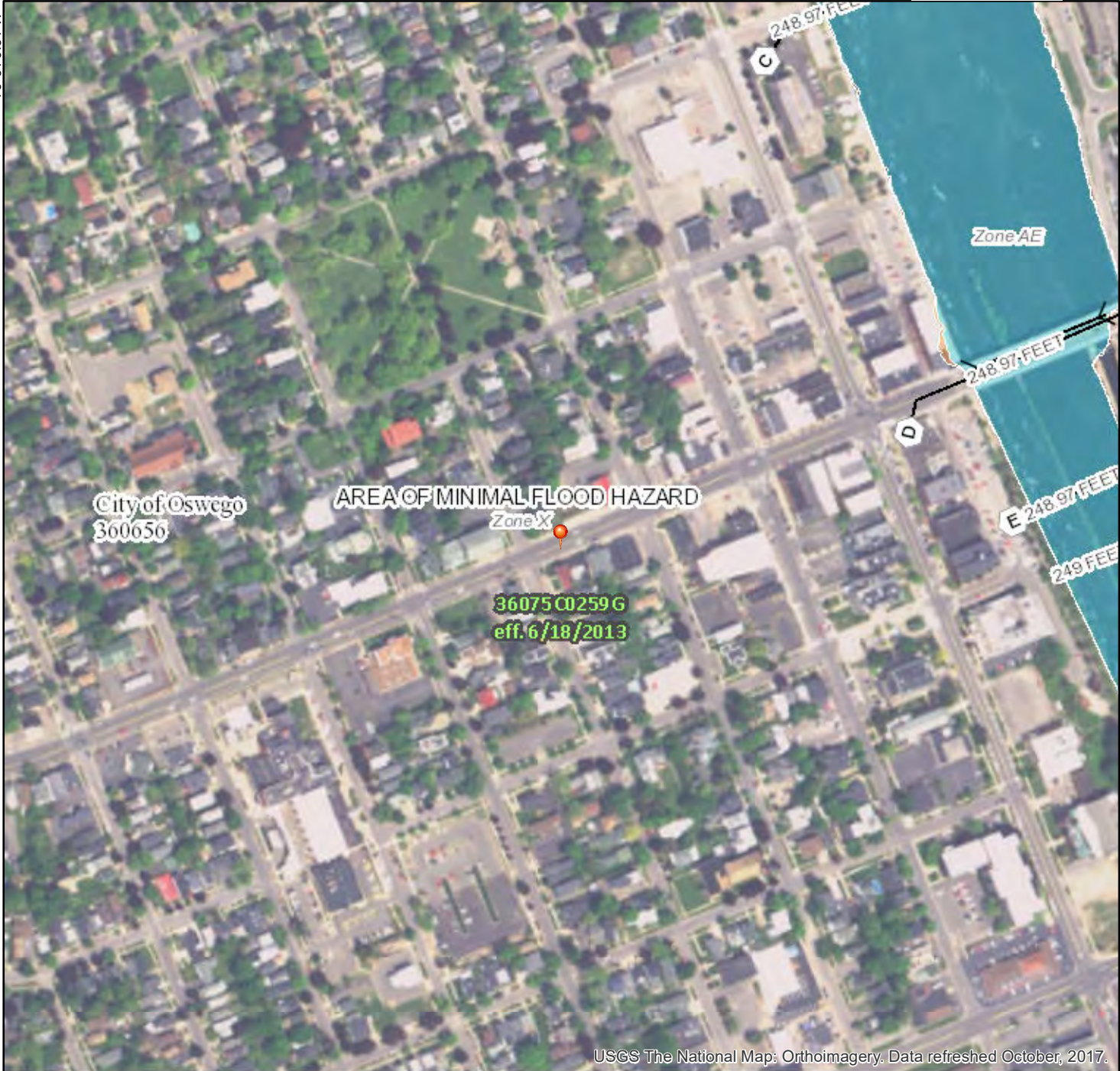
Sincerely,

Michael F. Lynch, P.E., AIA
Director, Division for Historic Preservation

National Flood Hazard Layer FIRMette



43°27'33.77"N



Legend

SEE FIS REPORT FOR DETAILED LEGEND AND INDEX MAP FOR FIRM PANEL LAYOUT

SPECIAL FLOOD HAZARD AREAS		Without Base Flood Elevation (BFE) Zone A, V, A99
		With BFE or Depth Zone AE, AO, AH, VE, AR
		Regulatory Floodway
OTHER AREAS OF FLOOD HAZARD		0.2% Annual Chance Flood Hazard, Areas of 1% annual chance flood with average depth less than one foot or with drainage areas of less than one square mile Zone X
		Future Conditions 1% Annual Chance Flood Hazard Zone X
		Area with Reduced Flood Risk due to Levee. See Notes. Zone X
		Area with Flood Risk due to Levee Zone D
OTHER AREAS		NO SCREEN Area of Minimal Flood Hazard Zone X
		Effective LOMRs
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GENERAL STRUCTURES		Channel, Culvert, or Storm Sewer
		Levee, Dike, or Floodwall
OTHER FEATURES		20.2 Cross Sections with 1% Annual Chance Water Surface Elevation
		17.5 Coastal Transect
		Base Flood Elevation Line (BFE)
		Limit of Study
		Jurisdiction Boundary
		Coastal Transect Baseline
		Profile Baseline
MAP PANELS		Digital Data Available
		No Digital Data Available
		Unmapped



The pin displayed on the map is an approximate point selected by the user and does not represent an authoritative property location.

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The flood hazard information is derived directly from the authoritative NFHL web services provided by FEMA. This map was exported on 2/8/2019 at 9:32:47 AM and does not reflect changes or amendments subsequent to this date and time. The NFHL and effective information may change or become superseded by new data over time.

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0 250 500 1,000 1,500 2,000 Feet

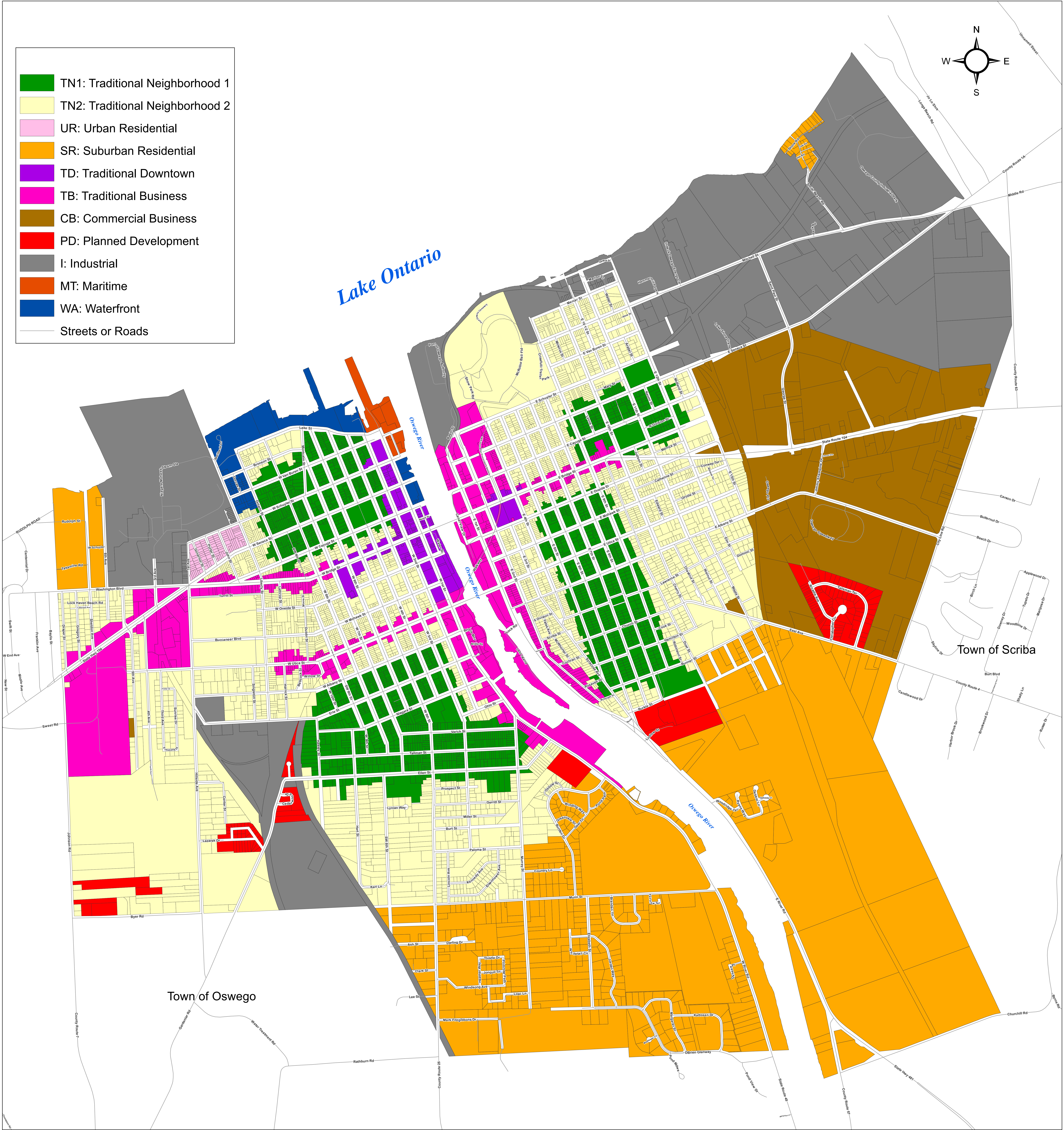
1:6,000

43°27'7.66"N

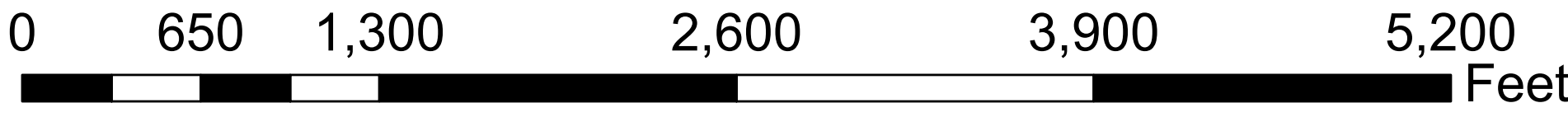
76°30'31.89"W

USGS The National Map: Orthoimagery. Data refreshed October, 2017.

City of Oswego Zoning Map



For:
City of Oswego
Zoning & Planning Office
13 West Oneida Street
Oswego, NY 13126
(315) 342-8153



By:
Oswego County Community Development, Tourism & Planning
46 East Bridge Street
Oswego, NY 13126
(315)349-8295
Karen B. Noyes, AICP
April 8, 2019

49-63 W Bridge St Coastal Boundary Map



— Coastal_Boundary_Polyline_update CoastalBoundary_Polygon_March2017



Department
of State

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May 1st, 2019

Housing Trust Fund Corporation
OCR Managed Downtown Revitalization Initiative Projects (DRI)

Re: 49-63 west Bridge Street, Oswego, New York – Environmental Compliance Handbook

To whom it my concern:

As per the Environmental Compliance Handbook, Section E: Site Contamination (Hazardous Materials), established by the Housing Trust Fund Corporation, the project site at 49-63 West Bridge Street in Oswego, New York was examined for the potential presence of hazardous materials. The three (3) online databases that were used in this desktop review were the Nationwide Environmental Title Research (NETR) Database, the New York State Department of Environmental Conservation (NYSDEC) Environmental Site Database, and the United States Environmental Protection Agency (USEPA) EnviroFacts Database. The project site is free of hazardous materials, contamination, toxic chemicals and gases, and radioactive substances which could affect the health and safety of occupants or users or conflict with the intended utilization of the property. I, Ariadna Cheremeteff, certify that I am an environmental professional as per ASTM 1527.

Please email if you have any questions.

Sincerely,

Ariadna Cheremeteff
BERGMANN
Environmental Discipline Leader
acheremeteff@bergmannpc.com



May 1st, 2019

Mr. Tim Stahl, Deputy Director
The City of Oswego – Office of Economic Development
44 East Bridge Street
Oswego, New York 13126

Re: Lead Based Paint Sampling Results – 49-63 West Bridge Street, Oswego, New York

Dear Mr. Stahl,

Bergmann was retained by the City of Oswego to conduct Lead Based Paint (LBP) sampling on select sites in the City of Oswego as part of the Downtown Revitalization Initiative (DRI). The results in this letter and the information gathered during Bergmann's sampling is being used to satisfy Section F of the Housing Trust Fund Corporation Environmental Compliance Checklist (ECC). Below is a summary of the materials sampled by Bergmann using a Thermo XL2 980 X-Ray Fluorescence (XRF) ray. Bergmann took three (3) samples from various DRI sites throughout the City of Oswego that were submitted to Paradigm Environmental Services for laboratory confirmation of the accuracy of the XRF ray. No major discrepancies between the field XRF results and laboratory analytical results were observed. In addition to the summary below, attached you will find a frontal façade photograph depicting the exact locations of the paints that were screened by Bergmann.

The following paints at 49-63 West Bridge Street, Oswego, NY were screened by Bergmann using an XRF on March 29th, 2019:

- Beige window, door, and siding trim (negative)
- Grey brick trim (negative)
- Brown entrance door paint (negative)
- Light brown siding paint (negative)

The Federal Department of Housing and Urban Development (HUD) has established guidelines for the identification of lead based paint. Paint containing a concentration equal to or greater than 0.5% by weight (5,000 parts per million or 5,000 ppm) is to be considered lead-based paint. Should any additional layers of paint be found during the abatement or renovation process, they are to be sampled via XRF or laboratory analyses to confirm or deny the presence of lead at levels exceeding the HUD action level of 0.5% by weight to satisfy Section F of the Environmental Compliance Checklist (ECC).

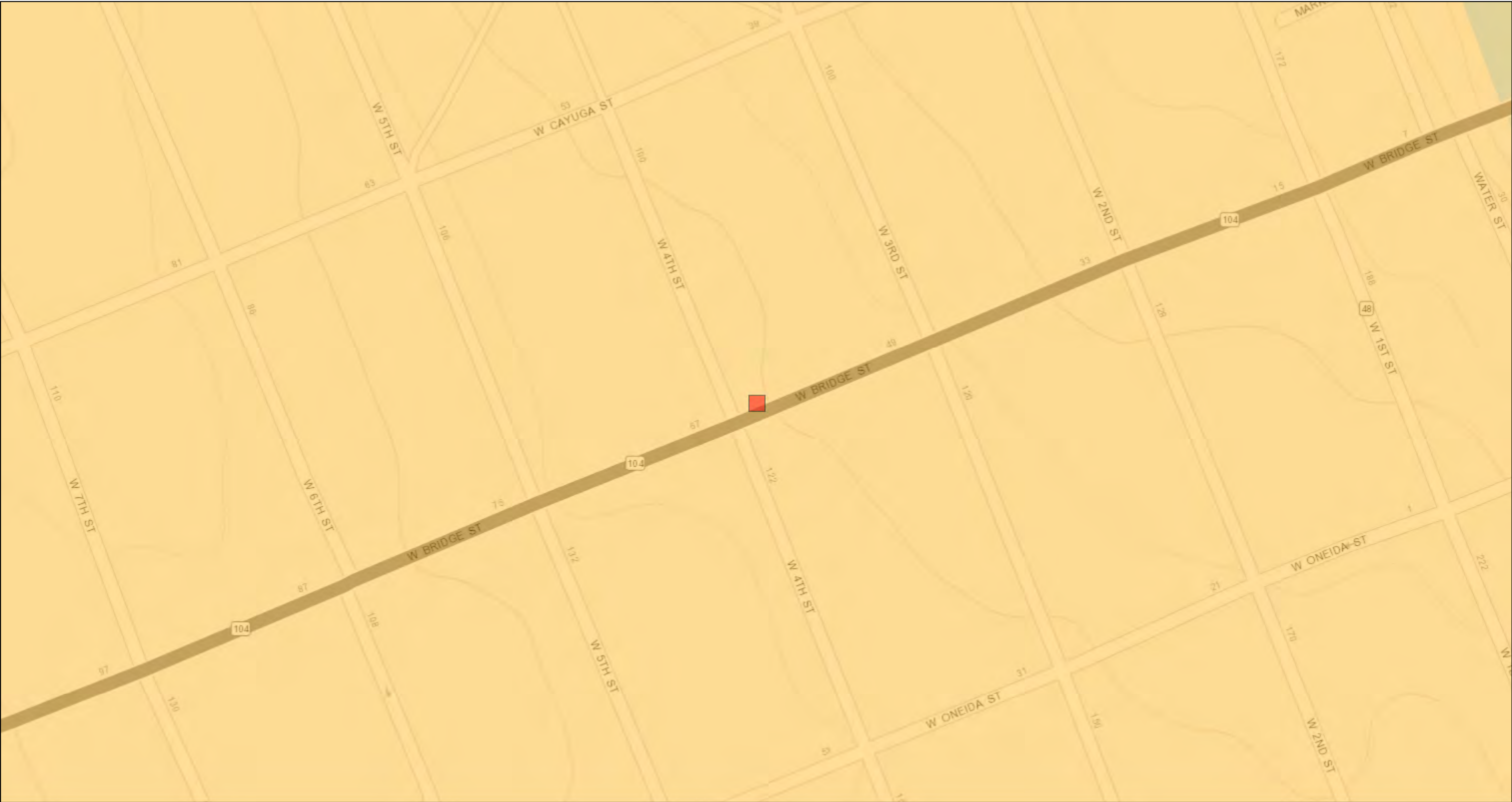
Please email if you have any questions.

Sincerely,
Bergmann

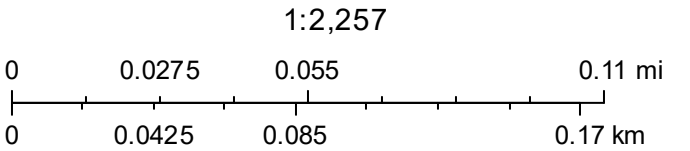
CASH R. BLEIER
Environmental Scientist
cbleier@bergmannpc.com

Attachment 1: Exterior Sample Location Photo

49-63 W Bridge St NYSDEC Endangered and Threatened Species Map



February 8, 2019



Sources: Esri, HERE, Garmin, Intermap, increment P Corp., GEBCO, USGS, FAO, NPS, NRCAN, GeoBase, IGN, Kadaster NL, Ordnance Survey, Esri Japan, METI, Esri China (Hong Kong), swisstopo, © OpenStreetMap contributors, and the GIS User Community

HOUSING TRUST FUND CORPORATION
Program Description Form

Office of Community Renewal (OCR) state funded programs including:
Adirondack Community Housing Trust (ACHT), Buffalo Main Streets Initiative (BMSI), OCR Managed Downtown Revitalization Initiative (DRI), New York Main Street (NYMS), Rural Area Revitalization Projects (RARP), and Urban Initiatives (UI).

Local Program Administrator (LPA): City of Oswego

Funding Program: DRI

Program Municipality: Oswego

SHARS ID: 20170236

Program County: Oswego

Check all activities that apply to the Program or Project:

- | | |
|------------------------------------------------------------------|---------------------------------------------|
| <input checked="" type="checkbox"/> Façade/Storefront Renovation | <input type="checkbox"/> Streetscape |
| <input checked="" type="checkbox"/> Interior Building Renovation | <input type="checkbox"/> New Construction |
| <input checked="" type="checkbox"/> Commercial | <input type="checkbox"/> Site Work |
| <input type="checkbox"/> Residential | <input type="checkbox"/> Ground Disturbance |

For Site-Specific Review, outline the specific scope of work for the project(s). The formal scope of work should also be attached.

New sign and 2,000 square foot build-out of restaurant

Description of Target Area:

Describe the target area or project site location and attach a map of the target area or project site.

Environmental Compliance Areas/ SEQR Classification Evaluation:

Specifically identify if any of the following activities will or may occur as part of this program: substantial improvement in a flood zone; projects in or adjacent to Agricultural Districts; work on a building determined by SHPO to have historic or cultural significance; ground disturbance; zoning changes, change in actual building use.

Primary Contact for Environmental Review Issues:

Prepared by: _____

Title: _____

Date: _____

Phone Number: _____

Email Address: _____

HOUSING TRUST FUND CORPORATION
Environmental Compliance Checklist

Office of Community Renewal (OCR) state funded programs including:
Adirondack Community Housing Trust (AHT), Buffalo Main Streets Initiative (BMSI), OCR Managed Downtown Revitalization Initiative (DRI), New York Main Street (NYMS), Rural Area Revitalization Projects (RARP), and Urban Initiatives (UI).

Local Program Administrator (LPA): City of Oswego

Funding DRI **Project Municipality:** Oswego

Program:
SHARS ID: 20170236 **Project County:** Oswego

Review Type: Programmatic **Project Address (if site specific):** 68 West 1st Street

Compliance Area	Attachments Included	Compliance Procedures
A. <u>Historic/Cultural Resources:</u> Section 14.09 of the Parks, Recreation and Historic Preservation Law.	<input checked="" type="checkbox"/>	The SHPO No Adverse Impact determination is attached.
B. <u>Flood Plains:</u> 6 NYCRR Part 502, Floodplain Management Criteria for State Projects.	<input checked="" type="checkbox"/>	The project is not within a SFHA. A copy of the FIRM map, with the Panel Number and Effective Date is included.
C. <u>Zoning:</u> C1. Projects must conform to local land use plans and receive all necessary zoning and site plan approvals and permits.	<input type="checkbox"/>	The project will not require zoning modifications, variances or a special use permit for issuance of a building permit. See attached documentation.
C2. Projects that result in a change in the building use must be identified.	<input type="checkbox"/>	The existing use of the building is commercial; the proposed use of the building is commercial.
D. <u>Coastal Zones:</u> 19 NYCRR Part 600, Coastal Zone Management	<input checked="" type="checkbox"/>	The project is located within the coastal zone but is not a Type I or Unlisted action.
E. <u>Site Contamination- Hazardous Materials:</u> Projects funded under the Program must be free of hazardous materials which could affect the health and safety of the occupants or conflict with the intended utilization of the property.	<input type="checkbox"/>	Any building construction or renovation and/or streetscape activity within the program's target area will be assessed to determine its environmental condition. If the condition of the property is unknown, or a possible environmental hazard is suspected, the LPA will proceed with the advice and guidance of an 'environmental professional.' If so advised, further study will be completed as recommended.
F. <u>Lead Based Paint:</u> All activities impacting dwelling units or child occupied facilities must be free from the hazards posed by lead-based paint. <i>Refer to the Program Policy for Lead-Based Paint.</i>	<input type="checkbox"/>	Any projects that will involve the disturbance of painted surfaces will be evaluated using the Program Policy for Lead-Based Paint.
G. <u>Asbestos Containing Materials</u> NYS Department of Labor at 12 NYCRR Part 56	<input type="checkbox"/>	Asbestos Containing Materials (ACM) that will be disturbed as part of program activities will be handled and disposed of according to NYS Department of Labor

		requirements at 12 NYCRR Part 56 and local regulations.
H. <u>Radon</u> EPA map of Radon Zones; EPA Radon Mitigation Standards	<input type="checkbox"/>	The target area is located in a zone with moderate or high potential for radon levels to exceed the U.S. EPA action level (4pCi/L or higher). New construction or rehabilitation of residential units and common areas will include post-renovation testing and if elevated levels are found, a radon mitigation system will be installed in accordance with EPA Radon Mitigation Standards.
I. <u>Wetlands:</u> 6 NYCRR Part 663, Freshwater Wetlands Permit Requirements and, Section 404 of the Clean Water Act	<input type="checkbox"/>	The project does not involve new construction, ground disturbance or is entirely within an urban, built-up area.

J. <u>Endangered Species:</u> 6 NYCRR Part 182, Endangered and Threatened Species	<input checked="" type="checkbox"/>	The project is in a built up urban area and does not involve new construction, ground disturbance, or tree cutting.
K. <u>Agricultural Districts:</u> Agriculture and Markets Law Article 25-AA, Sections 303 and 304, Agricultural Districts	<input type="checkbox"/>	The program is not located in an agricultural district, does not involve any activities with potential to convert farmland to nonagricultural use and does not require an Agricultural Data Statement.

Individual site specific checklists may require more detailed supporting documentation and review in circumstances such as:

- substantial improvement in a flood zone;
- projects in, or adjacent to, Agricultural Districts;
- work on a building determined by SHPO to have historic or cultural significance;
- ground disturbance;
- zoning changes;
- a change in actual building use (whether or not this change is locally regulated);
- if the work constitutes a SEQRR Unlisted action.

Certification

I am authorized to execute contract materials for the program award to the Local Program Administrator (LPA) named above. I have read this Checklist and by signing this document agree with the statements made herein and agree that: (1) site specific checklists will be prepared and submitted to the OCR for each project site and additional documentation will be provided as necessary for the circumstances listed above; (2) project activities will be conducted in conformance with the described compliance procedures; (3) an environmental determination letter or approval from OCR will be received before taking any physical action on a site or incurring costs related to a specific activity; and, (4) costs incurred for activities completed prior to the SEQRR determination and submission of the site-specific checklist will not be eligible for reimbursement.

Signature:

Printed Name:

William Barlow, Jr.

Prepared by:

Title: Mayor
Date: _____

Title: _____
Phone: _____
Email: _____



Parks, Recreation, and Historic Preservation

ANDREW M. CUOMO
Governor

ROSE HARVEY
Commissioner

October 25, 2018

Mr. Tim Stahl
Deputy Director
City of Oswego
44 East Bridge St
Oswego, NY 13126

Re: HTFMS
Oswego DRI - 68 West First Street
68 west first street, oswego, NY 13126
18PR06931
DRI Project 20170236

Dear Mr. Stahl:

Thank you for requesting the comments of the Office of Parks, Recreation and Historic Preservation (OPRHP). We have reviewed the project in accordance with the New York State Historic Preservation Act of 1980 (Section 14.09 of the New York Parks, Recreation and Historic Preservation Law). These comments are those of the OPRHP and relate only to Historic/Cultural resources. They do not include potential environmental impacts to New York State Parkland that may be involved in or near your project. Such impacts must be considered as part of the environmental review of the project pursuant to the State Environmental Quality Review Act (New York Environmental Conservation Law Article 8) and its implementing regulations (6 NYCRR Part 617).

Based upon this review, it is the New York State Office of Parks, Recreation and Historic Preservation's opinion that your project will have no impact on archaeological and/or historic resources listed in or eligible for the New York State and National Registers of Historic Places.

If further correspondence is required regarding this project, please be sure to refer to the OPRHP Project Review (PR) number noted above.

Sincerely,

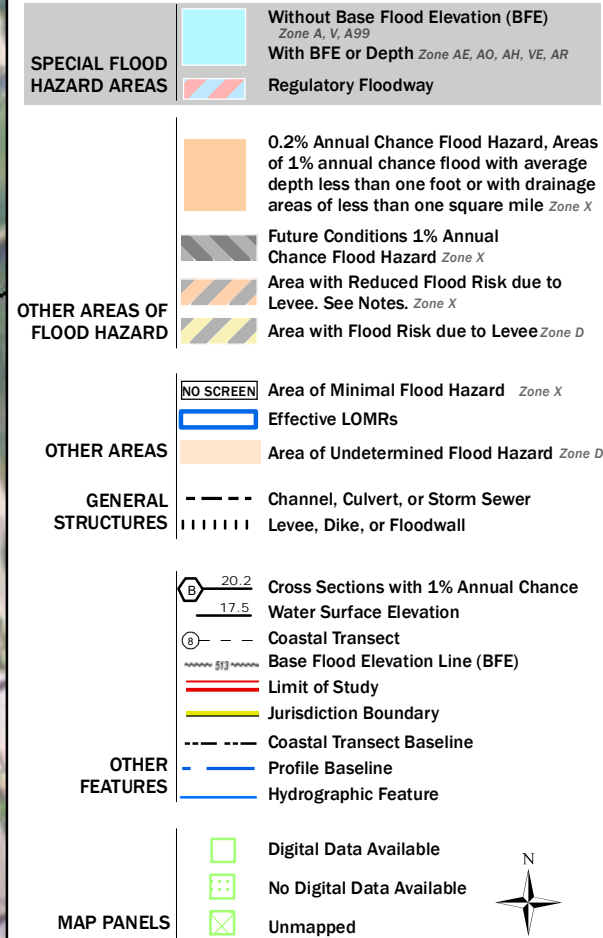
Michael F. Lynch, P.E., AIA
Director, Division for Historic Preservation

National Flood Hazard Layer FIRMette



Legend

SEE FIS REPORT FOR DETAILED LEGEND AND INDEX MAP FOR FIRM PANEL LAYOUT



 The pin displayed on the map is an approximate point selected by the user and does not represent an authoritative property location.

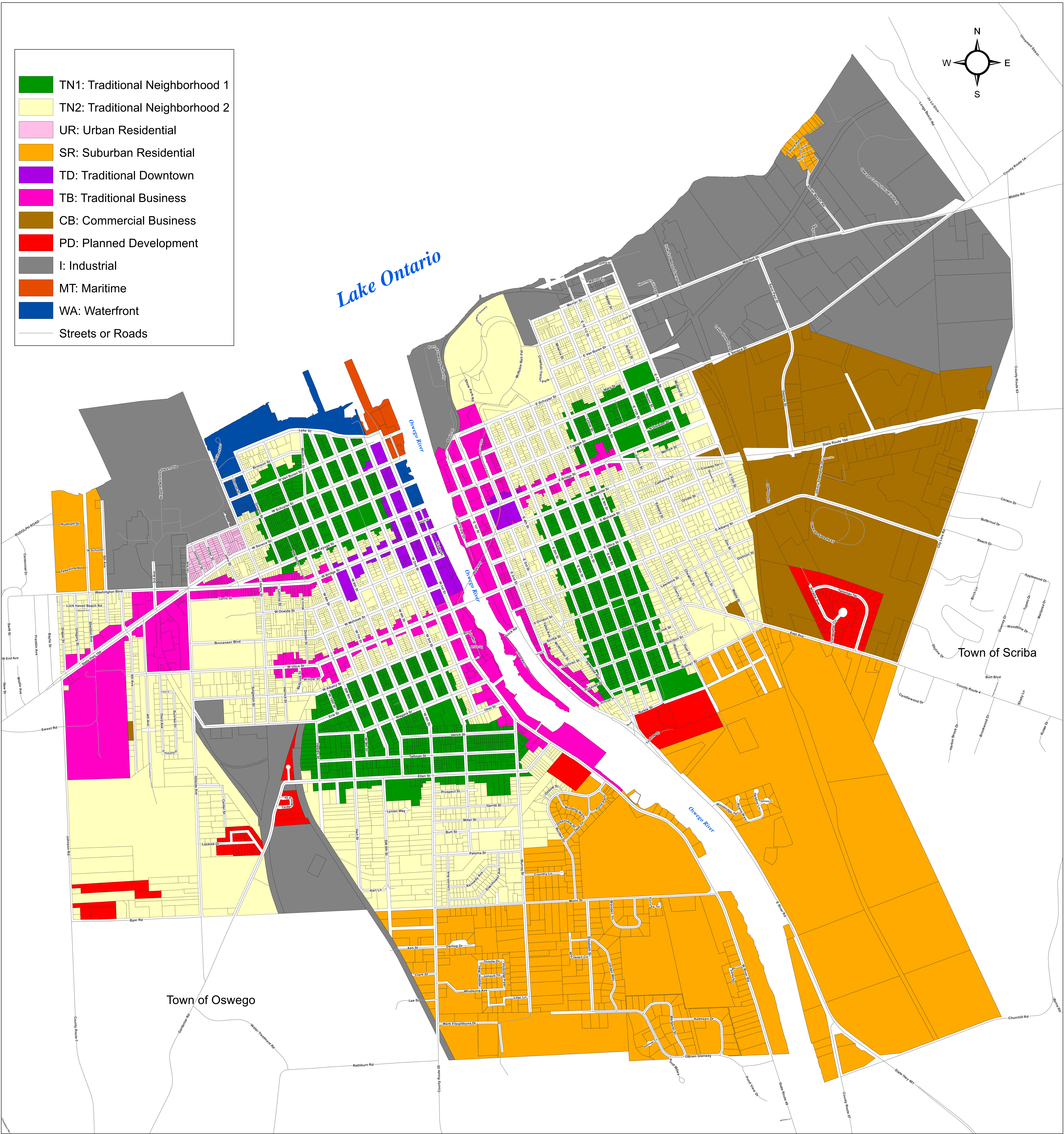
This map complies with FEMA's standards for the use of digital flood maps if it is not void as described below. The basemap shown complies with FEMA's basemap accuracy standards

The flood hazard information is derived directly from the authoritative NFHL web services provided by FEMA. This map was exported on **2/8/2019 at 9:35:48 AM** and does not reflect changes or amendments subsequent to this date and time. The NFHL and effective information may change or become superseded by new data over time.

This map image is void if the one or more of the following map elements do not appear: basemap imagery, flood zone labels, legend, scale bar, map creation date, community identifiers, FIRM panel number, and FIRM effective date. Map images for unmapped and unmodernized areas cannot be used for regulatory purposes.



City of Oswego Zoning Map

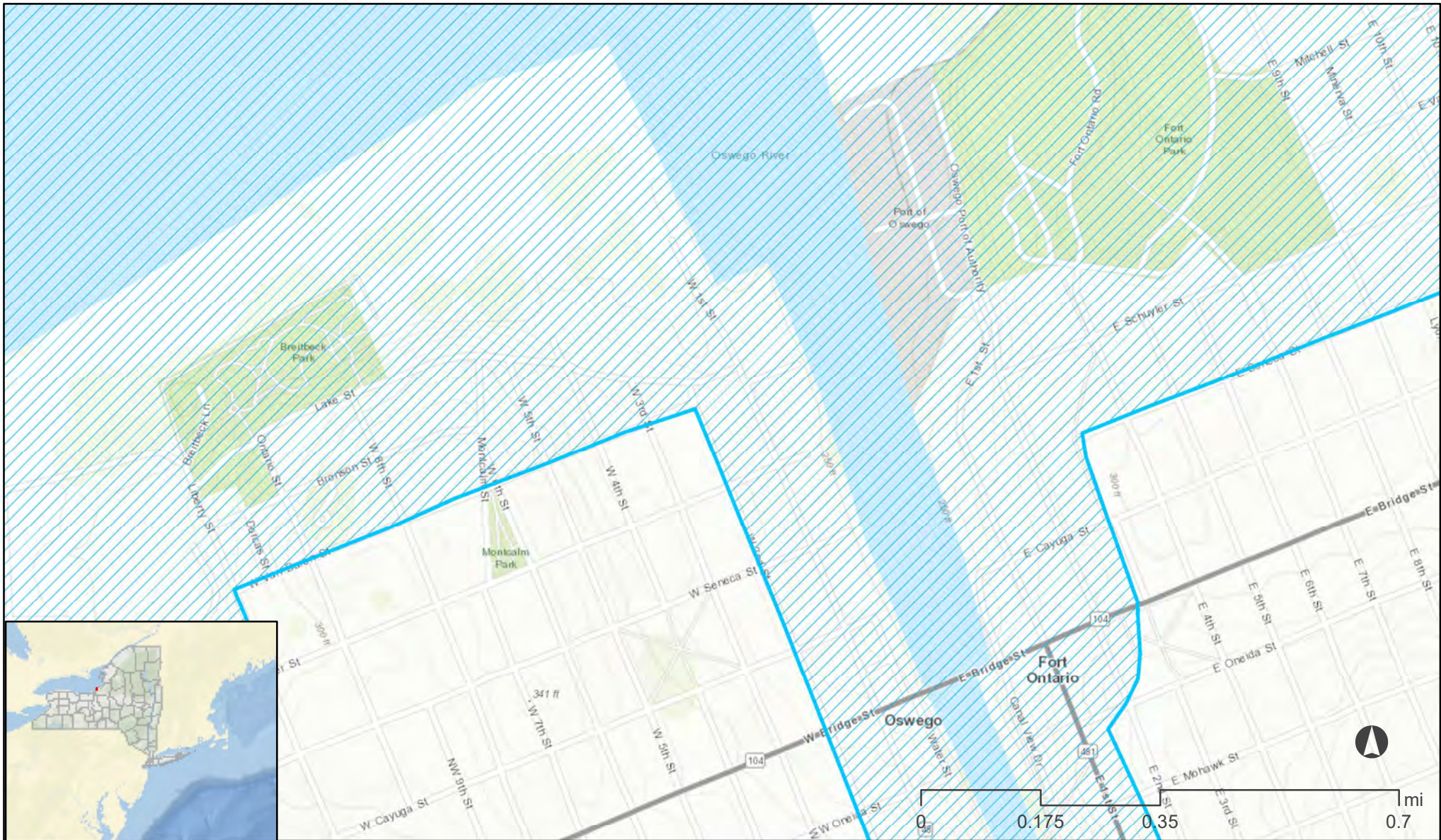


For:
City of Oswego
Zoning & Planning Office
13 West Oneida Street
Oswego, NY 13126
(315) 342-8153

0 650 1,300 2,600 3,900 5,200 Feet

By:
Oswego County Community Development, Tourism & Planning
46 East Bridge Street
Oswego, NY 13126
(315)349-8295
Karen B. Noyes, AICP
April 8, 2019

68 West 1st Street Coastal Boundary Map



— Coastal_Boundary_Polyline_update CoastalBoundary_Polygon_March2017



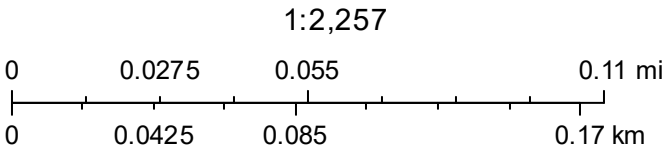
**Department
of State**

The New York Department of State (DOS) gives no warranty, expressed or implied, as to the accuracy, reliability, or completeness of data shown on this map product. DOS does not assume responsibility for the use or application of any information represented on this map nor responsibility for any error, omission or other discrepancy between the electronic and printed versions of documents.

68 West 1st Street NYSDEC Endangered and Threatened Species Map



February 8, 2019



Sources: Esri, HERE, Garmin, Intermap, increment P Corp., GEBCO, USGS, FAO, NPS, NRCAN, GeoBase, IGN, Kadaster NL, Ordnance Survey, Esri Japan, METI, Esri China (Hong Kong), swisstopo, © OpenStreetMap contributors, and the GIS User Community

HOUSING TRUST FUND CORPORATION
Program Description Form

Office of Community Renewal (OCR) state funded programs including:
Adirondack Community Housing Trust (ACHT), Buffalo Main Streets Initiative (BMSI), OCR Managed Downtown Revitalization Initiative (DRI), New York Main Street (NYMS), Rural Area Revitalization Projects (RARP), and Urban Initiatives (UI).

Local Program Administrator (LPA): City of Oswego

Funding Program: DRI

Program Municipality: Oswego

SHARS ID: 20170236

Program County: Oswego

Check all activities that apply to the Program or Project:

- | | |
|------------------------------------------------------------------|---------------------------------------------|
| <input checked="" type="checkbox"/> Façade/Storefront Renovation | <input type="checkbox"/> Streetscape |
| <input checked="" type="checkbox"/> Interior Building Renovation | <input type="checkbox"/> New Construction |
| <input checked="" type="checkbox"/> Commercial | <input type="checkbox"/> Site Work |
| <input checked="" type="checkbox"/> Residential | <input type="checkbox"/> Ground Disturbance |

For Site-Specific Review, outline the specific scope of work for the project(s). The formal scope of work should also be attached.

Restore original façade. Replace windows, roof. Remodel/upgrade interior for commercial and residential application

Description of Target Area:

Describe the target area or project site location and attach a map of the target area or project site.

Environmental Compliance Areas/ SEQR Classification Evaluation:

Specifically identify if any of the following activities will or may occur as part of this program: substantial improvement in a flood zone; projects in or adjacent to Agricultural Districts; work on a building determined by SHPO to have historic or cultural significance; ground disturbance; zoning changes, change in actual building use.

Primary Contact for Environmental Review Issues:

Prepared by: _____

Title: _____

Date: _____

Phone Number: _____

Email Address: _____

HOUSING TRUST FUND CORPORATION
Environmental Compliance Checklist

Office of Community Renewal (OCR) state funded programs including:
Adirondack Community Housing Trust (AHT), Buffalo Main Streets Initiative (BMSI), OCR Managed Downtown Revitalization Initiative (DRI), New York Main Street (NYMS), Rural Area Revitalization Projects (RARP), and Urban Initiatives (UI).

Local Program Administrator (LPA): City of Oswego

Funding DRI **Project Municipality:** Oswego

Program:
SHARS ID: 20170236 **Project County:** Oswego

Review Type: Programmatic **Project Address (if site specific):** 75-79 East Bridge St

Compliance Area	Attachments Included	Compliance Procedures
A. <u>Historic/Cultural Resources:</u> Section 14.09 of the Parks, Recreation and Historic Preservation Law.	<input checked="" type="checkbox"/>	The SHPO No Adverse Impact determination is attached.
B. <u>Flood Plains:</u> 6 NYCRR Part 502, Floodplain Management Criteria for State Projects.	<input checked="" type="checkbox"/>	The project is not within a SFHA. A copy of the FIRM map, with the Panel Number and Effective Date is included.
C. <u>Zoning:</u> C1. Projects must conform to local land use plans and receive all necessary zoning and site plan approvals and permits.	<input type="checkbox"/>	The project will not require zoning modifications, variances or a special use permit for issuance of a building permit. See attached documentation.
C2. Projects that result in a change in the building use must be identified.	<input type="checkbox"/>	The existing use of the building is commercial/residential; the proposed use is commercial/residential.
D. <u>Coastal Zones:</u> 19 NYCRR Part 600, Coastal Zone Management	<input checked="" type="checkbox"/>	The project is located within the coastal zone but is not a Type I or Unlisted action.
E. <u>Site Contamination- Hazardous Materials:</u> Projects funded under the Program must be free of hazardous materials which could affect the health and safety of the occupants or conflict with the intended utilization of the property.	<input checked="" type="checkbox"/>	An environmental professional has certified that the project site is free of hazardous materials, contamination, toxic chemicals and gases, and radioactive substances. Documentation is attached.
F. <u>Lead Based Paint:</u> All activities impacting dwelling units or child occupied facilities must be free from the hazards posed by lead-based paint. <i>Refer to the Program Policy for Lead-Based Paint.</i>	<input checked="" type="checkbox"/>	The project involves interior renovations, including residential unit(s), with more than \$5,000 per Unit Rehab Cost. A lead-based paint risk assessment has been conducted by an EPA certified Risk Assessor and is attached. Identified lead-based paint hazards will be addressed and all work and disclosures will be conducted in accordance with the Program Policy for Lead-Based Paint.
G. <u>Asbestos Containing Materials</u> NYS Department of Labor at 12 NYCRR Part 56	<input checked="" type="checkbox"/>	An Asbestos survey was conducted and it was determined that ACM will not be disturbed as documented in the attached.

H. Radon EPA map of Radon Zones; EPA Radon Mitigation Standards	<input type="checkbox"/>	The target area is located in a zone with moderate or high potential for radon levels to exceed the U.S. EPA action level (4pCi/L or higher). New construction or rehabilitation of residential units and common areas will include post-renovation testing and if elevated levels are found, a radon mitigation system will be installed in accordance with EPA Radon Mitigation Standards.
I. Wetlands: 6 NYCRR Part 663, Freshwater Wetlands Permit Requirements and, Section 404 of the Clean Water Act	<input type="checkbox"/>	The project does not involve new construction, ground disturbance or is entirely within an urban, built-up area.

J. Endangered Species: 6 NYCRR Part 182, Endangered and Threatened Species	<input checked="" type="checkbox"/>	The project is in a built up urban area and does not involve new construction, ground disturbance, or tree cutting.
K. Agricultural Districts: Agriculture and Markets Law Article 25-AA, Sections 303 and 304, Agricultural Districts	<input type="checkbox"/>	The program is not located in an agricultural district, does not involve any activities with potential to convert farmland to nonagricultural use and does not require an Agricultural Data Statement.

Individual site specific checklists may require more detailed supporting documentation and review in circumstances such as:

- substantial improvement in a flood zone;
- projects in, or adjacent to, Agricultural Districts;
- work on a building determined by SHPO to have historic or cultural significance;
- ground disturbance;
- zoning changes;
- a change in actual building use (whether or not this change is locally regulated);
- if the work constitutes a SEQR Unlisted action.

Certification

I am authorized to execute contract materials for the program award to the Local Program Administrator (LPA) named above. I have read this Checklist and by signing this document agree with the statements made herein and agree that: (1) site specific checklists will be prepared and submitted to the OCR for each project site and additional documentation will be provided as necessary for the circumstances listed above; (2) project activities will be conducted in conformance with the described compliance procedures; (3) an environmental determination letter or approval from OCR will be received before taking any physical action on a site or incurring costs related to a specific activity; and, (4) costs incurred for activities completed prior to the SEQR determination and submission of the site-specific checklist will not be eligible for reimbursement.

Signature:

Printed Name: William Barlow, Jr.
Title: Mayor

Prepared by: _____
Title: _____

Date: _____

Phone: _____

Email: _____



Parks, Recreation, and Historic Preservation

ANDREW M. CUOMO
Governor

ROSE HARVEY
Commissioner

October 29, 2018

Mr. Tim Stahl
Deputy Director
City of Oswego
44 East Bridge Street
Oswego, ny 13126

Re: HTFMS
Oswego DRI-75-79 East Bridge Street
75 East Bridge Street, Oswego, NY 13126
18PR07032
DRI Project No. 20170236

Dear Mr. Stahl:

Thank you for requesting the comments of the Office of Parks, Recreation and Historic Preservation (OPRHP). We have reviewed the project in accordance with the New York State Historic Preservation Act of 1980 (Section 14.09 of the New York Parks, Recreation and Historic Preservation Law). These comments are those of the OPRHP and relate only to Historic/Cultural resources. They do not include potential environmental impacts to New York State Parkland that may be involved in or near your project. Such impacts must be considered as part of the environmental review of the project pursuant to the State Environmental Quality Review Act (New York Environmental Conservation Law Article 8) and its implementing regulations (6 NYCRR Part 617).

Based upon this review, it is the New York State Office of Parks, Recreation and Historic Preservation's opinion that your project will have no impact on archaeological and/or historic resources listed in or eligible for the New York State and National Registers of Historic Places.

If further correspondence is required regarding this project, please be sure to refer to the OPRHP Project Review (PR) number noted above.

Sincerely,

Michael F. Lynch, P.E., AIA
Director, Division for Historic Preservation

National Flood Hazard Layer FIRMette



Legend

SEE FIS REPORT FOR DETAILED LEGEND AND INDEX MAP FOR FIRM PANEL LAYOUT

SPECIAL FLOOD HAZARD AREAS		Without Base Flood Elevation (BFE) Zone A, V, A99
		With BFE or Depth Zone AE, AO, AH, VE, AR
		Regulatory Floodway
OTHER AREAS OF FLOOD HAZARD		0.2% Annual Chance Flood Hazard, Areas of 1% annual chance flood with average depth less than one foot or with drainage areas of less than one square mile Zone X
		Future Conditions 1% Annual Chance Flood Hazard Zone X
		Area with Reduced Flood Risk due to Levee. See Notes. Zone X
		Area with Flood Risk due to Levee Zone D
OTHER AREAS		NO SCREEN Area of Minimal Flood Hazard Zone X
		Effective LOMRs
		Area of Undetermined Flood Hazard Zone D
GENERAL STRUCTURES		Channel, Culvert, or Storm Sewer
		Levee, Dike, or Floodwall
OTHER FEATURES		20.2 Cross Sections with 1% Annual Chance Water Surface Elevation
		17.5 Cross Sections with 1% Annual Chance Water Surface Elevation
		Coastal Transect
		Base Flood Elevation Line (BFE)
		Limit of Study
		Jurisdiction Boundary
		Coastal Transect Baseline
MAP PANELS		Digital Data Available
		No Digital Data Available
		Unmapped



The pin displayed on the map is an approximate point selected by the user and does not represent an authoritative property location.

This map complies with FEMA's standards for the use of digital flood maps if it is not void as described below. The basemap shown complies with FEMA's basemap accuracy standards

The flood hazard information is derived directly from the authoritative NFHL web services provided by FEMA. This map was exported on **2/8/2019 at 9:39:11 AM** and does not reflect changes or amendments subsequent to this date and time. The NFHL and effective information may change or become superseded by new data over time.

This map image is void if the one or more of the following map elements do not appear: basemap imagery, flood zone labels, legend, scale bar, map creation date, community identifiers, FIRM panel number, and FIRM effective date. Map images for unmapped and unmodernized areas cannot be used for regulatory purposes.



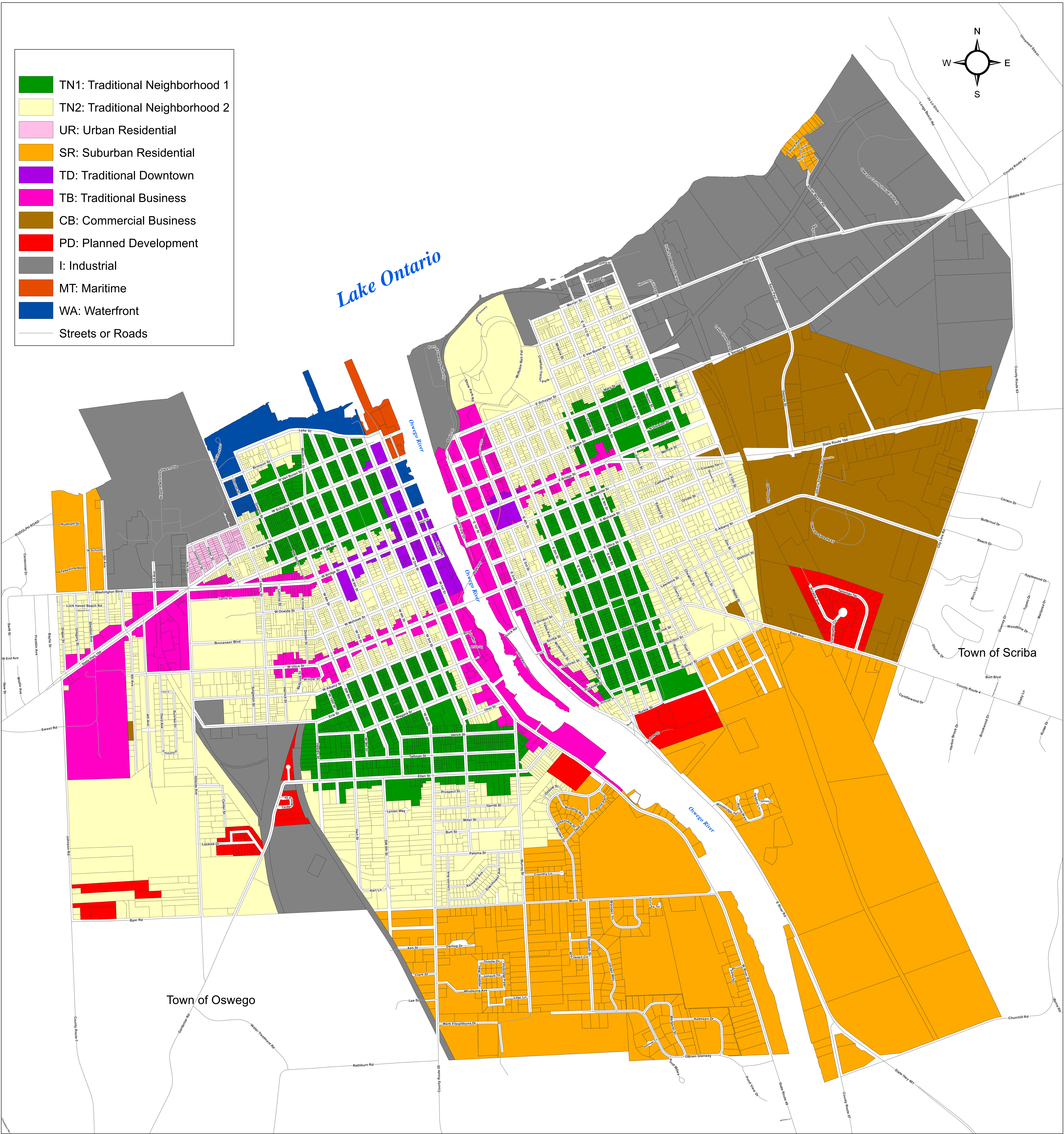
USGS The National Map: Orthoimagery, Data refreshed October, 2017.

1:6,000

43°27'17.56"N

76°29'58.37"W

City of Oswego Zoning Map

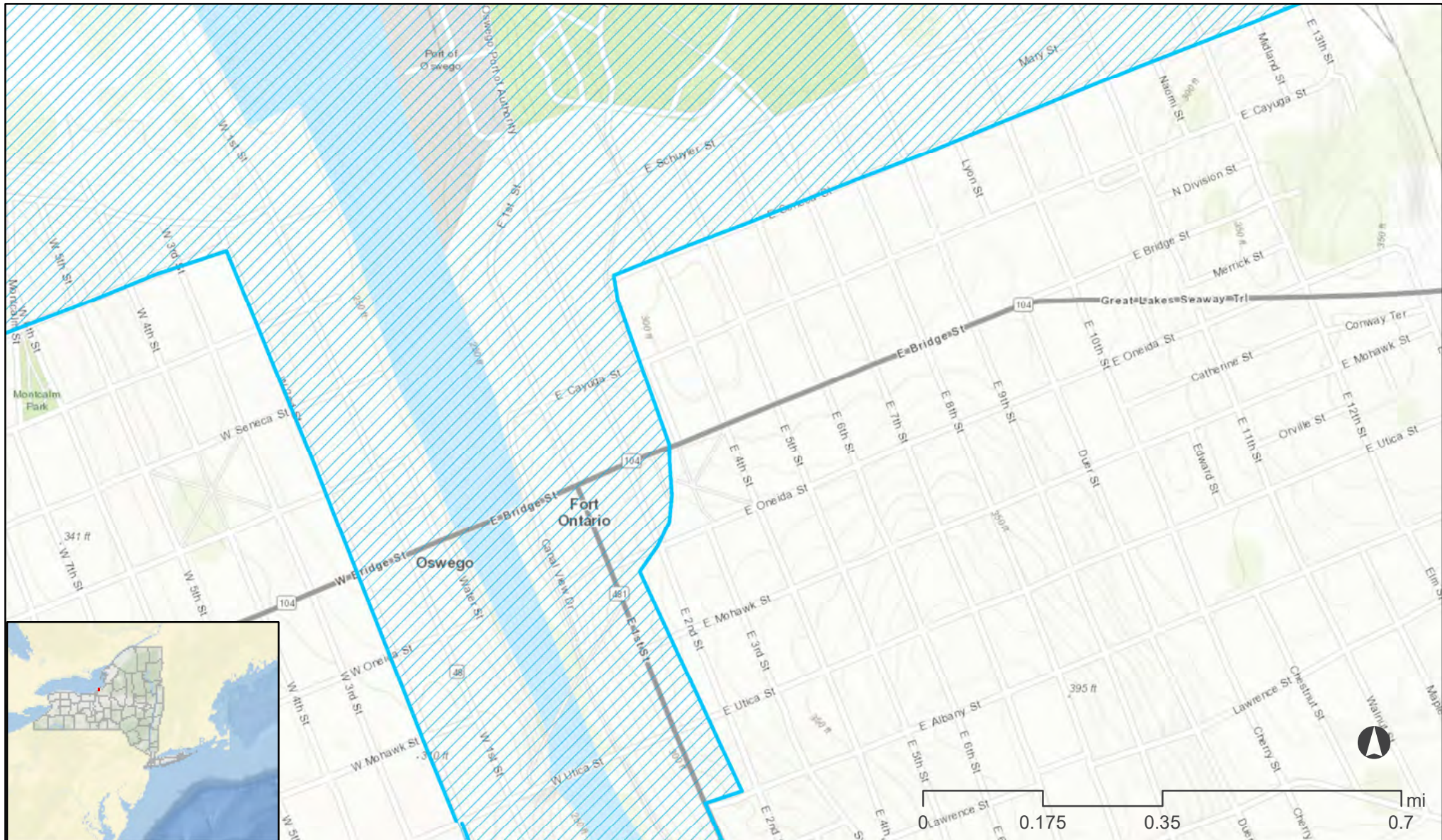


For:
City of Oswego
Zoning & Planning Office
13 West Oneida Street
Oswego, NY 13126
(315) 342-8153

0 650 1,300 2,600 3,900 5,200 Feet

By:
Oswego County Community Development, Tourism & Planning
46 East Bridge Street
Oswego, NY 13126
(315)349-8295
Karen B. Noyes, AICP
April 8, 2019

75-79 East Bridge St Coastal Boundary Map



— Coastal_Boundary_Polyline_update CoastalBoundary_Polygon_March2017



Department
of State

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April 12th, 2019

Housing Trust Fund Corporation
OCR Managed Downtown Revitalization Initiative Projects (DRI)

Re: 75-79 East Bridge Street, Oswego, New York – Environmental Compliance Handbook

To whom it my concern:

As per the Environmental Compliance Handbook, Section E: Site Contamination (Hazardous Materials), established by the Housing Trust Fund Corporation, the project site at 75-79 East Bridge Street in Oswego, New York was examined for the potential presence of hazardous materials. The three (3) online databases that were used in this desktop review were the Nationwide Environmental Title Research (NETR) Database, the New York State Department of Environmental Conservation (NYSDEC) Environmental Site Database, and the United States Environmental Protection Agency (USEPA) EnviroFacts Database.

The project site is free of hazardous materials, contamination, toxic chemicals and gases, and radioactive substances which could affect the health and safety of occupants or users or conflict with the intended utilization of the property. I, Ariadna Cheremeteff, certify that I am an environmental professional as per ASTM 1527.

Please email if you have any questions.

Sincerely,

Ariadna Cheremeteff
BERGMANN
Environmental Discipline Leader
acheremeteff@bergmannpc.com



April 9th, 2019

Mr. Tim Stahl, Deputy Director
The City of Oswego – Office of Economic Development
44 East Bridge Street
Oswego, New York 13126

Re: Lead Based Paint Sampling Results – 75-79 East Bridge Street, Oswego, New York

Dear Mr. Stahl,

Bergmann was retained by the City of Oswego to conduct Lead Based Paint (LBP) sampling on select sites in the City of Oswego as part of the Downtown Revitalization Initiative (DRI). The results in this letter and the information gathered during Bergmann's sampling is being used to satisfy Section F of the Housing Trust Fund Corporation Environmental Compliance Checklist (ECC). Below is a summary of the materials sampled by Bergmann using a Thermo XL2 980 X-Ray Fluorescence (XRF) ray. Bergmann took three (3) samples from various DRI sites throughout the City of Oswego that were submitted to Paradigm Environmental Services for laboratory confirmation of the accuracy of the XRF ray. No major discrepancies between the field XRF results and laboratory analytical results were observed. In addition to the summary below, attached you will find a frontal façade photograph depicting the exact locations of the paints that were screened by Bergmann.

The following paints at 75-79 East Bridge Street, Oswego, NY were screened by Bergmann using an XRF on March 29th, 2019:

- Beige paint on brick (negative)
- Brown painted window trim (negative)
- Green window trim (negative)
- Blue paint on brick (eastern side of building, negative)
- Green paint on the underside of awnings (negative)
- Blue painted brick above storefront (positive, 5.07 mg/cm²)
- Beige painted brick on western building (positive, 3.60 mg/cm²)
- Gold paint on trim and underside of awnings (positive, 6.61 mg/cm²)

In addition, Bergmann personnel sampled interior paints. One (1) paint was identified inside of the building at 75-79 East Bridge Street that was sampled for laboratory analysis on March 21st, 2019. The sample taken at this time for laboratory analysis was identified as a potential LBP during an interior Asbestos Containing Materials (ACM) survey. The sample was analyzed by Paradigm Environmental Services in Rochester, New York. The following sample was taken from the interior of 75-79 East Bridge Street:

- Paint on the interior framing of all upstairs windows. This paint is homogenous to the window trim paint that is on the exterior of all window as well (positive, 0.837% lead).



The condition of the sampled paints were also examined. The conditions of the sampled positive paints are summarized below:

Paint Sample	Sample Condition (Good, Fair, Poor)
Blue painted brick above storefront	Good
Beige painted brick on western building	Good
Gold paint on trim and underside of awnings	Good
Paint on the interior framing of all upstairs windows	Good

The Federal Department of Housing and Urban Development (HUD) has established guidelines for the identification of lead based paint. Paint containing a concentration equal to or greater than 0.5% by weight (5,000 parts per million or 5,000 ppm) is to be considered lead-based paint. Given these values established by the HUD, all paint identified above as "positive" is considered to be lead based paint and must be abated to satisfy Section F of the Environmental Compliance Checklist (ECC). As per the request of New York State Homes and Community Renewal (NYSHCR), Bergmann has attached a Worker Protection Plan that details specific lead hazard exposure control recommendations. This plan is included in Attachment 4 of this summary letter.

In addition, the laboratory analytical report and chain-of-custody form is included as an attachment to the letter.

Should any additional layers of paint be found during the abatement or renovation process, they are to be sampled via XRF or laboratory analyses to confirm or deny the presence of lead at levels exceeding the HUD action level of 0.5% by weight.

Please email if you have any questions.

Sincerely,
Bergmann

CASH R. BLEIER
Environmental Scientist
cbleier@bergmannpc.com

- Attachment 1: Exterior Sample Location Photo
- Attachment 2: Laboratory Results for Interior LBP Sample
- Attachment 3: Interior Photographs
- Attachment 4: Section 028214 – Protection of Worker – Lead-Based Paint



BERGMANN

ARCHITECTS ENGINEERS PLANNERS





PARADIGM
ENVIRONMENTAL SERVICES, INC.

Analytical Report For
Bergmann Associates

For Lab Project ID

191137

Referencing

Oswego DRI

Prepared

Thursday, March 28, 2019

Any noncompliant QC parameters or other notes impacting data interpretation are flagged or documented on the final report or are noted below:

Reduced sample size used for Lead analysis due to limited sample volume. Kindly refer to Chain of Custody Supplement for the affected sample(s).

A handwritten signature in black ink, appearing to be "Jia", is written over a horizontal line.

Certifies that this report has been approved by the Technical Director or Designee

179 Lake Avenue • Rochester, NY 14608 • (585) 647-2530 • Fax (585) 647-3311 • ELAP ID# 10958

This report is part of a multipage document and should only be evaluated in its entirety. The Chain of Custody provides additional sample information, including compliance with the sample condition requirements upon receipt.

Report Prepared Thursday, March 28, 2019

Page 1 of 12



Lab Project ID: 191137

Client: **Bergmann Associates**

Project Reference: Oswego DRI

Sample Identifier: 18-LBP-001

Lab Sample ID: 191137-07

Date Sampled: 3/21/2019

Matrix: Paint

Date Received: 3/21/2019

Lead

<u>Analyte</u>	<u>Result</u>	<u>Units</u>	<u>Qualifier</u>	<u>Date Analyzed</u>
Lead	0.837	%		3/22/2019 19:30
Method Reference(s):	EPA 6010C			
	EPA 3050B			
Preparation Date:	3/22/2019			
Data File:	190322B			



Analytical Report Appendix

The reported results relate only to the samples as they have been received by the laboratory.

Each page of this document is part of a multipage report. This document may not be reproduced except in its entirety, without the prior consent of Paradigm Environmental Services, Inc.

All soil/sludge samples have been reported on a dry weight basis, unless qualified "reported as received". Other solids are reported as received.

Low level Volatiles blank reports for soil/solid matrix are based on a nominal 5 gram weight. Sample results and reporting limits are based on actual weight, which may be more or less than 5 grams.

The Chain of Custody provides additional information, including compliance with sample condition requirements upon receipt. Sample condition requirements are defined under the 2003 NELAC Standard, sections 5.5.8.3.1 and 5.5.8.3.2.

NYSDOH ELAP does not certify for all parameters. Paradigm Environmental Services or the indicated subcontracted laboratory does hold certification for all analytes where certification is offered by ELAP unless otherwise specified. Aliquots separated for certain tests, such as TCLP, are indicated on the Chain of Custody and final reports with an "A" suffix.

Data qualifiers are used, when necessary, to provide additional information about the data. This information may be communicated as a flag or as text at the bottom of the report. Please refer to the following list of analyte-specific, frequently used data flags and their meaning:

"<" = Analyzed for but not detected at or above the quantitation limit.

"E" = Result has been estimated, calibration limit exceeded.

"Z" = See case narrative.

"D" = Sample, Laboratory Control Sample, or Matrix Spike Duplicate results above Relative Percent Difference limit.

"M" = Matrix spike recoveries outside QC limits. Matrix bias indicated.

"B" = Method blank contained trace levels of analyte. Refer to included method blank report.

"J" = Result estimated between the quantitation limit and half the quantitation limit.

"L" = Laboratory Control Sample recovery outside accepted QC limits.

"P" = Concentration differs by more than 40% between the primary and secondary analytical columns.

"NC" = Not calculable. Applicable to RPD if sample or duplicate result is non-detect or estimated (see primary report for data flags). Applicable to MS if sample is greater or equal to ten times the spike added. Applicable to sample surrogates or MS if sample dilution is 10x or higher.

"" = Indicates any recoveries outside associated acceptance windows. Surrogate outliers in samples are presumed matrix effects. LCS demonstrates method compliance unless otherwise noted.*

"(1)" = Indicates data from primary column used for QC calculation.

"A" = denotes a parameter for which ELAP does not offer approval as part of their laboratory certification program.

"F" = denotes a parameter for which Paradigm does not carry certification, the results for which should therefore only be used where ELAP certification is not required, such as personal exposure assessment.

This report is part of a multipage document and should only be evaluated in its entirety. The Chain of Custody provides additional sample information, including compliance with the sample condition requirements upon receipt.

GENERAL TERMS AND CONDITIONS

LABORATORY SERVICES

These Terms and Conditions embody the whole agreement of the parties in the absence of a signed and executed contract between the Laboratory (LAB) and Client. They shall supersede all previous communications, representations, or agreements, either verbal or written, between the parties. The LAB specifically rejects all additional, inconsistent, or conflicting terms, whether printed or otherwise set forth in any purchase order or other communication from the Client to the LAB. The invalidity or unenforceability in whole or in part of any provision, term or condition hereof shall not affect in any way the validity or enforceability of the remainder of the Terms and Conditions. No waiver by LAB of any provision, term, or condition hereof or of any breach by or obligation of the Client hereunder shall constitute a waiver of such provision, term, or condition on any other occasion or a waiver of any other breach by or obligation of the Client. This agreement shall be administered and interpreted under the laws of the state which services are procured.

Warranty.

Recognizing that the nature of many samples is unknown and that some may contain potentially hazardous components, LAB warrants only that it will perform testing services, obtain findings, and prepare reports in accordance with generally accepted analytical laboratory principles and practices at the time of performance of services. LAB makes no other warranty, express or implied.

Scope and Compensation.

LAB agrees to perform the services described in the chain of custody to which these terms and conditions are attached. Unless the parties agree in writing to the contrary, the duties of LAB shall not be construed to exceed the services specifically described. LAB will use LAB default method for all tests unless specified otherwise on the Work Order.

Payment terms are net 30 days from the date of invoice. All overdue payments are subject to an interest charge of one and one-half percent (1-1/2%) per month or a portion thereof. Client shall also be responsible for costs of collection, including payment of reasonable attorney fees if such expense is incurred. The prices, unless stated, do not include any sale, use or other taxes. Such taxes will be added to invoice prices when required.

Prices.

Compensation for services performed will be based on the current Lab Analytical Fee Schedule or on quotations agreed to in writing by the parties. Turnaround time based charges are determined from the time of resolution of all work order questions. Testimony, court appearances or data compilation for legal action will be charged separately. Evaluation and reporting of initial screening runs may incur additional fees.

Limitations of Liability.

In the event of any error, omission, or other professional negligence, the sole and exclusive responsibility of LAB shall be to re-perform the deficient work at its own expense and LAB shall have no other liability whatsoever. All claims shall be deemed waived unless made in writing and received by LAB within ninety (90) days following completion of services.

LAB shall have no liability, obligation, or responsibility of any kind for losses, costs, expenses, or other damages (including but not limited to any special, direct, incidental or consequential damages) with respect to LAB's services or results.

All results provided by LAB are strictly for the use of its clients and LAB is in no way responsible for the use of such results by clients or third parties. All reports should be considered in their entirety, and LAB is not responsible for the separation, detachment, or other use of any portion of these reports. Client may not assign the lab report without the written consent of the LAB.

Client covenants and agrees, at its/his/her sole expense, to indemnify, protect, defend, and save harmless the LAB from and against any and all damages, losses, liabilities, obligations, penalties, claims, litigation, demands, defenses, judgments, suits, actions, proceedings, costs, disbursements and/or expenses (including, without limitation attorneys' and experts' fees and disbursements) of any kind whatsoever which may at any time be imposed upon, incurred by or asserted or awarded against client relating to, resulting from or arising out of (a) the breach of this agreement by this client, (b) the negligence of the client in handling, delivering or disclosing any hazardous substance, (c) the violation of the Client of any applicable law, (d) non-compliance by the Client with any environmental permit or (e) a material misrepresentation in disclosing the materials to be tested.

Hazard Disclosure.

Client represents and warrants that any sample delivered to LAB will be preceded or accompanied by complete written disclosure of the presence of any hazardous substances known or suspected by Client. Client further warrants that any sample containing any hazardous substance that is to be delivered to LAB will be packaged, labeled, transported, and delivered properly and in accordance with applicable laws.

Sample Handling.

Prior to LAB's acceptance of any sample (or after any revocation of acceptance), the entire risk of loss or of damage to such sample remains with Client. Samples are accepted when receipt is acknowledged on chain of custody documentation. In no event will LAB have any responsibility for the action or inaction of any carrier shipping or delivering any sample to or from LAB premises.

Client authorizes LAB to proceed with the analysis of samples as received by the laboratory, recognizing that any samples not in compliance with all current DOH-ELAP-NELAP requirements for containers, preservation or holding time will be noted as such on the final report.

Disposal of hazardous waste samples is the responsibility of the Client. If the Client does not wish such samples returned, LAB may add storage and disposal fees to the final invoice. Maximum storage time for samples is 30 days after completion of analysis unless modified by applicable state or federal laws. Client will be required to give the LAB written instructions concerning disposal of these samples.

LAB reserves the absolute right, exercisable at any time, to refuse to receive delivery of, refuse to accept, or revoke acceptance of any sample, which, in the sole judgment of LAB (a) is of unsuitable volume, (b) may be or become unsuitable for or may pose a risk in handling, transport, or processing for any health, safety, environmental or other reason whether or not due to the presence in the sample of any hazardous substance, and whether or not such presence has been disclosed to LAB by Client or (c) if the condition or sample date make the sample unsuitable for analysis.

Legal Responsibility.

LAB is solely responsible for performance of this contract, and no affiliated company, director, officer, employee, or agent shall have any legal responsibility hereunder, whether in contract or tort including negligence.

Assignment.

LAB may assign its performance obligations under this contract to other parties, as it deems necessary. LAB shall disclose to Client any assignee (subcontractor) by ELAP ID # on the submitted final report.

Force Majeure.

LAB shall have no responsibility or liability to the Client for any failure or delay in performance by LAB, which results in whole or in part from any cause or circumstance beyond the reasonable control of LAB. Such causes and circumstances shall include, but not limited to, acts of God, acts or orders of any government authority, strikes or other labor disputes, natural disasters, accidents, wars, civil disturbances, difficulties or delays in transportation, mail or delivery services, inability to obtain sufficient services or supplies from LAB's usual suppliers, or any other cause beyond LAB's reasonable control.

Law.

This contract shall be continued under the laws of the State of New York without regard to its conflicts of laws provision.

This report is part of a multipage document and should only be evaluated in its entirety. The Chain of Custody provides additional sample information, including compliance with the sample condition requirements upon receipt.



CHAIN OF CUSTODY

PROJECT REFERENCE				REPORT TO:		INVOICE TO:		LAB PROJECT ID			
Oswego DRI				CLIENT:	Bergmann	CLIENT:	Same	191137			
				ADDRESS:	280 E. Broad Street, Suite 200	ADDRESS:		Quotation #:			
				CITY:	Rochester	STATE:	NY	ZIP:	14604	Email:	
				PHONE:	585-498-7950			PHONE:			
				ATTN:	Cash Bleier	ATTN:		cbleier@bergmannpc.com			
				Matrix Codes: AQ - Aqueous Liquid WA - Water DW - Drinking Water SO - Soil SD - Solid WP - Wipe OL - Oil NQ - Non-Aqueous Liquid WG - Groundwater WW - Wastewater SL - Sludge PT - Paint CK - Caulk AR - Air							
REQUESTED ANALYSIS											
DATE COLLECTED	TIME COLLECTED	COMPOSITE	GRAB	SAMPLE IDENTIFIER	MATRIX	CONTAINER	LEAD	REMARKS	PARADIGM LAB SAMPLE NUMBER		
3/21/2019	9:30am			18-LBP-001	PT	X			07		

Turnaround Time		Report Supplements	
Availability contingent upon lab approval; additional fees may apply.			
Standard 5 day	<input checked="" type="checkbox"/>	None Required	<input checked="" type="checkbox"/>
10 day	<input type="checkbox"/>	Batch QC	<input type="checkbox"/>
Rush 3 day	<input type="checkbox"/>	Category A	<input type="checkbox"/>
Rush 2 day	<input type="checkbox"/>	Category B	<input type="checkbox"/>
Rush 1 day	<input type="checkbox"/>		
Other	<input type="checkbox"/>	Other	<input type="checkbox"/>
please indicate date needed:		please indicate package needed:	

C. Bleier / S. Francis

3/20/2019 & 3/21/2019

Sampled By

Date/Time

Total Cost:

Relinquished By

Date/Time

Received By

Date/Time

P.I.F.

Received @ Lab By

Date/Time

By signing this form, client agrees to Paradigm Terms and Conditions (reverse).

See additional page for sample conditions.



Chain of Custody Supplement

Client: Bergmann

Completed by: Molly Vail

Lab Project ID: 191137

Date: 3/21/19

Sample Condition Requirements

Per NELAC/ELAP 210/241/242/243/244

NELAC compliance with the sample condition requirements upon receipt			
Condition	Yes	No	N/A
Container Type	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Comments			
Transferred to method-compliant container	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Headspace (<1 mL)	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Comments			
Preservation	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Comments			
Chlorine Absent (<0.10 ppm per test strip)	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Comments			
Holding Time	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Comments			
Temperature	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Comments			
Sufficient Sample Quantity	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Comments	<p>Samples [redacted] 07 were below lg for MPBX</p> <p>analysis CM 3/25/19</p>		



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ARCHITECTS ENGINEERS PLANNERS



Paint on the interior framing of all upstairs windows

SECTION 028214 – PROTECTION OF WORKER – LEAD-BASED PAINT

PART 1 - GENERAL

1.1 SCOPE

Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

Contractors are alerted to the fact that the paint coating on surfaces in this project contains lead. Lead is a toxic metal capable of causing damage to the nervous system, kidneys, bones, heart and reproductive system.

Any surface coated with paint is considered to contain some percentage of lead, based on the age of the building. Any alteration and/or repair, including painting and decorating shall meet the requirements of OSHA CFR 29 1926.62 Construction Lead Standard.

1.2 SUBMITTALS

A. Contractors of each trade shall submit their written Lead Program prior to the start of work. The plan must identify potential sources of lead exposure and propose specific procedures to protect workers from those exposures.

1.3 DEFINITIONS

A. Action Level means employee exposure, without regard to the use of respirators, to an airborne concentration of lead of 30 micrograms per cubic meter of air (30 ug/m^3) calculated as an 8-hour time weighted average (TWA).

B. Exposure Assessment means a Contractor's requirement to determine if any Contractor's employees may be exposed to lead at or above the action level.

C. Lead means metallic lead, all inorganic lead compounds and organic lead soaps. Excluded from this definition are all other organic lead compounds.

D. Permissible Exposure Limit means employee exposure, without the use of respirators, to an airborne concentration of lead of 50 ug/m^3 averaged over an 8-hour period.

PART 2 - PRODUCTS

None Specified.

PART 3 - EXECUTION

3.1 PROTECTION OF WORKERS

A. All Contractors shall be responsible to conduct an exposure assessment and shall initially determine if any Contractor's employee may be exposed to lead at or above the action level. Until the Contractor performs a Contractor's employee exposure assessment, the Contractor shall provide to Contractor's employees interim protection as specified in 29 CFR 1926.62, as follows:



1. Appropriate respiratory protection
2. Appropriate personal protective clothing and equipment
3. Change areas
4. Hand Washing Facilities
5. Biological Monitoring to consist of blood sampling and analysis for lead and zinc protoporphyrin levels
6. Training

3.2 EXPOSURE ASSESSMENT

A. The Contractor shall collect personal samples representative of a full shift including at least one sample for each job classification in each work area either for each shift or for the shift with the highest exposure.

1. Below the Action Level - should the initial personal air monitoring results be less than 30 ug/m³ the Contractor shall make a written record of such determination. Further exposure determination need not be repeated except as follows:

a. Whenever there has been a change of equipment, process, control, personnel or a new task has been initiated that may result in additional employees being exposed to lead at or above the action level or may result in employees already exposed at or above the action level being exposed above the PEL, the employer shall conduct additional monitoring.

2. At or Above the Action Level but at or Below the PEL - the Contractor shall perform monitoring until at least two consecutive measurements taken at least 7 days apart, are below the action level at which time the Contractor may discontinue monitoring for that employee except as otherwise provided in paragraph 3.02.A.1.a.

3. Above the PEL - the Contractor shall perform monitoring until at least two consecutive measurements taken at least 7 days apart, are at or below the PEL but at or above the action level at which time the Contractor shall repeat monitoring for that Contractor's employee as specified in 3.02 A.2.

3.3 METHODS OF COMPLIANCE

A. To the extent feasible, Contractors must reduce worker lead exposure to the Permissible Exposure Limit (PEL) of 50 ug/m³ by a combination of engineering controls, work practice, and administrative controls.

B. Respiratory protection and other protective equipment must be provided and used to the extent that the engineering and work practice controls cannot reduce exposure to the PEL as specified within 29 CFR 1926.62.

3.4 HOUSEKEEPING (required whenever lead is disturbed)

A. All surfaces shall be maintained as free as practical of accumulations of lead.

B. Clean up of floors and other surfaces where lead accumulates shall wherever possible be cleaned by vacuuming or other methods that minimize the likelihood of lead becoming airborne.

C. Shoveling, dry or wet sweeping and brushing may be used only where vacuuming or other equally effective methods have been tried and found not to be effective.

D. Where vacuuming methods are selected, the vacuums shall be equipped with HEPA filters and used and emptied in a manner which minimizes the reentry of lead into the workplace.

E. Compressed air shall not be used to remove lead from any surface unless the compressed air is used in conjunction with a ventilation system designed to capture the airborne dust created by the compressed air.

3.5 HYGIENE FACILITIES AND PRACTICES (required above the PEL)

The Contractor shall assure that in areas where Contractor's employees are exposed to lead above the PEL without regard to the use of respirators, food or beverage is not present or consumed, tobacco products are not present or used, and cosmetics are not applied.

Change Areas (required above the PEL and during exposure assessment)

1. The Contractor shall provide clean change areas for employees whose airborne exposure to lead is above the PEL, and as interim protection for employees.
2. The Contractor shall assure that change areas are equipped with separate storage facilities for protective work clothing and equipment and for street clothes which prevent cross-contamination.
3. The Contractor shall assure that Contractor's employees do not leave the workplace wearing any protective clothing or equipment that is required to be worn during the work shift.

C. Showers (required above the PEL)

1. The Contractor shall provide shower facilities, where feasible, for use by Contractor's employees whose airborne exposure to lead is above the PEL.
2. The Contractor shall assure where shower facilities are available, that Contractor's employees shower at the end of the work shift and shall provide an adequate supply of cleansing agents and towels for use by affected Contractor's employees.

D. Eating Facilities (required above the PEL)

1. The Contractor shall provide lunchroom facilities or eating areas for Contractor's employees whose airborne exposure to lead is above the PEL, without regard to the use of respirators.
2. The Contractor shall assure that lunchroom facilities or eating areas are as free as practicable from lead contamination and are readily accessible to Contractor's employees.
3. The Contractor shall assure that Contractor's employees whose airborne exposure to lead is above the PEL, without regard to the use of a respirator, wash their hands and face prior to eating, drinking, smoking or applying cosmetics.
4. The Contractor shall assure that Contractor's employees do not enter lunchroom facilities or eating areas with protective work clothing or equipment unless surface lead dust has been removed by vacuuming, downdraft booth, or other cleaning method that limits dispersion of lead dust.

E. Handwashing Facilities (required whenever lead is disturbed)

1. The Contractor shall provide adequate handwashing facilities for use by Contractor's employees exposed to lead.

Where showers are not provided the Contractor shall assure that Contractor's employees wash their hands and face at the end of the work shift.

3.6 MEDICAL SURVEILLANCE (required whenever lead is disturbed)

- A. The Contractor is responsible for providing medical examinations and maintaining medical records of personnel as required by 29 CFR 1926.62 (j) Medical Surveillance.

3.7 TRAINING (required whenever lead is disturbed)

A. For all Contractor's employees who are subject to exposure to lead at or above the action level on any day or who are subject to exposure to lead compounds which may cause skin or eye irritation, the Contractor shall provide a training program in accordance with 29 CFR 1926.62 (l)(2).

3.8 SIGNS (required above the PEL)

A. The Contractor shall post the following warning signs in each work area where Contractor's employees exposure to lead is above the PEL.

WARNING
LEAD WORK AREA
POISON
NO SMOKING OR EATING

B. The Contractor shall assure that signs are illuminated and cleaned as necessary so that the legend is readily visible.

3.9 RECORDKEEPING (required whenever lead is disturbed)

A. The Contractor is responsible to establish and maintain an accurate record of all monitoring and other data used in conducting Contractor's employee exposure assessments and for each Contractor's employee subject to medical surveillance as required per 29 CFR 1926.62 (n).

3.10 OBSERVATION OF MONITORING (required whenever lead is disturbed)

A. The Contractor shall provide affected Contractor's employees or their designated representatives an opportunity to observe any monitoring of employee exposure to lead.

B. Whenever observation of the monitoring of employee exposure to lead requires entry into an area where the use of respirators, protective clothing or equipment is required, the Contractor shall provide the observer with and assure the use of such respirators, clothing and equipment.

C. Without interfering with the monitoring, observers shall be entitled to:

1. Receive an explanation of the measurement procedures;
2. Observe all steps related to the monitoring of lead performed at the place of exposure; and
3. Record the results obtained or receive copies of the results when returned by the laboratory.

END OF SECTION 028314



BERGMANN

ARCHITECTS ENGINEERS PLANNERS

Pre-Renovation Asbestos Containing Materials Survey

75-79 East Bridge Street, Oswego, New York



Date: June 17th, 2019

Project Number: 010875.00

Bergmann

Office:

280 East Broad Street, Suite 200
Rochester, New York 14604

Phone: 585.232.5135

www.bergmannpc.com





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Appendix A: Bergmann Asbestos License, Worker Certifications, Laboratory Certifications

Appendix B: Sample Location Photographs

Appendix C: Laboratory Analytical Reports and Chain-of-Custody For



1.0 INTRODUCTION

The City of Oswego retained Bergmann to conduct a Pre-Renovation Asbestos Containing Materials (ACM) Survey for the existing structure located at 75-79 East Bridge Street, Oswego, New York.

This survey is inclusive of the second story of the property consisting of: stairway, stairway landing, and the two (2) apartment units on the second floor, the kitchen area, the bar area, and the dining area. The purpose of this report is to satisfy Section G of the Environmental Compliance Checklist per the Housing Trust Fund Corporation as part of the Downtown Revitalization Initiative (DRI) for the City of Oswego. Only materials on the second story of the building were sampled as a part of this survey. Two (2) New York State Department of Labor (NYSDOL) certified asbestos inspectors from Bergmann were on site to sample materials on March 21, 2019 (appropriate credential included in Appendix A).

The result of this pre-renovation survey, based on field observations and the samples collected and analyzed, did not reveal the presence of asbestos.

2.0 ASBESTOS SURVEY AND SAMPLING METHODOLOGY

Bergmann conducted a site visit to collect bulk samples and to estimate quantities of suspect asbestos containing materials on March 21, 2019. The site visit and bulk sampling was performed Cash Bleier, a NYSDOL certified Asbestos Inspector. Samples were collected from accessible portions of each building interior space.

Bergmann personnel conducted the Asbestos Survey and submitted samples for laboratory analysis in accordance with applicable Federal and State regulations. These regulations include:

- National Emission Standards for Hazardous Air Pollutants (NESHAPS)
- New York State Department of Labor Industrial Code Rule 56 (ICR-56)
- New York State Department of Health Environmental Laboratory Approval Program (NYSDOH-ELAP)
- National Voluntary Laboratory Accreditation Program (NVLAP)
- Occupational and Health Administration (OSHA) Regulation 29 CFR 1926.1101, 29 CFR 1910.1001 and 29 CFR 1910.134.

A suspect material is considered to be an asbestos containing material (ACM) under the Occupational Safety and Health Administration (OSHA) regulations 29 CFR 1910.1001 and 29 CFR 1926.1101 as any material that contains more than one percent ($>1\%$) asbestos by weight. Although samples containing less than or equal to one percent ($\leq 1\%$) asbestos by weight or trace are not considered asbestos containing materials by definition, employees must be informed about the presence of materials containing $\leq 1\%$ or trace asbestos when it is known it is present. Trace asbestos containing sample results for suspect materials collected as part of this inspection, if identified are included in Table 1 - Positive Materials Sampled & Analyzed.

Samples were collected in a manner to minimize damage to the surrounding area, to minimize potential release of material and in a fashion to maintain the safety of the collection personnel, building occupants and any building visitors.



The Bergmann Corporate Asbestos license, laboratory certifications and certifications of the person(s) that conducted the bulk sampling are provided in Appendix A – Bergmann Asbestos License, Worker Certifications, and Laboratory Certifications. Sample location are depicted in the drawings provided in Appendix B – Sample Location Photographs. Procedures for bulk sampling and measurements included:

- Sample collection area was cleaned of any debris or non-ACM material and was wetted with amended water as needed.
- A minimal amount of material was collected from a concealed area, as practicable, and placed into discrete plastic sample bags.
- Sample containers were labeled with a site-specific code that reflected location and sample number.

Representative bulk samples of suspect materials were collected for laboratory analysis. The samples were transported via Chain-of-Custody protocol to Paradigm Environmental Services, a NYSDOH-ELAP and NVLAP certified asbestos testing laboratory. Copies of the Chain-of-Custody forms are provided in Appendix C – Asbestos Laboratory Analytical Reports and Chain-of-Custody Forms.

3.0 ASBESTOS LABORATORY ANALYSIS METHODOLOGY

Samples, including both friable and non-friable, were initially analyzed for the presence of asbestos via Polarized Light Microscopy (PLM). For friable material and material determined by gravimetric analysis to be greater than 1% asbestos, no further analysis was required. PLM analysis is typically sufficient on samples of friable insulation, pipe wrap, spray-on fire proofing, drywall and plaster.

Some samples were determined to be non-friable organically bound (NOB) material. The results of representative samples of NOB material that were determined to be non-ACM via initial PLM analysis were confirmed via Transmission Electron Microscopy (TEM). TEM analysis was performed in accordance with ELAP regulations to confirm the presence or absence of asbestos from NOB material. TEM confirmation is typical of materials such as electrical wiring, vinyl floor tile, adhesive mastics and roofing materials including flashing, caulk, roofing tar, and asphalt shingles.

Vermiculite materials used for thermal systems insulation (TSI), surfacing materials, and other miscellaneous ACM (e.g. surfacing materials, plaster, pipe lagging and sprayed-on fireproofing) may be presumed asbestos containing material (PACM) or may follow the latest New York acceptable testing method. If vermiculite materials are used as a fill, block fill, or other loose bulk vermiculite materials, it must be designated and treated as ACM as stated in New York ICR-56.



4.0 MATERIALS SAMPLED AND ANALYZED

Bergmann did not identify ACM during the March 2019 inspection, sampling and analysis activities. The following table summarizes the materials sampled and the findings from the assessment:

75-79 East Bridge Street, Oswego ACM Sampling Results

Sample ID	Material Sampled	Asbestos?	Condition	Friable	Estimated Quantity *
18-P-001A,B	Upstairs Wall Plaster	ND	N/A	N/A	N/A
18-DW-002A,B	Upstairs Drywall	ND	N/A	N/A	N/A
18-CM-003A,B	Carpet Mastic	ND	N/A	N/A	N/A
19-CT-004A,B,C	Ceiling Tile	ND	N/A	N/A	N/A
18-WP-004A,B	Wall Paper/Paper Mastic	ND	N/A	N/A	N/A
1850 Tar Paper Exterior A,B	Exterior Front Façade Tar Paper	ND	N/A	N/A	N/A

*All quantities are subject to abatement contractor's verification

Sample location for the materials presented in the tables above are depicted in the photographs provided in Appendix B – Sample Location Photographs. There is a potential for additional ACM to be present in other spaces within the building that Bergmann did not have access too.

5.0 LIMITATIONS

Bergmann inspected and sampled materials, which were observable and accessible to the survey inspection team. Any suspect asbestos-containing materials encountered during future renovation work that have not been tested and/or found positive for asbestos, must be assumed ACM until they are sampled and tested. The northeastern entrance room was not sampled due to being in dilapidated state (Photographs). A leaking roof and heavy water damage in that room could potentially compromise the structural integrity of the room, making it unsafe for sampling. As a result, that room was not sampled at this time.

The entire second floor had been previously gutted at the time of the sampling event. Minimal potential hazardous materials were observed as the entire second floor had been ripped down to the wooden studs (Photographs). As a result, minimal samples were taken from the second floor. Bergmann was not provided with an ACM Report for the second floor renovation and is unaware of any materials that had previously been sampled and tested prior to the renovation at the time of our survey Site visit.

This asbestos inspection investigated the presence of accessible suspect ACMs or those that could be exposed with limited hand tool and destructive methods. Bergmann did not perform destructive testing within interior cavities and spaces that may exist in inaccessible spaces or hidden by alteration or renovation, or mechanical or electrical devices. Additional suspect materials may remain hidden within columns, chases, and hidden wall cavities or located beneath



flooring, flooring or pavement. Should suspect material be uncovered during renovation, the material should be sampled and analyzed to confirm or deny the presence of asbestos.

This ACM survey report presents our findings and is not to be used as a bid document, work plan, or in place of an asbestos abatement design for conducting asbestos abatement. This ACM survey was limited to the scope as part of the proposed site plan and onsite limitations as of March 20th, 2019 site visit. Changes to this plan or scope of work may require additional sampling and analysis of materials.

6.0 ASBESTOS CONTAINING MATERIALS REPORT NOTIFICATION

In accordance with New York State Asbestos Regulations under 12 NYCRR Part 56 (Industrial Code Rule 56) Subpart 56-5.1(g), one copy of the results of the building/structure asbestos survey shall be **immediately** transmitted by the building/structure owner as follows:

- The completed asbestos survey for controlled demolition (as per Subpart 56-11.5) or pre-demolition asbestos projects shall be submitted to the appropriate **Asbestos Control Bureau** District office. The bureau office for this project is the Syracuse District office: 450 South Salina Street, Syracuse, NY 13202, phone: (315) 479-3215 fax: (315) 479-3333.
- One (1) copy of the completed ACM survey shall be sent by the owner or their agent to the local government entity charged with issuing a permit for demolition, renovation, remodeling or repair work under applicable State or local laws.
- The completed asbestos survey shall be kept at the construction site throughout the duration of the demolition, renovation, remodeling or repair work.



APPENDIX A:

Licenses and Certifications

New York State – Department of Labor

Division of Safety and Health
License and Certificate Unit
State Campus, Building 12
Albany, NY 12240

ASBESTOS HANDLING LICENSE

Bergmann Associates, Architects, Engineers,
Landscape Architects & Surveyors, D.P.C.
Suite 200
280 East Broad Street
Rochester, NY 14604

FILE NUMBER: 03-0147
LICENSE NUMBER: 29822
LICENSE CLASS: RESTRICTED
DATE OF ISSUE: 05/15/2019
EXPIRATION DATE: 05/31/2020

Duly Authorized Representative – Jim Marschner:

This license has been issued in accordance with applicable provisions of Article 30 of the Labor Law of New York State and of the New York State Codes, Rules and Regulations (12 NYCRR Part 56). It is subject to suspension or revocation for a (1) serious violation of state, federal or local laws with regard to the conduct of an asbestos project, or (2) demonstrated lack of responsibility in the conduct of any job involving asbestos or asbestos material.

This license is valid only for the contractor named above and this license or a photocopy must be prominently displayed at the asbestos project worksite. This license verifies that all persons employed by the licensee on an asbestos project in New York State have been issued an Asbestos Certificate, appropriate for the type of work they perform, by the New York State Department of Labor.



Eileen M. Franko, Director
For the Commissioner of Labor

STATE OF NEW YORK - DEPARTMENT OF LABOR
ASBESTOS CERTIFICATE



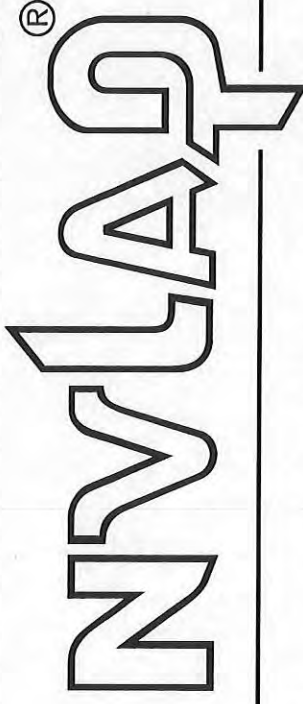
CASH R BLEIER
CLASS(EXPIRES)
D INSP(02/20)

CERT# 17-36495
DMV# 812999078

MUST BE CARRIED ON ASBESTOS PROJECTS

REPRODUCED BY THE NEW YORK STATE DEPARTMENT OF LABOR

United States Department of Commerce
National Institute of Standards and Technology



Certificate of Accreditation to ISO/IEC 17025:2005

NVLAP LAB CODE: 200530-0

Paradigm Environmental Services, Inc.
Rochester, NY

is accredited by the National Voluntary Laboratory Accreditation Program for specific services,
listed on the Scope of Accreditation, for:

Asbestos Fiber Analysis

This laboratory is accredited in accordance with the recognized International Standard ISO/IEC 17025:2005.
This accreditation demonstrates technical competence for a defined scope and the operation of a laboratory quality management system (refer to joint ISO-ILAC-IAF Communique dated January 2009).

2018-07-01 through 2019-06-30

Effective Dates

A handwritten signature in black ink, appearing to read "Peter S. Laman".

For the National Voluntary Laboratory Accreditation Program

SCOPE OF ACCREDITATION TO ISO/IEC 17025:2005

Paradigm Environmental Services, Inc.

179 Lake Avenue

Rochester, NY 14608

Ms. Rebecca Roztocil

Phone: 585-647-2530 Fax: 585-647-3311

Email: rroztocil@paradigmenv.com

<http://www.paradigmenv.com>

ASBESTOS FIBER ANALYSIS

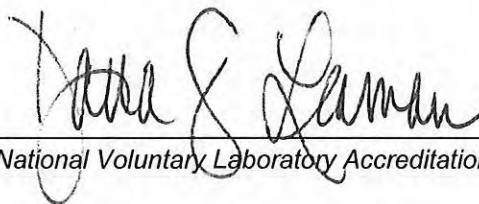
NVLAP LAB CODE 200530-0

Bulk Asbestos Analysis

<u>Code</u>	<u>Description</u>
18/A01	EPA -- 40 CFR Appendix E to Subpart E of Part 763, Interim Method of the Determination of Asbestos in Bulk Insulation Samples
18/A03	EPA 600/R-93/116: Method for the Determination of Asbestos in Bulk Building Materials

Airborne Asbestos Analysis

<u>Code</u>	<u>Description</u>
18/A02	U.S. EPA's "Interim Transmission Electron Microscopy Analytical Methods-Mandatory and Nonmandatory-and Mandatory Section to Determine Completion of Response Actions" as found in 40 CFR, Part 763, Subpart E, Appendix A.



For the National Voluntary Laboratory Accreditation Program

NEW YORK STATE DEPARTMENT OF HEALTH
WADSWORTH CENTER



Expires 12:01 AM April 01, 2020
Issued April 01, 2019

CERTIFICATE OF APPROVAL FOR LABORATORY SERVICE

Issued in accordance with and pursuant to section 502 Public Health Law of New York State

MR. STEVE DEVITO
PARADIGM ENVIRONMENTAL SERVICES INC
179 LAKE AVENUE
ROCHESTER, NY 14608

NY Lab Id No: 10958

*is hereby APPROVED as an Environmental Laboratory for the category
ENVIRONMENTAL ANALYSES SOLID AND HAZARDOUS WASTE
All approved subcategories and/or analytes are listed below:*

Miscellaneous

Asbestos in Friable Material	Item 198.1 of Manual EPA 600/M4/82/020
Asbestos in Non-Friable Material-PLM	Item 198.6 of Manual (NOB by PLM)
Asbestos in Non-Friable Material-TEM	Item 198.4 of Manual
Lead in Dust Wipes	EPA 6010C
Lead in Paint	EPA 6010C

Sample Preparation Methods

EPA 3050B

Serial No.: 59505

Property of the New York State Department of Health. Certificates are valid only at the address shown, must be conspicuously posted, and are printed on secure paper. Continued accreditation depends on successful ongoing participation in the Program. Consumers are urged to call (518) 485-5570 to verify the laboratory's accreditation status.

NEW YORK STATE DEPARTMENT OF HEALTH
WADSWORTH CENTER



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MR. STEVE DEVITO
PARADIGM ENVIRONMENTAL SERVICES INC
179 LAKE AVENUE
ROCHESTER, NY 14608

NY Lab Id No: 10958

*is hereby APPROVED as an Environmental Laboratory for the category
ENVIRONMENTAL ANALYSES AIR AND EMISSIONS
All approved subcategories and/or analytes are listed below:*

Metals I

Lead, Total NIOSH 7303

Miscellaneous

Asbestos 40 CFR 763 APX A No. III

NIOSH 7402

Fibers NIOSH 7400 A RULES

Department
of Health

Serial No.: 59507

Property of the New York State Department of Health. Certificates are valid only at the address shown, must be conspicuously posted, and are printed on secure paper. Continued accreditation depends on successful ongoing participation in the Program. Consumers are urged to call (518) 485-5570 to verify the laboratory's accreditation status.



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ARCHITECTS ENGINEERS PLANNERS

APPENDIX B:

Interior and Sample Photographs









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ARCHITECTS ENGINEERS PLANNERS







APPENDIX C:

Laboratory Analytical Results



PLM & TEM BULK ASBESTOS ANALYSIS REPORT
via NYSDOH ELAP Method 198.1, 198.4 and 198.6

Job No: 2552-19
Page: 1 of 2

[illegible]

No Symbol in the NOB column denotes sample analyzed by ELAP Method 198.1 (PLM).
 √ NOB (non-friable organically bound) denotes material analyzed by ELAP Method 198.6 (PLM) and 198.4 (TEM) as noted.
 √ denotes material analyzed by ELAP Method 198.6 (PLM) per NYSDOH. This Method does not remove vermiculite and may underestimate the level of asbestos present in a sample containing greater than 10% vermiculite.
 # denotes friable material analyzed by ELAP Method 198.6 (PLM) and 198.4 (TEM) as noted.
 X denotes sample prepped only by ELAP Method 198.6.
 ** Polarized-light microscopy is not consistently reliable in detecting asbestos in floor coverings and similar non-friable organically bound materials.
 Quantitative transmission electron microscopy is currently the only method that can be used to determine if this material can be considered or treated as non-asbestos containing.

NVLAP[®]
TESTING

ELAP ID No.: 10958

TEM Analyst: A. Voldbakken

Asbestos Operations Manager or Designee

Mary Dohr

2552-19.xlsm 4/8/2019



CHAIN OF CUSTODY FOR BULK ASBESTOS ANALYSIS

☒ 179 Lake Avenue, Rochester, New York 14608 Office: 585-647-2530
☐ 1815 Love Road, Grand Island, New York 14072 Office: 716-775-5777

Client:	Bergmann	Contact:	Cash Bleier
Phone Number:	585-498-7950	Email Address for Data:	cbleier@bergmannpc.com
Results To	Cash Bleier	Turn Around Time:	1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input checked="" type="checkbox"/> 5 <input checked="" type="checkbox"/> X Other <input type="checkbox"/>
Date Sampled:	3/29/2019	Material Type/Quantity:	Friable NOB TEM
Client Mailing Address:	280 E. Broad Street, Suite 200 Rochester, New York 14604		
Project Location:	Oswego, New York		
OFFICE USE ONLY			
Job #:		2552-19	
Page		of	
Date Logged In:		4/1/19	
Logged In By:		1671	

Client ID	Lab ID	Sampling Location	Color	Material Size	Type of Material
1850 Tar Paper A,B (Exterior)	21533	The 1850 House, Exterior front facade	Black		Tar Paper
2	534				
3					
4					
5					
6					
7					
8					
9					
#					

Sampled By:	Cash Bleier	Date:	3/29/2019
Transported to Paradigm By:	Cash Bleier	Date:	4/1/2019 3:30pm
Received By:	Cash Bleier	Date:	4/1/19

All samples will be analyzed by the appropriate New York State Department of Health methods (198.1, 198.4 and 198.6) unless EPA 600/M-4/82/020 per 40 CFR 763 and/or EPA 600/R-93/116 methods are requested.

CHECK TO AUTOMATICALLY PERFORM TEM ON NOBS ☒ X

TOTAL NUMBER OF SAMPLES ON ALL CHAINS OF CUSTODY:

By signing this form, client agrees to Paradigm Terms and Conditions (reverse).

QC'd 4/2/19 AS



PLM & TEM BULK ASBESTOS ANALYSIS REPORT
via NYSDOH ELAP Method 198.1, 198.4 and 198.6

Client: Bergmann
Location: Oswego, New York
(1850 House)
Sample Date: 3/21/2019

Job No: 2155-19
Page: 1 of 3

Client ID	Lab ID	Sampling Location	Description	PLM Asbestos Fibers Type & Percentage	PLM Total Asbestos	N O B	TEM Asbestos Fibers Type & Percentage	TEM Total Asbestos	PLM Non-Asbestos Fibers Type & Percentage	Non- Fibrous Matrix Material %
18-P-001A	18315	Upstairs Wall Plaster	White Wall Plaster	None Detected	0%		Not Required	N/A	Animal Hair 5%	95%
18-P-001B	18316	Upstairs Wall Plaster	White Wall Plaster	None Detected	0%		Not Required	N/A	Animal Hair 3%	97%
18-DW-002A	18317	Dry Wall	White Drywall	None Detected	0%		Not Required	N/A	Cellulose 4% Fiberglass 1%	95%
18-DW-002B	18318	Dry Wall	White Drywall	None Detected	0%		Not Required	N/A	Cellulose 4% Fiberglass 1%	95%
18-CM-003A	18319	Carpet Mastic	Black Mastic	Inconclusive No Asbestos Detected	0%	✓	None Detected	<1.0%	None Detected	100%
18-CM-003B	18320	Carpet Mastic	Black Mastic	Inconclusive No Asbestos Detected	0%	✓	None Detected	<1.0%	None Detected	100%
19-CT-004A	18321	Ceiling Tile	White Fibrous Ceiling Tile	Inconclusive No Asbestos Detected	0%	#	None Detected	<1.0%	Mineral Wool 30%	70%
19-CT-004B	18322	Ceiling Tile	White Fibrous Ceiling Tile	Inconclusive No Asbestos Detected	0%	#	None Detected	<1.0%	Mineral Wool 30%	70%
19-CT-004C	18323	Ceiling Tile	White Fibrous Ceiling Tile	Inconclusive No Asbestos Detected	0%	#	None Detected	<1.0%	Mineral Wool 30%	70%
18-WP-005A	18324	Wall Paper (Upstairs)/Mastic	Beige Wall Paper	Inconclusive No Asbestos Detected	0%	✓	None Detected	<1.0%	None Detected	100%

KEY TO NOB COLUMN SYMBOLS

No Symbol in the NOB column denotes sample analyzed by ELAP Method 198.1 (PLM).

✓ NOB (non-friable organically bound) denotes material analyzed by ELAP Method 198.6 (PLM) and 198.4 (TEM) as noted.

✓ denotes material analyzed by ELAP Method 198.6 (PLM) per NYSDOH. This Method does not remove vermiculite and may underestimate the level of asbestos present in a sample containing greater than 10% vermiculite.

denotes friable material analyzed by ELAP Method 198.6 (PLM) and 198.4 (TEM) as noted.

X denotes sample prepped only by ELAP Method 198.6.

** Polarized-light microscopy is not consistently reliable in detecting asbestos in floor coverings and similar non-friable organically bound materials.

Quantitative transmission electron microscopy is currently the only method that can be used to determine if this material can be considered or treated as non-asbestos containing.

PLM Bulk Asbestos Analysis by New York State Department of Health, ELAP Method 198.1, 198.4 and 198.6 ("Polarized Light Microscopy and Transmission Electron Microscopy Methods for Identifying and Quantitating Asbestos in Bulk Samples and in Non-Friable Organically Bound Bulk Samples.") or EPA 600/M4-82-020 per 40 CFR 763 (NVLAP Lab Code 200530-0).



Lab Code 200530-0 for PLM Analysis

ELAP ID No.: 10958

PLM Date Analyzed: 3/27/2019

TEM Date Analyzed: 3/28/2019

Microscope: Olympus BH-2 #232953

TEM Analyst: A. Voldbakken

Analyst: T. Bush

Laboratory Results Approved By:
Asbestos Operations Manager or Designee

Mary Dohr
Mary Dohr

Paradigm Environmental Services, Inc. is not responsible for the data supplied by an independent inspector. National Institute of Standards and Technology Accreditation requirements mandate that this report must not be reproduced except in full without the approval of the laboratory. This PLM report relates ONLY to the items tested. This report must not be used to claim product endorsement by NVLAP or any agency of the U.S. Government. Quality control data (including 95% confidence limits and laboratory and analysts' and precision) is available upon request.



2155-19.xlsm 3/28/2019



CHAIN OF CUSTODY FOR BULK ASBESTOS ANALYSIS

☒ 179 Lake Avenue, Rochester, New York 14608
☐ 1815 Love Road, Grand Island, New York 14072

Office: 585-647-2530
Office: 716-775-5777

Client: Bergmann		Contact: Cash Bleier	OFFICE USE ONLY	
Phone Number: 585-498-7950		Email Address for Data: cbleier@bergmannpc.com	Job #: 2155-19	
Results To: C. Bleier		Turn Around Time: 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 5 <input checked="" type="checkbox"/> X Other <input type="checkbox"/>	Page 1 of 1	
Date Sampled: 3/21/2019		Material Type/Quantity: Frangible NOB TEM	Date Logged In: 3-21-19	
Project Location: Oswego, New York (1850 House)			Logged In By: CB	

STOP ON POSITIVE

Client ID	Lab ID	Sampling Location	Color	Material Size	Type of Material
1	18-P-001 A,B	193/5 316	White		wall plaster
2	18-DW-002 A,B	317 318	white		dry wall
3	18-CM-003 A,B	319 320	black		mastic
4	19-CT-004 A,B,C	321 322 323	white		ceiling tile
5	18-WP-005 A,B	324 325	beige		wall paper
6					
7					
8					
9					
#					

Sampled By: C. Bleier	Date: 3/21/2019
Transported to Paradigm By: C. Bleier	Date: 3/21/2019
Received By: Unknown Burge	Date: 3-21-19 16:49

All samples will be analyzed by the appropriate New York State Department of Health methods (198.1, 198.4 and 198.6) unless EPA 600/M4/82/020 per 40 CFR 763 and/or EPA 600/R-93/116 methods are requested.

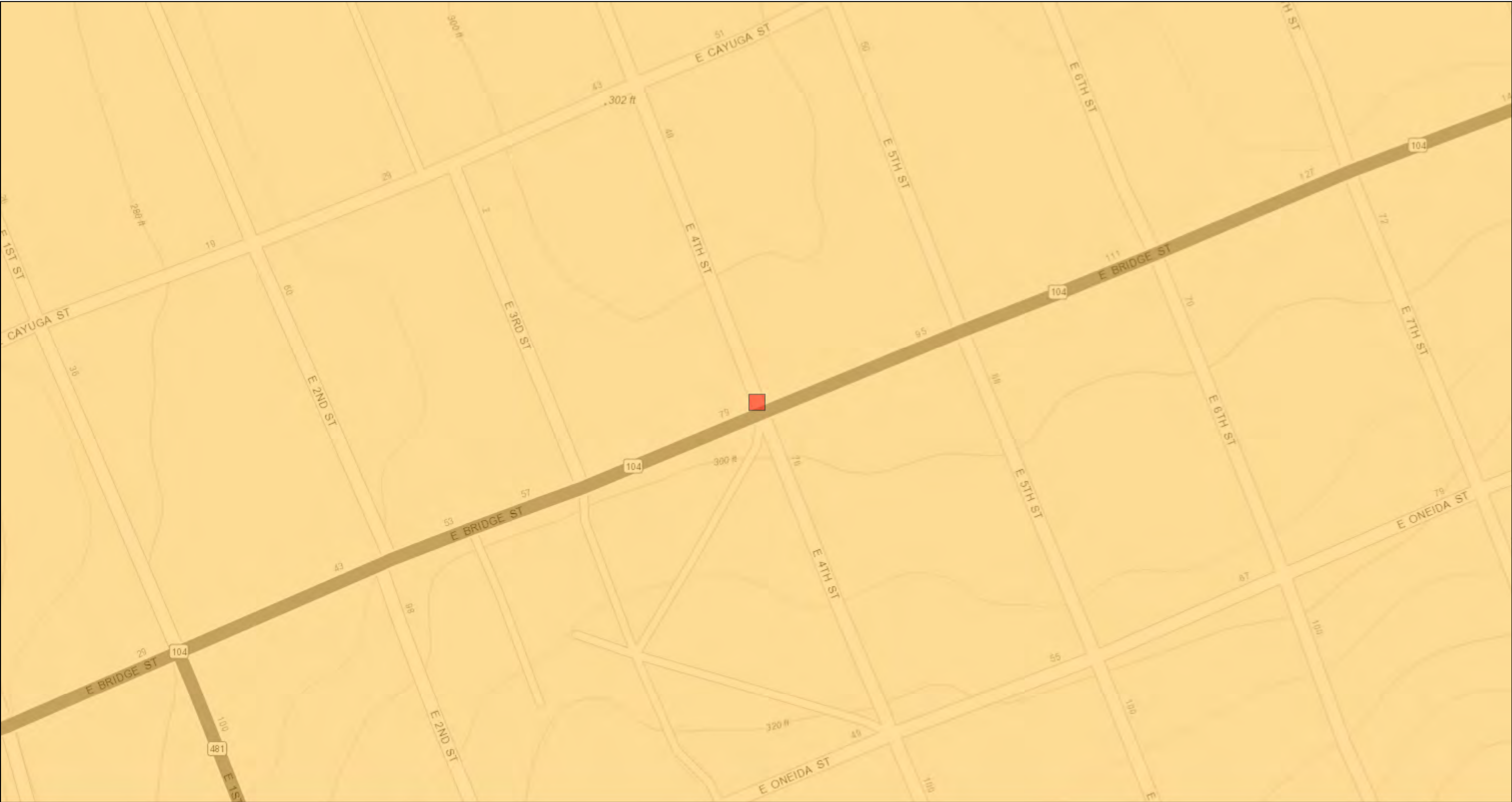
CHECK TO AUTOMATICALLY PERFORM TEM ON NOBS ☒ X

TOTAL NUMBER OF SAMPLES ON ALL CHAINS OF CUSTODY: 11

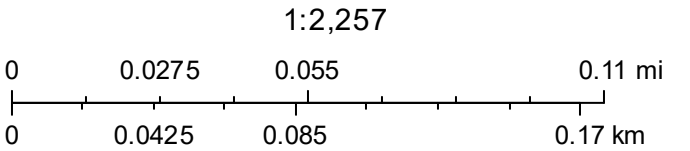
By signing this form, client agrees to Paradigm Terms and Conditions (reverse).

QC'd 3/28/19 BS

75-79 East Bridge St NYSDEC Endangered and Threatened Species Map



February 8, 2019



Sources: Esri, HERE, Garmin, Intermap, increment P Corp., GEBCO, USGS, FAO, NPS, NRCAN, GeoBase, IGN, Kadaster NL, Ordnance Survey, Esri Japan, METI, Esri China (Hong Kong), swisstopo, © OpenStreetMap contributors, and the GIS User Community

HOUSING TRUST FUND CORPORATION
Program Description Form

Office of Community Renewal (OCR) state funded programs including:
Adirondack Community Housing Trust (ACHT), Buffalo Main Streets Initiative (BMSI), OCR Managed Downtown Revitalization Initiative (DRI), New York Main Street (NYMS), Rural Area Revitalization Projects (RARP), and Urban Initiatives (UI).

Local Program Administrator (LPA): City of Oswego

Funding Program: DRI

Program Municipality: Oswego

SHARS ID: 20170236

Program County: Oswego

Check all activities that apply to the Program or Project:

- | | |
|------------------------------------------------------------------|---------------------------------------------|
| <input checked="" type="checkbox"/> Façade/Storefront Renovation | <input type="checkbox"/> Streetscape |
| <input type="checkbox"/> Interior Building Renovation | <input type="checkbox"/> New Construction |
| <input type="checkbox"/> Commercial | <input type="checkbox"/> Site Work |
| <input type="checkbox"/> Residential | <input type="checkbox"/> Ground Disturbance |

For Site-Specific Review, outline the specific scope of work for the project(s). The formal scope of work should also be attached.

New sign and façade improvements

Description of Target Area:

Describe the target area or project site location and attach a map of the target area or project site.

Environmental Compliance Areas/ SEQR Classification Evaluation:

Specifically identify if any of the following activities will or may occur as part of this program: substantial improvement in a flood zone; projects in or adjacent to Agricultural Districts; work on a building determined by SHPO to have historic or cultural significance; ground disturbance; zoning changes, change in actual building use.

Primary Contact for Environmental Review Issues:

Prepared by: _____

Title: _____

Date: _____

Phone Number: _____

Email Address: _____

HOUSING TRUST FUND CORPORATION
Environmental Compliance Checklist

Office of Community Renewal (OCR) state funded programs including:

Adirondack Community Housing Trust (AHT), Buffalo Main Streets Initiative (BMSI), OCR Managed Downtown Revitalization Initiative (DRI), New York Main Street (NYMS), Rural Area Revitalization Projects (RARP), and Urban Initiatives (UI).

Local Program Administrator (LPA): City of Oswego

Funding DRI **Project Municipality:** Oswego

Program:
SHARS ID: 20170236 **Project County:** Oswego

Review Type: Programmatic **Project Address (if site specific):** 77 East 2nd Street

Compliance Area	Attachments Included	Compliance Procedures
A. <u>Historic/Cultural Resources:</u> Section 14.09 of the Parks, Recreation and Historic Preservation Law.	<input checked="" type="checkbox"/>	The SHPO No Adverse Impact determination is attached.
B. <u>Flood Plains:</u> 6 NYCRR Part 502, Floodplain Management Criteria for State Projects.	<input checked="" type="checkbox"/>	The project is not within a SFHA. A copy of the FIRM map, with the Panel Number and Effective Date is included.
C. <u>Zoning:</u> C1. Projects must conform to local land use plans and receive all necessary zoning and site plan approvals and permits.	<input type="checkbox"/>	The project will not require zoning modifications, variances or a special use permit for issuance of a building permit. See attached documentation.
C2. Projects that result in a change in the building use must be identified.	<input type="checkbox"/>	The existing use of the building is commercial; the proposed use of the building is commercial.
D. <u>Coastal Zones:</u> 19 NYCRR Part 600, Coastal Zone Management	<input checked="" type="checkbox"/>	The project is located within the coastal zone but is not a Type I or Unlisted action.
E. <u>Site Contamination- Hazardous Materials:</u> Projects funded under the Program must be free of hazardous materials which could affect the health and safety of the occupants or conflict with the intended utilization of the property.	<input checked="" type="checkbox"/>	An environmental professional has certified that the project site is free of hazardous materials, contamination, toxic chemicals and gases, and radioactive substances. The certification and back up documentation are attached.
F. <u>Lead Based Paint:</u> All activities impacting dwelling units or child occupied facilities must be free from the hazards posed by lead-based paint. <i>Refer to the Program Policy for Lead-Based Paint.</i>	<input checked="" type="checkbox"/>	The project involves exterior renovations or interior commercial renovations only. Window openings into residential or child-occupied facility floors will not be impacted as part of the project scope of work.
G. <u>Asbestos Containing Materials</u> NYS Department of Labor at 12 NYCRR Part 56	<input type="checkbox"/>	Asbestos Containing Materials (ACM) that will be disturbed as part of program activities will be handled and disposed of according to NYS Department of Labor requirements at 12 NYCRR Part 56 and local regulations.
H. <u>Radon</u>	<input type="checkbox"/>	The target area is located in a zone with moderate or high potential for radon levels

EPA map of Radon Zones; EPA Radon Mitigation Standards		to exceed the U.S. EPA action level (4pCi/L or higher). New construction or rehabilitation of residential units and common areas will include post-renovation testing and if elevated levels are found, a radon mitigation system will be installed in accordance with EPA Radon Mitigation Standards.
I. Wetlands: 6 NYCRR Part 663, Freshwater Wetlands Permit Requirements and, Section 404 of the Clean Water Act	<input type="checkbox"/>	The project does not involve new construction, ground disturbance or is entirely within an urban, built-up area.

J. Endangered Species: 6 NYCRR Part 182, Endangered and Threatened Species	<input checked="" type="checkbox"/>	The project is in a built up urban area and does not involve new construction, ground disturbance, or tree cutting.
K. Agricultural Districts: Agriculture and Markets Law Article 25-AA, Sections 303 and 304, Agricultural Districts	<input type="checkbox"/>	The program is not located in an agricultural district, does not involve any activities with potential to convert farmland to nonagricultural use and does not require an Agricultural Data Statement.

Individual site specific checklists may require more detailed supporting documentation and review in circumstances such as:

- substantial improvement in a flood zone;
- projects in, or adjacent to, Agricultural Districts;
- work on a building determined by SHPO to have historic or cultural significance;
- ground disturbance;
- zoning changes;
- a change in actual building use (whether or not this change is locally regulated);
- if the work constitutes a SEQR Unlisted action.

Certification

I am authorized to execute contract materials for the program award to the Local Program Administrator (LPA) named above. I have read this Checklist and by signing this document agree with the statements made herein and agree that: (1) site specific checklists will be prepared and submitted to the OCR for each project site and additional documentation will be provided as necessary for the circumstances listed above; (2) project activities will be conducted in conformance with the described compliance procedures; (3) an environmental determination letter or approval from OCR will be received before taking any physical action on a site or incurring costs related to a specific activity; and, (4) costs incurred for activities completed prior to the SEQR determination and submission of the site-specific checklist will not be eligible for reimbursement.

Signature:

Printed Name: William Barlow, Jr.

Title: Mayor

Date: _____

Prepared by: _____

Title: _____

Phone: _____

Email: _____



Parks, Recreation, and Historic Preservation

ANDREW M. CUOMO
Governor

ROSE HARVEY
Commissioner

October 23, 2018

Mr. Tim Stahl
Deputy Director
City of Oswego
44 East Bridge Street
Oswego, NY 13126

Re: HTFMS
Oswego DRI - 77 East 2nd Street
77 east 2nd street, oswego, NY 13126
18PR06859
DRI Project No. 20170236

Dear Mr. Stahl:

Thank you for requesting the comments of the Office of Parks, Recreation and Historic Preservation (OPRHP). We have reviewed the project in accordance with the New York State Historic Preservation Act of 1980 (Section 14.09 of the New York Parks, Recreation and Historic Preservation Law). These comments are those of the OPRHP and relate only to Historic/Cultural resources. They do not include potential environmental impacts to New York State Parkland that may be involved in or near your project. Such impacts must be considered as part of the environmental review of the project pursuant to the State Environmental Quality Review Act (New York Environmental Conservation Law Article 8) and its implementing regulations (6 NYCRR Part 617).

Based upon this review, it is the New York State Office of Parks, Recreation and Historic Preservation's opinion that your project will have no impact on archaeological and/or historic resources listed in or eligible for the New York State and National Registers of Historic Places.

If further correspondence is required regarding this project, please be sure to refer to the OPRHP Project Review (PR) number noted above.

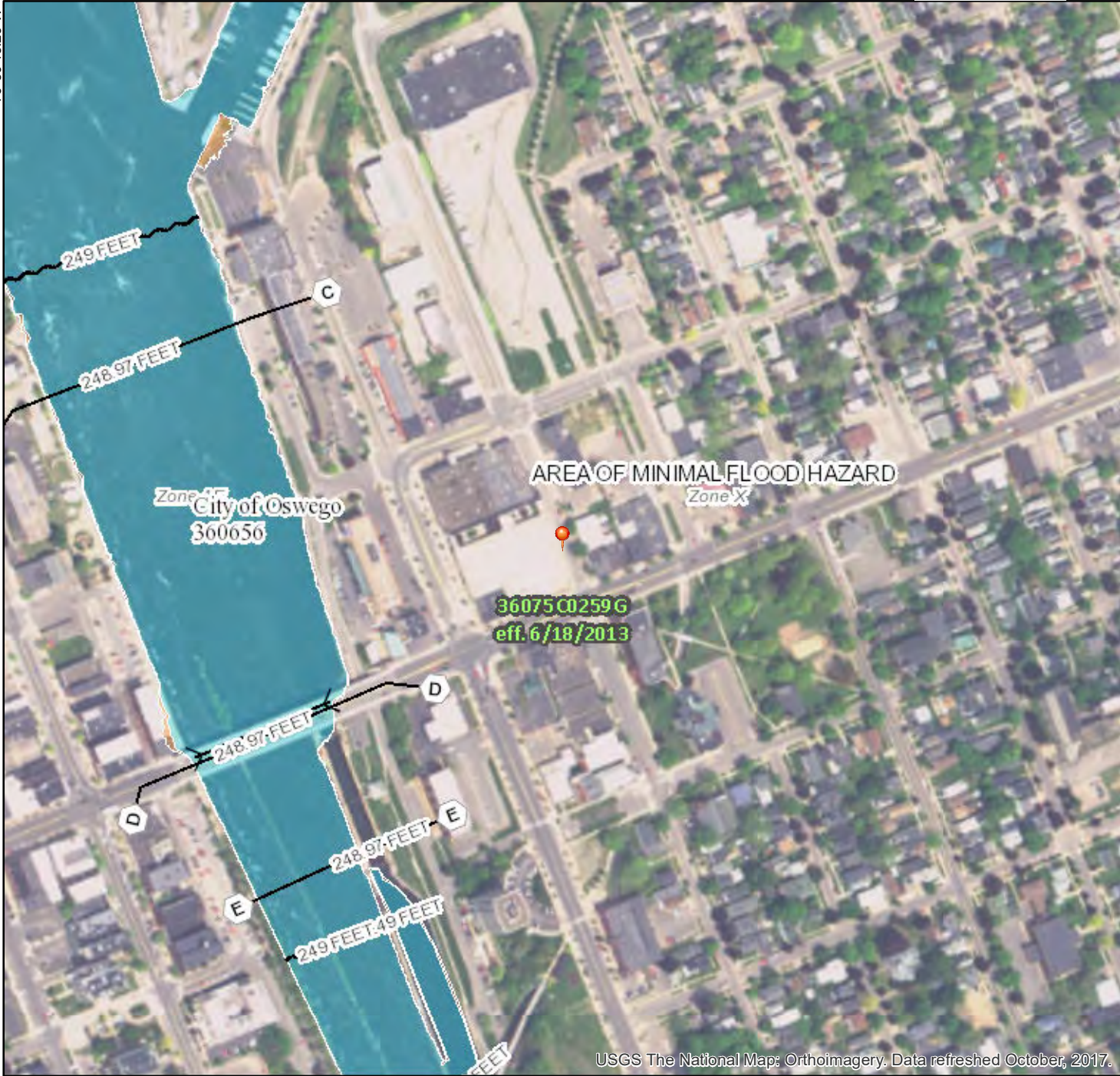
Sincerely,

Michael F. Lynch, P.E., AIA
Director, Division for Historic Preservation

National Flood Hazard Layer FIRMette



43°27'43.14"N



USGS The National Map: Orthoimagery. Data refreshed October, 2017. 1:6,000

Legend

SEE FIS REPORT FOR DETAILED LEGEND AND INDEX MAP FOR FIRM PANEL LAYOUT

SPECIAL FLOOD HAZARD AREAS		Without Base Flood Elevation (BFE) Zone A, V, A99
		With BFE or Depth Zone AE, AO, AH, VE, AR
		Regulatory Floodway
OTHER AREAS OF FLOOD HAZARD		0.2% Annual Chance Flood Hazard, Areas of 1% annual chance flood with average depth less than one foot or with drainage areas of less than one square mile Zone X
		Future Conditions 1% Annual Chance Flood Hazard Zone X
		Area with Reduced Flood Risk due to Levee. See Notes. Zone X
		Area with Flood Risk due to Levee Zone D
OTHER AREAS		Area of Minimal Flood Hazard Zone X
		Effective LOMRs
GENERAL STRUCTURES		Area of Undetermined Flood Hazard Zone D
		Channel, Culvert, or Storm Sewer
		Levee, Dike, or Floodwall
OTHER FEATURES		20.2 Cross Sections with 1% Annual Chance Water Surface Elevation
		17.5 Coastal Transect
		Base Flood Elevation Line (BFE)
		Limit of Study
		Jurisdiction Boundary
		Coastal Transect Baseline
MAP PANELS		Digital Data Available
		No Digital Data Available
		Unmapped



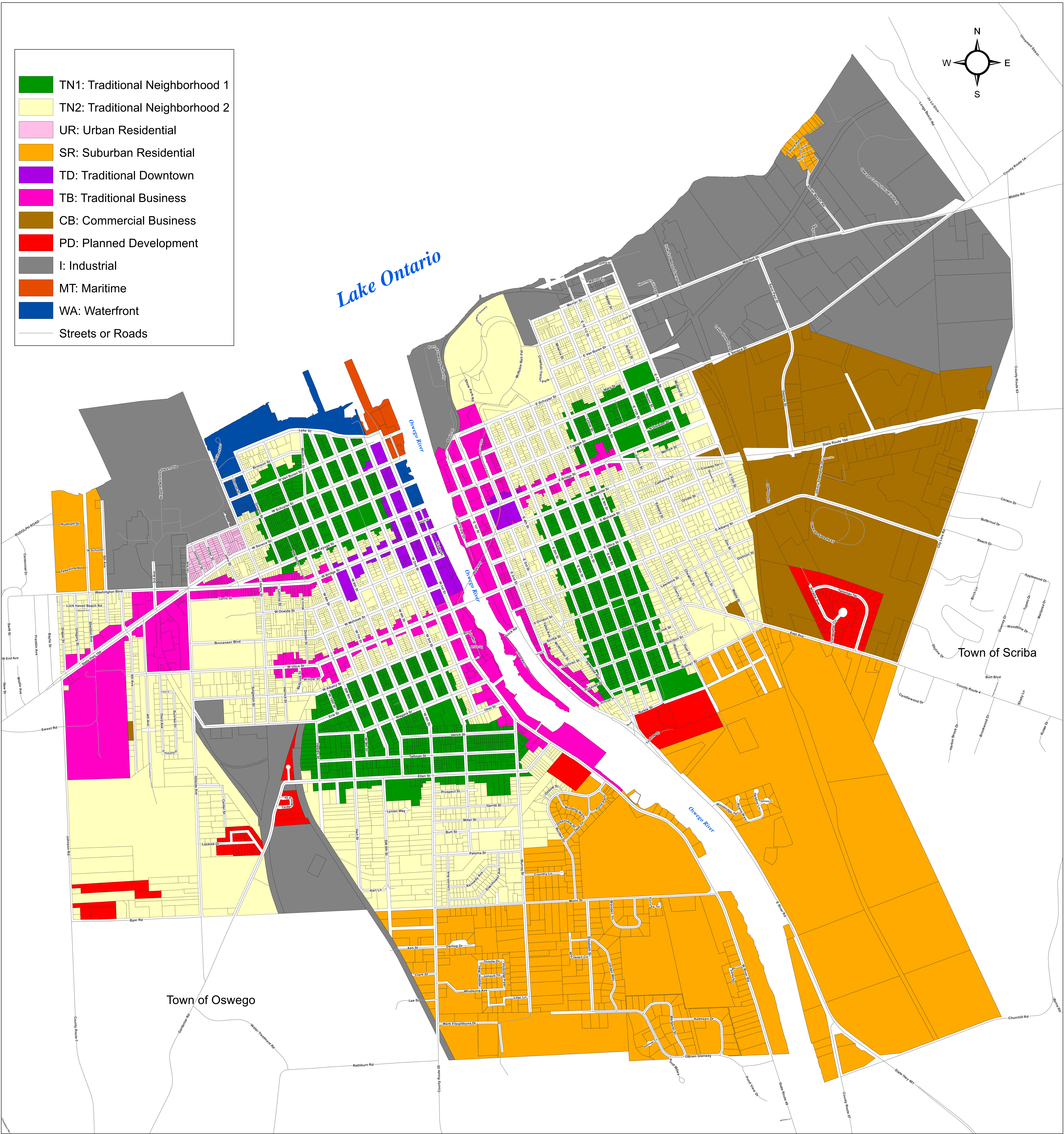
The pin displayed on the map is an approximate point selected by the user and does not represent an authoritative property location.

This map complies with FEMA's standards for the use of digital flood maps if it is not void as described below. The basemap shown complies with FEMA's basemap accuracy standards

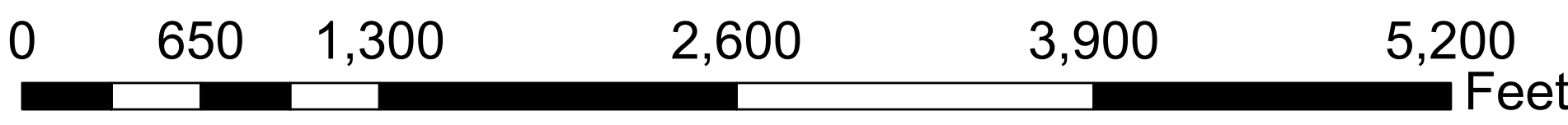
The flood hazard information is derived directly from the authoritative NFHL web services provided by FEMA. This map was exported on **2/8/2019 at 9:40:35 AM** and does not reflect changes or amendments subsequent to this date and time. The NFHL and effective information may change or become superseded by new data over time.

This map image is void if the one or more of the following map elements do not appear: basemap imagery, flood zone labels, legend, scale bar, map creation date, community identifiers, FIRM panel number, and FIRM effective date. Map images for unmapped and unmodernized areas cannot be used for regulatory purposes.

City of Oswego Zoning Map

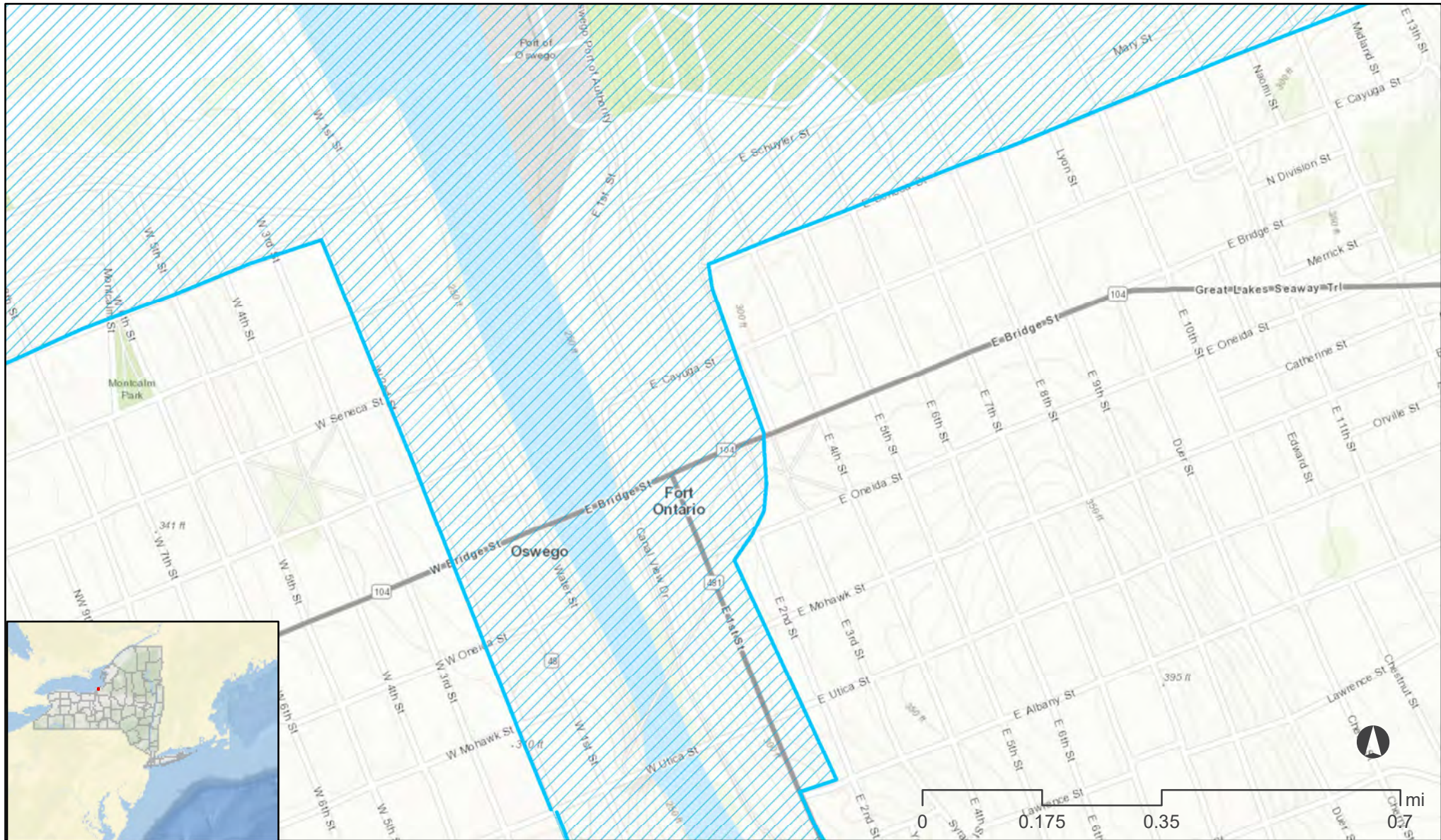


For:
City of Oswego
Zoning & Planning Office
13 West Oneida Street
Oswego, NY 13126
(315) 342-8153



By:
Oswego County Community Development, Tourism & Planning
46 East Bridge Street
Oswego, NY 13126
(315)349-8295
Karen B. Noyes, AICP
April 8, 2019

77 East 2nd Street Coastal Boundary Map



Coastal_Boundary_Polyline_update CoastalBoundary_Polygon_March2017



Department of State

The New York Department of State (DOS) gives no warranty, expressed or implied, as to the accuracy, reliability, or completeness of data shown on this map product. DOS does not assume responsibility for the use or application of any information represented on this map nor responsibility for any error, omission or other discrepancy between the electronic and printed versions of documents.



May 1st, 2019

Housing Trust Fund Corporation
OCR Managed Downtown Revitalization Initiative Projects (DRI)

Re: 77 East 2nd Street, Oswego, New York – Environmental Compliance Handbook

To whom it may concern:

As per the Environmental Compliance Handbook, Section E: Site Contamination (Hazardous Materials), established by the Housing Trust Fund Corporation, the project site at 77 East 2nd Street in Oswego, New York was examined for the potential presence of hazardous materials. The three (3) online databases that were used in this desktop review were the Nationwide Environmental Title Research (NETR) Database, the New York State Department of Environmental Conservation (NYSDEC) Environmental Site Database, and the United States Environmental Protection Agency (USEPA) EnviroFacts Database.

The project site is free of hazardous materials, contamination, toxic chemicals and gases, and radioactive substances which could affect the health and safety of occupants or users or conflict with the intended utilization of the property. I, Ariadna Cheremeteff, certify that I am an environmental professional as per ASTM 1527.

Please email if you have any questions.

Sincerely,

Ariadna Cheremeteff
BERGMANN
Environmental Discipline Leader
acheremeteff@bergmannpc.com



May 1st, 2019

Mr. Tim Stahl, Deputy Director
The City of Oswego – Office of Economic Development
44 East Bridge Street
Oswego, New York 13126

Re: Lead Based Paint Sampling Results – 77 East 2nd Street, Oswego, New York

Dear Mr. Stahl,

Bergmann was retained by the City of Oswego to conduct Lead Based Paint (LBP) sampling on select sites in the City of Oswego as part of the Downtown Revitalization Initiative (DRI). The results in this letter and the information gathered during Bergmann's sampling is being used to satisfy Section F of the Housing Trust Fund Corporation Environmental Compliance Checklist (ECC). Below is a summary of the materials sampled by Bergmann using a Thermo XL2 980 X-Ray Fluorescence (XRF) ray. Bergmann took three (3) samples from various DRI sites throughout the City of Oswego that were submitted to Paradigm Environmental Services for laboratory confirmation of the accuracy of the XRF ray. No major discrepancies between the field XRF results and laboratory analytical results were observed. In addition to the summary below, attached you will find a frontal façade photograph depicting the exact locations of the paints that were screened by Bergmann.

The following paints at 77 East 2nd Street, Oswego, NY were screened by Bergmann using an XRF on March 29th, 2019:

- Front façade beige paint on wood (negative)
- Front façade beige paint on brick (positive, 12.64 mg/cm²)
- White trim paint on windows and doors (negative)
- Yellow paint on 75 East 2nd St door (negative)
- Blue paint on 75 East 2nd St building side (negative)
- White paint on 75 East 2nd St awning (negative)

The Federal Department of Housing and Urban Development (HUD) has established guidelines for the identification of lead based paint. Paint containing a concentration equal to or greater than 0.5% by weight (5,000 parts per million or 5,000 ppm) is to be considered lead-based paint. Given these values established by the HUD, all paint identified above as "positive" is considered to be lead based paint and must be abated to satisfy Section F of the Environmental Compliance Checklist (ECC).

Should any additional layers of paint be found during the abatement or renovation process, they are to be sampled via XRF or laboratory analyses to confirm or deny the presence of lead at levels exceeding the HUD action level of 0.5% by weight.



Please email if you have any questions.

Sincerely,
Bergmann

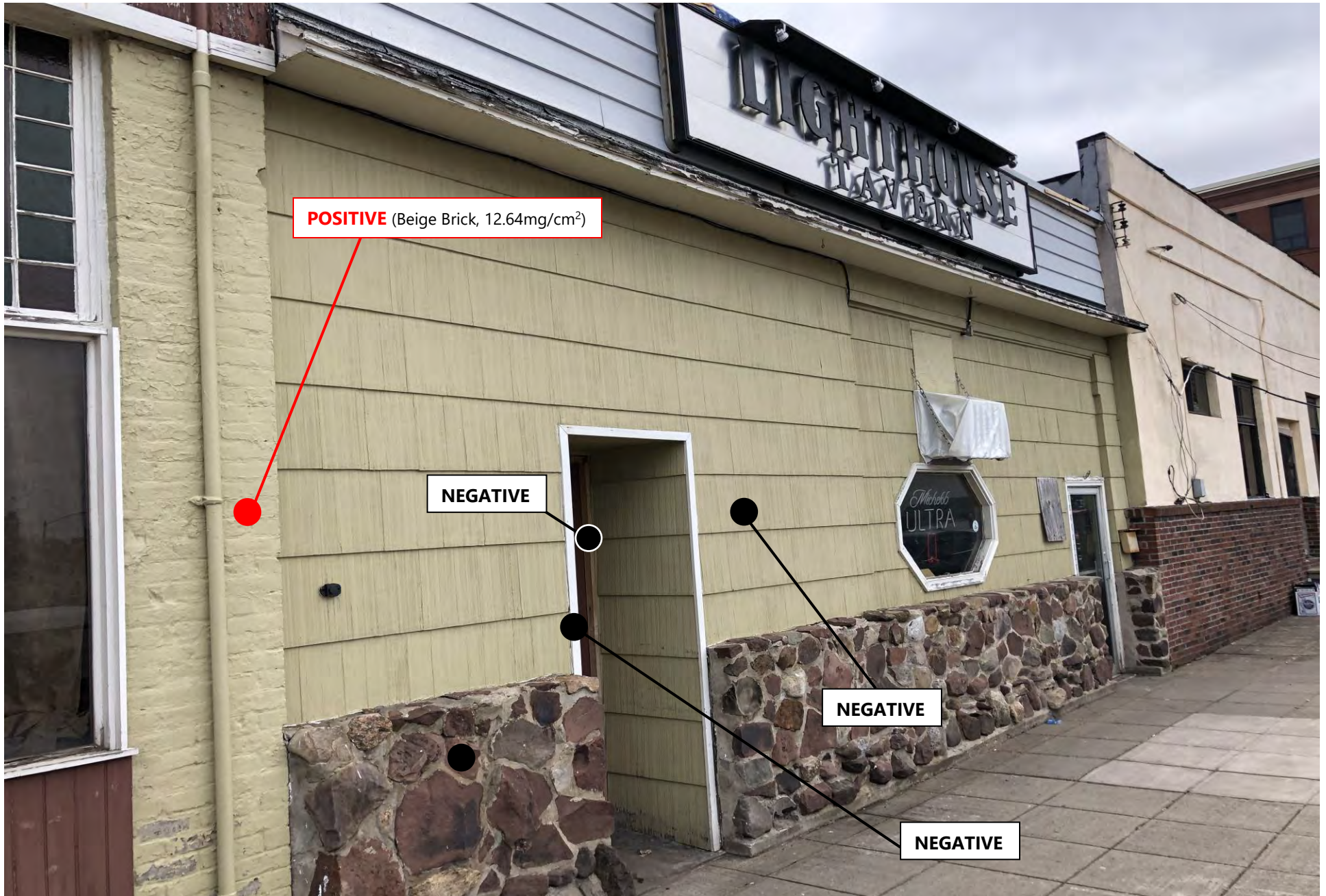


CASH R. BLEIER
Environmental Scientist
cbleier@bergmannpc.com

Attachment 1: Exterior Sample Location Photos



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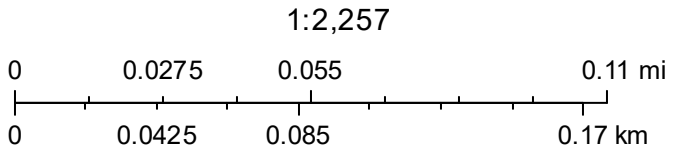
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77 East 2nd Street NYSDEC Endangered and Threatened Species Map



February 8, 2019



Sources: Esri, HERE, Garmin, Intermap, increment P Corp., GEBCO, USGS, FAO, NPS, NRCAN, GeoBase, IGN, Kadaster NL, Ordnance Survey, Esri Japan, METI, Esri China (Hong Kong), swisstopo, © OpenStreetMap contributors, and the GIS User Community

HOUSING TRUST FUND CORPORATION
Program Description Form

Office of Community Renewal (OCR) state funded programs including:
Adirondack Community Housing Trust (ACHT), Buffalo Main Streets Initiative (BMSI), OCR Managed Downtown Revitalization Initiative (DRI), New York Main Street (NYMS), Rural Area Revitalization Projects (RARP), and Urban Initiatives (UI).

Local Program Administrator (LPA): City of Oswego

Funding Program: DRI

Program Municipality: Oswego

SHARS ID: 20170236

Program County: Oswego

Check all activities that apply to the Program or Project:

- | | |
|------------------------------------------------------------------|---------------------------------------------|
| <input checked="" type="checkbox"/> Façade/Storefront Renovation | <input type="checkbox"/> Streetscape |
| <input type="checkbox"/> Interior Building Renovation | <input type="checkbox"/> New Construction |
| <input type="checkbox"/> Commercial | <input type="checkbox"/> Site Work |
| <input type="checkbox"/> Residential | <input type="checkbox"/> Ground Disturbance |

For Site-Specific Review, outline the specific scope of work for the project(s). The formal scope of work should also be attached.

Repair concrete façade, paint, awning and signage

Description of Target Area:

Describe the target area or project site location and attach a map of the target area or project site.

Environmental Compliance Areas/ SEQR Classification Evaluation:

Specifically identify if any of the following activities will or may occur as part of this program: substantial improvement in a flood zone; projects in or adjacent to Agricultural Districts; work on a building determined by SHPO to have historic or cultural significance; ground disturbance; zoning changes, change in actual building use.

Primary Contact for Environmental Review Issues:

Prepared by: _____

Title: _____

Date: _____

Phone Number: _____

Email Address: _____

HOUSING TRUST FUND CORPORATION
Environmental Compliance Checklist

Office of Community Renewal (OCR) state funded programs including:
Adirondack Community Housing Trust (AHT), Buffalo Main Streets Initiative (BMSI), OCR Managed Downtown Revitalization Initiative (DRI), New York Main Street (NYMS), Rural Area Revitalization Projects (RARP), and Urban Initiatives (UI).

Local Program Administrator (LPA): City of Oswego

Funding DRI **Project Municipality:** Oswego

Program:
SHARS ID: 20170236 **Project County:** Oswego

Review Type: Programmatic **Project Address (if site specific):** 111 West 2nd Street

Compliance Area	Attachments Included	Compliance Procedures
A. <u>Historic/Cultural Resources:</u> Section 14.09 of the Parks, Recreation and Historic Preservation Law.	<input checked="" type="checkbox"/>	The SHPO No Adverse Impact determination is attached.
B. <u>Flood Plains:</u> 6 NYCRR Part 502, Floodplain Management Criteria for State Projects.	<input checked="" type="checkbox"/>	The project is not within a SFHA. A copy of the FIRM map, with the Panel Number and Effective Date is included.
C. <u>Zoning:</u> C1. Projects must conform to local land use plans and receive all necessary zoning and site plan approvals and permits.	<input checked="" type="checkbox"/>	The project will not require zoning modifications, variances or a special use permit for issuance of a building permit. See attached documentation.
C2. Projects that result in a change in the building use must be identified.	<input type="checkbox"/>	The existing use of the building is commercial; the proposed use of the building is commercial.
D. <u>Coastal Zones:</u> 19 NYCRR Part 600, Coastal Zone Management	<input checked="" type="checkbox"/>	The project is located within the coastal zone but is not a Type I or Unlisted action.
E. <u>Site Contamination- Hazardous Materials:</u> Projects funded under the Program must be free of hazardous materials which could affect the health and safety of the occupants or conflict with the intended utilization of the property.	<input checked="" type="checkbox"/>	An environmental professional has certified that the project site is free of hazardous materials, contamination, toxic chemicals and gases, and radioactive substances. Documentation is attached.
F. <u>Lead Based Paint:</u> All activities impacting dwelling units or child occupied facilities must be free from the hazards posed by lead-based paint. <i>Refer to the Program Policy for Lead-Based Paint.</i>	<input checked="" type="checkbox"/>	The project involves exterior renovations or interior commercial renovations only. Window openings into residential or child-occupied facility floors will not be impacted as part of the project scope of work.
G. <u>Asbestos Containing Materials</u> NYS Department of Labor at 12 NYCRR Part 56	<input type="checkbox"/>	Asbestos Containing Materials (ACM) that will be disturbed as part of program activities will be handled and disposed of according to NYS Department of Labor requirements at 12 NYCRR Part 56 and local regulations.
H. <u>Radon</u> EPA map of Radon Zones; EPA Radon Mitigation Standards	<input type="checkbox"/>	The target area is located in a zone with moderate or high potential for radon levels to exceed the U.S. EPA action level

		(4pCi/L or higher). New construction or rehabilitation of residential units and common areas will include post-renovation testing and if elevated levels are found, a radon mitigation system will be installed in accordance with EPA Radon Mitigation Standards.
I. Wetlands: 6 NYCRR Part 663, Freshwater Wetlands Permit Requirements and, Section 404 of the Clean Water Act	<input type="checkbox"/>	The project does not involve new construction, ground disturbance or is entirely within an urban, built-up area.

J. Endangered Species: 6 NYCRR Part 182, Endangered and Threatened Species	<input checked="" type="checkbox"/>	The project is in a built up urban area and does not involve new construction, ground disturbance, or tree cutting. The NYSDEC Environmental Resource Map is attached.
K. Agricultural Districts: Agriculture and Markets Law Article 25-AA, Sections 303 and 304, Agricultural Districts	<input type="checkbox"/>	The program is not located in an agricultural district, does not involve any activities with potential to convert farmland to nonagricultural use and does not require an Agricultural Data Statement.

Individual site specific checklists may require more detailed supporting documentation and review in circumstances such as:

- substantial improvement in a flood zone;
- projects in, or adjacent to, Agricultural Districts;
- work on a building determined by SHPO to have historic or cultural significance;
- ground disturbance;
- zoning changes;
- a change in actual building use (whether or not this change is locally regulated);
- if the work constitutes a SEQR Unlisted action.

Certification

I am authorized to execute contract materials for the program award to the Local Program Administrator (LPA) named above. I have read this Checklist and by signing this document agree with the statements made herein and agree that: (1) site specific checklists will be prepared and submitted to the OCR for each project site and additional documentation will be provided as necessary for the circumstances listed above; (2) project activities will be conducted in conformance with the described compliance procedures; (3) an environmental determination letter or approval from OCR will be received before taking any physical action on a site or incurring costs related to a specific activity; and, (4) costs incurred for activities completed prior to the SEQR determination and submission of the site-specific checklist will not be eligible for reimbursement.

Signature:

Printed Name: William Barlow, Jr.

Title: Mayor

Date: _____

Prepared by: _____

Title: _____

Phone: _____

Email: _____



Parks, Recreation, and Historic Preservation

ANDREW M. CUOMO
Governor

ROSE HARVEY
Commissioner

October 29, 2018

Mr. Tim Stahl
Deputy Director
City of Oswego
44 East Bridge Street
Oswego, NY 13126

Re: HTFMS
Oswego DRI - 111 West Second Street
111 West Second Street, Oswego, NY 13126
18PR07031
DRI Project No. 20170236

Dear Mr. Stahl:

Thank you for requesting the comments of the Office of Parks, Recreation and Historic Preservation (OPRHP). We have reviewed the project in accordance with the New York State Historic Preservation Act of 1980 (Section 14.09 of the New York Parks, Recreation and Historic Preservation Law). These comments are those of the OPRHP and relate only to Historic/Cultural resources. They do not include potential environmental impacts to New York State Parkland that may be involved in or near your project. Such impacts must be considered as part of the environmental review of the project pursuant to the State Environmental Quality Review Act (New York Environmental Conservation Law Article 8) and its implementing regulations (6 NYCRR Part 617).

Based upon this review, it is the New York State Office of Parks, Recreation and Historic Preservation's opinion that your project will have no impact on archaeological and/or historic resources listed in or eligible for the New York State and National Registers of Historic Places.

If further correspondence is required regarding this project, please be sure to refer to the OPRHP Project Review (PR) number noted above.

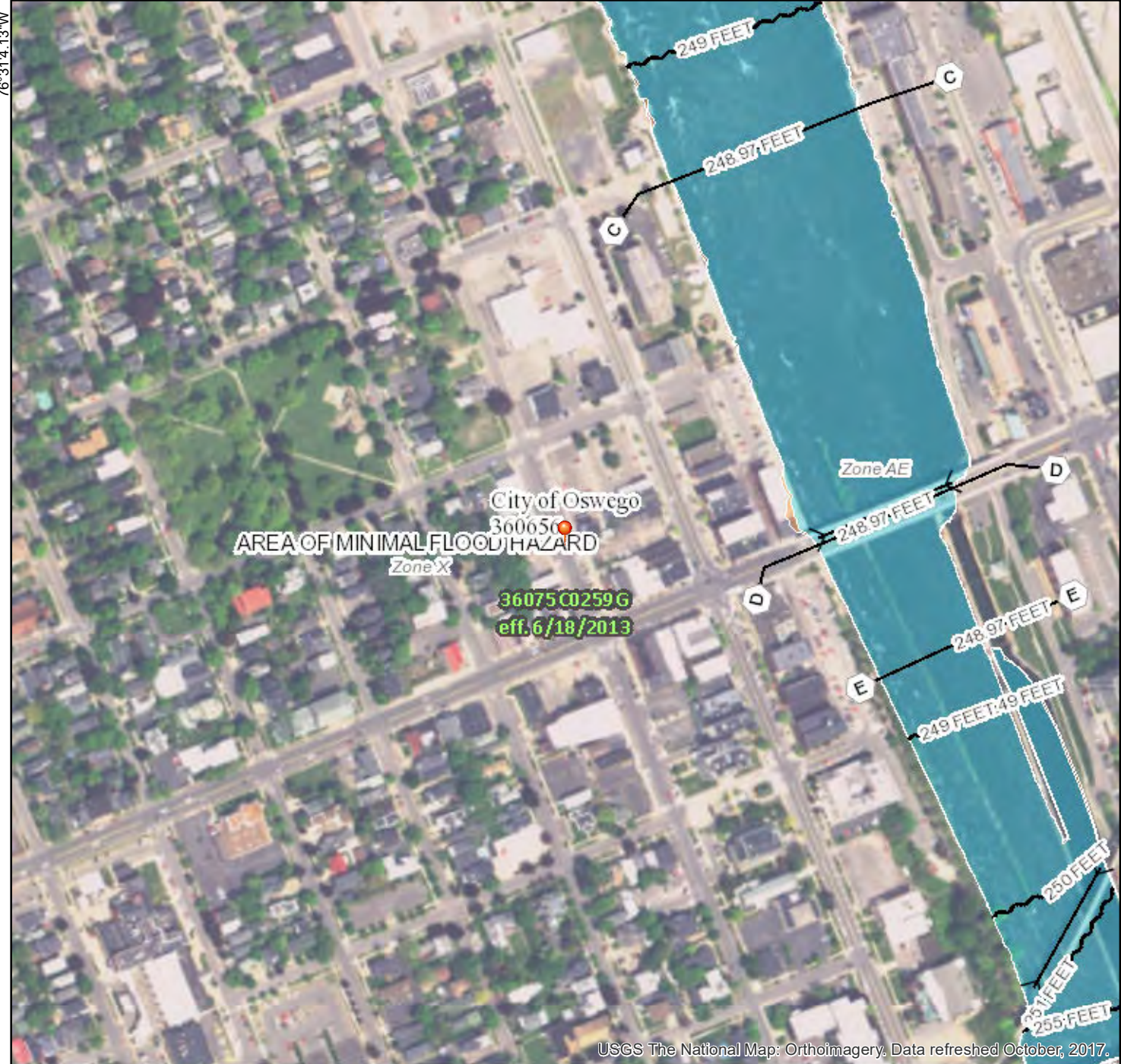
Sincerely,

Michael F. Lynch, P.E., AIA
Director, Division for Historic Preservation

National Flood Hazard Layer FIRMette



43°27'37.94"N



USGS The National Map: Orthoimagery. Data refreshed October, 2017. 1:6,000

Legend

SEE FIS REPORT FOR DETAILED LEGEND AND INDEX MAP FOR FIRM PANEL LAYOUT

SPECIAL FLOOD HAZARD AREAS		Without Base Flood Elevation (BFE) Zone A, V, A99
		With BFE or Depth Zone AE, AO, AH, VE, AR
		Regulatory Floodway
OTHER AREAS OF FLOOD HAZARD		0.2% Annual Chance Flood Hazard, Areas of 1% annual chance flood with average depth less than one foot or with drainage areas of less than one square mile Zone X
		Future Conditions 1% Annual Chance Flood Hazard Zone X
		Area with Reduced Flood Risk due to Levee. See Notes. Zone X
		Area with Flood Risk due to Levee Zone D
OTHER AREAS		NO SCREEN Area of Minimal Flood Hazard Zone X
		Effective LOMRs
GENERAL STRUCTURES		Area of Undetermined Flood Hazard Zone D
		Channel, Culvert, or Storm Sewer
		Levee, Dike, or Floodwall
OTHER FEATURES		20.2 Cross Sections with 1% Annual Chance Water Surface Elevation
		17.5 Coastal Transect
		Base Flood Elevation Line (BFE)
		Limit of Study
		Jurisdiction Boundary
		Coastal Transect Baseline
MAP PANELS		Profile Baseline
		Hydrographic Feature
		Digital Data Available
		No Digital Data Available
		Unmapped



The pin displayed on the map is an approximate point selected by the user and does not represent an authoritative property location.

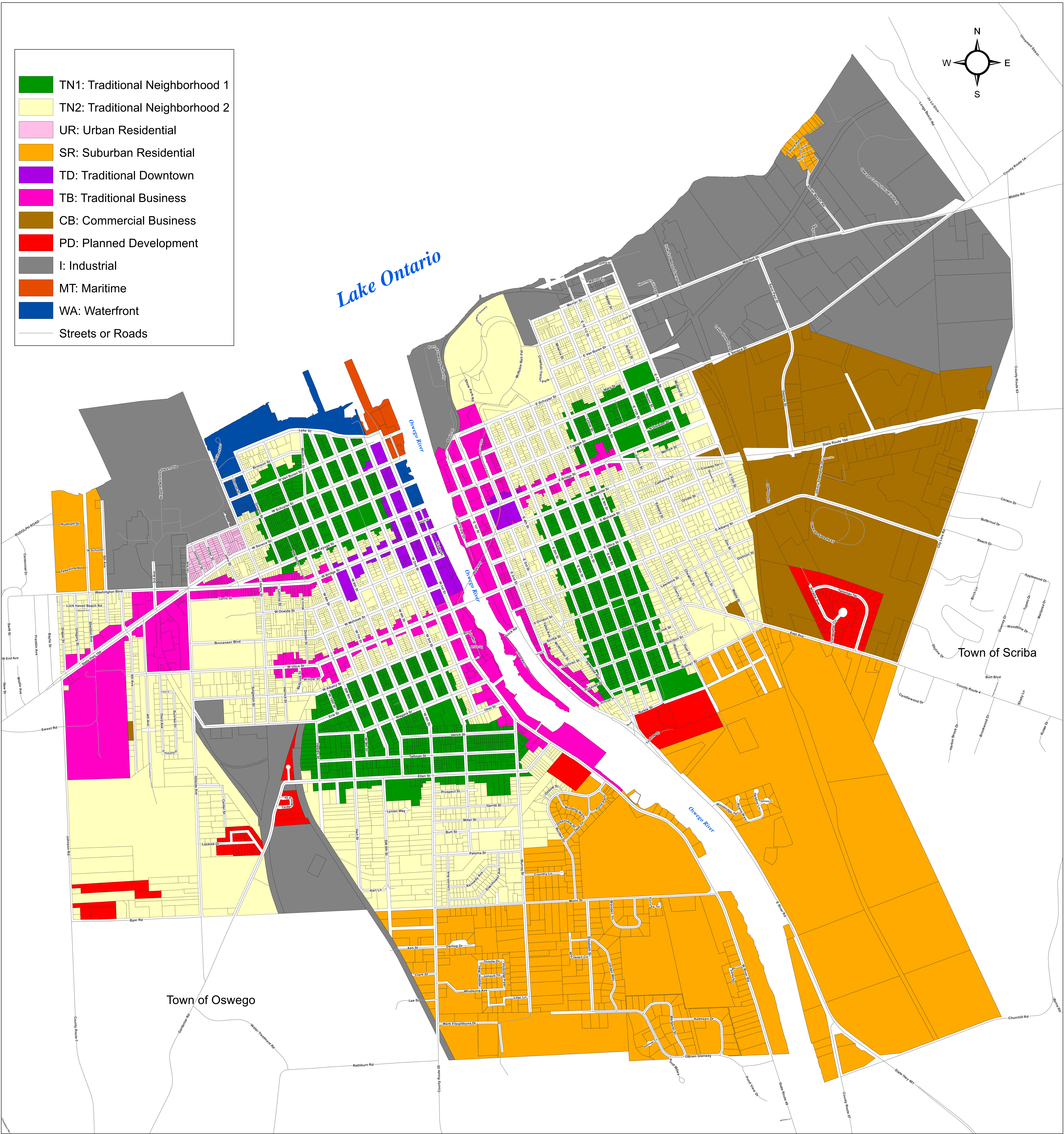
This map complies with FEMA's standards for the use of digital flood maps if it is not void as described below. The basemap shown complies with FEMA's basemap accuracy standards

The flood hazard information is derived directly from the authoritative NFHL web services provided by FEMA. This map was exported on 2/8/2019 at 9:58:53 AM and does not reflect changes or amendments subsequent to this date and time. The NFHL and effective information may change or become superseded by new data over time.

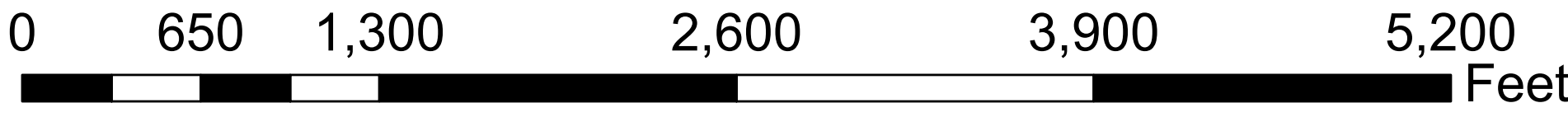
This map image is void if the one or more of the following map elements do not appear: basemap imagery, flood zone labels, legend, scale bar, map creation date, community identifiers, FIRM panel number, and FIRM effective date. Map images for unmapped and unmodernized areas cannot be used for regulatory purposes.



City of Oswego Zoning Map

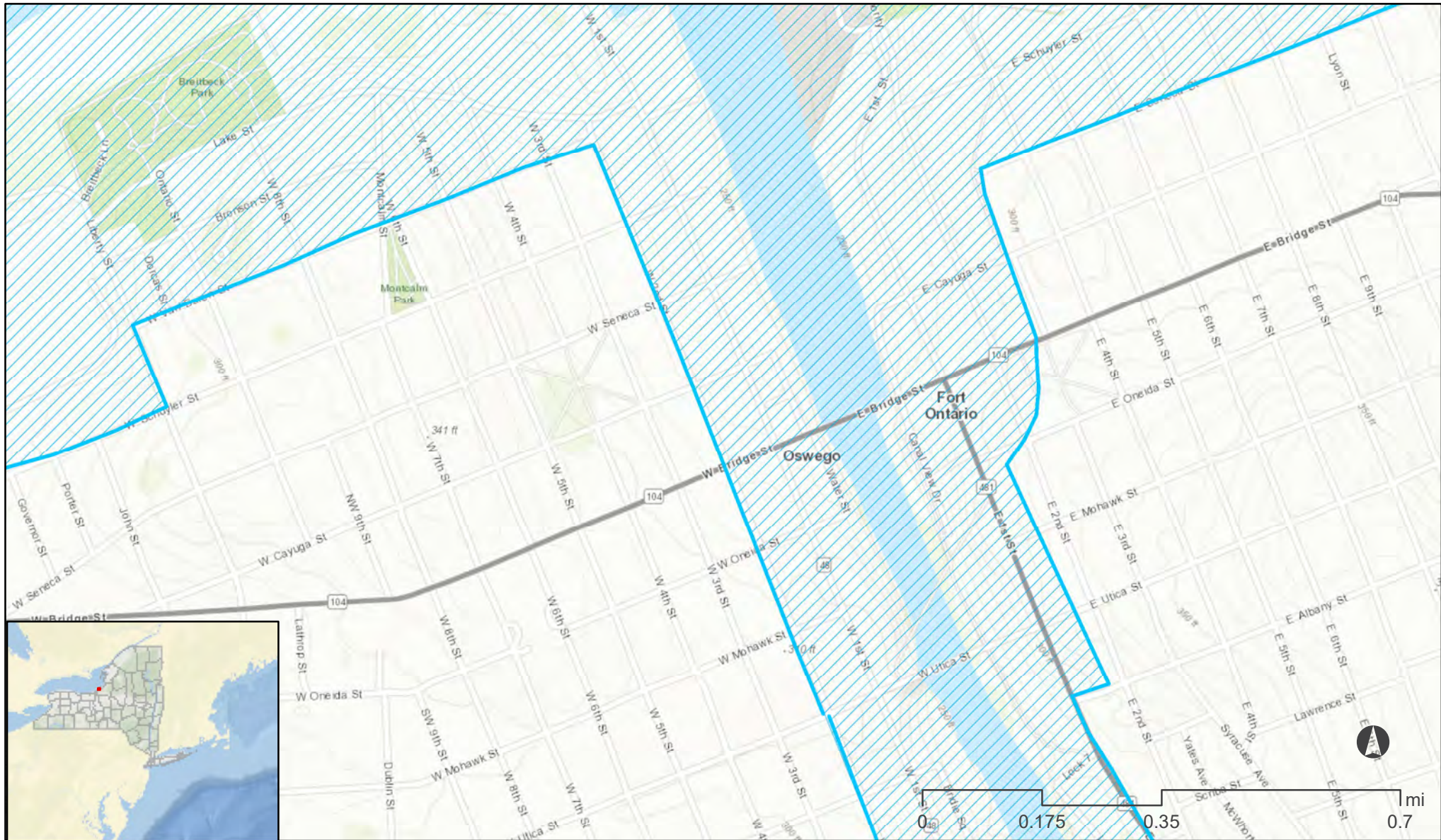


For:
City of Oswego
Zoning & Planning Office
13 West Oneida Street
Oswego, NY 13126
(315) 342-8153



By:
Oswego County Community Development, Tourism & Planning
46 East Bridge Street
Oswego, NY 13126
(315)349-8295
Karen B. Noyes, AICP
April 8, 2019

111 West 2nd Street Coastal Boundary Map



— Coastal_Boundary_Polyline_update CoastalBoundary_Polygon_March2017



**Department
of State**

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April 24th, 2019

Housing Trust Fund Corporation
OCR Managed Downtown Revitalization Initiative Projects (DRI)

Re: 111 West 2nd Street, Oswego, New York – Environmental Compliance Handbook

To whom it my concern:

As per the Environmental Compliance Handbook, Section E: Site Contamination (Hazardous Materials), established by the Housing Trust Fund Corporation, the project site at 111 West 2nd Street in Oswego, New York was examined for the potential presence of hazardous materials. The three (3) online databases that were used in this desktop review were the Nationwide Environmental Title Research (NETR) Database, the New York State Department of Environmental Conservation (NYSDEC) Environmental Site Database, and the United States Environmental Protection Agency (USEPA) EnviroFacts Database.

The project site is free of hazardous materials, contamination, toxic chemicals and gases, and radioactive substances which could affect the health and safety of occupants or users or conflict with the intended utilization of the property. I, Ariadna Cheremeteff, certify that I am an environmental professional as per ASTM 1527.

Please email if you have any questions.

Sincerely,

Ariadna Cheremeteff
BERGMANN
Environmental Discipline Leader
acheremeteff@bergmannpc.com



April 9th, 2019

Mr. Tim Stahl, Deputy Director
The City of Oswego – Office of Economic Development
44 East Bridge Street
Oswego, New York 13126

Re: Lead Based Paint Sampling Results – 111 West 2nd Street, Oswego, New York

Dear Mr. Stahl,

Bergmann was retained by the City of Oswego to conduct Lead Based Paint (LBP) sampling on select sites in the City of Oswego as part of the Downtown Revitalization Initiative (DRI). The results in this letter and the information gathered during Bergmann's sampling is being used to satisfy Section F of the Housing Trust Fund Corporation Environmental Compliance Checklist (ECC). Below is a summary of the materials sampled by Bergmann using a Thermo XL2 980 X-Ray Fluorescence (XRF) ray. Bergmann took three (3) samples from various DRI sites throughout the City of Oswego that were submitted to Paradigm Environmental Services for laboratory confirmation of the accuracy of the XRF ray. No major discrepancies between the field XRF results and laboratory analytical results were observed. In addition to the summary below, attached you will find a frontal façade photograph depicting the exact locations of the paints that were screened by Bergmann.

The following paints at 111 West 2nd Street, Oswego, NY were screened by Bergmann using an XRF on March 29th, 2019:

- Brick façade on store front (negative)
- White painted door trim (negative)
- White painted window trim (negative)
- White painted upper façade (negative)

The Federal Department of Housing and Urban Development (HUD) has established guidelines for the identification of lead based paint. Paint containing a concentration equal to or greater than 0.5% by weight (5,000 parts per million or 5,000 ppm) is to be considered lead-based paint. Given these values established by the HUD, all paint identified above as "positive" is considered to be lead based paint and must be abated to satisfy Section F of the Environmental Compliance Checklist (ECC).

Should any additional layers of paint be found during the abatement or renovation process, they are to be sampled via XRF or laboratory analyses to confirm or deny the presence of lead at levels exceeding the HUD action level of 0.5% by weight.



BERGMANN
ARCHITECTS ENGINEERS PLANNERS

Please email if you have any questions.

Sincerely,
Bergmann

CASH R. BLEIER
Environmental Scientist
cbleier@bergmannpc.com

Attachment 1: Exterior Sample Location Photo



BERGMANN

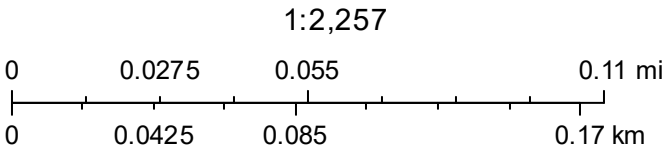
ARCHITECTS ENGINEERS PLANNERS



111 West 2nd Street NYSDEC Endangered and Threatened Species Map



February 8, 2019



Sources: Esri, HERE, Garmin, Intermap, increment P Corp., GEBCO, USGS, FAO, NPS, NRCAN, GeoBase, IGN, Kadaster NL, Ordnance Survey, Esri Japan, METI, Esri China (Hong Kong), swisstopo, © OpenStreetMap contributors, and the GIS User Community

HOUSING TRUST FUND CORPORATION
Program Description Form

Office of Community Renewal (OCR) state funded programs including:
Adirondack Community Housing Trust (ACHT), Buffalo Main Streets Initiative (BMSI), OCR Managed Downtown Revitalization Initiative (DRI), New York Main Street (NYMS), Rural Area Revitalization Projects (RARP), and Urban Initiatives (UI).

Local Program Administrator (LPA): City of Oswego

Funding Program: DRI

Program Municipality: Oswego

SHARS ID: 20170236

Program County: Oswego

Check all activities that apply to the Program or Project:

- ☒ Façade/Storefront Renovation
☐ Interior Building Renovation
 ☐ Commercial
 ☐ Residential

- ☒ Streetscape
☐ New Construction
☐ Site Work
☐ Ground Disturbance

For Site-Specific Review, outline the specific scope of work for the project(s). The formal scope of work should also be attached.

Renovate front and side façade of building to redesign and reconfigure to match historic surroundings. Replace windows install living screen ,replace pavers and add planters.

Description of Target Area:

Describe the target area or project site location and attach a map of the target area or project site.

Environmental Compliance Areas/ SEQR Classification Evaluation:

Specifically identify if any of the following activities will or may occur as part of this program: substantial improvement in a flood zone; projects in or adjacent to Agricultural Districts; work on a building determined by SHPO to have historic or cultural significance; ground disturbance; zoning changes, change in actual building use.

Primary Contact for Environmental Review Issues:

Prepared by: _____

Title: _____

Date: _____

Phone Number: _____

Email Address: _____

HOUSING TRUST FUND CORPORATION
Environmental Compliance Checklist

Office of Community Renewal (OCR) state funded programs including:
Adirondack Community Housing Trust (AHT), Buffalo Main Streets Initiative (BMSI), OCR Managed Downtown Revitalization Initiative (DRI), New York Main Street (NYMS), Rural Area Revitalization Projects (RARP), and Urban Initiatives (UI).

Local Program Administrator (LPA): City of Oswego

Funding DRI **Project Municipality:** Oswego

Program:
SHARS ID: 20170236 **Project County:** Oswego

Review Type: Programmatic **Project Address (if site specific):** 114-116 West 2nd St

Compliance Area	Attachments Included	Compliance Procedures
A. <u>Historic/Cultural Resources:</u> Section 14.09 of the Parks, Recreation and Historic Preservation Law.	<input checked="" type="checkbox"/>	The SHPO No Adverse Impact determination is attached.
B. <u>Flood Plains:</u> 6 NYCRR Part 502, Floodplain Management Criteria for State Projects.	<input checked="" type="checkbox"/>	The project is not within a SFHA. A copy of the FIRM map, with the Panel Number and Effective Date is included.
C. <u>Zoning:</u> C1. Projects must conform to local land use plans and receive all necessary zoning and site plan approvals and permits.	<input type="checkbox"/>	The project will not require zoning modifications, variances or a special use permit for issuance of a building permit. See attached documentation.
C2. Projects that result in a change in the building use must be identified.	<input type="checkbox"/>	The existing use of the building is commercial; the proposed use of the building is commercial.
D. <u>Coastal Zones:</u> 19 NYCRR Part 600, Coastal Zone Management	<input checked="" type="checkbox"/>	The project is located within the coastal zone but is not a Type I or Unlisted action.
E. <u>Site Contamination- Hazardous Materials:</u> Projects funded under the Program must be free of hazardous materials which could affect the health and safety of the occupants or conflict with the intended utilization of the property.	<input checked="" type="checkbox"/>	An environmental professional has certified that the project site is free of hazardous materials, contamination, toxic chemicals and gases, and radioactive substances. Documentation is attached.
F. <u>Lead Based Paint:</u> All activities impacting dwelling units or child occupied facilities must be free from the hazards posed by lead-based paint. <i>Refer to the Program Policy for Lead-Based Paint.</i>	<input checked="" type="checkbox"/>	The project involves exterior renovations or interior commercial renovations only. Window openings into residential or child-occupied facility floors will not be impacted as part of the project scope of work.
G. <u>Asbestos Containing Materials</u> NYS Department of Labor at 12 NYCRR Part 56	<input type="checkbox"/>	Asbestos Containing Materials (ACM) that will be disturbed as part of program activities will be handled and disposed of according to NYS Department of Labor requirements at 12 NYCRR Part 56 and local regulations.
H. <u>Radon</u> EPA map of Radon Zones; EPA Radon Mitigation Standards	<input type="checkbox"/>	The target area is located in a zone with moderate or high potential for radon levels to exceed the U.S. EPA action level

		(4pCi/L or higher). New construction or rehabilitation of residential units and common areas will include post-renovation testing and if elevated levels are found, a radon mitigation system will be installed in accordance with EPA Radon Mitigation Standards.
I. Wetlands: 6 NYCRR Part 663, Freshwater Wetlands Permit Requirements and, Section 404 of the Clean Water Act	<input type="checkbox"/>	The project does not involve new construction, ground disturbance or is entirely within an urban, built-up area.

J. Endangered Species: 6 NYCRR Part 182, Endangered and Threatened Species	<input checked="" type="checkbox"/>	The project is in a built up urban area and does not involve new construction, ground disturbance, or tree cutting.
K. Agricultural Districts: Agriculture and Markets Law Article 25-AA, Sections 303 and 304, Agricultural Districts	<input type="checkbox"/>	The program is not located in an agricultural district, does not involve any activities with potential to convert farmland to nonagricultural use and does not require an Agricultural Data Statement.

Individual site specific checklists may require more detailed supporting documentation and review in circumstances such as:

- substantial improvement in a flood zone;
- projects in, or adjacent to, Agricultural Districts;
- work on a building determined by SHPO to have historic or cultural significance;
- ground disturbance;
- zoning changes;
- a change in actual building use (whether or not this change is locally regulated);
- if the work constitutes a SEQR Unlisted action.

Certification

I am authorized to execute contract materials for the program award to the Local Program Administrator (LPA) named above. I have read this Checklist and by signing this document agree with the statements made herein and agree that: (1) site specific checklists will be prepared and submitted to the OCR for each project site and additional documentation will be provided as necessary for the circumstances listed above; (2) project activities will be conducted in conformance with the described compliance procedures; (3) an environmental determination letter or approval from OCR will be received before taking any physical action on a site or incurring costs related to a specific activity; and, (4) costs incurred for activities completed prior to the SEQR determination and submission of the site-specific checklist will not be eligible for reimbursement.

Signature:

Printed Name: William Barlow, Jr.

Title: Mayor

Date: _____

Prepared by: _____

Title: _____

Phone: _____

Email: _____



Parks, Recreation, and Historic Preservation

ANDREW M. CUOMO
Governor

ROSE HARVEY
Commissioner

November 06, 2018

Mr. Tim Stahl
Deputy Director
City of Oswego
44 East Bridge Street
Oswego, NY 13126

Re: HTFMS
Oswego DRI-114-116 West Second Street
114 West Second St, Oswego, NY 13126
18PR07222
DRI Project No. 20170236

Dear Mr. Stahl:

Thank you for requesting the comments of the Office of Parks, Recreation and Historic Preservation (OPRHP). We have reviewed the project in accordance with the New York State Historic Preservation Act of 1980 (Section 14.09 of the New York Parks, Recreation and Historic Preservation Law). These comments are those of the OPRHP and relate only to Historic/Cultural resources. They do not include potential environmental impacts to New York State Parkland that may be involved in or near your project. Such impacts must be considered as part of the environmental review of the project pursuant to the State Environmental Quality Review Act (New York Environmental Conservation Law Article 8) and its implementing regulations (6 NYCRR Part 617).

Based upon this review, it is the New York State Office of Parks, Recreation and Historic Preservation's opinion that your project will have no impact on archaeological and/or historic resources listed in or eligible for the New York State and National Registers of Historic Places.

If further correspondence is required regarding this project, please be sure to refer to the OPRHP Project Review (PR) number noted above.

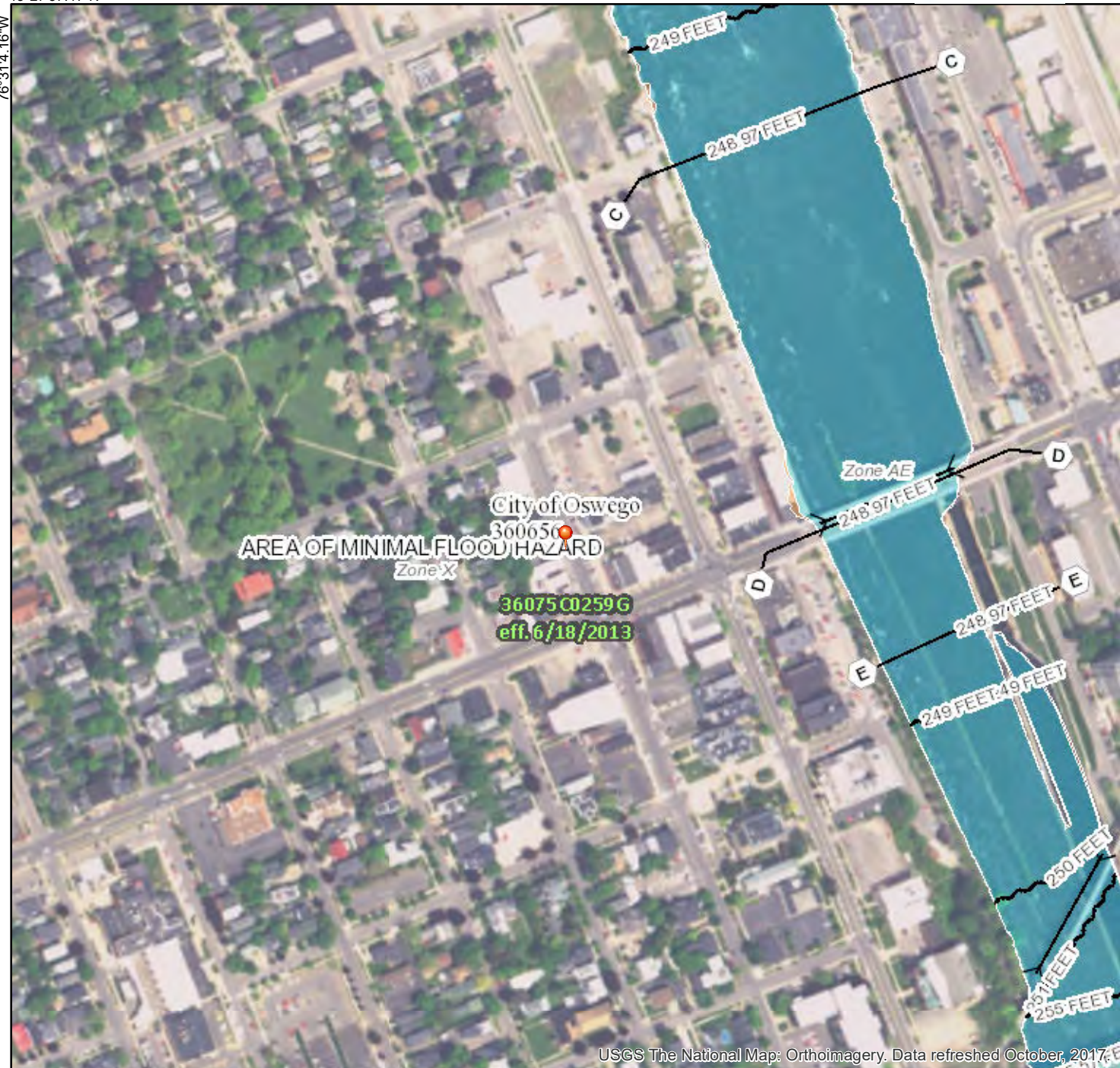
Sincerely,

Michael F. Lynch, P.E., AIA
Director, Division for Historic Preservation

National Flood Hazard Layer FIRMette



43°27'37.47"N



Legend

SEE FIS REPORT FOR DETAILED LEGEND AND INDEX MAP FOR FIRM PANEL LAYOUT

SPECIAL FLOOD HAZARD AREAS		Without Base Flood Elevation (BFE) Zone A, V, A99
		With BFE or Depth Zone AE, AO, AH, VE, AR
		Regulatory Floodway
OTHER AREAS OF FLOOD HAZARD		0.2% Annual Chance Flood Hazard, Areas of 1% annual chance flood with average depth less than one foot or with drainage areas of less than one square mile Zone X
		Future Conditions 1% Annual Chance Flood Hazard Zone X
		Area with Reduced Flood Risk due to Levee. See Notes. Zone X
		Area with Flood Risk due to Levee Zone D
OTHER AREAS		Area of Minimal Flood Hazard Zone X
		Effective LOMRs
GENERAL STRUCTURES		Area of Undetermined Flood Hazard Zone D
		Channel, Culvert, or Storm Sewer
		Levee, Dike, or Floodwall
OTHER FEATURES		20.2 Cross Sections with 1% Annual Chance Water Surface Elevation
		17.5
		Coastal Transect
		Base Flood Elevation Line (BFE)
		Limit of Study
		Jurisdiction Boundary
MAP PANELS		Coastal Transect Baseline
		Profile Baseline
		Hydrographic Feature
		Digital Data Available
		No Digital Data Available
		Unmapped



The pin displayed on the map is an approximate point selected by the user and does not represent an authoritative property location.

This map complies with FEMA's standards for the use of digital flood maps if it is not void as described below. The basemap shown complies with FEMA's basemap accuracy standards

The flood hazard information is derived directly from the authoritative NFHL web services provided by FEMA. This map was exported on **2/8/2019 at 10:00:17 AM** and does not reflect changes or amendments subsequent to this date and time. The NFHL and effective information may change or become superseded by new data over time.

This map image is void if the one or more of the following map elements do not appear: basemap imagery, flood zone labels, legend, scale bar, map creation date, community identifiers, FIRM panel number, and FIRM effective date. Map images for unmapped and unmodernized areas cannot be used for regulatory purposes.

USGS The National Map: Orthoimagery. Data refreshed October, 2017

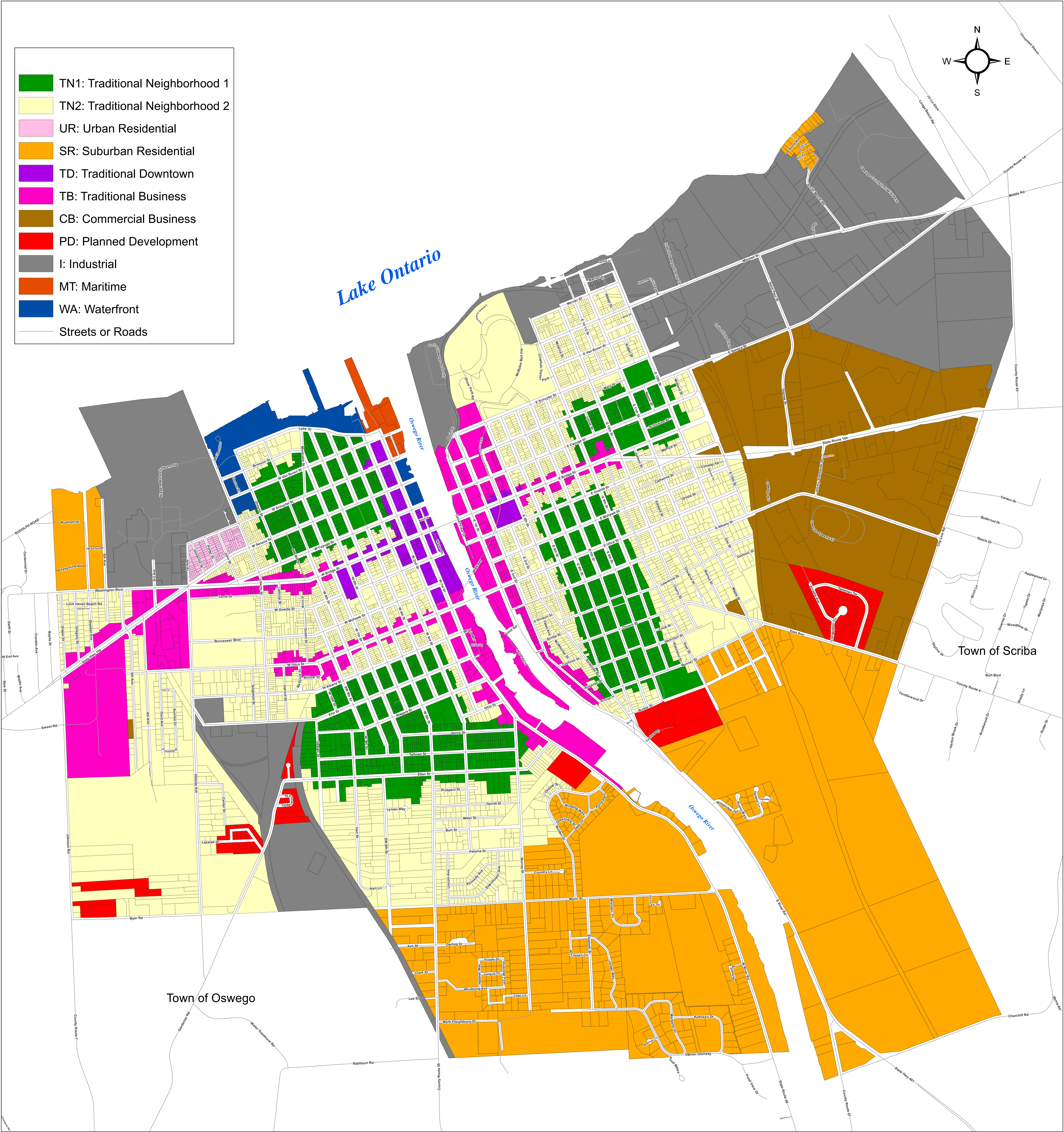
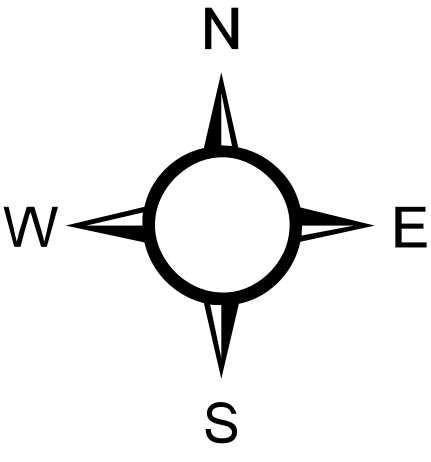
0 250 500 1,000 1,500 2,000 Feet 1:6,000

43°27'11.36"N

76°30'26.70"W

City of Oswego Zoning Map

- TN1: Traditional Neighborhood 1
- TN2: Traditional Neighborhood 2
- UR: Urban Residential
- SR: Suburban Residential
- TD: Traditional Downtown
- TB: Traditional Business
- CB: Commercial Business
- PD: Planned Development
- I: Industrial
- MT: Maritime
- WA: Waterfront
- Streets or Roads

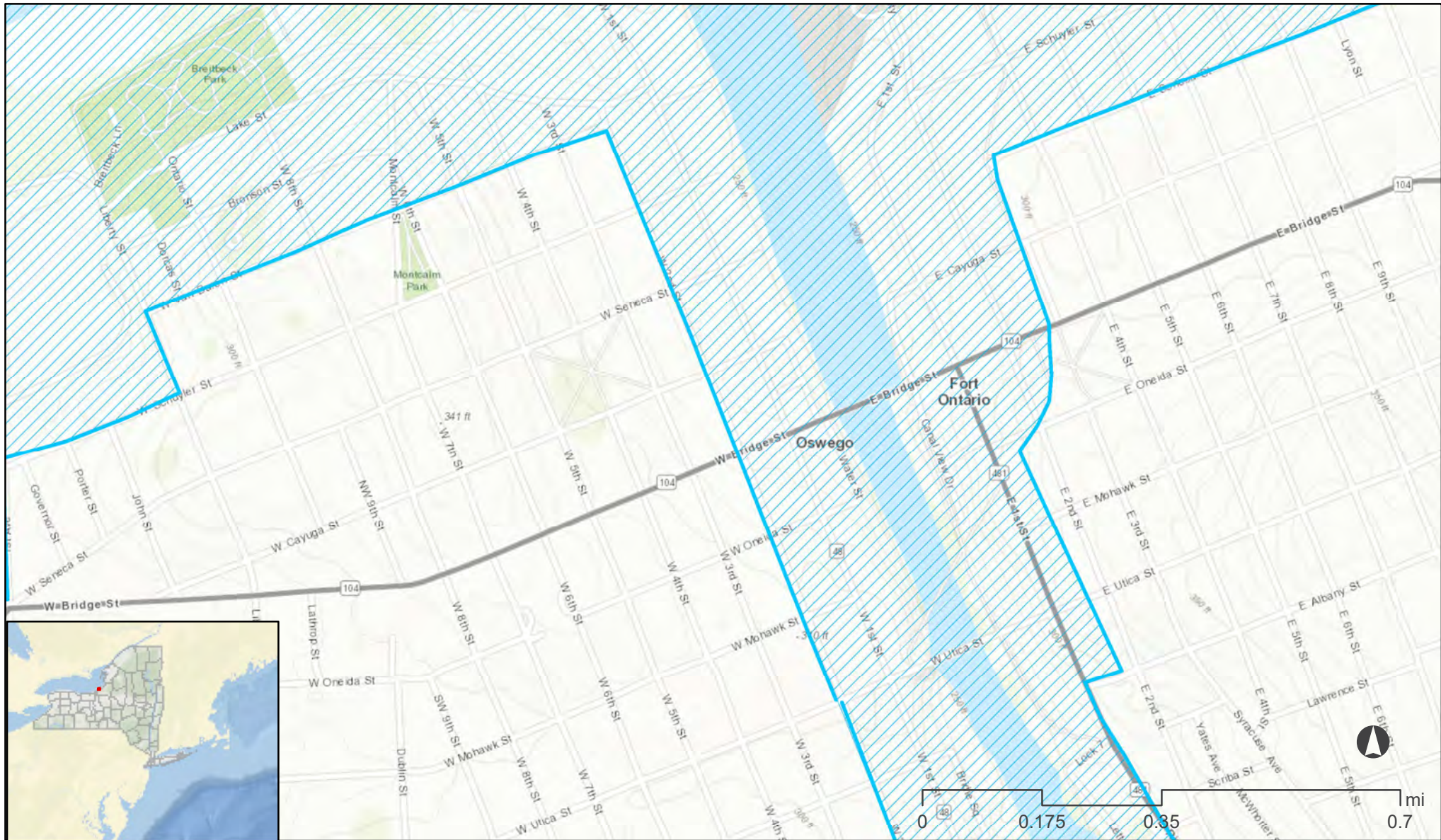


For:
City of Oswego
Zoning & Planning Office
13 West Oneida Street
Oswego, NY 13126
(315) 342-8153

0 650 1,300 2,600 3,900 5,200 Feet

By:
Oswego County Community Development, Tourism & Planning
46 East Bridge Street
Oswego, NY 13126
(315)349-8295
Karen B. Noyes, AICP
April 8, 2019

114-116 West 2nd St Coastal Boundary Map



Coastal_Boundary_Polyline_update CoastalBoundary_Polygon_March2017



Department of State

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April 23rd, 2019

Housing Trust Fund Corporation
OCR Managed Downtown Revitalization Initiative Projects (DRI)

Re: 114-116 West 2nd Street, Oswego, New York – Environmental Compliance Handbook

To whom it my concern:

As per the Environmental Compliance Handbook, Section E: Site Contamination (Hazardous Materials), established by the Housing Trust Fund Corporation, the project site at 114-116 West 2nd Street in Oswego, New York was examined for the potential presence of hazardous materials. The three (3) online databases that were used in this desktop review were the Nationwide Environmental Title Research (NETR) Database, the New York State Department of Environmental Conservation (NYSDEC) Environmental Site Database, and the United States Environmental Protection Agency (USEPA) EnviroFacts Database.

The project site is free of hazardous materials, contamination, toxic chemicals and gases, and radioactive substances which could affect the health and safety of occupants or users or conflict with the intended utilization of the property. I, Ariadna Cheremeteff, certify that I am an environmental professional as per ASTM 1527.

Please email if you have any questions.

Sincerely,

Ariadna Cheremeteff
BERGMANN
Environmental Discipline Leader
acheremeteff@bergmannpc.com



April 30th, 2019

Mr. Tim Stahl, Deputy Director
The City of Oswego – Office of Economic Development
44 East Bridge Street
Oswego, New York 13126

Re: Lead Based Paint Sampling Results – 114-116 West 1st Street, Oswego, New York

Dear Mr. Stahl,

Bergmann was retained by the City of Oswego to conduct Lead Based Paint (LBP) sampling on select sites in the City of Oswego as part of the Downtown Revitalization Initiative (DRI). The results in this letter and the information gathered during Bergmann's sampling is being used to satisfy Section F of the Housing Trust Fund Corporation Environmental Compliance Checklist (ECC). Below is a summary of the materials sampled by Bergmann using a Thermo XL2 980 X-Ray Fluorescence (XRF) ray. Bergmann took three (3) samples from various DRI sites throughout the City of Oswego that were submitted to Paradigm Environmental Services for laboratory confirmation of the accuracy of the XRF ray. No major discrepancies between the field XRF results and laboratory analytical results were observed. In addition to the summary below, attached you will find a frontal façade photograph depicting the exact locations of the paints that were screened by Bergmann.

The following paints at 114-116 West 1st Street, Oswego, NY were screened by Bergmann using an XRF on March 29th, 2019:

- Front façade brown window trim paint (negative)
- Beige front façade paint (negative)
- Dark brown roof trim paint (negative)

The Federal Department of Housing and Urban Development (HUD) has established guidelines for the identification of lead based paint. Paint containing a concentration equal to or greater than 0.5% by weight (5,000 parts per million or 5,000 ppm) is to be considered lead-based paint. Given these values established by the HUD, all paint identified above as "positive" is considered to be lead based paint and must be abated to satisfy Section F of the Environmental Compliance Checklist (ECC).

Should any additional layers of paint be found during the abatement or renovation process, they are to be sampled via XRF or laboratory analyses to confirm or deny the presence of lead at levels exceeding the HUD action level of 0.5% by weight.



BERGMANN
ARCHITECTS ENGINEERS PLANNERS

Please email if you have any questions.

Sincerely,
Bergmann

CASH R. BLEIER
Environmental Scientist
cbleier@bergmannpc.com

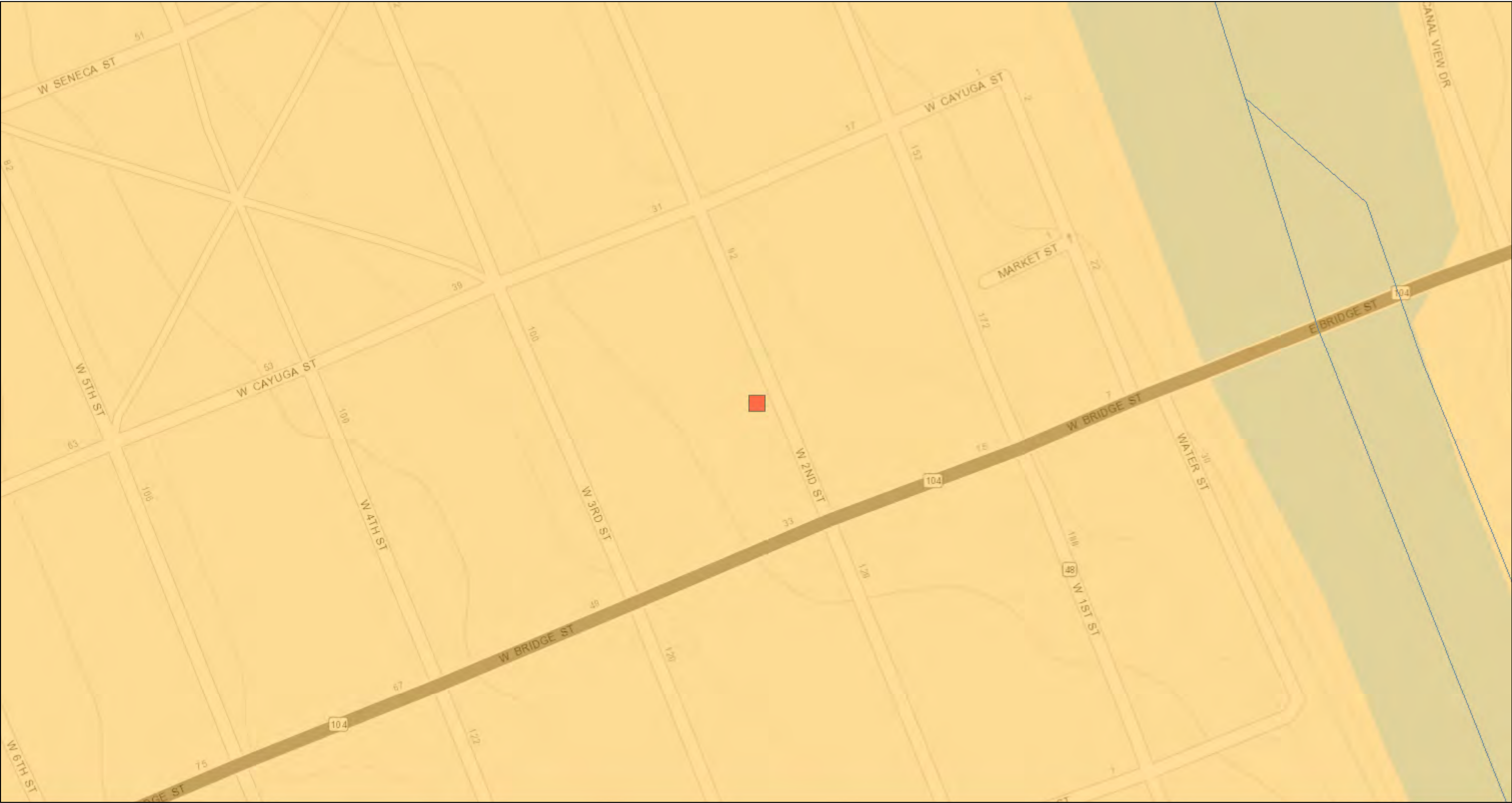
Attachment 1: Exterior Sample Location Photo



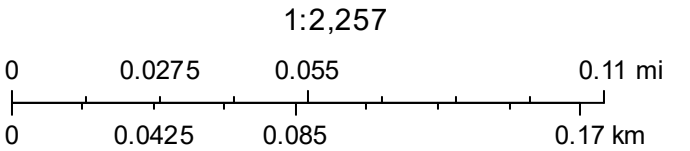
BERGMANN
ARCHITECTS ENGINEERS PLANNERS



114-116 West 2nd St NYSDEC Endangered and Threatened Species Map



February 8, 2019



Sources: Esri, HERE, Garmin, Intermap, increment P Corp., GEBCO, USGS, FAO, NPS, NRCAN, GeoBase, IGN, Kadaster NL, Ordnance Survey, Esri Japan, METI, Esri China (Hong Kong), swisstopo, © OpenStreetMap contributors, and the GIS User Community

HOUSING TRUST FUND CORPORATION
Program Description Form

Office of Community Renewal (OCR) state funded programs including:
Adirondack Community Housing Trust (ACHT), Buffalo Main Streets Initiative (BMSI), OCR Managed Downtown Revitalization Initiative (DRI), New York Main Street (NYMS), Rural Area Revitalization Projects (RARP), and Urban Initiatives (UI).

Local Program Administrator (LPA): City of Oswego

Funding Program: DRI

Program Municipality: Oswego

SHARS ID: 20170236

Program County: Oswego

Check all activities that apply to the Program or Project:

- ☒ Façade/Storefront Renovation
☐ Interior Building Renovation
 ☐ Commercial
 ☐ Residential

- ☐ Streetscape
☐ New Construction
☐ Site Work
☐ Ground Disturbance

For Site-Specific Review, outline the specific scope of work for the project(s). The formal scope of work should also be attached.

Renovate front and side façade of building to redesign and reconfigure to match historic surroundings w/ French doors.

Description of Target Area:

Describe the target area or project site location and attach a map of the target area or project site.

Environmental Compliance Areas/ SEQR Classification Evaluation:

Specifically identify if any of the following activities will or may occur as part of this program: substantial improvement in a flood zone; projects in or adjacent to Agricultural Districts; work on a building determined by SHPO to have historic or cultural significance; ground disturbance; zoning changes, change in actual building use.

Primary Contact for Environmental Review Issues:

Prepared by: _____

Title: _____

Date: _____

Phone Number: _____

Email Address: _____

HOUSING TRUST FUND CORPORATION
Environmental Compliance Checklist

Office of Community Renewal (OCR) state funded programs including:
Adirondack Community Housing Trust (AHT), Buffalo Main Streets Initiative (BMSI), OCR Managed Downtown Revitalization Initiative (DRI), New York Main Street (NYMS), Rural Area Revitalization Projects (RARP), and Urban Initiatives (UI).

Local Program Administrator (LPA): City of Oswego

Funding DRI **Project Municipality:** Oswego

Program:
SHARS ID: 20170236 **Project County:** Oswego

Review Type: Programmatic **Project Address (if site specific):** 118 West 2nd Street

Compliance Area	Attachments Included	Compliance Procedures
A. <u>Historic/Cultural Resources:</u> Section 14.09 of the Parks, Recreation and Historic Preservation Law.	<input checked="" type="checkbox"/>	The SHPO No Adverse Impact determination is attached.
B. <u>Flood Plains:</u> 6 NYCRR Part 502, Floodplain Management Criteria for State Projects.	<input checked="" type="checkbox"/>	The project is not within a SFHA. A copy of the FIRM map, with the Panel Number and Effective Date is included.
C. <u>Zoning:</u> C1. Projects must conform to local land use plans and receive all necessary zoning and site plan approvals and permits.	<input type="checkbox"/>	The project will not require zoning modifications, variances or a special use permit for issuance of a building permit. See attached documentation.
C2. Projects that result in a change in the building use must be identified.	<input type="checkbox"/>	The existing use of the building is commercial; the proposed use of the building is commercial.
D. <u>Coastal Zones:</u> 19 NYCRR Part 600, Coastal Zone Management	<input checked="" type="checkbox"/>	The project is located within the coastal zone but is not a Type I or Unlisted action.
E. <u>Site Contamination- Hazardous Materials:</u> Projects funded under the Program must be free of hazardous materials which could affect the health and safety of the occupants or conflict with the intended utilization of the property.	<input checked="" type="checkbox"/>	An environmental professional has certified that the project site is free of hazardous materials, contamination, toxic chemicals and gases, and radioactive substances. Documentation is attached.
F. <u>Lead Based Paint:</u> All activities impacting dwelling units or child occupied facilities must be free from the hazards posed by lead-based paint. <i>Refer to the Program Policy for Lead-Based Paint.</i>	<input checked="" type="checkbox"/>	The project involves exterior renovations or interior commercial renovations only. Window openings into residential or child-occupied facility floors will not be impacted as part of the project scope of work.
G. <u>Asbestos Containing Materials</u> NYS Department of Labor at 12 NYCRR Part 56	<input type="checkbox"/>	Asbestos Containing Materials (ACM) that will be disturbed as part of program activities will be handled and disposed of according to NYS Department of Labor requirements at 12 NYCRR Part 56 and local regulations.
H. <u>Radon</u> EPA map of Radon Zones; EPA Radon Mitigation Standards	<input type="checkbox"/>	The target area is located in a zone with moderate or high potential for radon levels to exceed the U.S. EPA action level

		(4pCi/L or higher). New construction or rehabilitation of residential units and common areas will include post-renovation testing and if elevated levels are found, a radon mitigation system will be installed in accordance with EPA Radon Mitigation Standards.
I. Wetlands: 6 NYCRR Part 663, Freshwater Wetlands Permit Requirements and, Section 404 of the Clean Water Act	<input type="checkbox"/>	The project does not involve new construction, ground disturbance or is entirely within an urban, built-up area.

J. Endangered Species: 6 NYCRR Part 182, Endangered and Threatened Species	<input checked="" type="checkbox"/>	The project is in a built up urban area and does not involve new construction, ground disturbance, or tree cutting.
K. Agricultural Districts: Agriculture and Markets Law Article 25-AA, Sections 303 and 304, Agricultural Districts	<input type="checkbox"/>	The program is not located in an agricultural district, does not involve any activities with potential to convert farmland to nonagricultural use and does not require an Agricultural Data Statement.

Individual site specific checklists may require more detailed supporting documentation and review in circumstances such as:

- substantial improvement in a flood zone;
- projects in, or adjacent to, Agricultural Districts;
- work on a building determined by SHPO to have historic or cultural significance;
- ground disturbance;
- zoning changes;
- a change in actual building use (whether or not this change is locally regulated);
- if the work constitutes a SEQR Unlisted action.

Certification

I am authorized to execute contract materials for the program award to the Local Program Administrator (LPA) named above. I have read this Checklist and by signing this document agree with the statements made herein and agree that: (1) site specific checklists will be prepared and submitted to the OCR for each project site and additional documentation will be provided as necessary for the circumstances listed above; (2) project activities will be conducted in conformance with the described compliance procedures; (3) an environmental determination letter or approval from OCR will be received before taking any physical action on a site or incurring costs related to a specific activity; and, (4) costs incurred for activities completed prior to the SEQR determination and submission of the site-specific checklist will not be eligible for reimbursement.

Signature:

Printed Name: William Barlow, Jr.

Title: Mayor

Date: _____

Prepared by: _____

Title: _____

Phone: _____

Email: _____



Parks, Recreation, and Historic Preservation

ANDREW M. CUOMO
Governor

ROSE HARVEY
Commissioner

November 9, 2018

Mr. Tim Stahl
Deputy Director
City of Oswego
44 East Bridge Street
Oswego, NY 13126

Re: HTFMS
Oswego DRI - 118 West Second Street
118 West 2nd Street Oswego, NY 13126
18PR06953
DRI Project No. 20170236

Dear Mr. Stahl:

Thank you for requesting the comments of the Division for Historic Preservation of the Office of Parks, Recreation and Historic Preservation (OPRHP). We have reviewed the submitted materials in accordance with the New York State Historic Preservation Act of 1980 (section 14.09 of the New York Parks, Recreation and Historic Preservation Law). These comments are those of the Division for Historic Preservation and relate only to Historic/Cultural resources. They do not include potential environmental impacts to New York State Parkland that may be involved in or near your project. Such impacts must be considered as part of the environmental review of the project pursuant to the State Environmental Quality Review Act (New York Environmental Conservation Law Article 8) and its implementing regulations (6NYCRR Part 617).

We note that 118 West 2nd Street has been determined eligible for listing in the State and National Registers of Historic Places. Please disregard our letter dated 10/25/2018 indicating that the proposed project would have no impact.

Because the building has been determined eligible, we ask that you provide the following additional information so that we may assess the impacts:

1. A detailed description of the proposed project scope of work, including drawings and specifications, for all proposed work on the building exterior and interior. Please be sure to describe any work items that may impact original materials or finishes.
2. Clear color photographs illustrating all areas to be affected by interior and exterior work. Photos of the general project area should be accompanied by detail images where work is proposed, particularly when a potentially historic feature is planned for replacement or alteration. All photographs should be labeled and keyed to a site or building plan indicating the location and direction of each image. If submitting a large number of

Division for Historic Preservation

P.O. Box 189, Waterford, New York 12188-0189 • (518) 237-8643 • www.nysparks.com

photos, we recommend combining them into a single document (Word or PDF format) before uploading.

3. Cut sheets or manufacturer's product information sheets for any new materials proposed for installation on the building.

We would appreciate the requested information be provided via our Cultural Resource Information System (CRIS) at www.nysparks.com/shpo/online-tools/. Once on the CRIS site, you can log in as a guest and choose "submit" at the very top menu. Next choose "submit new information for an existing project." You will need this project number (18PR06953) and your e-mail address. If you have any questions, I can be reached at (518) 268-2217.

Sincerely,



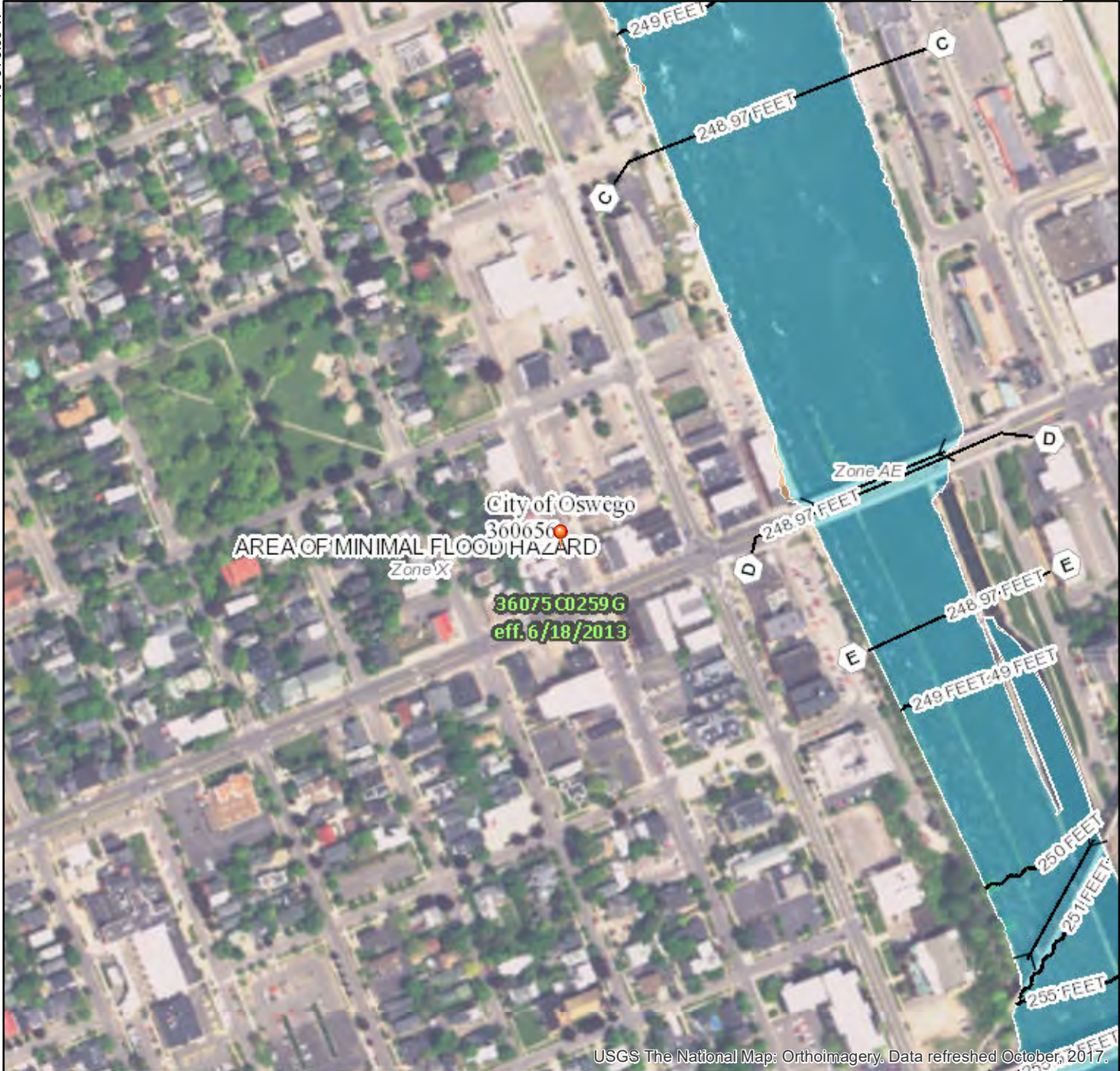
Christina Vagvolgyi
Historic Preservation Technical Specialist
e-mail: christina.vagvolgyi@parks.ny.gov

via e-mail only

National Flood Hazard Layer FIRMette



43°27'37.10"N



Legend

SEE FIS REPORT FOR DETAILED LEGEND AND INDEX MAP FOR FIRM PANEL LAYOUT

SPECIAL FLOOD HAZARD AREAS		Without Base Flood Elevation (BFE) Zone A, V, A99
		With BFE or Depth Zone AE, AO, AH, VE, AR
		Regulatory Floodway
OTHER AREAS OF FLOOD HAZARD		0.2% Annual Chance Flood Hazard, Areas of 1% annual chance flood with average depth less than one foot or with drainage areas of less than one square mile Zone X
		Future Conditions 1% Annual Chance Flood Hazard Zone X
		Area with Reduced Flood Risk due to Levee. See Notes. Zone X
		Area with Flood Risk due to Levee Zone D
OTHER AREAS		NO SCREEN Area of Minimal Flood Hazard Zone X
		Effective LOMRs
GENERAL STRUCTURES		Area of Undetermined Flood Hazard Zone D
		Channel, Culvert, or Storm Sewer
		Levee, Dike, or Floodwall
		Cross Sections with 1% Annual Chance Water Surface Elevation
		Coastal Transect
		Base Flood Elevation Line (BFE)
OTHER FEATURES		Limit of Study
		Jurisdiction Boundary
		Coastal Transect Baseline
		Profile Baseline
		Hydrographic Feature
MAP PANELS		Digital Data Available
		No Digital Data Available
		Unmapped



The pin displayed on the map is an approximate point selected by the user and does not represent an authoritative property location.

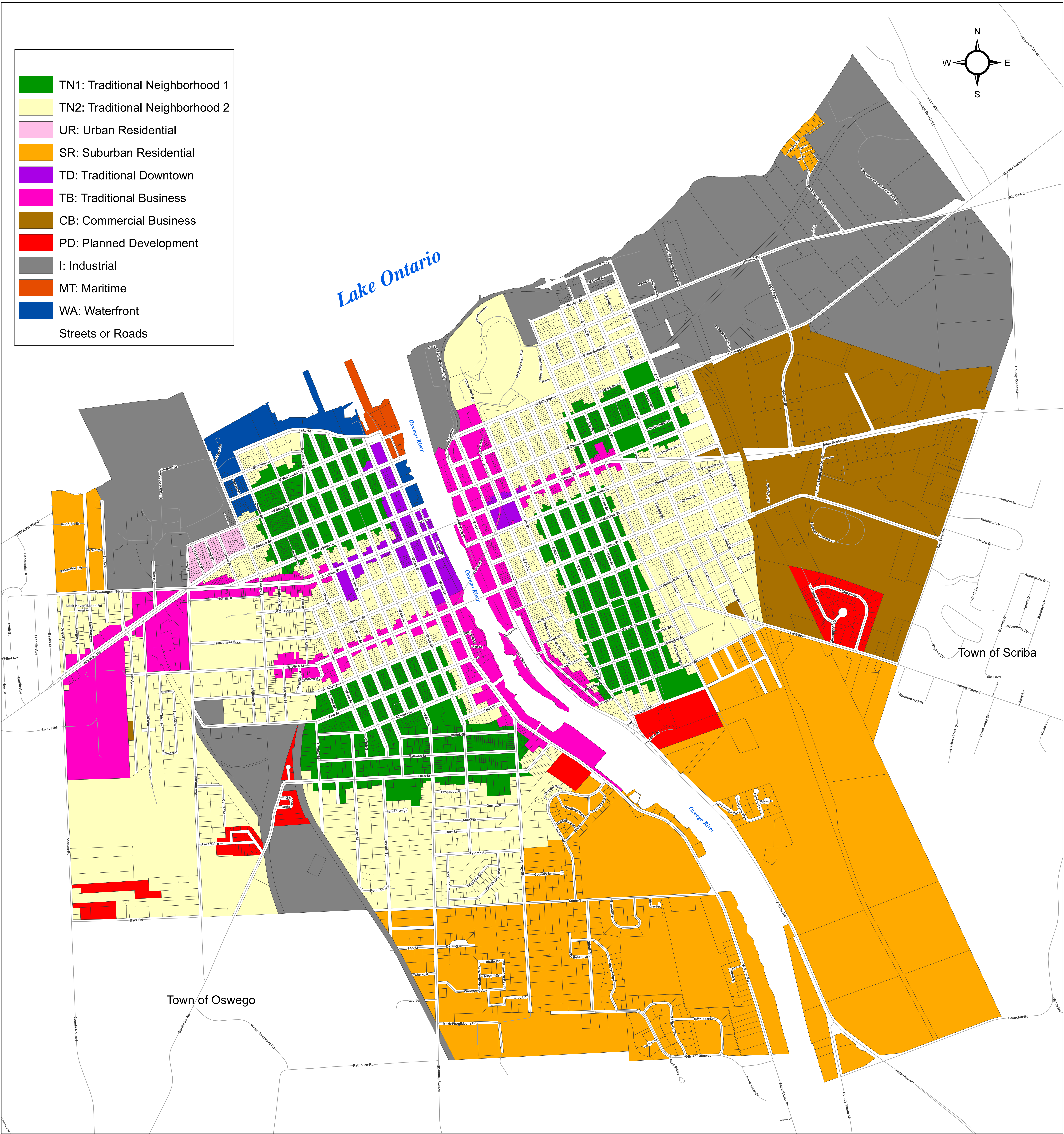
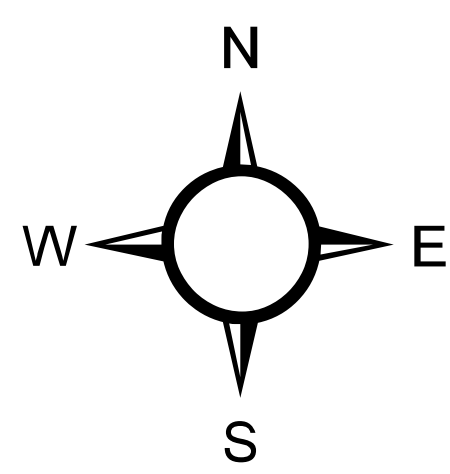
This map complies with FEMA's standards for the use of digital flood maps if it is not void as described below. The basemap shown complies with FEMA's basemap accuracy standards

The flood hazard information is derived directly from the authoritative NFHL web services provided by FEMA. This map was exported on 2/8/2019 at 10:01:45 AM and does not reflect changes or amendments subsequent to this date and time. The NFHL and effective information may change or become superseded by new data over time.

This map image is void if the one or more of the following map elements do not appear: basemap imagery, flood zone labels, legend, scale bar, map creation date, community identifiers, FIRM panel number, and FIRM effective date. Map images for unmapped and unmodernized areas cannot be used for regulatory purposes.

City of Oswego Zoning Map

- TN1: Traditional Neighborhood 1
- TN2: Traditional Neighborhood 2
- UR: Urban Residential
- SR: Suburban Residential
- TD: Traditional Downtown
- TB: Traditional Business
- CB: Commercial Business
- PD: Planned Development
- I: Industrial
- MT: Maritime
- WA: Waterfront
- Streets or Roads

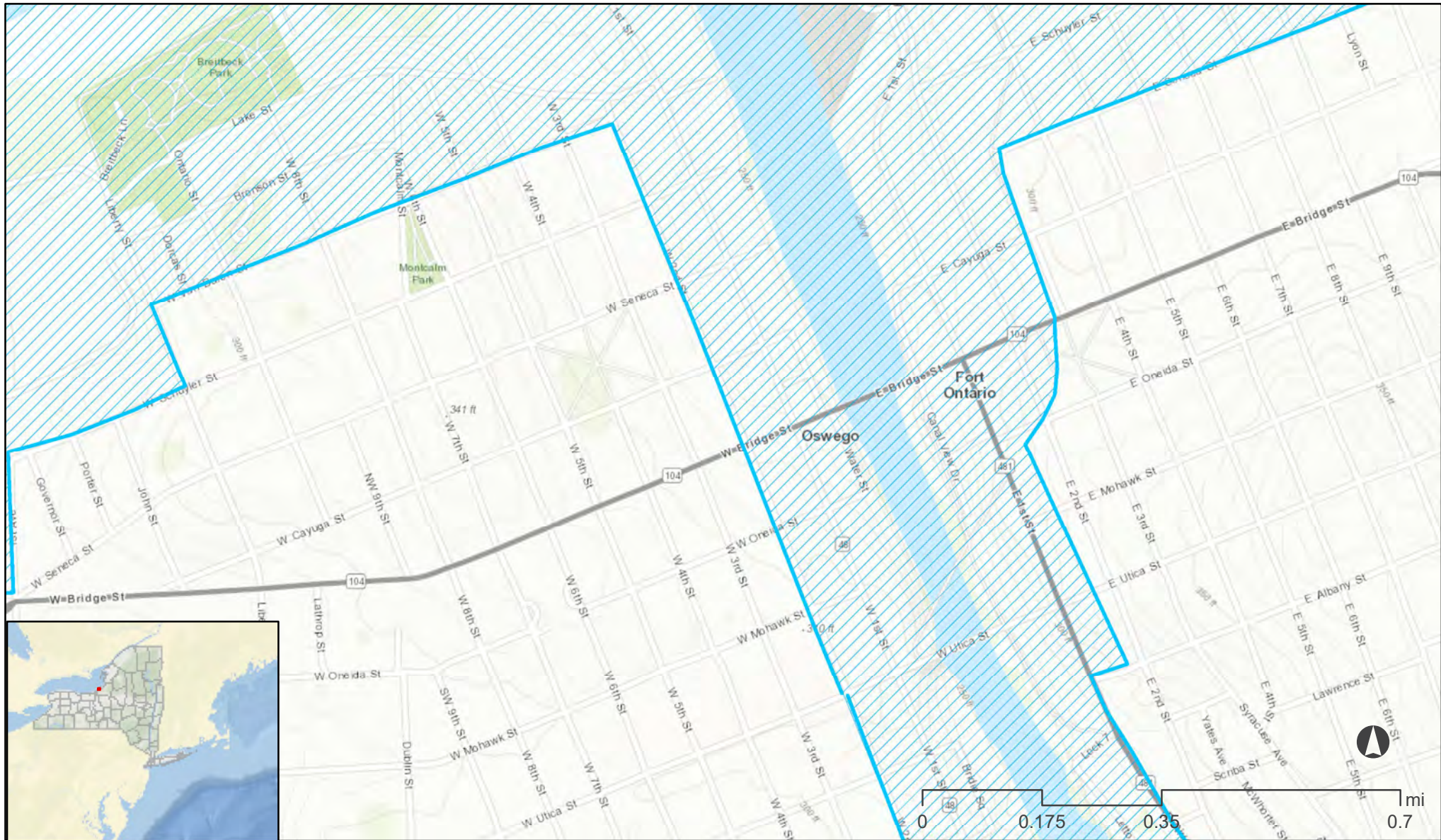


For:
City of Oswego
Zoning & Planning Office
13 West Oneida Street
Oswego, NY 13126
(315) 342-8153

0 650 1,300 2,600 3,900 5,200 Feet

By:
Oswego County Community Development, Tourism & Planning
46 East Bridge Street
Oswego, NY 13126
(315)349-8295
Karen B. Noyes, AICP
April 8, 2019

118 West 2nd Street Coastal Boundary Map



— Coastal_Boundary_Polyline_update CoastalBoundary_Polygon_March2017



**Department
of State**

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April 24th, 2019

Housing Trust Fund Corporation
OCR Managed Downtown Revitalization Initiative Projects (DRI)

Re: 118 West 2nd Street, Oswego, New York – Environmental Compliance Handbook

To whom it my concern:

As per the Environmental Compliance Handbook, Section E: Site Contamination (Hazardous Materials), established by the Housing Trust Fund Corporation, the project site at 118 West 2nd Street in Oswego, New York was examined for the potential presence of hazardous materials. The three (3) online databases that were used in this desktop review were the Nationwide Environmental Title Research (NETR) Database, the New York State Department of Environmental Conservation (NYSDEC) Environmental Site Database, and the United States Environmental Protection Agency (USEPA) EnviroFacts Database.

The project site is free of hazardous materials, contamination, toxic chemicals and gases, and radioactive substances which could affect the health and safety of occupants or users or conflict with the intended utilization of the property. I, Ariadna Cheremeteff, certify that I am an environmental professional as per ASTM 1527.

Please email if you have any questions.

Sincerely,

Ariadna Cheremeteff
BERGMANN
Environmental Discipline Leader
acheremeteff@bergmannpc.com



April 9th, 2019

Mr. Tim Stahl, Deputy Director
The City of Oswego – Office of Economic Development
44 East Bridge Street
Oswego, New York 13126

Re: Lead Based Paint Sampling Results – 118 West 2nd Street, Oswego, New York

Dear Mr. Stahl,

Bergmann was retained by the City of Oswego to conduct Lead Based Paint (LBP) sampling on select sites in the City of Oswego as part of the Downtown Revitalization Initiative (DRI). The results in this letter and the information gathered during Bergmann's sampling is being used to satisfy Section F of the Housing Trust Fund Corporation Environmental Compliance Checklist (ECC). Below is a summary of the materials sampled by Bergmann using a Thermo XL2 980 X-Ray Fluorescence (XRF) ray. Bergmann took three (3) samples from various DRI sites throughout the City of Oswego that were submitted to Paradigm Environmental Services for laboratory confirmation of the accuracy of the XRF ray. No major discrepancies between the field XRF results and laboratory analytical results were observed. In addition to the summary below, attached you will find a frontal façade photograph depicting the exact locations of the paints that were screened by Bergmann.

The following paints at 118 West 2nd Street, Oswego, NY were screened by Bergmann using an XRF on March 29th, 2019:

- Brick façade on store front (negative)
- Green painted door and window trim (negative)
- Red painted brick above front sign (positive, 8.04 mg/cm² lead)
- Green awning above front sign (positive, 45.36 mg/cm²)

The Federal Department of Housing and Urban Development (HUD) has established guidelines for the identification of lead based paint. Paint containing a concentration equal to or greater than 0.5% by weight (5,000 parts per million or 5,000 ppm) is to be considered lead-based paint. Given these values established by the HUD, all paint identified above as "positive" is considered to be lead based paint and must be abated to satisfy Section F of the Environmental Compliance Checklist (ECC).

Should any additional layers of paint be found during the abatement or renovation process, they are to be sampled via XRF or laboratory analyses to confirm or deny the presence of lead at levels exceeding the HUD action level of 0.5% by weight.



BERGMANN
ARCHITECTS ENGINEERS PLANNERS

Please email if you have any questions.

Sincerely,
Bergmann

CASH R. BLEIER
Environmental Scientist
cbleier@bergmannpc.com

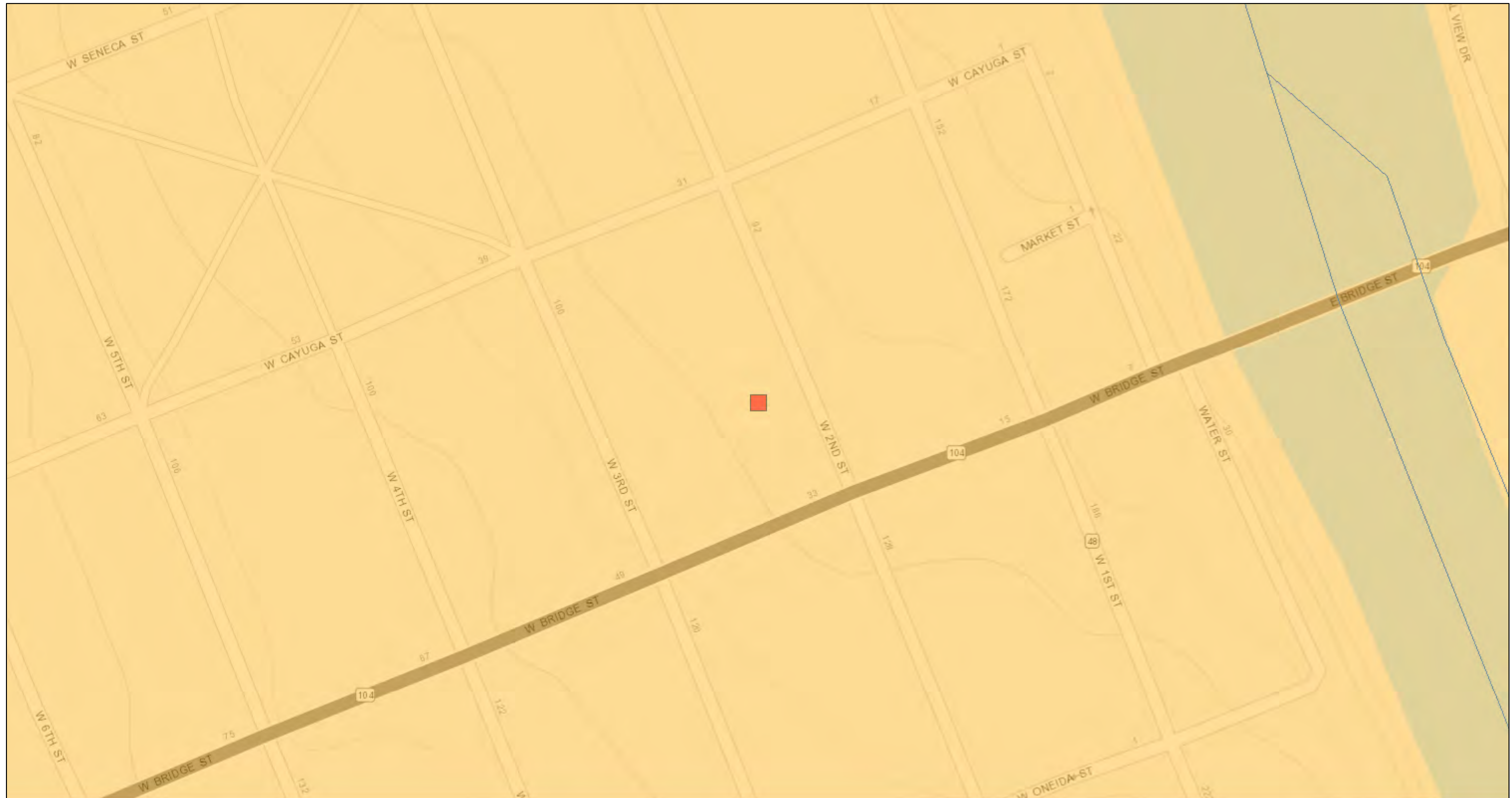
Attachment 1: Exterior Sample Location Photo



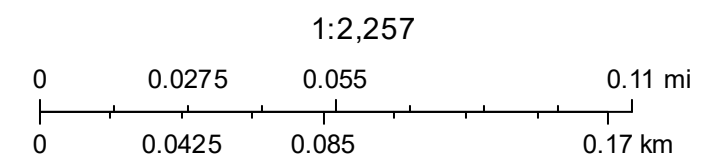
BERGMANN
ARCHITECTS ENGINEERS PLANNERS



118 West 2nd Street NYSDEC Endangered and Threatened Species Map



February 8, 2019



Sources: Esri, HERE, Garmin, Intermap, increment P Corp., GEBCO, USGS, FAO, NPS, NRCAN, GeoBase, IGN, Kadaster NL, Ordnance Survey, Esri Japan, METI, Esri China (Hong Kong), swisstopo, © OpenStreetMap contributors, and the GIS User Community

HOUSING TRUST FUND CORPORATION
Program Description Form

Office of Community Renewal (OCR) state funded programs including:
Adirondack Community Housing Trust (ACHT), Buffalo Main Streets Initiative (BMSI), OCR Managed Downtown Revitalization Initiative (DRI), New York Main Street (NYMS), Rural Area Revitalization Projects (RARP), and Urban Initiatives (UI).

Local Program Administrator (LPA): City of Oswego

Funding Program: DRI

Program Municipality: Oswego

SHARS ID: 20170236

Program County: Oswego

Check all activities that apply to the Program or Project:

- | | |
|------------------------------------------------------------------|-----------------------------------------------|
| <input checked="" type="checkbox"/> Façade/Storefront Renovation | <input type="checkbox"/> Streetscape |
| <input type="checkbox"/> Interior Building Renovation | <input type="checkbox"/> New Construction |
| <input type="checkbox"/> Commercial | <input checked="" type="checkbox"/> Site Work |
| <input type="checkbox"/> Residential | <input type="checkbox"/> Ground Disturbance |

For Site-Specific Review, outline the specific scope of work for the project(s). The formal scope of work should also be attached.

Replace awnings and replace ADA ramp.

Description of Target Area:

Describe the target area or project site location and attach a map of the target area or project site.

Environmental Compliance Areas/ SEQR Classification Evaluation:

Specifically identify if any of the following activities will or may occur as part of this program: substantial improvement in a flood zone; projects in or adjacent to Agricultural Districts; work on a building determined by SHPO to have historic or cultural significance; ground disturbance; zoning changes, change in actual building use.

Primary Contact for Environmental Review Issues:

Prepared by: _____

Title: _____

Date: _____

Phone Number: _____

Email Address: _____

HOUSING TRUST FUND CORPORATION
Environmental Compliance Checklist

Office of Community Renewal (OCR) state funded programs including:
Adirondack Community Housing Trust (AHT), Buffalo Main Streets Initiative (BMSI), OCR Managed Downtown Revitalization Initiative (DRI), New York Main Street (NYMS), Rural Area Revitalization Projects (RARP), and Urban Initiatives (UI).

Local Program Administrator (LPA): City of Oswego

Funding DRI **Project Municipality:** Oswego

Program:
SHARS ID: 20170236 **Project County:** Oswego

Review Type: Programmatic **Project Address (if site specific):** 191 West 1st Street

Compliance Area	Attachments Included	Compliance Procedures
A. <u>Historic/Cultural Resources:</u> Section 14.09 of the Parks, Recreation and Historic Preservation Law.	<input checked="" type="checkbox"/>	The SHPO No Adverse Impact determination is attached.
B. <u>Flood Plains:</u> 6 NYCRR Part 502, Floodplain Management Criteria for State Projects.	<input checked="" type="checkbox"/>	The project is not within a SFHA. A copy of the FIRM map, with the Panel Number and Effective Date is included.
C. <u>Zoning:</u> C1. Projects must conform to local land use plans and receive all necessary zoning and site plan approvals and permits.	<input type="checkbox"/>	The project will not require zoning modifications, variances or a special use permit for issuance of a building permit. See attached documentation.
C2. Projects that result in a change in the building use must be identified.	<input type="checkbox"/>	The existing use of the building is commercial; the proposed use of the building is commercial.
D. <u>Coastal Zones:</u> 19 NYCRR Part 600, Coastal Zone Management	<input checked="" type="checkbox"/>	The project is located within the coastal zone but is not a Type I or Unlisted action.
E. <u>Site Contamination- Hazardous Materials:</u> Projects funded under the Program must be free of hazardous materials which could affect the health and safety of the occupants or conflict with the intended utilization of the property.	<input checked="" type="checkbox"/>	The project involves minor exterior activities only without new construction, interior building rehabilitation, or ground disturbance with a total cost of \$10,000 or less. A Site Contamination Evaluation with not be completed.
F. <u>Lead Based Paint:</u> All activities impacting dwelling units or child occupied facilities must be free from the hazards posed by lead-based paint. <i>Refer to the Program Policy for Lead-Based Paint.</i>	<input type="checkbox"/>	Any projects that will involve the disturbance of painted surfaces will be evaluated using the Program Policy for Lead-Based Paint.
G. <u>Asbestos Containing Materials</u> NYS Department of Labor at 12 NYCRR Part 56	<input type="checkbox"/>	Asbestos Containing Materials (ACM) that will be disturbed as part of program activities will be handled and disposed of according to NYS Department of Labor requirements at 12 NYCRR Part 56 and local regulations.
H. <u>Radon</u>	<input type="checkbox"/>	The target area is located in a zone with moderate or high potential for radon levels

EPA map of Radon Zones; EPA Radon Mitigation Standards		to exceed the U.S. EPA action level (4pCi/L or higher). New construction or rehabilitation of residential units and common areas will include post-renovation testing and if elevated levels are found, a radon mitigation system will be installed in accordance with EPA Radon Mitigation Standards.
I. Wetlands: 6 NYCRR Part 663, Freshwater Wetlands Permit Requirements and, Section 404 of the Clean Water Act	<input type="checkbox"/>	The project does not involve new construction, ground disturbance or is entirely within an urban, built-up area.

J. Endangered Species: 6 NYCRR Part 182, Endangered and Threatened Species	<input checked="" type="checkbox"/>	The project is in a built up urban area and does not involve new construction, ground disturbance, or tree cutting.
K. Agricultural Districts: Agriculture and Markets Law Article 25-AA, Sections 303 and 304, Agricultural Districts	<input type="checkbox"/>	The program is not located in an agricultural district, does not involve any activities with potential to convert farmland to nonagricultural use and does not require an Agricultural Data Statement.

Individual site specific checklists may require more detailed supporting documentation and review in circumstances such as:

- substantial improvement in a flood zone;
- projects in, or adjacent to, Agricultural Districts;
- work on a building determined by SHPO to have historic or cultural significance;
- ground disturbance;
- zoning changes;
- a change in actual building use (whether or not this change is locally regulated);
- if the work constitutes a SEQR Unlisted action.

Certification

I am authorized to execute contract materials for the program award to the Local Program Administrator (LPA) named above. I have read this Checklist and by signing this document agree with the statements made herein and agree that: (1) site specific checklists will be prepared and submitted to the OCR for each project site and additional documentation will be provided as necessary for the circumstances listed above; (2) project activities will be conducted in conformance with the described compliance procedures; (3) an environmental determination letter or approval from OCR will be received before taking any physical action on a site or incurring costs related to a specific activity; and, (4) costs incurred for activities completed prior to the SEQR determination and submission of the site-specific checklist will not be eligible for reimbursement.

Signature:

Printed Name: William Barlow, Jr.

Title: Mayor

Date: _____

Prepared by: _____

Title: _____

Phone: _____

Email: _____



Parks, Recreation, and Historic Preservation

ANDREW M. CUOMO
Governor

ROSE HARVEY
Commissioner

October 31, 2018

Mr. Tim Stahl
Deputy Director
City of Oswego
44 East Bridge Street
Oswego, NY 13126

Re: HTFMS
Oswego DRI - 191 West First Street
191 West 1st Street Oswego, NY 13126
18PR07050
DRI Project No. 20170236

Dear Mr. Stahl:

Thank you for requesting the comments of the Division for Historic Preservation of the Office of Parks, Recreation and Historic Preservation (OPRHP). We have reviewed the submitted materials in accordance with the New York State Historic Preservation Act of 1980 (section 14.09 of the New York Parks, Recreation and Historic Preservation Law). These comments are those of the Division for Historic Preservation and relate only to Historic/Cultural resources. They do not include potential environmental impacts to New York State Parkland that may be involved in or near your project. Such impacts must be considered as part of the environmental review of the project pursuant to the State Environmental Quality Review Act (New York Environmental Conservation Law Article 8) and its implementing regulations (6NYCRR Part 617).

We note that 191 West 1st Street is eligible for listing in the State and National Registers of Historic Places as a contributing building to a potential commercial historic district.

We have reviewed the project submission received on 10/29/2018. Based upon our review, it is the OPRHP's opinion that the project will have No Adverse Impact upon historic resources.

If there are substantive changes to the project, consultation with our office should resume. If you have any questions, I can be reached at (518) 268-2217.

Sincerely,

Christina Vagvolgyi
Historic Preservation Technical Specialist
e-mail: christina.vagvolgyi@parks.ny.gov

via e-mail only

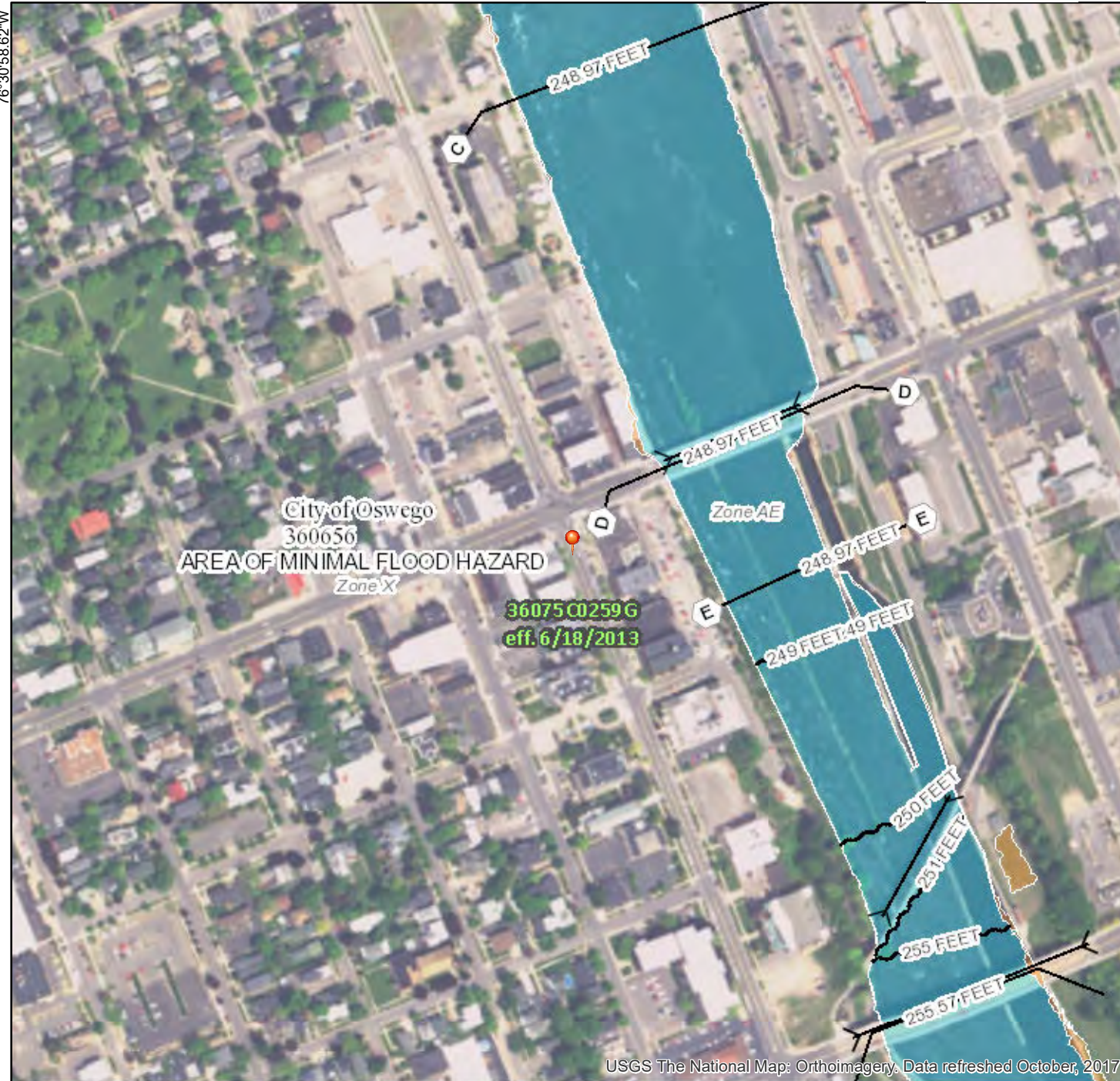
Division for Historic Preservation

P.O. Box 189, Waterford, New York 12188-0189 • (518) 237-8643 • www.nysparks.com

National Flood Hazard Layer FIRMette



43°27'35.85"N



0 250 500 1,000 1,500 2,000 Feet

1:6,000

43°27'9.73"N

Legend

SEE FIS REPORT FOR DETAILED LEGEND AND INDEX MAP FOR FIRM PANEL LAYOUT

SPECIAL FLOOD HAZARD AREAS		Without Base Flood Elevation (BFE) Zone A, V, A99
		With BFE or Depth Zone AE, AO, AH, VE, AR
		Regulatory Floodway
OTHER AREAS OF FLOOD HAZARD		0.2% Annual Chance Flood Hazard, Areas of 1% annual chance flood with average depth less than one foot or with drainage areas of less than one square mile Zone X
		Future Conditions 1% Annual Chance Flood Hazard Zone X
		Area with Reduced Flood Risk due to Levee. See Notes. Zone X
		Area with Flood Risk due to Levee Zone D
OTHER AREAS		Area of Minimal Flood Hazard Zone X
		Effective LOMRs
GENERAL STRUCTURES		Area of Undetermined Flood Hazard Zone D
		Channel, Culvert, or Storm Sewer
		Levee, Dike, or Floodwall
OTHER FEATURES		20.2 Cross Sections with 1% Annual Chance Water Surface Elevation
		17.5
		Coastal Transect
		Base Flood Elevation Line (BFE)
		Limit of Study
		Jurisdiction Boundary
MAP PANELS		Coastal Transect Baseline
		Profile Baseline
		Hydrographic Feature
		Digital Data Available
		No Digital Data Available
		Unmapped



The pin displayed on the map is an approximate point selected by the user and does not represent an authoritative property location.

This map complies with FEMA's standards for the use of digital flood maps if it is not void as described below. The basemap shown complies with FEMA's basemap accuracy standards

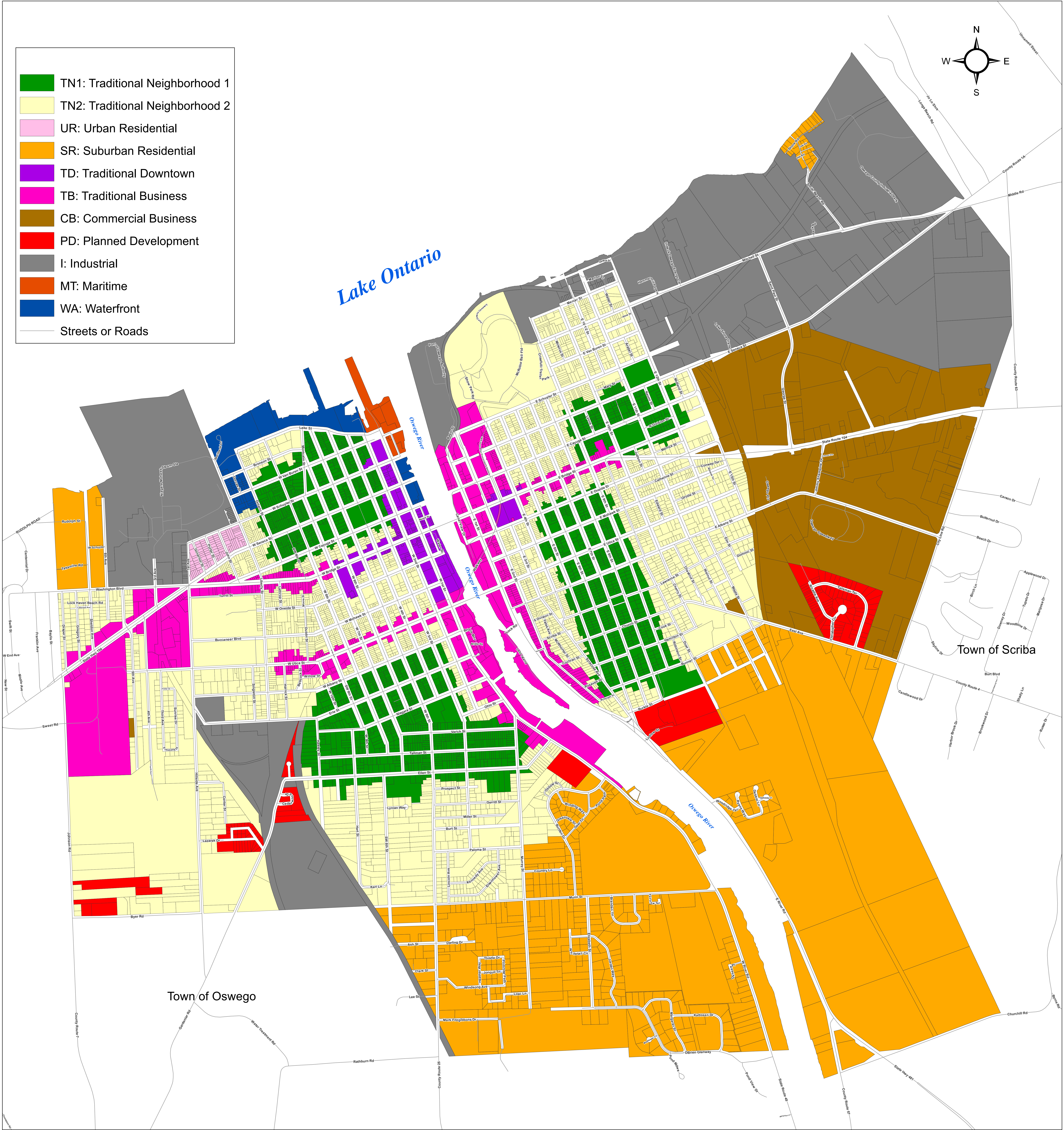
The flood hazard information is derived directly from the authoritative NFHL web services provided by FEMA. This map was exported on 2/8/2019 at 10:03:09 AM and does not reflect changes or amendments subsequent to this date and time. The NFHL and effective information may change or become superseded by new data over time.

This map image is void if the one or more of the following map elements do not appear: basemap imagery, flood zone labels, legend, scale bar, map creation date, community identifiers, FIRM panel number, and FIRM effective date. Map images for unmapped and unmodernized areas cannot be used for regulatory purposes.

USGS The National Map: Orthoimagery. Data refreshed October, 2017.

76°30'21.16"W

City of Oswego Zoning Map

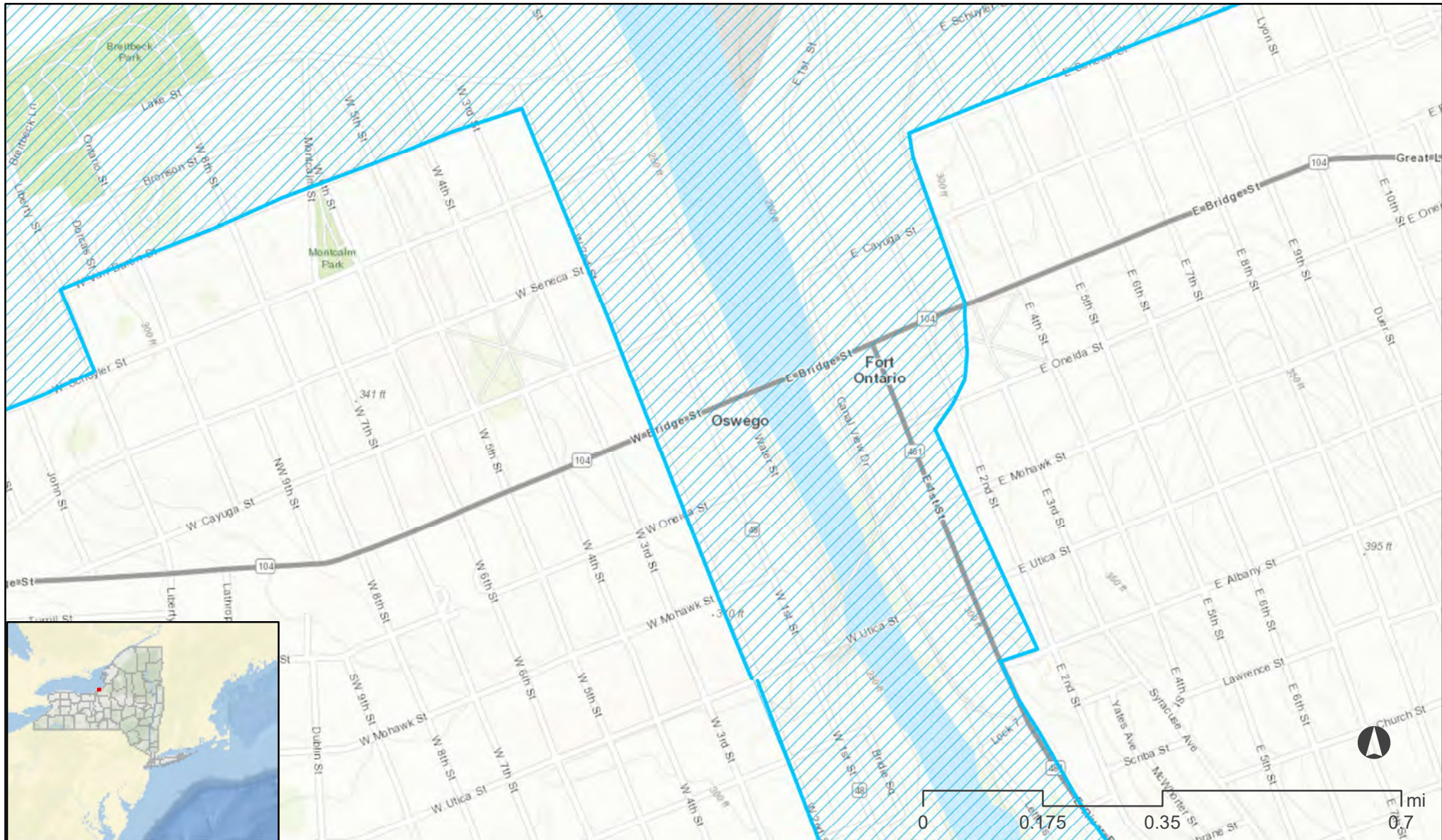


For:
City of Oswego
Zoning & Planning Office
13 West Oneida Street
Oswego, NY 13126
(315) 342-8153

0 650 1,300 2,600 3,900 5,200 Feet

By:
Oswego County Community Development, Tourism & Planning
46 East Bridge Street
Oswego, NY 13126
(315)349-8295
Karen B. Noyes, AICP
April 8, 2019

191 West 1st Street Coastal Boundary Map



Coastal_Boundary_Polyline_update CoastalBoundary_Polygon_March2017



Department
of State

The New York Department of State (DOS) gives no warranty, expressed or implied, as to the accuracy, reliability, or completeness of data shown on this map product. DOS does not assume responsibility for the use or application of any information represented on this map nor responsibility for any error, omission or other discrepancy between the electronic and printed versions of documents.

Oswego Downtown Revitalization Initiative

DOWNTOWN IMPROVEMENT FUND APPLICATION ****DUE JULY 18TH, 2018**

I. GENERAL INFORMATION

A. Applicant Information

Name Riverside Artisans		
Mailing Address 191 West 11 St		
City Oswego	County Oswego	Zip Code 13126
Telephone No. 315-342-8880	Fax No.	Email Address riversideartisansofoswego@gmail.com

B. Building Owner Information (if different from Applicant)

Name Camelot Lodge		
Mailing Address 190 5 th Avenue		
City Oswego	County Oswego	Zip Code 13126
Telephone No. 315-593-9872	Fax No.	Email Address tpauldine@tweny.rr.com

C. Property Information

Property Address 191 West 1 st St		
City Oswego	County Oswego	Zip Code 13126
Landmark Status <input checked="" type="checkbox"/> Yes The SHPO (State Historic Preservation Office) has designated the building as a 1950's storefront <input type="checkbox"/> No		

D. Grant Request Information

Proposed Use of Grant (select all that apply)	
<input type="checkbox"/> Building Signage Grant	<input checked="" type="checkbox"/> Façade Improvements Grant
<input type="checkbox"/> Residential Conversion Grant	<input type="checkbox"/> Storefront/Commercial Improvement Grant

Grant Request

Façade Improvement \$8,316
(Requires minimum 20% Match - Maximum \$25,000)

Mixed Use Expansion _____
(Requires minimum 25% Match - Maximum \$60,000)

Building Signage _____
(No Required Match - Maximum \$3,500)

Total Requested \$8,316 minus \$1,663.20 match = \$6,652.80 request
(maximum \$80,000)

E. Project Information

Start Date
12/1/18

End Date
6/1/19

Please **attach to this application** a brief description of the proposed scope of work which includes: the major elements to be rehabilitated, the number of apartments to be renovated, and the planned start and end dates for the project.

F. Additional Requirements for Façade and Signage Grants

Applicants for façade grants must **attach to this application** a photograph of the current building façade and a sketch of the proposed façade renovation for SHPO review.

G. Financial Information

Applicants must indicate the estimated budget and sources of matching funds for the proposed renovation. Use **Appendix 2** as a guide for your reporting.

Please list all current and previous real estate development and renovation projects and business improvement and expansion you have been involved with during the past five (5) years. Attach additional sheets if necessary.

Riverside Artisans has not been involved in any real estate development or renovation projects, business improvement, or expansion in the past five years.

II. DETAILED SCOPE OF WORK

Itemized the construction/renovation projects with a proposed timetable for completion

Riverside Artisans is on West 1st St. and is part of Canal Commons. The storefront features two windows with an entrance door in between. It is one building away from Bridge St. and is a focal point in downtown Oswego. Riverside Artisans is a locally owned artist cooperative—eleven members own and operate the store. It is Oswego's premier source for fine art and handmade gifts. It features work from 24 local artists and craftspeople in a wide range of works. It is a destination point in the downtown area.

This project includes two different façade improvements. The first improvement is to replace a ramp in front of the door into Riverside Artisans. The store entrance on West 1st St is at the bottom of a hill, and there is a step into the building. In order to avoid tripping on the step and to be handicapped accessible, a ramp was built several years ago that covers the step. The ramp is not secured into anything and moves. It also constantly collects leaves and debris, making it very unsightly. The proposed project will build a new treated frame for a ramp that will fit snugly into the space and be covered with composite decking. There will be a 1" lip on the bottom.

The timeframe for this part of the project is to begin December 1, 2018 and be finished with the ramp fully installed by June 1, 2019.

There is an old awning over the front windows of Riverside Artisans on West 1st St. It is not usable, and even though rolled up, is very unattractive. This project proposes a 25' awning over the windows that would match the existing awning that goes into the Canal Commons building. The retractable awning would extend 8'7" out and be motorized with remote controls. It would incorporate a hood to cover it when retracted and a wind sensor that would send out a warning if winds threatened to damage it.

In order to avoid installing the awning during the windy winter months, the proposed timeline is to order the awning during the winter and install it in the Spring of 2019, with a completion date of June 1, 2019.

The neighboring Bistro 197 is also applying for funding for awnings. Awnings over Riverside Artisans and the Bistro 197, as well as the ramp replacement for Riverside Artisans will enhance commercial/retail development in the downtown area which supports the Oswego Downtown Initiative project. The 20% match will also leverage private investment from the business owners.

Detailed budget broken out by expense

New ramp out of treated lumber and composite decking:	\$900
NYS Tax	\$ 72

Subtotal, ramp	\$972
25' awning that extends 8'7", motorized with remote controls:	\$6,800
NYS Tax	\$ 544
Subtotal, awning	\$7,344
TOTAL for project:	\$8,316

III. APPLICANT CERTIFICATONS

I certify I own the property located at 91 West 1st St, Oswego, NY, or that I am authorized by the building owner to file this submission with Oswego Downtown Revitalization Initiative – Downtown Improvement Fund (the “Program”) on the owner’s behalf, and am authorized to execute all necessary documents; that I am authorized to carry out the proposed activities and that I will comply with all applicable statutes, rules and regulations. I, the building owner or a party authorized by the building’s owner, am applying to the Downtown Improvement Fund for approval to participate in the Program.

I certify that all statements contained in this application are true, complete, and correct to the best of my belief and are made in good faith, and I agree to immediately inform the Downtown Improvement Fund of any changes. I understand that a false certification or failure to disclose material information shall be grounds for termination of any award.

A. I further certify that:

- a. The property described in this application:
 - does not have any outstanding taxes that are due and payable, and
 - either (1) does not have any building code violations, or (2) if applying for a building renovation grant, any and all building code violations will be remedied during the renovation process and will be discharged from record.
 - Does not have any delinquent commercial loans with the City of Oswego’s Community Development Office.
- b. The proposed project has been reviewed by the primary funder and has obtained or is in the process of obtaining approval of the funder.
- c. I understand the guidelines with respect to design, affordable housing, publicity, and other matters described in the application package.

B. I agree to permit a representative from the Downtown Improvement Fund to visually inspect the property described in this application to ensure that health or safety issues do not exist.



Signature of Building Owner

7/13/18
Date

Anthony Paulding, owner
Print Name/Title

B. Diane Chepko-Sade

Signature of Grant Applicant
(if other than building owner)

13 July 2018
Date

B. Diane Chepko-Sade
Print Name/Title
President,
Riverside Artisans

APPENDIX 2 SOURCES OF FUNDS

Including the Downtown Improvement Fund, list each source of funds for the proposed project; the amount requested from that source; whether each source is federal, state, local, private, or other; and the status of the funds. Use the codes listed below to provide funding source and status.

Assistance Types: Loan (L), Grant (G), Equity (E)
 Funding Types: Federal (F), State (S), Private (P), Local (L), Other (O)
 Status Codes: Committed (C), Pending Approval (PA)

Financing Sources				
Name of Funding Source	Amount	Assistance Type	Funding Type	Status
1.Oswego Downtown Revitalization Grant for ramp	\$777.60	G	S	PA
2. Oswego Downtown Revitalization Grant for awning	\$5,875.2	G	S	PA
3. Riverside Artisans for ramp	\$194.40	E	P	C
4.Riverside Artisans for awning	\$1,468.80	E	P	C
5.				
6.				
7. Total Financing (sum lines 1-6)	\$8,316			

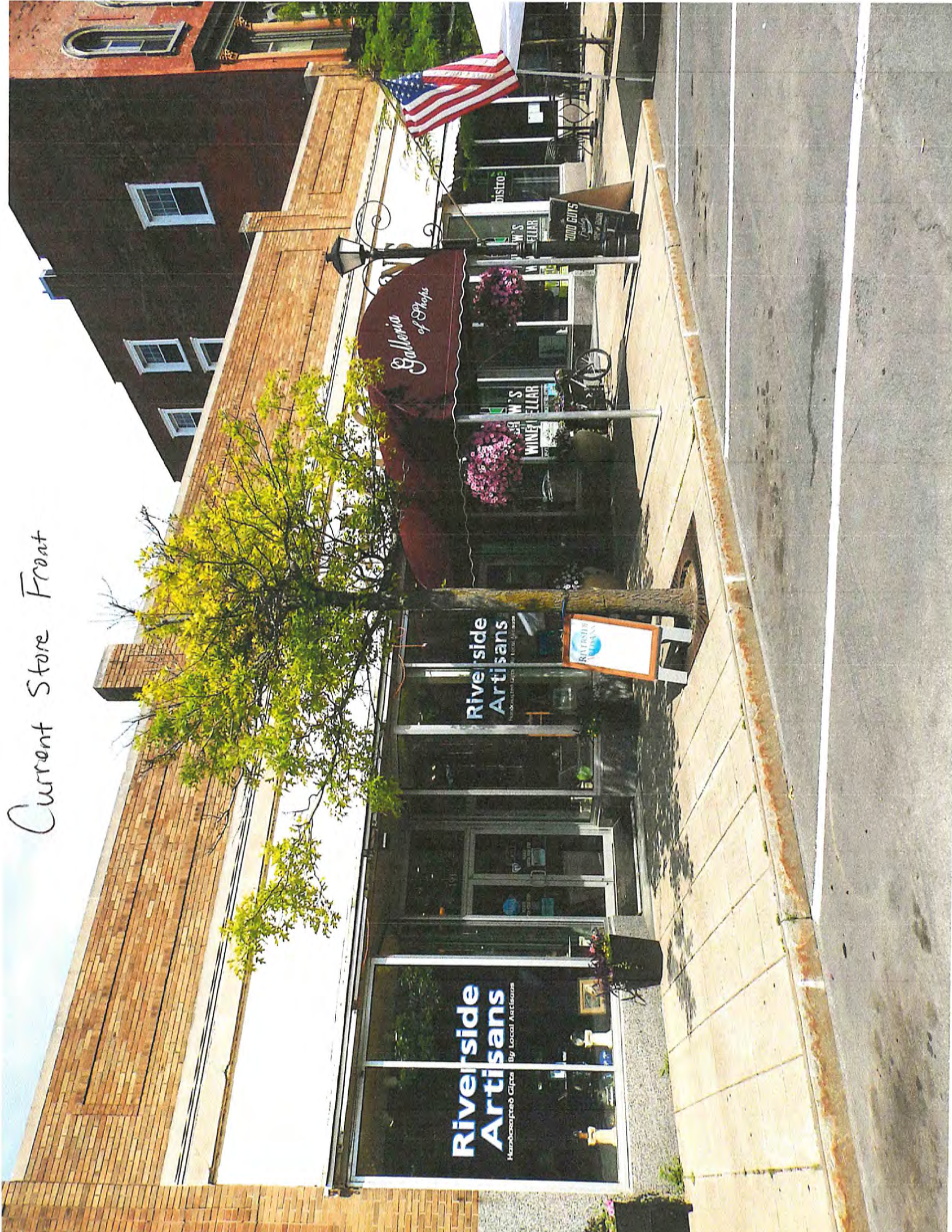
APPENDIX 3

APPLICATION CHECKLIST

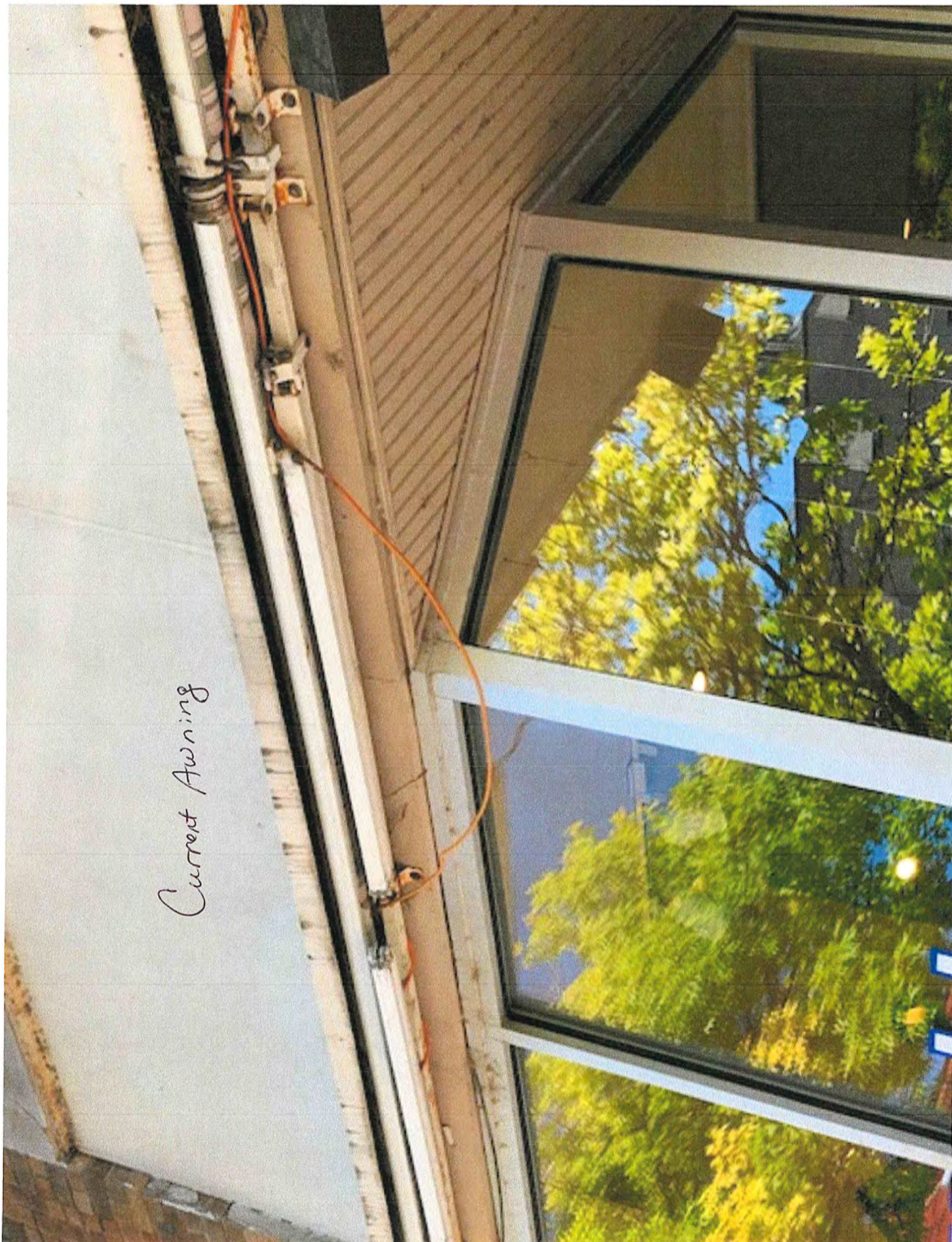
Before submitting your grant application, ensure that you have completed it in its entirety. Incomplete applications will be subject to elimination.

- X General Applicant Information (Part I, sections A, B, C, D)
- X Project Information: description of proposed scope of work (Part I, section E)
- X Photograph of building façade and sketch of proposed façade renovation (if applicable) (Part I, section F)
- X Financial Information: estimated budget for renovation (Part I, section G, Appendix 2)
- X Financial Information: sources of matching funds (Part I, section G; Appendix 2)
- X Detailed Scope of Work (Part II)
- X Applicant Certification (Part III)
- X Completed Application Checklist (Appendix 3)

Current Store Front



Current Awning



RIVERSIDE
ARTISANS

PLEASE
WATCH YOUR STEP



OPEN

PLEASE
WATCH YOUR STEP

Current Ramp

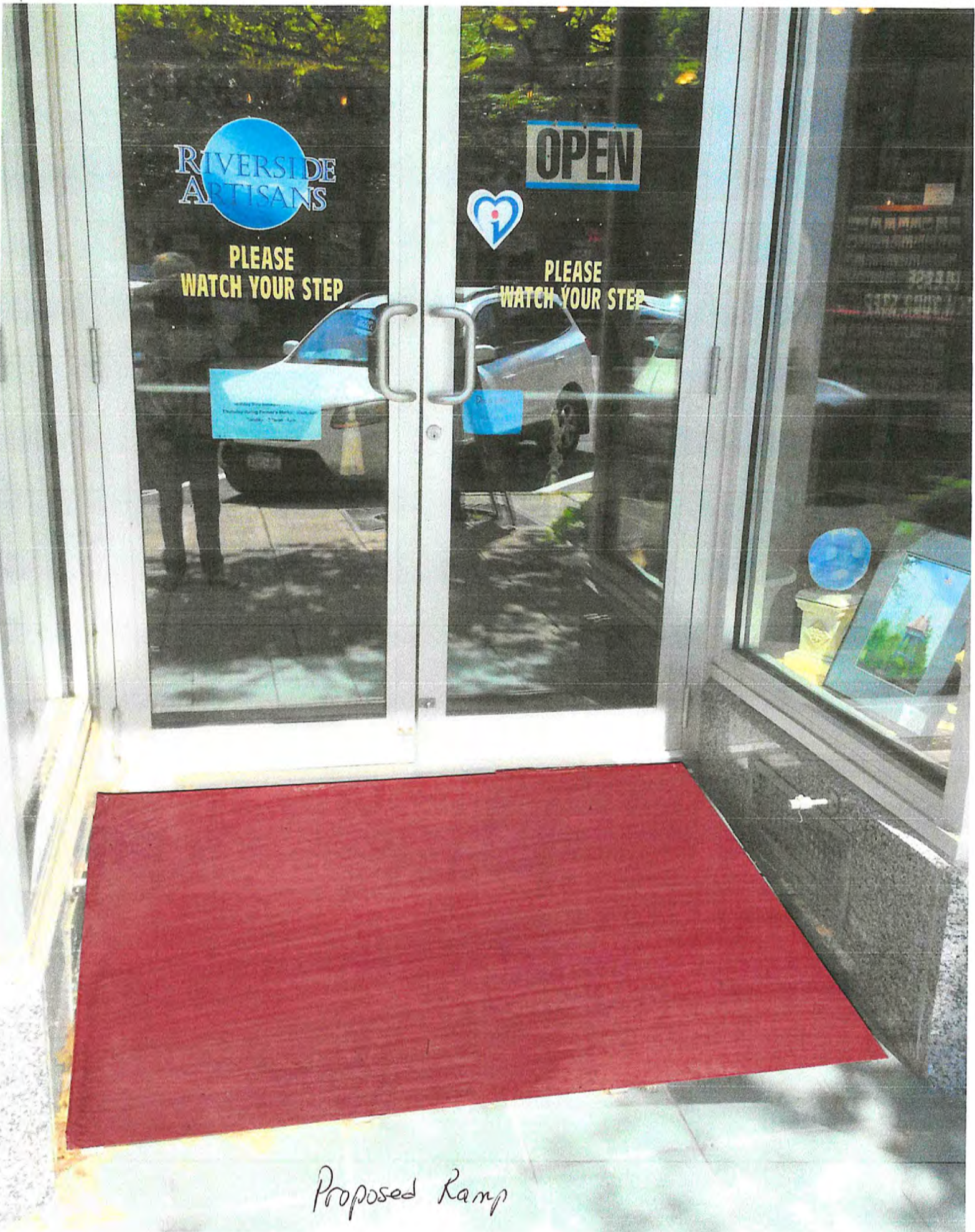
Proposed Awnings

Riverside Artisans
Handcrafted Gifts
By Local Artisans

Galleria of Shops

Riverside Artisans





Proposed Ramp

July 17, 2018

Tim Stahl
City of Oswego Community & Economic Development
Conway Municipal Building
20 West Oneida Street 3rd floor
Oswego, New York 13125

Re: Proposal Support for Development Grants

Dear Mr. Stahl,

You have asked Pathfinder Bank to consider support for Oswego's Façade Improve imitative. Following will summarize our proposal.

REQUEST:

Borrower: Riverside Artisans.

Loan Type: Time Notes

Purpose: To provide short term financing for local businesses which have been awarded Facade improvement grants from the state of New York. The funds will be used to complete improvements and be reimbursed from the New York State.

Amount: Total funds available under this program will be \$150,000. Individual notes to local businesses will be further limited to no more than \$15,000

Interest Rate: Prime + 1

Term/Maturity: On demand with a first review no later than six months from the date of closing.

Monthly payments: Interest monthly on the outstanding balance

Guarantors: Each individual or corporation owning twenty percent or greater of the borrowing

Collateral:

- A first assignment of grant provides from the State of New York.

Other Conditions:

- A satisfactory property inspection of the site to be completed by a Pathfinder Bank representative. Advances during construction will be based on inspections by the bank's representative. The borrower will be required to submit draw requests prior to each advance.
- All zoning and municipal approvals.
- Annual receipt of year-end financial statements on the proposed borrower will be required.

- Annual receipt of financial statements and tax returns on the guarantors as well as all related entities within 120 days of year-end.

I hope this information is helpful; the option outlined provide a discussion point to which we can further develop or modify.

Pathfinder Bank appreciates the opportunity to provide you with this proposal for financing. We look forward to working with you. Please do not hesitate to contact me with any questions or concerns.

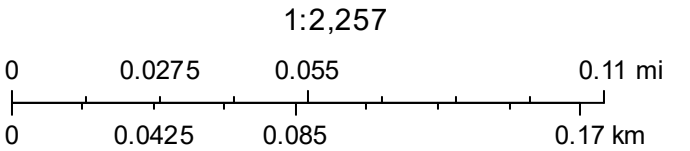
Sincerely,

Sydney DiPierro
Banking Officer, Account Manager
Pathfinder Bank

191 West 1st Street NYSDEC Endangered and Threatened Species Map



February 8, 2019



Sources: Esri, HERE, Garmin, Intermap, increment P Corp., GEBCO, USGS, FAO, NPS, NRCAN, GeoBase, IGN, Kadaster NL, Ordnance Survey, Esri Japan, METI, Esri China (Hong Kong), swisstopo, © OpenStreetMap contributors, and the GIS User Community

HOUSING TRUST FUND CORPORATION
Program Description Form

Office of Community Renewal (OCR) state funded programs including:
Adirondack Community Housing Trust (AHT), Buffalo Main Streets Initiative (BMSI), OCR Managed Downtown Revitalization Initiative (DRI), New York Main Street (NYMS), Rural Area Revitalization Projects (RARP), and Urban Initiatives (UI).

Local Program Administrator (LPA): City of Oswego

Funding Program: DRI

Program Municipality: Oswego

SHARS ID: 20170236

Program County: Oswego

Check all activities that apply to the Program or Project:

- | | |
|------------------------------------------------------------------|---------------------------------------------|
| <input checked="" type="checkbox"/> Façade/Storefront Renovation | <input type="checkbox"/> Streetscape |
| <input type="checkbox"/> Interior Building Renovation | <input type="checkbox"/> New Construction |
| <input type="checkbox"/> Commercial | <input type="checkbox"/> Site Work |
| <input type="checkbox"/> Residential | <input type="checkbox"/> Ground Disturbance |

For Site-Specific Review, outline the specific scope of work for the project(s). The formal scope of work should also be attached.

Create attractive aluminum frame awnings on back of building and create directory signs and canal commons sign.

Description of Target Area:

Describe the target area or project site location and attach a map of the target area or project site.

Environmental Compliance Areas/ SEQR Classification Evaluation:

Specifically identify if any of the following activities will or may occur as part of this program: substantial improvement in a flood zone; projects in or adjacent to Agricultural Districts; work on a building determined by SHPO to have historic or cultural significance; ground disturbance; zoning changes, change in actual building use.

Primary Contact for Environmental Review Issues:

Prepared by: _____

Title: _____

Date: _____

Phone Number: _____

Email Address: _____

HOUSING TRUST FUND CORPORATION
Environmental Compliance Checklist

Office of Community Renewal (OCR) state funded programs including:
Adirondack Community Housing Trust (AHT), Buffalo Main Streets Initiative (BMSI), OCR Managed Downtown Revitalization Initiative (DRI), New York Main Street (NYMS), Rural Area Revitalization Projects (RARP), and Urban Initiatives (UI).

Local Program Administrator (LPA): City of Oswego

Funding DRI **Project Municipality:** Oswego

Program:
SHARS ID: 20170236 **Project County:** Oswego

Review Type: Programmatic **Project Address (if site specific):** 191-201 West 1st St

Compliance Area	Attachments Included	Compliance Procedures
A. <u>Historic/Cultural Resources:</u> Section 14.09 of the Parks, Recreation and Historic Preservation Law.	<input checked="" type="checkbox"/>	A SHPO response for an impact determination ensures compliance with the New York State Parks, Recreation and Historic Preservation Law. The OPRHP opinion is that the proposed project will have No Adverse Impact upon historic resources.
B. <u>Flood Plains:</u> 6 NYCRR Part 502, Floodplain Management Criteria for State Projects.	<input checked="" type="checkbox"/>	The project is within the 100 year floodplain, but is not classified as 'substantial improvement' as per 6 NYCRR Part 502, Floodplain Management Criteria for State Projects as documented in the attached.
C. <u>Zoning:</u> C1. Projects must conform to local land use plans and receive all necessary zoning and site plan approvals and permits.	<input type="checkbox"/>	The project will not require zoning modifications, variances or a special use permit for issuance of a building permit. See attached documentation
C2. Projects that result in a change in the building use must be identified.	<input type="checkbox"/>	The existing use of the building is commercial; the proposed use of the building is commercial.
D. <u>Coastal Zones:</u> 19 NYCRR Part 600, Coastal Zone Management	<input checked="" type="checkbox"/>	The project is located within the coastal zone but is not a Type I or Unlisted action.
E. <u>Site Contamination- Hazardous Materials:</u> Projects funded under the Program must be free of hazardous materials which could affect the health and safety of the occupants or conflict with the intended utilization of the property.	<input checked="" type="checkbox"/>	The project involves minor exterior activities only without new construction, interior building rehabilitation, or ground disturbance with a total cost of \$10,000 or less. A Site Contamination Evaluation will not be completed.
F. <u>Lead Based Paint:</u> All activities impacting dwelling units or child occupied facilities must be free from the hazards posed by lead-based paint. <i>Refer to the Program Policy for Lead-Based Paint.</i>	<input type="checkbox"/>	Any projects that will involve the disturbance of painted surfaces will be evaluated using the Program Policy for Lead-Based Paint.

G. Asbestos Containing Materials NYS Department of Labor at 12 NYCRR Part 56	<input type="checkbox"/>	Asbestos Containing Materials (ACM) that will be disturbed as part of program activities will be handled and disposed of according to NYS Department of Labor requirements at 12 NYCRR Part 56 and local regulations.
H. Radon EPA map of Radon Zones; EPA Radon Mitigation Standards	<input type="checkbox"/>	The target area is located in a zone with moderate or high potential for radon levels to exceed the U.S. EPA action level (4pCi/L or higher). New construction or rehabilitation of residential units and common areas will include post-renovation testing and if elevated levels are found, a radon mitigation system will be installed in accordance with EPA Radon Mitigation Standards.
I. Wetlands: 6 NYCRR Part 663, Freshwater Wetlands Permit Requirements and, Section 404 of the Clean Water Act	<input checked="" type="checkbox"/>	The project does not involve new construction, ground disturbance or is entirely within an urban, built-up area.

J. Endangered Species: 6 NYCRR Part 182, Endangered and Threatened Species	<input checked="" type="checkbox"/>	The project is in a built up urban area and does not involve new construction, ground disturbance or tree cutting.
K. Agricultural Districts: Agriculture and Markets Law Article 25-AA, Sections 303 and 304, Agricultural Districts	<input type="checkbox"/>	The program is not located in an agricultural district, does not involve any activities with potential to convert farmland to nonagricultural use and does not require an Agricultural Data Statement.

Individual site specific checklists may require more detailed supporting documentation and review in circumstances such as:

- substantial improvement in a flood zone;
- projects in, or adjacent to, Agricultural Districts;
- work on a building determined by SHPO to have historic or cultural significance;
- ground disturbance;
- zoning changes;
- a change in actual building use (whether or not this change is locally regulated);
- if the work constitutes a SEQR Unlisted action.

Certification

I am authorized to execute contract materials for the program award to the Local Program Administrator (LPA) named above. I have read this Checklist and by signing this document agree with the statements made herein and agree that: (1) site specific checklists will be prepared and submitted to the OCR for each project site and additional documentation will be provided as necessary for the circumstances listed above; (2) project activities will be conducted in conformance with the described compliance procedures; (3) an environmental determination letter or approval from OCR will be received before taking any physical action on a site or incurring costs related to a specific activity; and, (4) costs incurred for activities completed prior to the SEQR determination and submission of the site-specific checklist will not be eligible for reimbursement.

Signature:

Printed Name: _____**Title:** _____**Date:** _____**Prepared by:** _____**Title:** _____**Phone:** _____**Email:** _____



Parks, Recreation, and Historic Preservation

ANDREW M. CUOMO
Governor

ROSE HARVEY
Commissioner

November 5, 2018

Mr. Tim Stahl
Deputy Director
City of Oswego
44 East Bridge St
Oswego, NY 13126

Re: HTFMS
Oswego DRI - 191-197 West First Street
191-197 West 1st Street, Oswego, NY 13126
18PR07081
DRI Project No. 20170236

Dear Mr. Stahl:

Thank you for requesting the comments of the Division for Historic Preservation of the Office of Parks, Recreation and Historic Preservation (OPRHP). We have reviewed the submitted materials in accordance with the New York State Historic Preservation Act of 1980 (section 14.09 of the New York Parks, Recreation and Historic Preservation Law). These comments are those of the Division for Historic Preservation and relate only to Historic/Cultural resources. They do not include potential environmental impacts to New York State Parkland that may be involved in or near your project. Such impacts must be considered as part of the environmental review of the project pursuant to the State Environmental Quality Review Act (New York Environmental Conservation Law Article 8) and its implementing regulations (6NYCRR Part 617).

We note that 191-197 West 1st Street is eligible for listing in the State and National Registers of Historic Places as a contributing building to a potential commercial historic district.

We have reviewed the project submission received on 10/29/2018. Based upon our review, it is the OPRHP's opinion that the proposed project will have No Adverse Impact upon historic resources.

If there are substantive changes to the project, consultation with our office should resume. If you have any questions, I can be reached at (518) 268-2217.

Sincerely,

Christina Vagvolgyi
Historic Preservation Technical Specialist
e-mail: christina.vagvolgyi@parks.ny.gov

via e-mail only

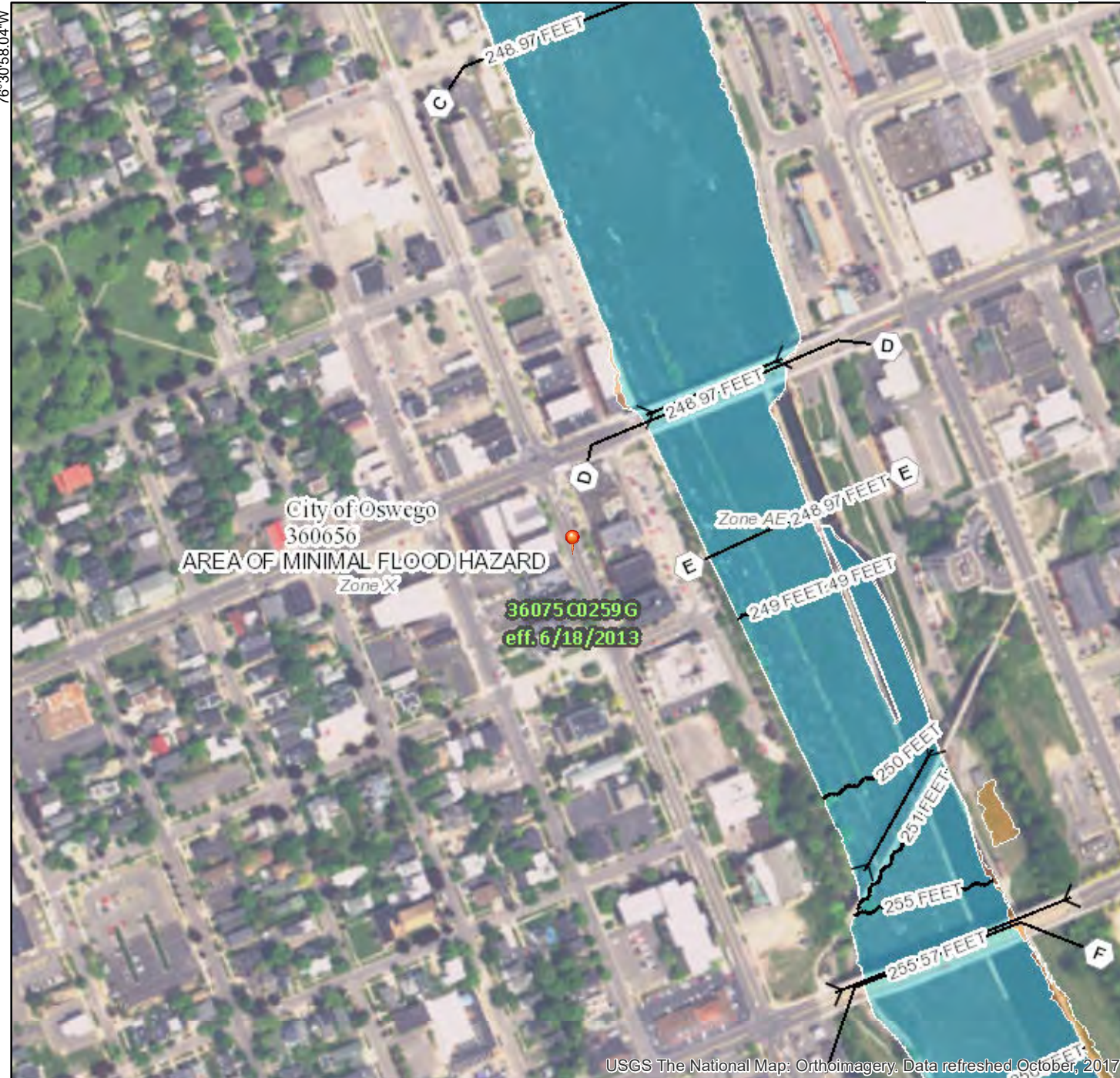
Division for Historic Preservation

P.O. Box 189, Waterford, New York 12188-0189 • (518) 237-8643 • www.nysparks.com

National Flood Hazard Layer FIRMette



43°27'34.74"N



0 250 500 1,000 1,500 2,000 Feet

1:6,000

43°27'8.63"N

Legend

SEE FIS REPORT FOR DETAILED LEGEND AND INDEX MAP FOR FIRM PANEL LAYOUT

SPECIAL FLOOD HAZARD AREAS		Without Base Flood Elevation (BFE) Zone A, V, A99
		With BFE or Depth Zone AE, AO, AH, VE, AR
		Regulatory Floodway
OTHER AREAS OF FLOOD HAZARD		0.2% Annual Chance Flood Hazard, Areas of 1% annual chance flood with average depth less than one foot or with drainage areas of less than one square mile Zone X
		Future Conditions 1% Annual Chance Flood Hazard Zone X
		Area with Reduced Flood Risk due to Levee. See Notes. Zone X
		Area with Flood Risk due to Levee Zone D
OTHER AREAS		Area of Minimal Flood Hazard Zone X
		Effective LOMRs
GENERAL STRUCTURES		Area of Undetermined Flood Hazard Zone D
		Channel, Culvert, or Storm Sewer
		Levee, Dike, or Floodwall
OTHER FEATURES		20.2 Cross Sections with 1% Annual Chance Water Surface Elevation
		17.5 Coastal Transect
		Base Flood Elevation Line (BFE)
		Limit of Study
		Jurisdiction Boundary
		Coastal Transect Baseline
MAP PANELS		Digital Data Available
		No Digital Data Available
		Unmapped



The pin displayed on the map is an approximate point selected by the user and does not represent an authoritative property location.

This map complies with FEMA's standards for the use of digital flood maps if it is not void as described below. The basemap shown complies with FEMA's basemap accuracy standards

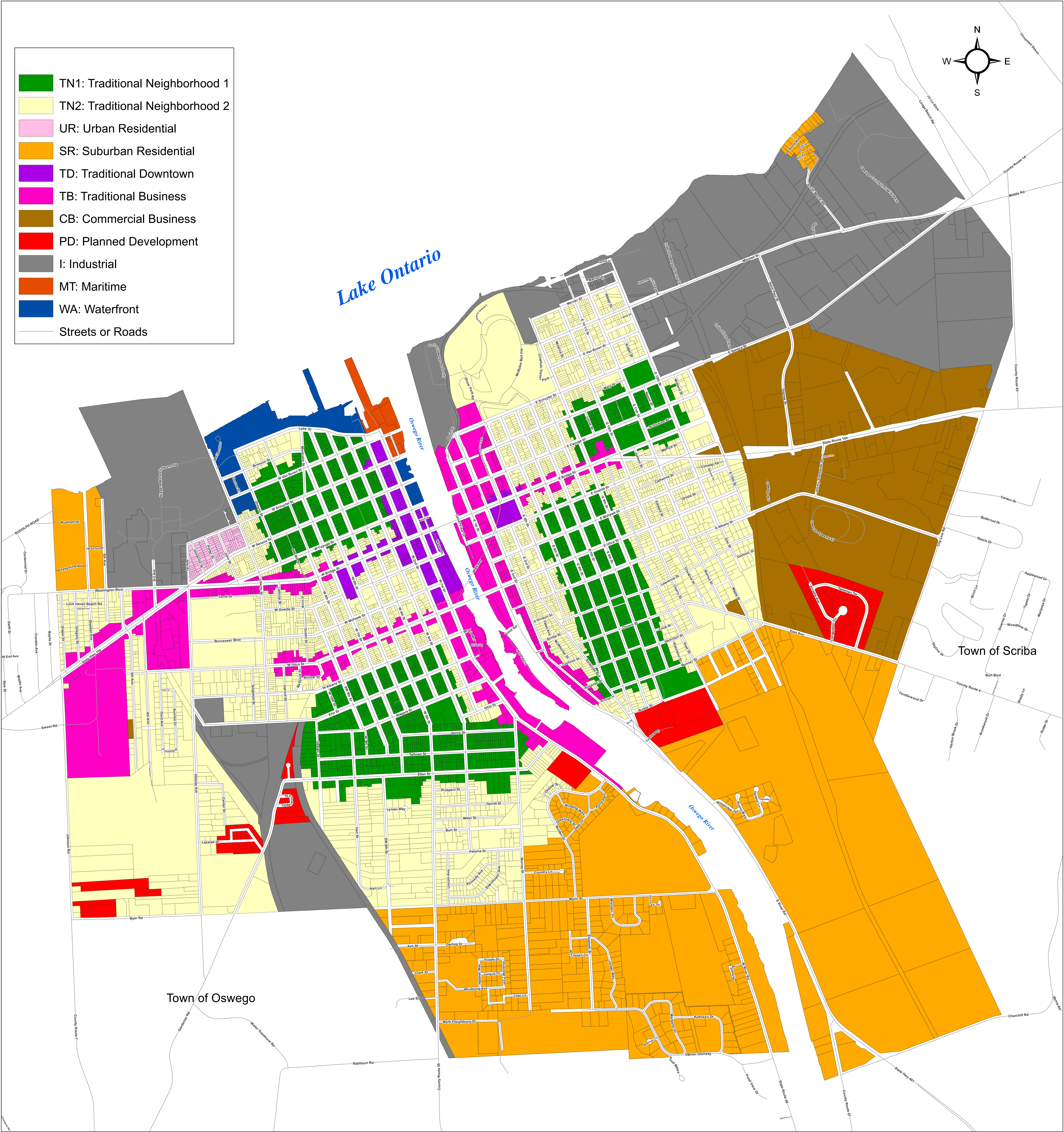
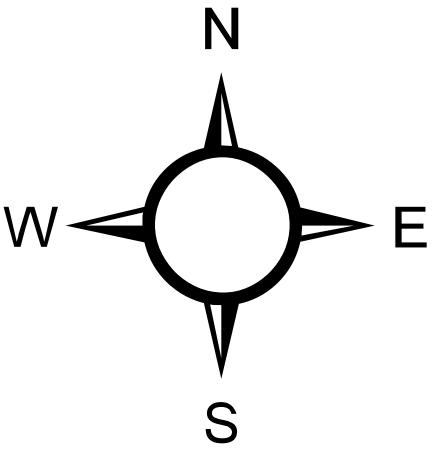
The flood hazard information is derived directly from the authoritative NFHL web services provided by FEMA. This map was exported on 2/1/2019 at 1:22:23 PM and does not reflect changes or amendments subsequent to this date and time. The NFHL and effective information may change or become superseded by new data over time.

This map image is void if the one or more of the following map elements do not appear: basemap imagery, flood zone labels, legend, scale bar, map creation date, community identifiers, FIRM panel number, and FIRM effective date. Map images for unmapped and unmodernized areas cannot be used for regulatory purposes.

76°30'20.58"W

City of Oswego Zoning Map

- TN1: Traditional Neighborhood 1
- TN2: Traditional Neighborhood 2
- UR: Urban Residential
- SR: Suburban Residential
- TD: Traditional Downtown
- TB: Traditional Business
- CB: Commercial Business
- PD: Planned Development
- I: Industrial
- MT: Maritime
- WA: Waterfront
- Streets or Roads



For:
City of Oswego
Zoning & Planning Office
13 West Oneida Street
Oswego, NY 13126
(315) 342-8153

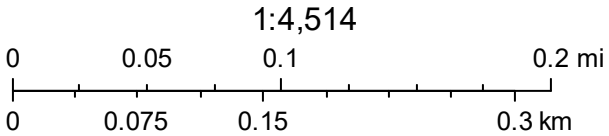
0 650 1,300 2,600 3,900 5,200 Feet

By:
Oswego County Community Development, Tourism & Planning
46 East Bridge Street
Oswego, NY 13126
(315)349-8295
Karen B. Noyes, AICP
April 8, 2019

191-201 West 1st St



February 3, 2019



Sources: Esri, HERE, Garmin, Intermap, increment P Corp., GEBCO, USGS, FAO, NPS, NRCAN, GeoBase, IGN, Kadaster NL, Ordnance Survey, Esri Japan, METI, Esri China (Hong Kong), swisstopo, © OpenStreetMap contributors, and the GIS User Community

Oswego Downtown Revitalization Initiative

DOWNTOWN IMPROVEMENT FUND APPLICATION ****DUE JULY 18TH, 2018**

I. GENERAL INFORMATION

A. Applicant Information

Name Camelot Lodge/Anthony Pauldine		
Mailing Address 190 5 th Ave		
City Oswego	County Oswego	Zip Code 13126
Telephone No. 315-593-9872	Fax No.	Email Address tpauldine@twcny.rr.com

B. Building Owner Information (if different from Applicant)

Name		
Mailing Address		
City	County	Zip Code
Telephone No.	Fax No.	Email Address

C. Property Information

Property Address 191-197 W 1 st St		
City Oswego	County Oswego	Zip Code 13126
Landmark Status <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Yes The SHPO (State Historic Preservation Office) has designated the building as a 1950' s storefront <input type="checkbox"/> No		

D. Grant Request Information

Proposed Use of Grant (select all that apply)	
<input checked="" type="checkbox"/> Building Signage Grant	<input checked="" type="checkbox"/> Façade Improvements Grant
<input type="checkbox"/> Residential Conversion Grant	<input type="checkbox"/> Storefront/Commercial Improvement Grant

Grant Request

Façade Improvement \$5,400
(Requires minimum 20% Match - Maximum \$25,000)

Mixed Use Expansion _____
(Requires minimum 25% Match - Maximum \$60,000)

Building Signage \$3,078
(No Required Match - Maximum \$3,500)

Total Requested
\$8,478 minus 20% of façade = \$7,398
(maximum \$80,000)

E. Project Information

Start Date
12/1/18

End Date
6/1/19

Please **attach to this application** a brief description of the proposed scope of work which includes: the major elements to be rehabilitated, the number of apartments to be renovated, and the planned start and end dates for the project.

F. Additional Requirements for Façade and Signage Grants

Applicants for façade grants must **attach to this application** a photograph of the current building façade and a sketch of the proposed façade renovation for SHPO review.

G. Financial Information

Applicants must indicate the estimated budget and sources of matching funds for the proposed renovation. Use Appendix 2 as a guide for your reporting.

Please list all current and previous real estate development and renovation projects and business improvement and expansion you have been involved with during the past five (5) years. Attach additional sheets if necessary.

Cahill building, 1 West Seneca St, Oswego, NY—this building is on the National Register of Historic Restoration
YMCA Project Conversion, 249 W 1st St, Oswego, NY
Curtis Manor, LLC, 10 Mark Fitzgibbons Drive, Oswego, NY—NYS Historic Barn Restoration

II. DETAILED SCOPE OF WORK

All applicants are required to **attach to this application** a detailed scope of work that:

1. Itemizes the construction/renovation projects with a proposed timetable for completion;

Canal Commons is a major focal point in downtown Oswego. Situated on West 1st Street, it is near the intersection of Bridge St and West 1st St. The building houses several small businesses on the first floor and in the basement. The upper floors have apartments that overlook the Oswego River.

There is an attractive canvas awning over the front door, but the back of the building is unsightly and has old signage that does not represent what is in the building. The back of the building is clearly visible from Bridge St, especially as one crosses the bridge headed west into downtown. The current signage is a ripped sign that mentions Specialty Shops, and a sign for a former florist who has not been in the building for over ten years. There are also small directory signs near the two back doors, but neither can be changed or updated as businesses leave and new businesses move in, so neither represent the current businesses in the Commons.

Canal Commons is in a central spot of downtown, and the many businesses inside are destination points. People not familiar with Oswego would currently have no idea, as they cross the bridge, that the building houses several unique shops along with a barber, bakery, and restaurant. Improving the signage on the back of the building and making the back of the building attractive will not only dress up downtown, it will enhance the businesses that are in Canal Commons. Note that all of the businesses are locally owned and operated—there are no chain type stores in Canal Commons—the variety of businesses reflect the uniqueness that Oswego has to offer.

The proposal is to use the existing signage for Canal Commons and move the work Commons and center it under the word Canal. A new sign will be put on under the words Canal Commons that indicates there are specialty shops and services inside. See attached. New directory signs will be put next to the two back doors. Each directory sign will be able to be updated with slide in and out tabs of the names of the businesses in Canal Commons.

The proposal also includes putting up attractive aluminum frame awnings over the windows on the back side of the building. This would greatly improve the appearance of the building and draw attention to the building.

This project will enhance commercial/retail development in the downtown area which supports the Oswego Downtown Initiative project. The 20% match will also leverage private investment from the business owner.

The proposed timeline is to begin work by December 1, 2018 and finish by June 1, 2019.

2. Attach detailed budget broken out by expense.

SIGNAGE:

Main sign on back of building:

A) Move existing letters	\$450
B) Install new bottom panel (4' x 20')	\$1,100
C) Directories next to each back door (2)	\$1,300

SUB TOTAL	\$2,850
-----------	---------

NYS Sales tax	\$ 228
---------------	--------

TOTAL, SIGNAGE	\$3,078
----------------	---------

FACADE:

4 large awnings over back windows	\$2,600
-----------------------------------	---------

6 small awnings over back windows	\$2,400
-----------------------------------	---------

NYS Sales tax:	\$ 400
----------------	--------

TOTAL, FAÇADE	\$5,400
---------------	---------

GRAND TOTAL:	\$8,478
--------------	---------

III. APPLICANT CERTIFICATONS

I certify I own the property located at 91-97 West 1st St, Oswego, NY, or that I am authorized by the building owner to file this submission with Oswego Downtown Revitalization Initiative – Downtown Improvement Fund (the “Program”) on the owner’s behalf, and am authorized to execute all necessary documents; that I am authorized to carry out the proposed activities and that I will comply with all applicable statutes, rules and regulations. I, the building owner or a party authorized by the building’s owner, am applying to the Downtown Improvement Fund for approval to participate in the Program.

I certify that all statements contained in this application are true, complete, and correct to the best of my belief and are made in good faith, and I agree to immediately inform the Downtown Improvement Fund of any changes. I understand that a false certification or failure to disclose material information shall be grounds for termination of any award.

A. I further certify that:

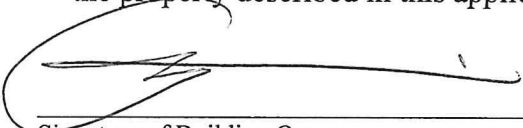
a. The property described in this application:

- does not have any outstanding taxes that are due and payable, and
- either (1) does not have any building code violations, or (2) if applying for a building renovation grant, any and all building code violations will be remedied during the renovation process and will be discharged from record.
- Does not have any delinquent commercial loans with the City of Oswego’s Community Development Office.

b. The proposed project has been reviewed by the primary funder and has obtained or is in the process of obtaining approval of the funder.

c. I understand the guidelines with respect to design, affordable housing, publicity, and other matters described in the application package.

B. I agree to permit a representative from the Downtown Improvement Fund to visually inspect the property described in this application to ensure that health or safety issues do not exist.



Signature of Building Owner

Date

Anthony M Pauldin

Print Name/Title

Signature of Grant Applicant
(if other than building owner)

Date

Print Name/Title

APPENDIX 2 SOURCES OF FUNDS

Including the Downtown Improvement Fund, list each source of funds for the proposed project; the amount requested from that source; whether each source is federal, state, local, private, or other; and the status of the funds. Use the codes listed below to provide funding source and status.

Assistance Types: Loan (L), Grant (G), Equity (E)
 Funding Types: Federal (F), State (S), Private (P), Local (L), Other (O)
 Status Codes: Committed (C), Pending Approval (PA)

Financing Sources				
Name of Funding Source	Amount	Assistance Type	Funding Type	Status
1.Oswego Downtown Revitalization grant, signage	\$3,078	G	S	PA
2. Oswego Downtown Revitalization grant, aluminum awnings	\$4,320	G	S	C
3.Camelot Lodge, 20% match	\$1,080	E	P	C
4.				
5.				
6.				
7. Total Financing (sum lines 1-6)	\$8,478			

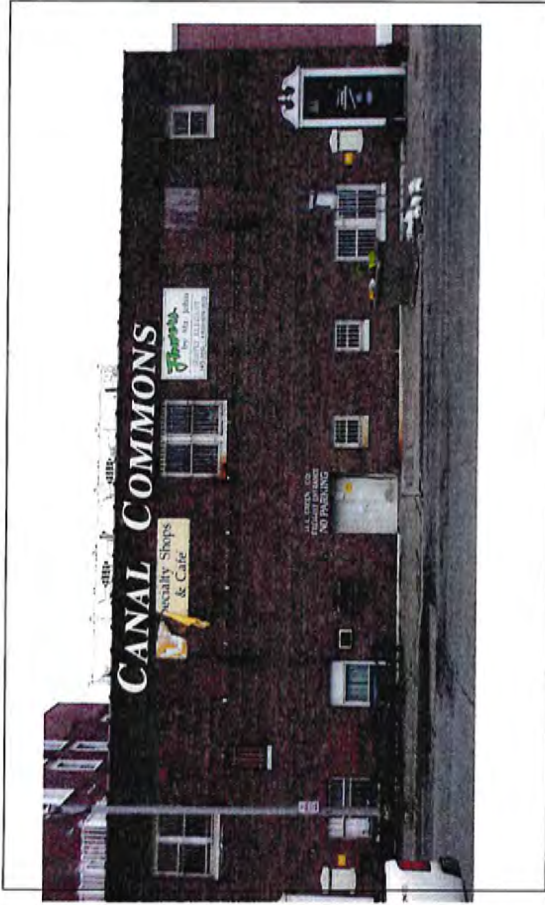
APPENDIX 3

APPLICATION CHECKLIST

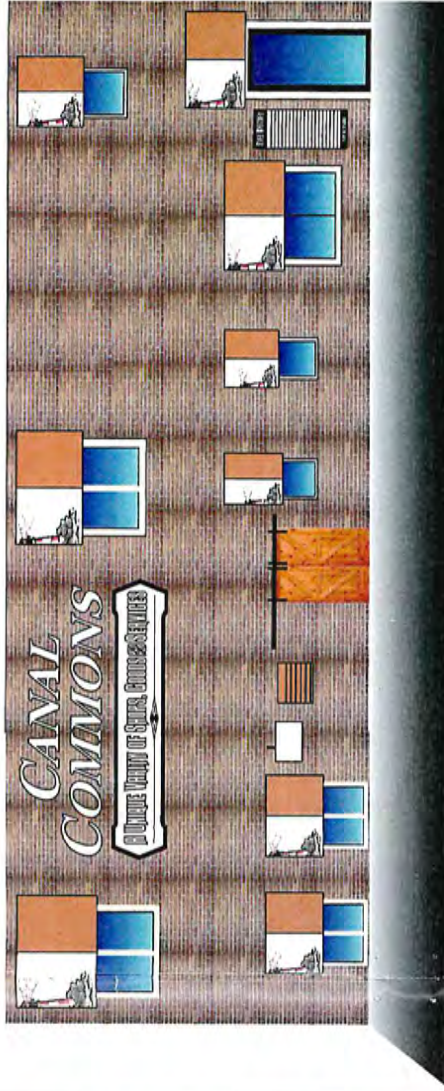
Before submitting your grant application, ensure that you have completed it in its entirety. Incomplete applications will be subject to elimination.

- X General Applicant Information (Part I, sections A, B, C, D)
- X Project Information: description of proposed scope of work (Part I, section E)
- X Photograph of building façade and sketch of proposed façade renovation (if applicable) (Part I, section F)
- X Financial Information: estimated budget for renovation (Part I, section G, Appendix 2)
- X Financial Information: sources of matching funds (Part I, section G; Appendix 2)
- X Detailed Scope of Work (Part II)
- X Applicant Certification (Part III)
- X Completed Application Checklist (Appendix 3)

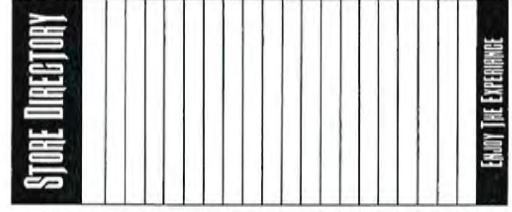
Current



Proposed



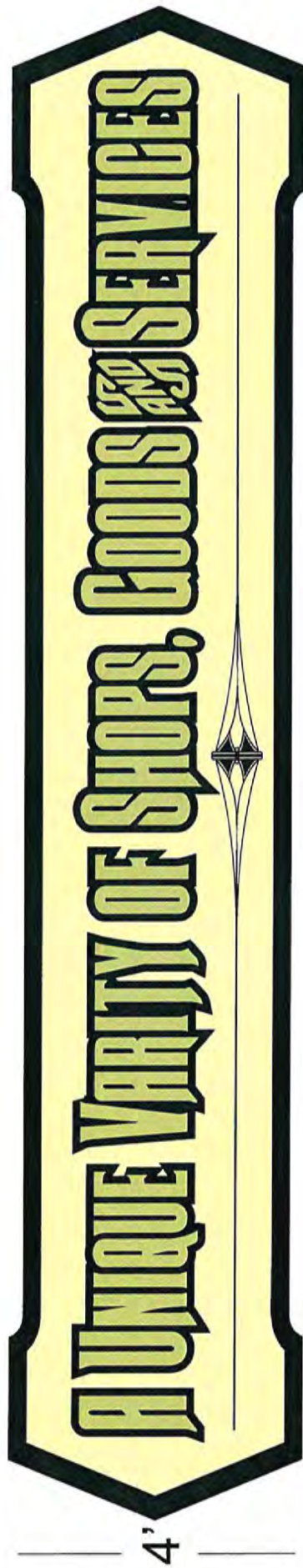
3'x 5' changeable
store name sign.(



**Simple aluminum
frame awnings with
graphic image, various
sizes**

Excising, moved

CARNIVAL COMMIONS



4'

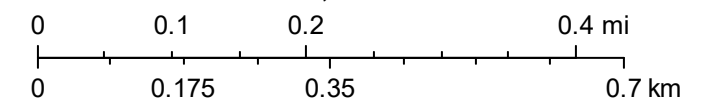
20'

191-201 West 1st St



February 3, 2019

1:9,028



Sources: Esri, HERE, Garmin, Intermap, increment P Corp., GEBCO, USGS, FAO, NPS, NRCAN, GeoBase, IGN, Kadaster NL, Ordnance Survey, Esri Japan, METI, Esri China (Hong Kong), swisstopo, © OpenStreetMap contributors, and the GIS User Community

HOUSING TRUST FUND CORPORATION
Program Description Form

Office of Community Renewal (OCR) state funded programs including:

Adirondack Community Housing Trust (ACHT), Buffalo Main Streets Initiative (BMSI), OCR Managed Downtown Revitalization Initiative (DRI), New York Main Street (NYMS), Rural Area Revitalization Projects (RARP), and Urban Initiatives (UI).

Local Program Administrator (LPA): City of Oswego

Funding Program: DRI

Program Municipality: Oswego

SHARS ID: 20170236

Program County: Oswego

Check all activities that apply to the Program or Project:

- ☒ Façade/Storefront Renovation
- ☒ Interior Building Renovation
 - ☒ Commercial
 - ☐ Residential

- ☐ Streetscape
- ☐ New Construction
- ☐ Site Work
- ☐ Ground Disturbance

For Site-Specific Review, outline the specific scope of work for the project(s). The formal scope of work should also be attached.

Façade, signage, create ice cream parlor

Description of Target Area:

Describe the target area or project site location and attach a map of the target area or project site.

192 West 1st Street Within Oswego Downtown Revitalization Initiative Boundary Area

Environmental Compliance Areas/ SEQR Classification Evaluation:

Specifically identify if any of the following activities will or may occur as part of this program: substantial improvement in a flood zone; projects in or adjacent to Agricultural Districts; work on a building determined by SHPO to have historic or cultural significance; ground disturbance; zoning changes, change in actual building use.

See attached Environmental Compliance Review form

Primary Contact for Environmental Review Issues:

Justin Rudgick, Economic Development Director, City of Oswego

Prepared by: _____

Title: _____

Date: _____

Phone Number: _____

Email Address: _____

HOUSING TRUST FUND CORPORATION
Environmental Compliance Checklist

Office of Community Renewal (OCR) state funded programs including:
Adirondack Community Housing Trust (AHT), Buffalo Main Streets Initiative (BMSI), OCR Managed Downtown Revitalization Initiative (DRI), New York Main Street (NYMS), Rural Area Revitalization Projects (RARP), and Urban Initiatives (UI).

Local Program Administrator (LPA): City of Oswego

Funding Program: DRI **Project Municipality:** Oswego

SHARS ID: 20170236 **Project County:** Oswego

Review Type: Site Specific **Project Address (if site specific):** 192 West 1st Street

Compliance Area	Attachments Included	Compliance Procedures
A. <u>Historic/Cultural Resources:</u> Section 14.09 of the Parks, Recreation and Historic Preservation Law.	<input checked="" type="checkbox"/>	The SHPO No Adverse Impact determination is attached.
B. <u>Flood Plains:</u> 6 NYCRR Part 502, Floodplain Management Criteria for State Projects.	<input checked="" type="checkbox"/>	The project is not within a SFHA. A copy of the FIRM map, with the Panel Number and Effective Date is Included.
C. <u>Zoning:</u> C1. Projects must conform to local land use plans and receive all necessary zoning and site plan approvals and permits.	<input type="checkbox"/>	The project will not require zoning modifications, variances or a special use permit for issuance of a building permit. See attached documentation.
C2. Projects that result in a change in the building use must be identified.	<input type="checkbox"/>	The existing use of the building is commercial; the proposed use of the building is commercial.
D. <u>Coastal Zones:</u> 19 NYCRR Part 600, Coastal Zone Management	<input checked="" type="checkbox"/>	The project is located within the coastal zone but is not a Type I or Unlisted action.
E. <u>Site Contamination- Hazardous Materials:</u> Projects funded under the Program must be free of hazardous materials which could affect the health and safety of the occupants or conflict with the intended utilization of the property.	<input checked="" type="checkbox"/>	An environmental professional has certified that the project site is free of hazardous materials, contamination, toxic chemicals and gases, and radioactive substances. Documentation is attached.
F. <u>Lead Based Paint:</u> All activities impacting dwelling units or child occupied facilities must be free from the hazards posed by lead-based paint. <i>Refer to the Program Policy for Lead-Based Paint.</i>	<input checked="" type="checkbox"/>	The project involves exterior renovations or interior commercial renovations only. Window openings into residential or child-occupied facility floors will not be impacted as part of the project scope of work. Documentation is attached.
G. <u>Asbestos Containing Materials</u> NYS Department of Labor at 12 NYCRR Part 56	<input checked="" type="checkbox"/>	An Asbestos survey was conducted and it was determined that ACM will not be disturbed as documented in the attached.
H. <u>Radon</u> EPA map of Radon Zones; EPA Radon Mitigation Standards	<input type="checkbox"/>	The project does not include construction or rehabilitation of residential units or common areas.

I. Wetlands: 6 NYCRR Part 663, Freshwater Wetlands Permit Requirements and, Section 404 of the Clean Water Act	<input type="checkbox"/>	The project does not involve new construction, ground disturbance or is entirely within an urban, built-up area.
J. Endangered Species: 6 NYCRR Part 182, Endangered and Threatened Species	<input checked="" type="checkbox"/>	The project is in a built up urban area and does not involve new construction, ground disturbance or tree cutting.
K. Agricultural Districts: Agriculture and Markets Law Article 25-AA, Sections 303 and 304, Agricultural Districts	<input type="checkbox"/>	The project is not located in an agricultural district, does not involve any activities with potential to convert farmland to nonagricultural use and does not require an Agricultural Data Statement.

Individual site specific checklists may require more detailed supporting documentation and review in circumstances such as:

- substantial improvement in a flood zone;
- projects in, or adjacent to, Agricultural Districts;
- work on a building determined by SHPO to have historic or cultural significance;
- ground disturbance;
- zoning changes;
- a change in actual building use (whether or not this change is locally regulated);
- if the work constitutes a SEQR Unlisted action.

Certification

I am authorized to execute contract materials for the program award to the Local Program Administrator (LPA) named above. I have read this Checklist and by signing this document agree with the statements made herein and agree that: (1) site specific checklists will be prepared and submitted to the OCR for each project site and additional documentation will be provided as necessary for the circumstances listed above; (2) project activities will be conducted in conformance with the described compliance procedures; (3) an environmental determination letter or approval from OCR will be received before taking any physical action on a site or incurring costs related to a specific activity; and, (4) costs incurred for activities completed prior to the SEQR determination and submission of the site-specific checklist will not be eligible for reimbursement.

Signature:

Printed Name: William Barlow, Jr.

Title: Mayor

Date: _____

Prepared by: _____

Title: _____

Phone: _____

Email: _____



Parks, Recreation, and Historic Preservation

ANDREW M. CUOMO
Governor

ROSE HARVEY
Commissioner

October 26, 2018

Mr. Tim Stahl
Deputy Director
City of Oswego
44 East Bridge Street
Oswego, NY 13126

Re: HTFMS
Oswego DRI - 192 West 1st Street
192 west 1st Street, Oswego, NY 13126
18PR06805
DRI Project No. 20170236

Dear Mr. Stahl:

Thank you for requesting the comments of the Division for Historic Preservation of the Office of Parks, Recreation and Historic Preservation (OPRHP). We have reviewed the submitted materials in accordance with the New York State Historic Preservation Act of 1980 (section 14.09 of the New York Parks, Recreation and Historic Preservation Law). These comments are those of the Division for Historic Preservation and relate only to Historic/Cultural resources. They do not include potential environmental impacts to New York State Parkland that may be involved in or near your project. Such impacts must be considered as part of the environmental review of the project pursuant to the State Environmental Quality Review Act (New York Environmental Conservation Law Article 8) and its implementing regulations (6NYCRR Part 617).

We note that 192 West 1st Street is eligible for listing in the State and National Registers of Historic Places as a contributing building to a potential commercial historic district.

We have reviewed the project submission received on 10/19/2018. Based upon our review, it is the OPRHP's opinion that the proposed project will have No Adverse Impact upon historic resources.

If there are substantive changes to the project consultation with our office should resume. If you have any questions, I can be reached at (518) 268-2217.

Sincerely,

Christina Vagvolgyi
Historic Preservation Technical Specialist
e-mail: christina.vagvolgyi@parks.ny.gov

via e-mail only

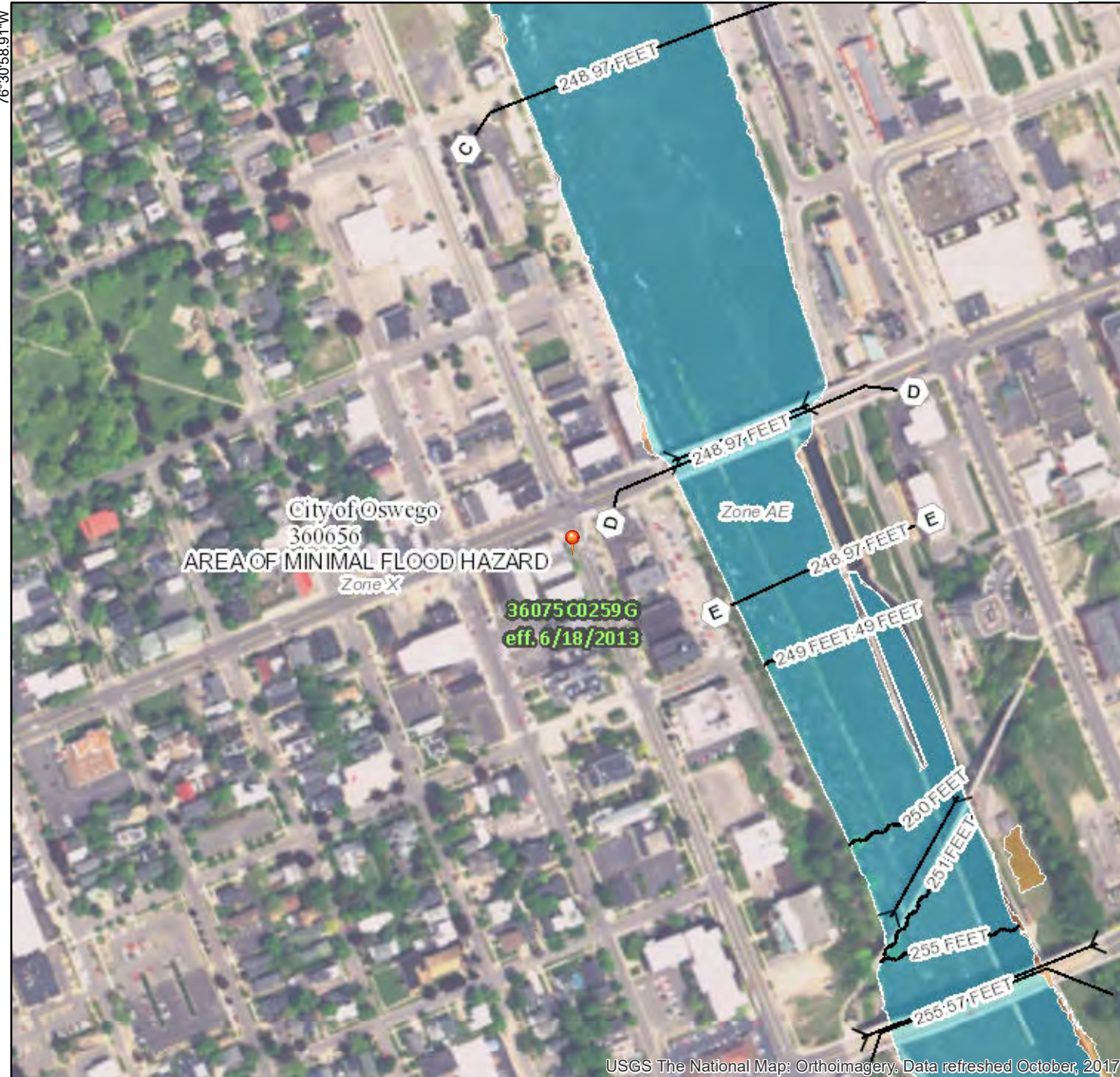
Division for Historic Preservation

P.O. Box 189, Waterford, New York 12188-0189 • (518) 237-8643 • www.nysparks.com

National Flood Hazard Layer FIRMette



43°27'35.85"N



Legend

SEE FIS REPORT FOR DETAILED LEGEND AND INDEX MAP FOR FIRM PANEL LAYOUT

SPECIAL FLOOD HAZARD AREAS		Without Base Flood Elevation (BFE) Zone A, V, A99
		With BFE or Depth Zone AE, AO, AH, VE, AR
		Regulatory Floodway
OTHER AREAS OF FLOOD HAZARD		0.2% Annual Chance Flood Hazard, Areas of 1% annual chance flood with average depth less than one foot or with drainage areas of less than one square mile Zone X
		Future Conditions 1% Annual Chance Flood Hazard Zone X
		Area with Reduced Flood Risk due to Levee. See Notes. Zone X
		Area with Flood Risk due to Levee Zone D
OTHER AREAS		Area of Minimal Flood Hazard Zone X
		Effective LOMRs
GENERAL STRUCTURES		Area of Undetermined Flood Hazard Zone D
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		17.5 Coastal Transect
		Base Flood Elevation Line (BFE)
		Limit of Study
		Jurisdiction Boundary
		Coastal Transect Baseline
MAP PANELS		Profile Baseline
		Hydrographic Feature
		Digital Data Available
		No Digital Data Available
		Unmapped



The pin displayed on the map is an approximate point selected by the user and does not represent an authoritative property location.

This map complies with FEMA's standards for the use of digital flood maps if it is not void as described below. The basemap shown complies with FEMA's basemap accuracy standards

The flood hazard information is derived directly from the authoritative NFHL web services provided by FEMA. This map was exported on **2/7/2019 at 1:29:21 PM** and does not reflect changes or amendments subsequent to this date and time. The NFHL and effective information may change or become superseded by new data over time.

This map image is void if the one or more of the following map elements do not appear: basemap imagery, flood zone labels, legend, scale bar, map creation date, community identifiers, FIRM panel number, and FIRM effective date. Map images for unmapped and unmodernized areas cannot be used for regulatory purposes.

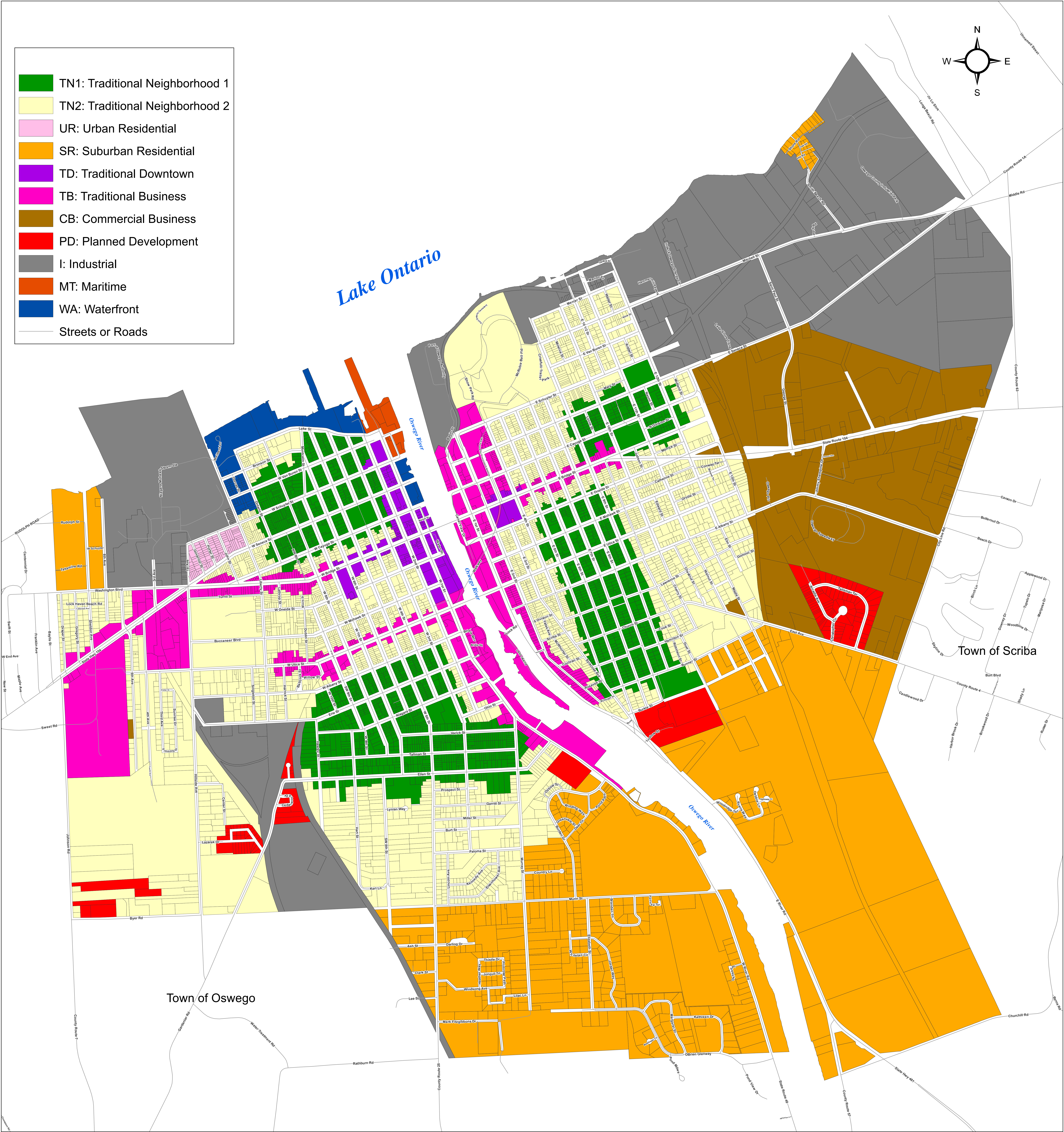
0 250 500 1,000 1,500 2,000 Feet 1:6,000

43°27'9.73"N

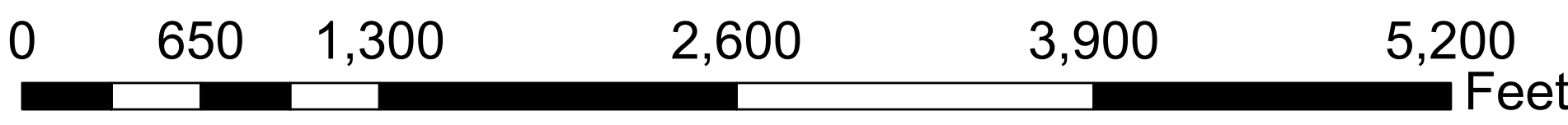
76°30'21.46"W

USGS The National Map: Orthoimagery, Data refreshed October, 2017.

City of Oswego Zoning Map



For:
City of Oswego
Zoning & Planning Office
13 West Oneida Street
Oswego, NY 13126
(315) 342-8153



By:
Oswego County Community Development, Tourism & Planning
46 East Bridge Street
Oswego, NY 13126
(315)349-8295
Karen B. Noyes, AICP
April 8, 2019

192 West 1st Street Coastal Boundary Map



DOS_identified_artificial_canals



CoastalBoundary_Polygon_March2017



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April 12th, 2019

Housing Trust Fund Corporation
OCR Managed Downtown Revitalization Initiative Projects (DRI)

Re: 192 West 1st Street, Oswego, New York – Environmental Compliance Handbook

To whom it my concern:

As per the Environmental Compliance Handbook, Section E: Site Contamination (Hazardous Materials), established by the Housing Trust Fund Corporation, the project site at 192 West 1st Street in Oswego, New York was examined for the potential presence of hazardous materials. The three (3) online databases that were used in this desktop review were the Nationwide Environmental Title Research (NETR) Database, the New York State Department of Environmental Conservation (NYSDEC) Environmental Site Database, and the United States Environmental Protection Agency (USEPA) EnviroFacts Database.

The project site is free of hazardous materials, contamination, toxic chemicals and gases, and radioactive substances which could affect the health and safety of occupants or users or conflict with the intended utilization of the property. I, Ariadna Cheremeteff, certify that I am an environmental professional as per ASTM 1527.

Please email if you have any questions.

Sincerely,

Ariadna Cheremeteff
BERGMANN
Environmental Discipline Leader
acheremeteff@bergmannpc.com



April 8th, 2019

Mr. Tim Stahl, Deputy Director
The City of Oswego – Office of Economic Development
44 East Bridge Street
Oswego, New York 13126

Re: Lead Based Paint Sampling Results – 192 West 1st Street, Oswego, New York

Dear Mr. Stahl,

Bergmann was retained by the City of Oswego to conduct Lead Based Paint (LBP) sampling on select sites in the City of Oswego as part of the Downtown Revitalization Initiative (DRI). The results in this letter and the information gathered during Bergmann's sampling is being used to satisfy Section F of the Housing Trust Fund Corporation Environmental Compliance Checklist (ECC). Below is a summary of the materials sampled by Bergmann using a Thermo XL2 980 X-Ray Fluorescence (XRF) ray. Bergmann took three (3) samples from various DRI sites throughout the City of Oswego that were submitted to Paradigm Environmental Services for laboratory confirmation of the accuracy of the XRF ray. No major discrepancies between the field XRF results and laboratory analytical results were observed. In addition to the summary below, attached you will find a frontal façade photograph depicting the exact locations of the paints that were screened by Bergmann.

The following paints at 192 West 1st Street, Oswego, NY were screened by Bergmann using an XRF on March 29th, 2019:

- Beige upper awning trim on store front (negative)
- Beige brick (negative)
- Main entrance door paint (negative)
- Black window trim paint (negative)
- Front façade tile (negative)

The Federal Department of Housing and Urban Development (HUD) has established guidelines for the identification of lead based paint. Paint containing a concentration equal to or greater than 0.5% by weight (5,000 parts per million or 5,000 ppm) is to be considered lead-based paint. Should any additional layers of paint be found during the abatement or renovation process, they are to be sampled via XRF or laboratory analyses to confirm or deny the presence of lead at levels exceeding the HUD action level of 0.5% by weight to satisfy Section F of the Environmental Compliance Checklist (ECC).

Please email if you have any questions.
Sincerely,
Bergmann

CASH R. BLEIER
Environmental Scientist
cbleier@bergmannpc.com

Attachment 1: Exterior Sample Location Photo



BERGMANN

ARCHITECTS ENGINEERS PLANNERS





April 9th, 2019

Mr. Tim Stahl, Deputy Director
The City of Oswego – Office of Economic Development
44 East Bridge Street
Oswego, New York 13126

Re: Asbestos Containing Materials Survey Summary – 192 West 1st Street, Oswego, New York

Dear Mr. Stahl,

Bergmann was retained by the City of Oswego to conduct Asbestos Containing Materials (ACM) sampling on select sites in the City of Oswego as part of the Downtown Revitalization Initiative (DRI). The results in this letter and the information gathered during Bergmann's sampling is being used to satisfy Section G of the Housing Trust Fund Corporation Environmental Compliance Checklist (ECC).

The current building at 192 West 1st Street is utilized as the Man in the Moon candy shop on the ground floor and residential apartment units on the second floor. The survey that was to be conducted by Bergmann was to be a pre-renovation survey of the first floor where half of the candy shop was going to be repurposed into an ice cream shop. When Bergmann personnel arrived onsite on March 21, 2019, property owner Amy Lear had informed Bergmann that the interior renovation had taken place a few months back. Because of this, none of the materials in the space were sampled as they were not to be disturbed again throughout the duration of the project. All interior demolition, renovation, and material removal had already taken place. Bergmann was not provided with an ACM survey report for this recent or prior renovations.

In accordance with New York State Asbestos Regulations under 12 NYCRR Part 56 (Industrial Code Rule 56) Subpart 56-5.1(g), Bergmann noted all materials (both new and pre-renovated flooring) that are to be sampled in the event of an additional renovation at 192 West 1st Street. The observed recently installed materials that would require sampling prior to any additional renovations:

- Ceiling Tiles
- Cove Molding / Mastic
- Window Caulking / Glazing
- Drywall / Drywall Tape/ Joint Compound
- Electrical Wire Wrapping
- Mastics under Flooring

The list above is not an all-inclusive list as new materials may be discovered during future renovation processes, and materials may be revealed that were not visible by Bergmann during the March 21, 2019 site visit. These materials are to be sampled by a New York State Department of Labor (NYSDOL) certified Asbestos Inspector. All discovered samples are to be analyzed by an accredited laboratory, and analysis must be performed in accordance with NYSDOH-ELAP and NVLAP regulations



BERGMANN
ARCHITECTS ENGINEERS PLANNERS

Please email if you have any questions.

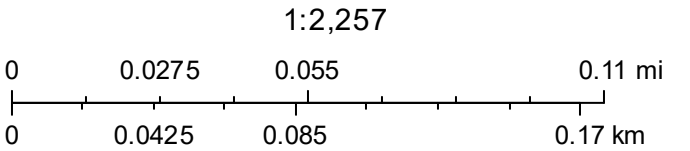
Sincerely,
Bergmann

CASH R. BLEIER
Environmental Scientist
cbleier@bergmannpc.com

192 West 1st Street NYSDEC Endangered and Threatened Species Map



February 7, 2019



Sources: Esri, HERE, Garmin, Intermap, increment P Corp., GEBCO, USGS, FAO, NPS, NRCAN, GeoBase, IGN, Kadaster NL, Ordnance Survey, Esri Japan, METI, Esri China (Hong Kong), swisstopo, © OpenStreetMap contributors, and the GIS User Community

HOUSING TRUST FUND CORPORATION
Program Description Form

Office of Community Renewal (OCR) state funded programs including:
Adirondack Community Housing Trust (ACHT), Buffalo Main Streets Initiative (BMSI), OCR Managed Downtown Revitalization Initiative (DRI), New York Main Street (NYMS), Rural Area Revitalization Projects (RARP), and Urban Initiatives (UI).

Local Program Administrator (LPA): City of Oswego

Funding Program: DRI

Program Municipality: Oswego

SHARS ID: 20170236

Program County: Oswego

Check all activities that apply to the Program or Project:

- ☒ Façade/Storefront Renovation
- ☒ Interior Building Renovation
 - ☐ Commercial
 - ☒ Residential

- ☐ Streetscape
- ☐ New Construction
- ☐ Site Work
- ☐ Ground Disturbance

For Site-Specific Review, outline the specific scope of work for the project(s). The formal scope of work should also be attached.

Replace windows/door, clear brick wall and repoint. Replace exterior Trim. Paint first street building. Remodel 2nd floor vacant space into 2 bedroom residence.

Description of Target Area:

Describe the target area or project site location and attach a map of the target area or project site.

196 West 1st Street Within Oswego Downtown Revitalization Initiative Boundary Area

Environmental Compliance Areas/ SEQR Classification Evaluation:

Specifically identify if any of the following activities will or may occur as part of this program: substantial improvement in a flood zone; projects in or adjacent to Agricultural Districts; work on a building determined by SHPO to have historic or cultural significance; ground disturbance; zoning changes, change in actual building use.

See attached Environmental Compliance Form

Primary Contact for Environmental Review Issues:

Justin Rudgick, Economic Development Director, City of Oswego

Prepared by: _____

Title: _____

Date: _____

Phone Number: _____

Email Address: _____

HOUSING TRUST FUND CORPORATION
Environmental Compliance Checklist

Office of Community Renewal (OCR) state funded programs including:
Adirondack Community Housing Trust (AHT), Buffalo Main Streets Initiative (BMSI), OCR Managed Downtown Revitalization Initiative (DRI), New York Main Street (NYMS), Rural Area Revitalization Projects (RARP), and Urban Initiatives (UI).

Local Program Administrator (LPA): City of Oswego

Funding DRI **Project Municipality:** Oswego

Program:
SHARS ID: 20170236 **Project County:** Oswego

Review Type: Site Specific **Project Address (if site specific):** 196 West 1st Street

Compliance Area	Attachments Included	Compliance Procedures
A. <u>Historic/Cultural Resources:</u> Section 14.09 of the Parks, Recreation and Historic Preservation Law.	<input checked="" type="checkbox"/>	SHPO identified specific conditions as described in the attached determination. The conditions will be incorporated into the project scope of work.
B. <u>Flood Plains:</u> 6 NYCRR Part 502, Floodplain Management Criteria for State Projects.	<input checked="" type="checkbox"/>	The project is not within a SFHA. A copy of the FIRM map, with the Panel Number and Effective Date is included.
C. <u>Zoning:</u> C1. Projects must conform to local land use plans and receive all necessary zoning and site plan approvals and permits.	<input type="checkbox"/>	The project will not require zoning modifications, variances or a special use permit for issuance of a building permit. See attached documentation.
C2. Projects that result in a change in the building use must be identified.	<input type="checkbox"/>	The existing use of the building is commercial/residential; the proposed use is commercial/residential.
D. <u>Coastal Zones:</u> 19 NYCRR Part 600, Coastal Zone Management	<input checked="" type="checkbox"/>	The project is located within the coastal zone but is not a Type I or Unlisted action.
E. <u>Site Contamination- Hazardous Materials:</u> Projects funded under the Program must be free of hazardous materials which could affect the health and safety of the occupants or conflict with the intended utilization of the property.	<input checked="" type="checkbox"/>	An environmental professional has certified that the project site is free of hazardous materials, contamination, toxic chemicals and gases, and radioactive substances. Documentation is attached.
F. <u>Lead Based Paint:</u> All activities impacting dwelling units or child occupied facilities must be free from the hazards posed by lead-based paint. <i>Refer to the Program Policy for Lead-Based Paint.</i>	<input checked="" type="checkbox"/>	The project involves exterior renovations or interior commercial renovations only. Window openings into residential or child-occupied facility floors will not be impacted as part of the project scope of work.
G. <u>Asbestos Containing Materials</u> NYS Department of Labor at 12 NYCRR Part 56	<input type="checkbox"/>	Asbestos Containing Materials (ACM) that will be disturbed as part of program activities will be handled and disposed of according to NYS Department of Labor requirements at 12 NYCRR Part 56 and local regulations.

H. Radon EPA map of Radon Zones; EPA Radon Mitigation Standards	<input type="checkbox"/>	The target area is located in a zone with moderate or high potential for radon levels to exceed the U.S. EPA action level (4pCi/L or higher). New construction or rehabilitation of residential units and common areas will include post-renovation testing and if elevated levels are found, a radon mitigation system will be installed in accordance with EPA Radon Mitigation Standards.
I. Wetlands: 6 NYCRR Part 663, Freshwater Wetlands Permit Requirements and, Section 404 of the Clean Water Act	<input type="checkbox"/>	The project does not involve new construction, ground disturbance or is entirely within an urban, built up area.

J. Endangered Species: 6 NYCRR Part 182, Endangered and Threatened Species	<input checked="" type="checkbox"/>	The project is in a built up urban area or does not involve new construction, ground disturbance or tree cutting. The NYSDEC Environmental Resource Map is attached.
K. Agricultural Districts: Agriculture and Markets Law Article 25-AA, Sections 303 and 304, Agricultural Districts	<input type="checkbox"/>	The project is not located in an agricultural district, does not involve any activities with potential to convert farmland to nonagricultural use and does not require an Agricultural Data Statement.

Individual site specific checklists may require more detailed supporting documentation and review in circumstances such as:

- substantial improvement in a flood zone;
- projects in, or adjacent to, Agricultural Districts;
- work on a building determined by SHPO to have historic or cultural significance;
- ground disturbance;
- zoning changes;
- a change in actual building use (whether or not this change is locally regulated);
- if the work constitutes a SEQRL Unlisted action.

Certification

I am authorized to execute contract materials for the program award to the Local Program Administrator (LPA) named above. I have read this Checklist and by signing this document agree with the statements made herein and agree that: (1) site specific checklists will be prepared and submitted to the OCR for each project site and additional documentation will be provided as necessary for the circumstances listed above; (2) project activities will be conducted in conformance with the described compliance procedures; (3) an environmental determination letter or approval from OCR will be received before taking any physical action on a site or incurring costs related to a specific activity; and, (4) costs incurred for activities completed prior to the SEQRL determination and submission of the site-specific checklist will not be eligible for reimbursement.

Signature:

Printed Name: William Barlow, Jr.

Title: Mayor

Prepared by: _____

Title: _____

Date: _____

Phone: _____

Email: _____



Parks, Recreation, and Historic Preservation

ANDREW M. CUOMO
Governor

ROSE HARVEY
Commissioner

November 8, 2018

Mr. Tim Stahl
Deputy Director
City of Oswego
44 East Bridge Street
Oswego, NY 13126

Re: HTFMS
Oswego DRI - 196 West First Street
196 West 1st Street, Oswego, NY 13126
18PR07223
DRI Project No. 20170236

Dear Mr. Stahl:

Thank you for requesting the comments of the Division for Historic Preservation of the Office of Parks, Recreation and Historic Preservation (OPRHP). We have reviewed the submitted materials in accordance with the New York State Historic Preservation Act of 1980 (section 14.09 of the New York Parks, Recreation and Historic Preservation Law). These comments are those of the Division for Historic Preservation and relate only to Historic/Cultural resources. They do not include potential environmental impacts to New York State Parkland that may be involved in or near your project. Such impacts must be considered as part of the environmental review of the project pursuant to the State Environmental Quality Review Act (New York Environmental Conservation Law Article 8) and its implementing regulations (6NYCRR Part 617).

We note that 196 West 1st Street is eligible for listing in the State and National Registers of Historic Places as a contributing building to a potential commercial historic district.

We have reviewed the project submission received on 11/5/2018. In order to continue our review, the OPRHP requests the following additional information:

1. Existing and proposed drawings of the second floor so that we may assess whether the apartment renovations will impact the building. Please be sure to note all areas of proposed removals, especially where historic materials or elements will be impacted.
2. Clear color photographs of the second floor interior showing all areas of proposed work. Photos should be keyed to a floor plan indicating the location and direction of each. If submitting a large number of photos, we recommend combining them into a single document (Word or PDF format) before uploading.
3. We are concerned with the proposed installation of vinyl windows on the building. Please note that windows are considered character-defining features of historic buildings. Replacements should only be used when an existing unit is deteriorated beyond repair.

Division for Historic Preservation

P.O. Box 189, Waterford, New York 12188-0189 • (518) 237-8643 • www.nysparks.com

If replacements are necessary, any new windows installed should match the historic units as closely as possible in material, size, profiles, and method of operation. Vinyl windows are typically not appropriate as they cannot acquire a sufficient match to the historic windows. We ask that you consider installing wood or aluminum-clad wood windows at the second floor.

4. We note that the submission includes an estimate for a commercial automatic door, but it is not clear where on the building this will be installed. Please provide additional information regarding this item, including photographs of the location where it will be installed.

We would appreciate the requested information be provided via our Cultural Resource Information System (CRIS) at www.nysparks.com/shpo/online-tools/. Once on the CRIS site, you can log in as a guest and choose "submit" at the very top menu. Next choose "submit new information for an existing project." You will need this project number (18PR07223) and your e-mail address. If you have any questions, I can be reached at (518) 268-2217.

Sincerely,



Christina Vagvolgyi
Historic Preservation Technical Specialist
e-mail: christina.vagvolgyi@parks.ny.gov

via e-mail only

National Flood Hazard Layer FIRMette



Legend

SEE FIS REPORT FOR DETAILED LEGEND AND INDEX MAP FOR FIRM PANEL LAYOUT

SPECIAL FLOOD HAZARD AREAS		Without Base Flood Elevation (BFE) Zone A, V, A99
		With BFE or Depth Zone AE, AO, AH, VE, AR
		Regulatory Floodway
OTHER AREAS OF FLOOD HAZARD		0.2% Annual Chance Flood Hazard, Areas of 1% annual chance flood with average depth less than one foot or with drainage areas of less than one square mile Zone X
		Future Conditions 1% Annual Chance Flood Hazard Zone X
		Area with Reduced Flood Risk due to Levee. See Notes. Zone X
		Area with Flood Risk due to Levee Zone D
OTHER AREAS		Area of Minimal Flood Hazard Zone X
		Effective LOMRs
GENERAL STRUCTURES		Channel, Culvert, or Storm Sewer
		Levee, Dike, or Floodwall
OTHER FEATURES		20.2 Cross Sections with 1% Annual Chance Water Surface Elevation
		17.5 Coastal Transect
		Base Flood Elevation Line (BFE)
		Limit of Study
		Jurisdiction Boundary
		Coastal Transect Baseline
MAP PANELS		Digital Data Available
		No Digital Data Available
		Unmapped

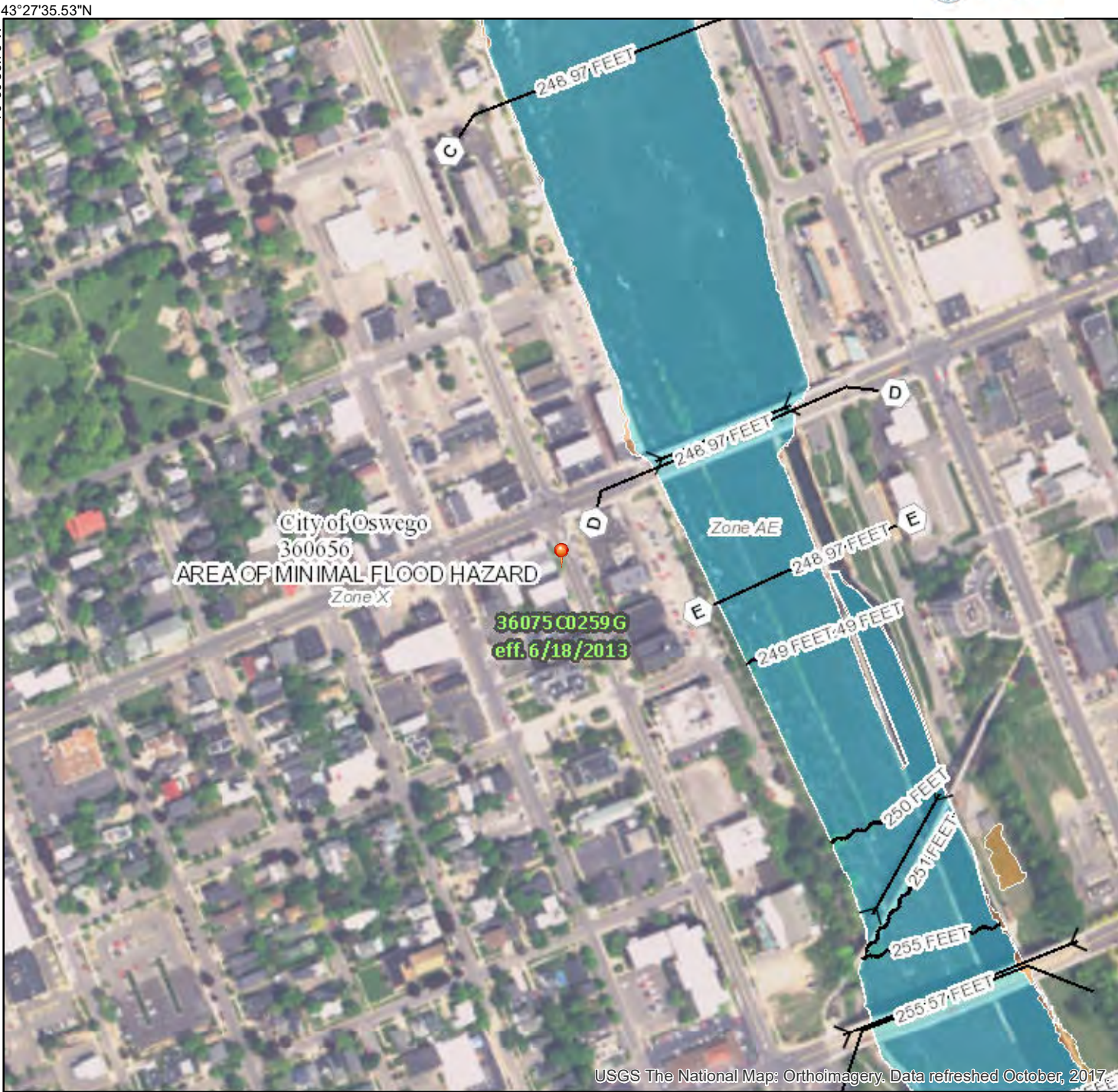


The pin displayed on the map is an approximate point selected by the user and does not represent an authoritative property location.

This map complies with FEMA's standards for the use of digital flood maps if it is not void as described below. The basemap shown complies with FEMA's basemap accuracy standards

The flood hazard information is derived directly from the authoritative NFHL web services provided by FEMA. This map was exported on 2/7/2019 at 3:55:27 PM and does not reflect changes or amendments subsequent to this date and time. The NFHL and effective information may change or become superseded by new data over time.

This map image is void if the one or more of the following map elements do not appear: basemap imagery, flood zone labels, legend, scale bar, map creation date, community identifiers, FIRM panel number, and FIRM effective date. Map images for unmapped and unmodernized areas cannot be used for regulatory purposes.



43°27'35.53"N

76°30'58.73"W

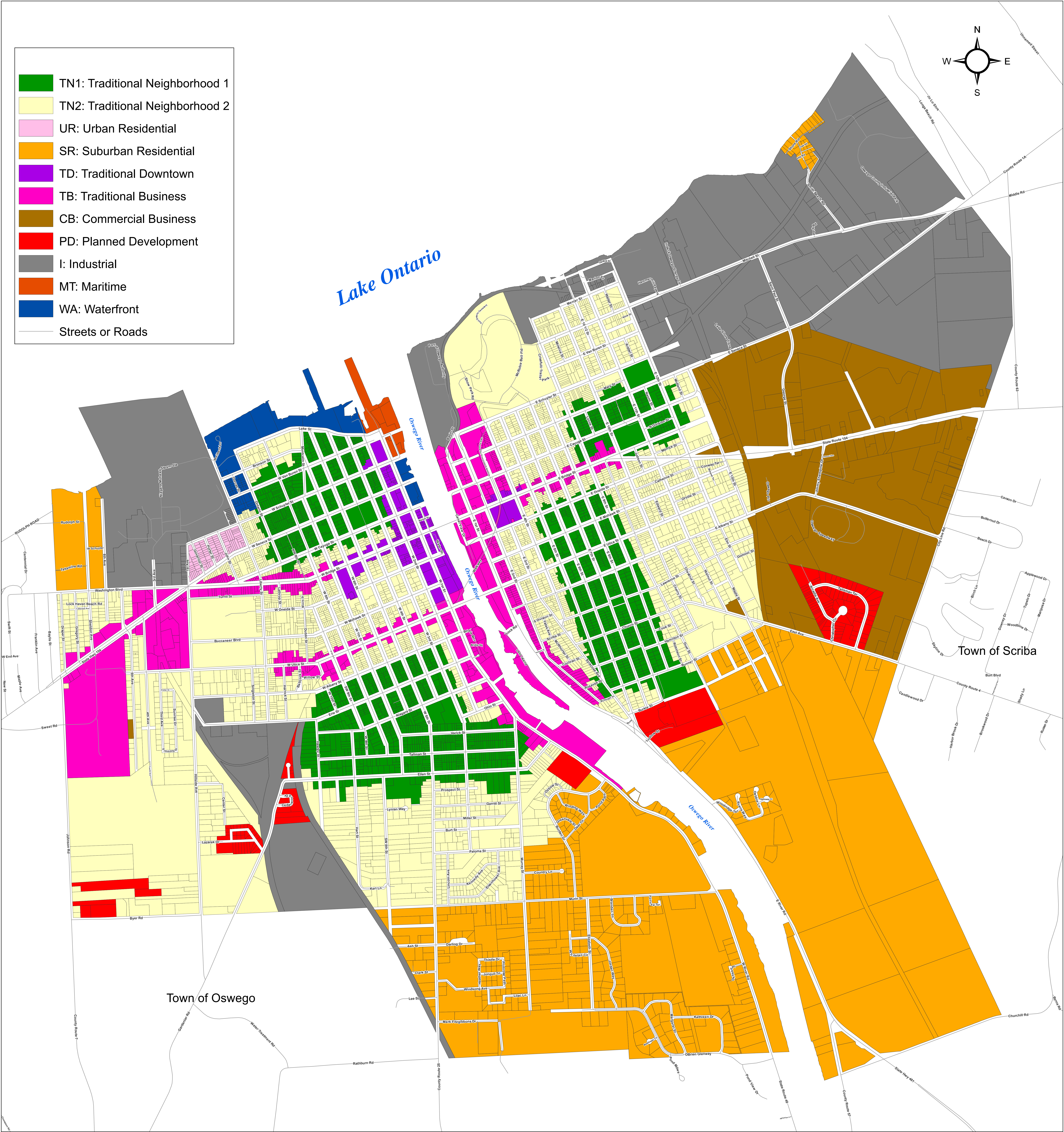
76°30'21.28"W

USGS The National Map: Orthoimagery. Data refreshed October, 2017

43°27'9.41"N

0 250 500 1,000 1,500 2,000 Feet 1:6,000

City of Oswego Zoning Map

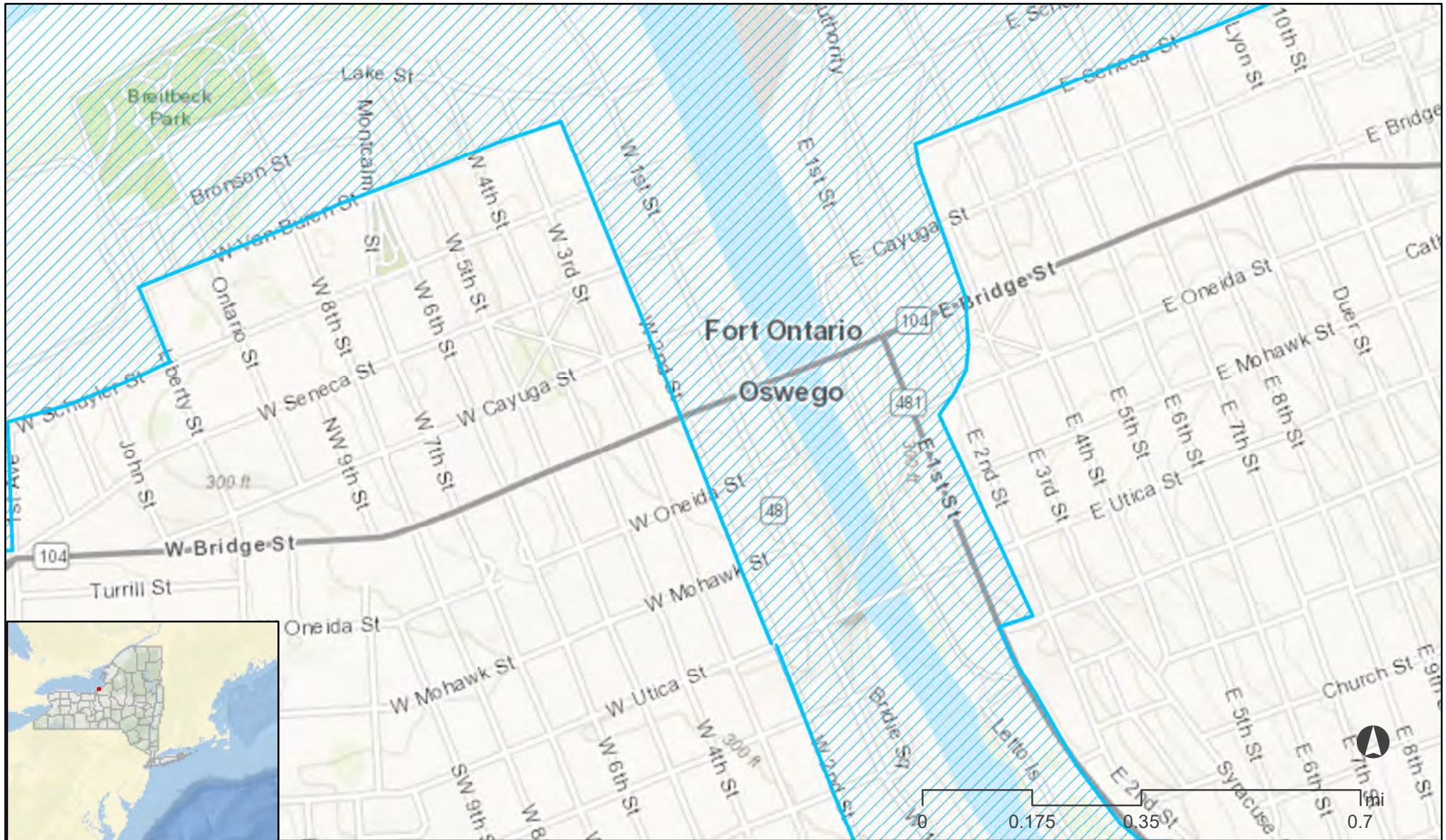


For:
City of Oswego
Zoning & Planning Office
13 West Oneida Street
Oswego, NY 13126
(315) 342-8153

0 650 1,300 2,600 3,900 5,200 Feet

By:
Oswego County Community Development, Tourism & Planning
46 East Bridge Street
Oswego, NY 13126
(315)349-8295
Karen B. Noyes, AICP
April 8, 2019

196 West 1st Street Coastal Boundary Map



— Coastal_Boundary_Polyline_update CoastalBoundary_Polygon_March2017



Department
of State

The New York Department of State (DOS) gives no warranty, expressed or implied, as to the accuracy, reliability, or completeness of data shown on this map product. DOS does not assume responsibility for the use or application of any information represented on this map nor responsibility for any error, omission or other discrepancy between the electronic and printed versions of documents.



May 1st, 2019

Housing Trust Fund Corporation
OCR Managed Downtown Revitalization Initiative Projects (DRI)

Re: 196 West 1st Street, Oswego, New York – Environmental Compliance Handbook

To whom it my concern:

As per the Environmental Compliance Handbook, Section E: Site Contamination (Hazardous Materials), established by the Housing Trust Fund Corporation, the project site at 196 West 1st Street in Oswego, New York was examined for the potential presence of hazardous materials. The three (3) online databases that were used in this desktop review were the Nationwide Environmental Title Research (NETR) Database, the New York State Department of Environmental Conservation (NYSDEC) Environmental Site Database, and the United States Environmental Protection Agency (USEPA) EnviroFacts Database.

The project site is free of hazardous materials, contamination, toxic chemicals and gases, and radioactive substances which could affect the health and safety of occupants or users or conflict with the intended utilization of the property. I, Ariadna Cheremeteff, certify that I am an environmental professional as per ASTM 1527.

Please email if you have any questions.

Sincerely,

Ariadna Cheremeteff
BERGMANN
Environmental Discipline Leader
acheremeteff@bergmannpc.com



April 30th, 2019

Mr. Tim Stahl, Deputy Director
The City of Oswego – Office of Economic Development
44 East Bridge Street
Oswego, New York 13126

Re: Lead Based Paint Sampling Results – 196 West 1st Street, Oswego, New York

Dear Mr. Stahl,

Bergmann was retained by the City of Oswego to conduct Lead Based Paint (LBP) sampling on select sites in the City of Oswego as part of the Downtown Revitalization Initiative (DRI). The results in this letter and the information gathered during Bergmann's sampling is being used to satisfy Section F of the Housing Trust Fund Corporation Environmental Compliance Checklist (ECC). Below is a summary of the materials sampled by Bergmann using a Thermo XL2 980 X-Ray Fluorescence (XRF) ray. Bergmann took three (3) samples from various DRI sites throughout the City of Oswego that were submitted to Paradigm Environmental Services for laboratory confirmation of the accuracy of the XRF ray. No major discrepancies between the field XRF results and laboratory analytical results were observed. In addition to the summary below, attached you will find a frontal façade photograph depicting the exact locations of the paints that were screened by Bergmann.

The following paints at 196 West 1st Street, Oswego, NY were screened by Bergmann using an XRF on March 29th, 2019:

- Front façade beige paint (negative)
- Blue entrance door paint (negative)

The Federal Department of Housing and Urban Development (HUD) has established guidelines for the identification of lead based paint. Paint containing a concentration equal to or greater than 0.5% by weight (5,000 parts per million or 5,000 ppm) is to be considered lead-based paint. Given these values established by the HUD, all paint identified above as "positive" is considered to be lead based paint and must be abated to satisfy Section F of the Environmental Compliance Checklist (ECC).

Should any additional layers of paint be found during the abatement or renovation process, they are to be sampled via XRF or laboratory analyses to confirm or deny the presence of lead at levels exceeding the HUD action level of 0.5% by weight.



BERGMANN
ARCHITECTS ENGINEERS PLANNERS

Please email if you have any questions.

Sincerely,
Bergmann

CASH R. BLEIER
Environmental Scientist
cbleier@bergmannpc.com

Attachment 1: Exterior Sample Location Photo



BERGMANN

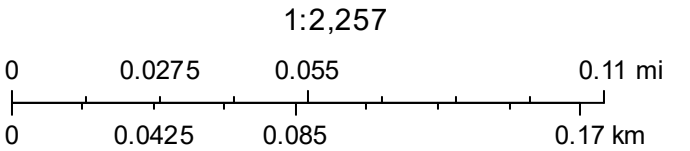
ARCHITECTS ENGINEERS PLANNERS



196 West 1st St NYSDEC Endangered and Threatened Species Map



February 8, 2019



Sources: Esri, HERE, Garmin, Intermap, increment P Corp., GEBCO, USGS, FAO, NPS, NRCAN, GeoBase, IGN, Kadaster NL, Ordnance Survey, Esri Japan, METI, Esri China (Hong Kong), swisstopo, © OpenStreetMap contributors, and the GIS User Community

HOUSING TRUST FUND CORPORATION
Program Description Form

Office of Community Renewal (OCR) state funded programs including:
Adirondack Community Housing Trust (ACHT), Buffalo Main Streets Initiative (BMSI), OCR Managed Downtown Revitalization Initiative (DRI), New York Main Street (NYMS), Rural Area Revitalization Projects (RARP), and Urban Initiatives (UI).

Local Program Administrator (LPA): City of Oswego

Funding Program: DRI **Program Municipality:** Oswego

SHARS ID: 20170236 **Program County:** Oswego

Check all activities that apply to the Program or Project:

- | | |
|------------------------------------------------------------------|---------------------------------------------|
| <input checked="" type="checkbox"/> Façade/Storefront Renovation | <input type="checkbox"/> Streetscape |
| <input type="checkbox"/> Interior Building Renovation | <input type="checkbox"/> New Construction |
| <input type="checkbox"/> Commercial | <input type="checkbox"/> Site Work |
| <input type="checkbox"/> Residential | <input type="checkbox"/> Ground Disturbance |

For Site-Specific Review, outline the specific scope of work for the project(s). The formal scope of work should also be attached.

2 awnings to match those from riverside artisans

Description of Target Area:

Describe the target area or project site location and attach a map of the target area or project site.

197 West 1st Street Within Oswego Downtown Revitalization Initiative Boundary Area

Environmental Compliance Areas/ SEQR Classification Evaluation:

Specifically identify if any of the following activities will or may occur as part of this program: substantial improvement in a flood zone; projects in or adjacent to Agricultural Districts; work on a building determined by SHPO to have historic or cultural significance; ground disturbance; zoning changes, change in actual building use.

See attached Environmental Compliance Review Form

Primary Contact for Environmental Review Issues:

Justin Rudgick, Economic Development Director, City of Oswego

Prepared by: _____

Title: _____

Date: _____

Phone Number: _____

Email Address: _____

HOUSING TRUST FUND CORPORATION
Environmental Compliance Checklist

Office of Community Renewal (OCR) state funded programs including:
Adirondack Community Housing Trust (AHT), Buffalo Main Streets Initiative (BMSI), OCR Managed Downtown Revitalization Initiative (DRI), New York Main Street (NYMS), Rural Area Revitalization Projects (RARP), and Urban Initiatives (UI).

Local Program Administrator (LPA): City of Oswego

Funding DRI **Project Municipality:** Oswego

Program:
SHARS ID: 20170236 **Project County:** Oswego

Review Type: Site Specific **Project Address (if site specific):** 197 West 1st Street

Compliance Area	Attachments Included	Compliance Procedures
A. <u>Historic/Cultural Resources:</u> Section 14.09 of the Parks, Recreation and Historic Preservation Law.	<input checked="" type="checkbox"/>	The SHPO No Adverse Impact determination is attached.
B. <u>Flood Plains:</u> 6 NYCRR Part 502, Floodplain Management Criteria for State Projects.	<input checked="" type="checkbox"/>	The project is not within a SFHA. A copy of the FIRM map, with the Panel Number and Effective Date is included.
C. <u>Zoning:</u> C1. Projects must conform to local land use plans and receive all necessary zoning and site plan approvals and permits.	<input checked="" type="checkbox"/>	The project will not require zoning modifications, variances or a special use permit for issuance of a building permit. See attached documentation.
C2. Projects that result in a change in the building use must be identified.	<input type="checkbox"/>	The existing use of the building is commercial; the proposed use of the building is commercial.
D. <u>Coastal Zones:</u> 19 NYCRR Part 600, Coastal Zone Management	<input checked="" type="checkbox"/>	The project is located within the coastal zone but is not a Type I or Unlisted action.
E. <u>Site Contamination- Hazardous Materials:</u> Projects funded under the Program must be free of hazardous materials which could affect the health and safety of the occupants or conflict with the intended utilization of the property.	<input checked="" type="checkbox"/>	The project involves awning installation only and will not include ground disturbance.
F. <u>Lead Based Paint:</u> All activities impacting dwelling units or child occupied facilities must be free from the hazards posed by lead-based paint. <i>Refer to the Program Policy for Lead-Based Paint.</i>	<input type="checkbox"/>	Any projects that will involve the disturbance of painted surfaces will be evaluated using the Program Policy for Lead-Based Paint.
G. <u>Asbestos Containing Materials</u> NYS Department of Labor at 12 NYCRR Part 56	<input type="checkbox"/>	Asbestos Containing Materials (ACM) that will be disturbed as part of program activities will be handled and disposed of according to NYS Department of Labor requirements at 12 NYCRR Part 56 and local regulations.
H. <u>Radon</u> EPA map of Radon Zones; EPA Radon Mitigation Standards	<input type="checkbox"/>	The target area is located in a zone with moderate or high potential for radon levels to exceed the U.S. EPA action level

		(4pCi/L or higher). New construction or rehabilitation of residential units and common areas will include post-renovation testing and if elevated levels are found, a radon mitigation system will be installed in accordance with EPA Radon Mitigation Standards.
I. Wetlands: 6 NYCRR Part 663, Freshwater Wetlands Permit Requirements and, Section 404 of the Clean Water Act	<input type="checkbox"/>	The project does not involve new construction, ground disturbance or is entirely within an urban, built-up area.

J. Endangered Species: 6 NYCRR Part 182, Endangered and Threatened Species	<input checked="" type="checkbox"/>	The project is in a built up urban area, does not involve new construction, ground disturbance or tree cutting. The NYSDEC Environmental Resource Map is attached.
K. Agricultural Districts: Agriculture and Markets Law Article 25-AA, Sections 303 and 304, Agricultural Districts	<input type="checkbox"/>	The project is not located in an agricultural district, does not involve any activities with potential to convert farmland to nonagricultural use and does not require an Agricultural Data Statement.

Individual site specific checklists may require more detailed supporting documentation and review in circumstances such as:

- substantial improvement in a flood zone;
- projects in, or adjacent to, Agricultural Districts;
- work on a building determined by SHPO to have historic or cultural significance;
- ground disturbance;
- zoning changes;
- a change in actual building use (whether or not this change is locally regulated);
- if the work constitutes a SEQR Unlisted action.

Certification

I am authorized to execute contract materials for the program award to the Local Program Administrator (LPA) named above. I have read this Checklist and by signing this document agree with the statements made herein and agree that: (1) site specific checklists will be prepared and submitted to the OCR for each project site and additional documentation will be provided as necessary for the circumstances listed above; (2) project activities will be conducted in conformance with the described compliance procedures; (3) an environmental determination letter or approval from OCR will be received before taking any physical action on a site or incurring costs related to a specific activity; and, (4) costs incurred for activities completed prior to the SEQR determination and submission of the site-specific checklist will not be eligible for reimbursement.

Signature:

Printed Name: William Barlow, Jr.

Title: Mayor

Date: _____

Prepared by: _____

Title: _____

Phone: _____

Email: _____



Parks, Recreation, and Historic Preservation

ANDREW M. CUOMO
Governor

ROSE HARVEY
Commissioner

November 5, 2018

Mr. Tim Stahl
Deputy Director
City of Oswego
44 East Bridge Street
Oswego, NY 13126

Re: HTFMS
Oswego DRI - 197 West First Street
197 West 1st Street, Oswego, NY 13126
18PR07080
DRI Project No. 20170236

Dear Mr. Stahl:

Thank you for requesting the comments of the Division for Historic Preservation of the Office of Parks, Recreation and Historic Preservation (OPRHP). We have reviewed the submitted materials in accordance with the New York State Historic Preservation Act of 1980 (section 14.09 of the New York Parks, Recreation and Historic Preservation Law). These comments are those of the Division for Historic Preservation and relate only to Historic/Cultural resources. They do not include potential environmental impacts to New York State Parkland that may be involved in or near your project. Such impacts must be considered as part of the environmental review of the project pursuant to the State Environmental Quality Review Act (New York Environmental Conservation Law Article 8) and its implementing regulations (6NYCRR Part 617).

We note that 197 West 1st Street is eligible for listing in the State and National Registers of Historic Places as a contributing building to a potential commercial historic district.

We have reviewed the project submission received on 10/29/2018. Based upon this review, it is the OPRHP's opinion that the proposed project will have No Adverse Impact upon historic resources.

If there are substantive changes to the project, consultation with our office should resume. If you have any questions, I can be reached at (518) 268-2217.

Sincerely,

Christina Vagvolgyi
Historic Preservation Technical Specialist
e-mail: christina.vagvolgyi@parks.ny.gov

via e-mail only

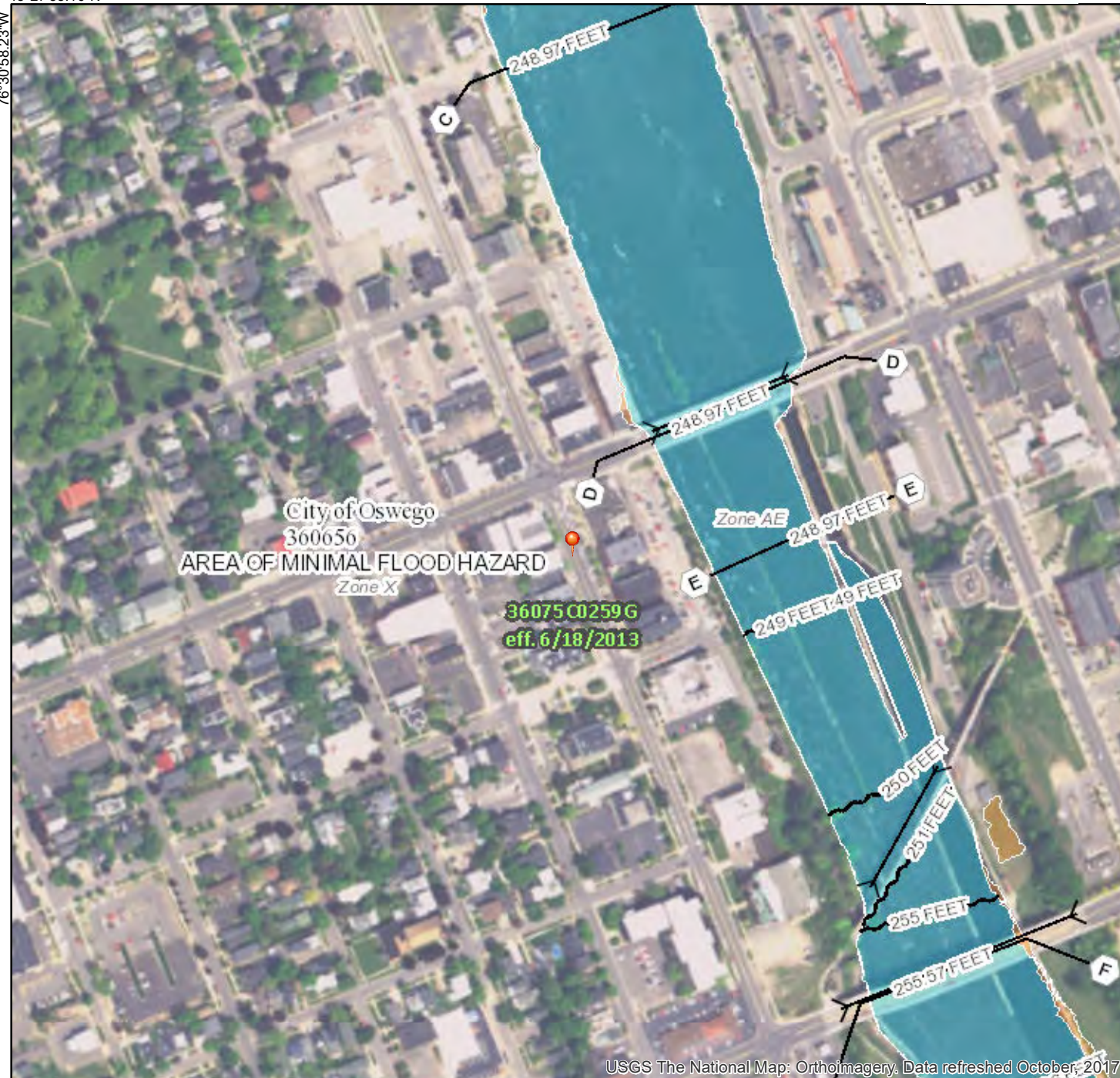
Division for Historic Preservation

P.O. Box 189, Waterford, New York 12188-0189 • (518) 237-8643 • www.nysparks.com

National Flood Hazard Layer FIRMette



43°27'35.10"N



USGS The National Map: Orthoimagery. Data refreshed October, 2017.

0 250 500 1,000 1,500 2,000 Feet 1:6,000

43°27'8.98"N

Legend

SEE FIS REPORT FOR DETAILED LEGEND AND INDEX MAP FOR FIRM PANEL LAYOUT

SPECIAL FLOOD HAZARD AREAS		Without Base Flood Elevation (BFE) Zone A, V, A99
		With BFE or Depth Zone AE, AO, AH, VE, AR
		Regulatory Floodway
OTHER AREAS OF FLOOD HAZARD		0.2% Annual Chance Flood Hazard, Areas of 1% annual chance flood with average depth less than one foot or with drainage areas of less than one square mile Zone X
		Future Conditions 1% Annual Chance Flood Hazard Zone X
		Area with Reduced Flood Risk due to Levee. See Notes. Zone X
		Area with Flood Risk due to Levee Zone D
OTHER AREAS		NO SCREEN Area of Minimal Flood Hazard Zone X
		Effective LOMRs
GENERAL STRUCTURES		Area of Undetermined Flood Hazard Zone D
		Channel, Culvert, or Storm Sewer
		Levee, Dike, or Floodwall
OTHER FEATURES		20.2 Cross Sections with 1% Annual Chance Water Surface Elevation
		17.5
		Coastal Transect
		Base Flood Elevation Line (BFE)
		Limit of Study
		Jurisdiction Boundary
MAP PANELS		Coastal Transect Baseline
		Profile Baseline
		Hydrographic Feature
		Digital Data Available
		No Digital Data Available
		Unmapped



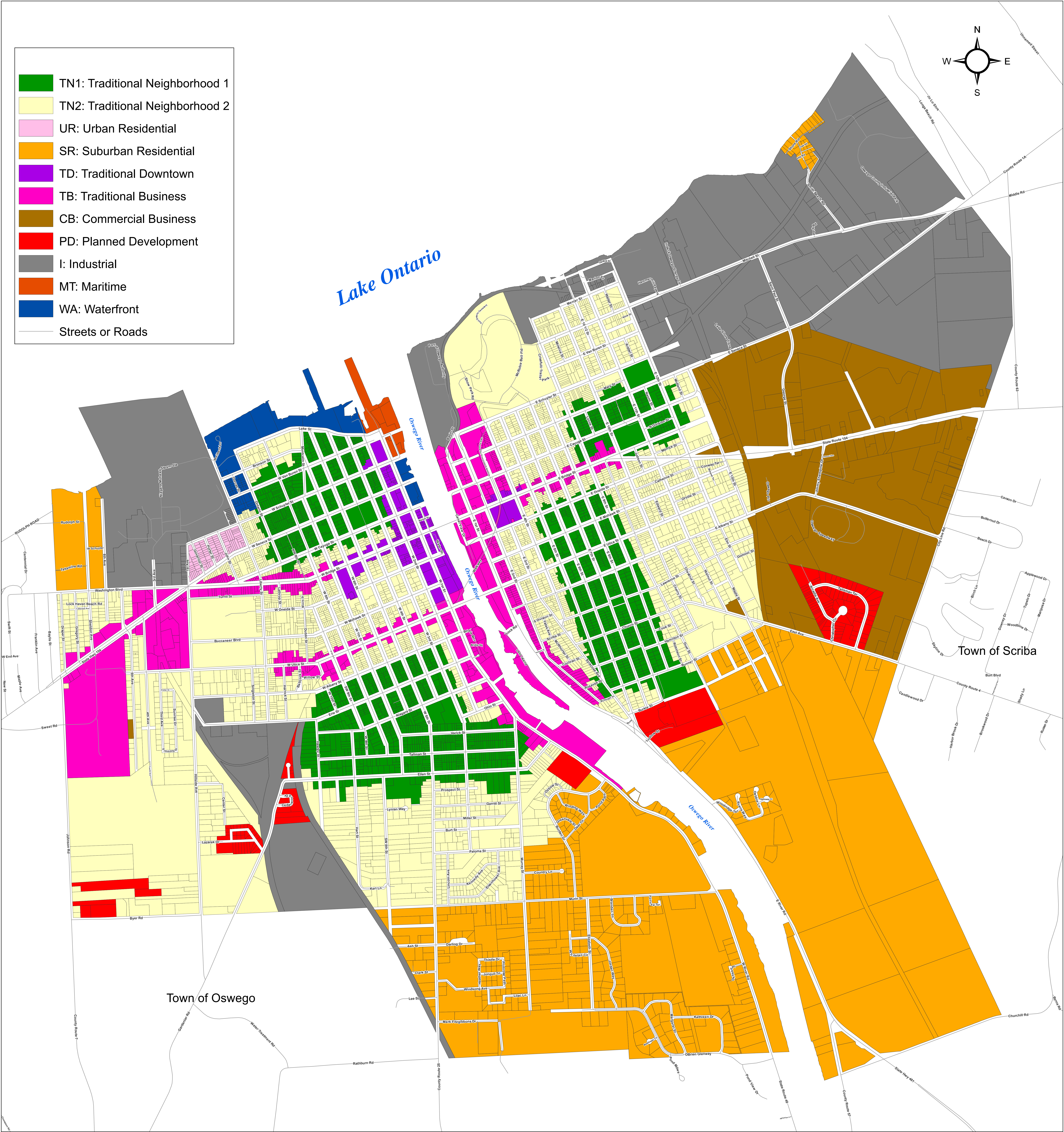
The pin displayed on the map is an approximate point selected by the user and does not represent an authoritative property location.

This map complies with FEMA's standards for the use of digital flood maps if it is not void as described below. The basemap shown complies with FEMA's basemap accuracy standards

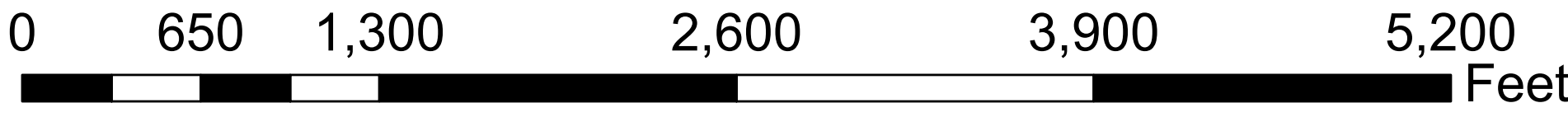
The flood hazard information is derived directly from the authoritative NFHL web services provided by FEMA. This map was exported on 2/8/2019 at 10:41:15 AM and does not reflect changes or amendments subsequent to this date and time. The NFHL and effective information may change or become superseded by new data over time.

This map image is void if the one or more of the following map elements do not appear: basemap imagery, flood zone labels, legend, scale bar, map creation date, community identifiers, FIRM panel number, and FIRM effective date. Map images for unmapped and unmodernized areas cannot be used for regulatory purposes.

City of Oswego Zoning Map

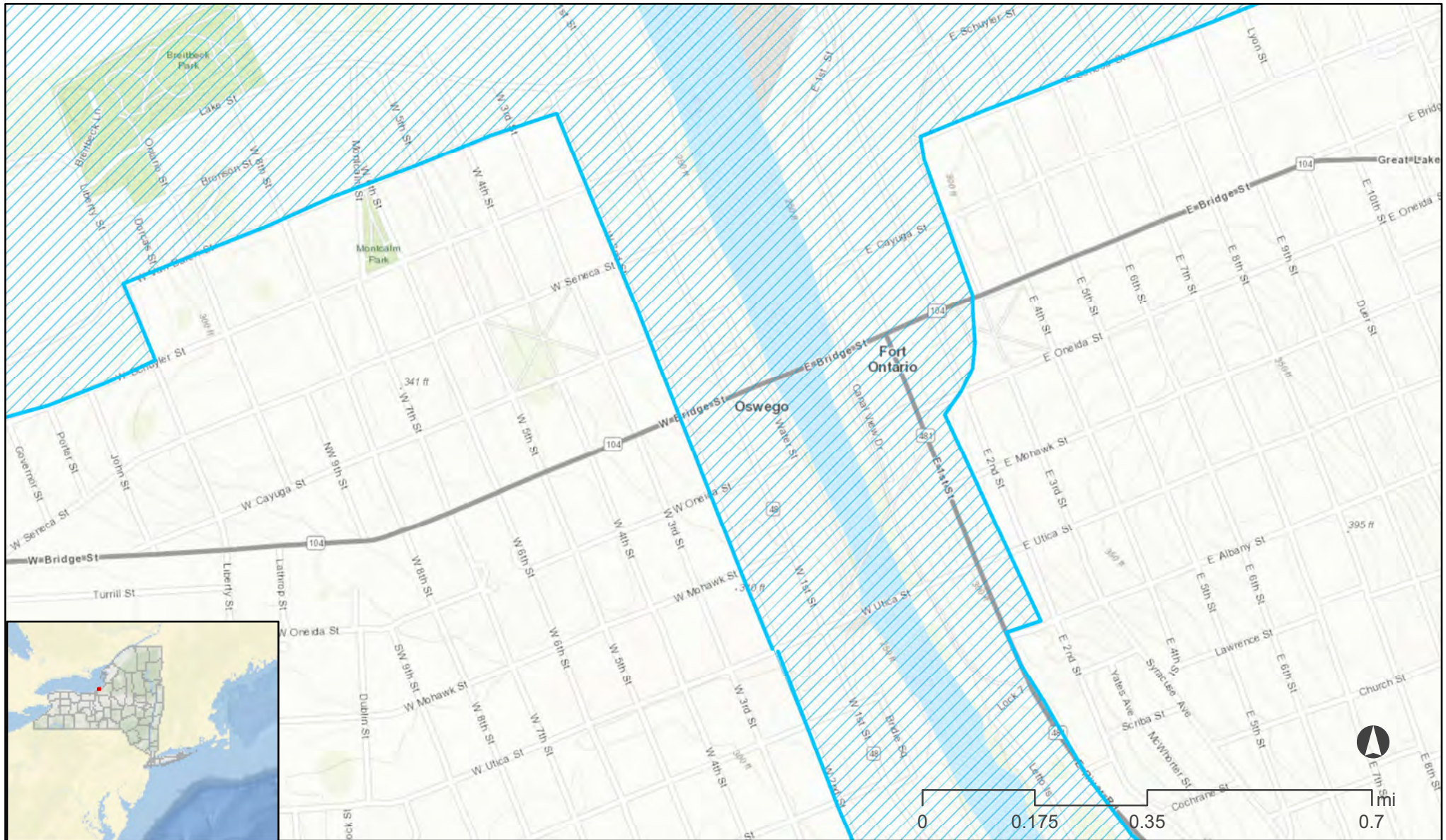


For:
City of Oswego
Zoning & Planning Office
13 West Oneida Street
Oswego, NY 13126
(315) 342-8153



By:
Oswego County Community Development, Tourism & Planning
46 East Bridge Street
Oswego, NY 13126
(315)349-8295
Karen B. Noyes, AICP
April 8, 2019

197 West 1st Street Coastal Boundary Map



— Coastal_Boundary_Polyline_update CoastalBoundary_Polygon_March2017



Department
of State

The New York Department of State (DOS) gives no warranty, expressed or implied, as to the accuracy, reliability, or completeness of data shown on this map product. DOS does not assume responsibility for the use or application of any information represented on this map nor responsibility for any error, omission or other discrepancy between the electronic and printed versions of documents.

Oswego Downtown Revitalization Initiative

DOWNTOWN IMPROVEMENT FUND APPLICATION **DUE JULY 18TH, 2018

I. GENERAL INFORMATION

A. Applicant Information

Name Aunt Mary's Italian Café, Inc. (dba Bistro 197)		
Mailing Address 197 West 1st St		
City Oswego	County Oswego	Zip Code 13126
Telephone No. 315-343-2930	Fax No.	Email Address bistro197@gmail.com

B. Building Owner Information (if different from Applicant)

Name Anthony Pauldine (dba Canal Commons)		
Mailing Address 190 5 th Avenue		
City Oswego	County Oswego	Zip Code 13126
Telephone No. 315-593-9872	Fax No.	Email Address tpauldine@twcny.rr.com

C. Property Information

Property Address 197 West 1 st St		
City Oswego	County Oswego	Zip Code 13126
Landmark Status <input checked="" type="checkbox"/> Yes The SHPO (State Historic Preservation Office) has designated the building as a 1950's storefront <input type="checkbox"/> No		

D. Grant Request Information

Proposed Use of Grant (select all that apply)	
<input type="checkbox"/> Building Signage Grant	<input checked="" type="checkbox"/> Façade Improvements Grant
<input type="checkbox"/> Residential Conversion Grant	<input type="checkbox"/> Storefront/Commercial Improvement Grant

Grant Request

Façade Improvement \$14,688
(Requires minimum 20% Match - Maximum \$25,000)

Mixed Use Expansion _____
(Requires minimum 25% Match - Maximum \$60,000)

Building Signage _____
(No Required Match - Maximum \$3,500)

Total Requested \$14,688
(maximum \$80,000)

E. Project Information

Start Date
12/1/18

End Date
6/1/19

Please **attach to this application** a brief description of the proposed scope of work which includes: the major elements to be rehabilitated, the number of apartments to be renovated, and the planned start and end dates for the project.

F. Additional Requirements for Façade and Signage Grants

Applicants for façade grants must **attach to this application** a photograph of the current building façade and a sketch of the proposed façade renovation for SHPO review.

SEE RIVERSIDE ARTICAN'S PHOTOS - SAME

G. Financial Information

Applicants must indicate the estimated budget and sources of matching funds for the proposed renovation. Use Appendix 2 as a guide for your reporting.

Please list all current and previous real estate development and renovation projects and business improvement and expansion you have been involved with during the past five (5) years. Attach additional sheets if necessary.

In the fall of 2013, Bistro 197 expanded its premises to approximately double the size of its restaurant. A bar, lounge area, and restrooms were added to the existing dining area and kitchen. The newly expanded premises opened for business on November 18, 2013. The cost of the architect and contractor and the other furniture, equipment, and improvements associated with the expansion was \$106,167, of which \$60,000 was financed through a bank loan from Pathfinder Bank. The remaining \$46,167 was provided by the corporation's sole stockholder, Dean Crawford. The total cost is summarized as follows:

Architect fee	\$ 800
Pinnacle Builders contractor fee	60,000
Flooring, finishing, fixtures, and signs	18,373
Furniture and equipment	26,994
	<u>\$ 106,167</u>

II. DETAILED SCOPE OF WORK

Itemized the construction/renovation projects with a proposed timetable for completion

Bistro 197 is proposing to add two 25-foot awning covers for its front on West 1st Street. Similar proposals are being submitted by our neighbors, Riverside Artisans. The coordinated look that will be achieved by these two proposals will make these projects fit well with the goals of the Oswego Downtown Revitalization Initiative.

Bistro 197 has been bringing progressive American cuisine and comfort food to Oswego since 2011. Opening originally as a cozy storefront, the Bistro property has expanded to include a bar and lounge. The Bistro has become one of the main social hubs in the City of Oswego and is relied upon as a venue which supports the local live music scene.

The Bistro is located in the Canal Commons building, which has been designated by SHPO as a 1950's storefront. During the warm weather months, outside seating is an attraction. There are currently no awnings over the windows. This limits the comfort of outside seating, and the bright sun impacts the inside of the building on the warm sunny days Oswego has.

This project is for two 25' awnings to cover the Bistro 197 front. The awnings would match the existing awning over the Canal Commons building. The retractable awnings would extend 8'7" out and be motorized with remote controls. They would incorporate a hood to cover them when retracted and a wind sensor that would send out a warning if winds threatened to damage them.

In order to avoid installing the awnings during the windy winter months, the proposed timeline is to order the awnings during the winter and install them in the Spring of 2019, with a completion date of June 1, 2019.

Detailed budget broken out by expense

Two 25' awnings that extend 8'7", motorized with remote controls:	\$ 13,600
New York State sales tax at 8%	<u>1,088</u>
	<u>\$ 14,688</u>

III. APPLICANT CERTIFICATIONS

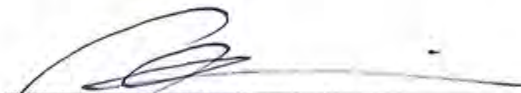
I certify I own the property located at 197-199 West 1st St, Oswego, NY, or that I am authorized by the building owner to file this submission with Oswego Downtown Revitalization Initiative – Downtown Improvement Fund (the “Program”) on the owner’s behalf, and am authorized to execute all necessary documents; that I am authorized to carry out the proposed activities and that I will comply with all applicable statutes, rules and regulations. I, the building owner or a party authorized by the building’s owner, am applying to the Downtown Improvement Fund for approval to participate in the Program.

I certify that all statements contained in this application are true, complete, and correct to the best of my belief and are made in good faith, and I agree to immediately inform the Downtown Improvement Fund of any changes. I understand that a false certification or failure to disclose material information shall be grounds for termination of any award.

A. I further certify that:

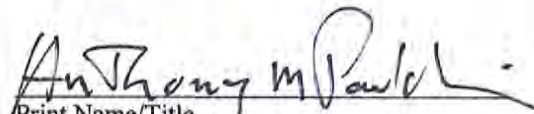
- a. The property described in this application:
 - does not have any outstanding taxes that are due and payable, and
 - either (1) does not have any building code violations, or (2) if applying for a building renovation grant, any and all building code violations will be remedied during the renovation process and will be discharged from record.
 - Does not have any delinquent commercial loans with the City of Oswego’s Community Development Office.
- b. The proposed project has been reviewed by the primary funder and has obtained or is in the process of obtaining approval of the funder.
- c. I understand the guidelines with respect to design, affordable housing, publicity, and other matters described in the application package.

B. I agree to permit a representative from the Downtown Improvement Fund to visually inspect the property described in this application to ensure that health or safety issues do not exist.



Signature of Building Owner

Date



Print Name/Title

Signature of Grant Applicant
(if other than building owner)

Date

Print Name/Title

III. APPLICANT CERTIFICATONS

I certify I own the property located at 197-199 WEST FIRST STREET, Oswego NY, or that I am authorized by the building owner to file this submission with Oswego Downtown Revitalization Initiative – Downtown Improvement Fund (the “Program”) on the owner’s behalf, and am authorized to execute all necessary documents; that I am authorized to carry out the proposed activities and that I will comply with all applicable statutes, rules and regulations. I, the building owner or a party authorized by the building’s owner, am applying to the Downtown Improvement Fund for approval to participate in the Program.

I certify that all statements contained in this application are true, complete, and correct to the best of my belief and are made in good faith, and I agree to immediately inform the Downtown Improvement Fund of any changes. I understand that a false certification or failure to disclose material information shall be grounds for termination of any award.

A. I further certify that:


- a. The property described in this application:
 - does not have any outstanding taxes that are due and payable, and
 - either (1) does not have any building code violations, or (2) if applying for a building renovation grant, any and all building code violations will be remedied during the renovation process and will be discharged from record.
 - Does not have any delinquent commercial loans with the City of Oswego’s Community Development Office.
- b. The proposed project has been reviewed by the primary funder and has obtained or is in the process of obtaining approval of the funder.
- c. I understand the guidelines with respect to design, affordable housing, publicity, and other matters described in the application package.

B. I agree to permit a representative from the Downtown Improvement Fund to visually inspect the property described in this application to ensure that health or safety issues do not exist.

Signature of Building Owner

Date

ANTHONY PAULONIE, OWNER
Print Name/Title


Signature of Grant Applicant
(if other than building owner)

7/16/2018
Date

DEAN CRAWFORD, PARTISIPANT
Print Name/Title

AUNT MARY'S ITALIAN CAFE, INC.
dba BISTRO 197

APPENDIX 1

APPLICATION RATING CRITERIA

The Downtown Improvement Fund will use the following criteria to evaluate each project before making its final award determinations:

1. Detailed Project Summary / Description: 30 Points

- Identifies and References with Oswego DRI Plan: 10 Points
- Targets Priority Mixed Use Building Renovation Activity(ies): 10 Points
- Sources & Uses of Budget: 10 Points

2. Location: 20 Points

- West 1st Street (W. Utica to Lake St.): 20 Points
- West Bridge St. (Water St. to w. 3rd St.): 15 Points
- East Bridge St. (East 1st to East 4th St.): 15 Points
- East 1st Street (East Cayuga St. to East Utica St.): 15 Points

3. Leveraging & Commitment: 20 Points

- Leveraging: 10 Points
- Financing Commitment: 10 Points

4. Job Creation: 10 Points

- Greater than 4 Full Time Equivalent positions created: 10 Points
- One to 4 Full Time Equivalent positions created: 5 Points

APPENDIX 2 SOURCES OF FUNDS

Including the Downtown Improvement Fund, list each source of funds for the proposed project; the amount requested from that source; whether each source is federal, state, local, private, or other; and the status of the funds. Use the codes listed below to provide funding source and status.

Assistance Types: Loan (L), Grant (G), Equity (E)
 Funding Types: Federal (F), State (S), Private (P), Local (L), Other (O)
 Status Codes: Committed (C), Pending Approval (PA)

Financing Sources				
Name of Funding Source	Amount	Assistance Type	Funding Type	Status
1. Bridge loan (Pathfinder Bank)	\$11,750.40	L	P	C
2. Bistro Awning cost	\$ 2,937.60	E	P	C
3.				
4.				
5.				
6.				
7. Total Financing (sum lines 1-6)	\$14,688.00			

APPENDIX 3

APPLICATION CHECKLIST

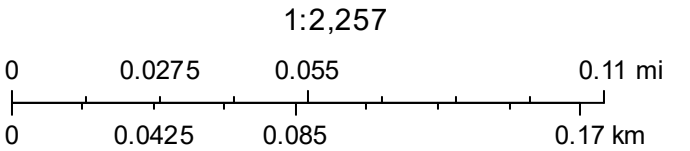
Before submitting your grant application, ensure that you have completed it in its entirety. Incomplete applications will be subject to elimination.

- X General Applicant Information (Part I, sections A, B, C, D)
- X Project Information: description of proposed scope of work (Part I, section E)
- X Photograph of building façade and sketch of proposed façade renovation (if applicable) (Part I, section F)
- X Financial Information: estimated budget for renovation (Part I, section G, Appendix 2)
- X Financial Information: sources of matching funds (Part I, section G; Appendix 2)
- X Detailed Scope of Work (Part II)
- X Applicant Certification (Part III)
- X Completed Application Checklist (Appendix 3)

197 West 1st St NYSDEC Endangered and Threatened Species Map



February 8, 2019



Sources: Esri, HERE, Garmin, Intermap, increment P Corp., GEBCO, USGS, FAO, NPS, NRCAN, GeoBase, IGN, Kadaster NL, Ordnance Survey, Esri Japan, METI, Esri China (Hong Kong), swisstopo, © OpenStreetMap contributors, and the GIS User Community

HOUSING TRUST FUND CORPORATION
Program Description Form

Office of Community Renewal (OCR) state funded programs including:
Adirondack Community Housing Trust (AHT), Buffalo Main Streets Initiative (BMSI), OCR Managed Downtown Revitalization Initiative (DRI), New York Main Street (NYMS), Rural Area Revitalization Projects (RARP), and Urban Initiatives (UI).

Local Program Administrator (LPA): City of Oswego

Funding Program: DRI

Program Municipality: Oswego

SHARS ID: 20170236

Program County: Oswego

Check all activities that apply to the Program or Project:

- | | |
|------------------------------------------------------------------|---------------------------------------------|
| <input checked="" type="checkbox"/> Façade/Storefront Renovation | <input type="checkbox"/> Streetscape |
| <input checked="" type="checkbox"/> Interior Building Renovation | <input type="checkbox"/> New Construction |
| <input type="checkbox"/> Commercial | <input type="checkbox"/> Site Work |
| <input checked="" type="checkbox"/> Residential | <input type="checkbox"/> Ground Disturbance |

For Site-Specific Review, outline the specific scope of work for the project(s). The formal scope of work should also be attached.

Façade renovation and conversion of 2nd floor into 2 high end apartments.

Description of Target Area:

Describe the target area or project site location and attach a map of the target area or project site.

198 West 1st Street Within Oswego Downtown Revitalization Initiative Boundary Area

Environmental Compliance Areas/ SEQR Classification Evaluation:

Specifically identify if any of the following activities will or may occur as part of this program: substantial improvement in a flood zone; projects in or adjacent to Agricultural Districts; work on a building determined by SHPO to have historic or cultural significance; ground disturbance; zoning changes, change in actual building use.

See attached Environmental Compliance Review form

Primary Contact for Environmental Review Issues:

Justin Rudgick, Economic Development Director, City of Oswego

Prepared by: _____

Title: _____

Date: _____

Phone Number: _____

Email Address: _____

HOUSING TRUST FUND CORPORATION
Environmental Compliance Checklist

Office of Community Renewal (OCR) state funded programs including:
Adirondack Community Housing Trust (AHT), Buffalo Main Streets Initiative (BMSI), OCR Managed Downtown Revitalization Initiative (DRI), New York Main Street (NYMS), Rural Area Revitalization Projects (RARP), and Urban Initiatives (UI).

Local Program Administrator (LPA): City of Oswego

Funding Program: DRI **Project Municipality:** Oswego

SHARS ID: 20170236 **Project County:** Oswego

Review Type: Site Specific **Project Address (if site specific):** 198 West 1st Street

Compliance Area	Attachments Included	Compliance Procedures
A. <u>Historic/Cultural Resources:</u> Section 14.09 of the Parks, Recreation and Historic Preservation Law.	<input checked="" type="checkbox"/>	SHPPO identified specific conditions as described in the attached determination. The conditions will be incorporated into the project scope of work.
B. <u>Flood Plains:</u> 6 NYCRR Part 502, Floodplain Management Criteria for State Projects.	<input checked="" type="checkbox"/>	The project is not within a SFHA. A copy of the FIRM map, with the Panel Number and Effective Date is included.
C. <u>Zoning:</u> C1. Projects must conform to local land use plans and receive all necessary zoning and site plan approvals and permits.	<input checked="" type="checkbox"/>	The project will not require zoning modifications, variances or a special use permit for issuance of a building permit. See attached documentation.
C2. Projects that result in a change in the building use must be identified.	<input type="checkbox"/>	The existing use of the building is commercial/residential; the proposed use is commercial/residential.
D. <u>Coastal Zones:</u> 19 NYCRR Part 600, Coastal Zone Management	<input checked="" type="checkbox"/>	The project is located within the coastal zone but is not a Type I or Unlisted action.
E. <u>Site Contamination- Hazardous Materials:</u> Projects funded under the Program must be free of hazardous materials which could affect the health and safety of the occupants or conflict with the intended utilization of the property.	<input checked="" type="checkbox"/>	An environmental professional has certified that the project site is free of hazardous materials, contamination, toxic chemicals and gases, and radioactive substances. Documentation is attached
F. <u>Lead Based Paint:</u> All activities impacting dwelling units or child occupied facilities must be free from the hazards posed by lead-based paint. <i>Refer to the Program Policy for Lead-Based Paint.</i>	<input checked="" type="checkbox"/>	The project involves interior renovations, including residential unit(s), with more than \$5,000 per Unit Rehab Cost. A lead-based paint risk assessment has been conducted by an EPA certified Risk Assessor and is attached. Identified lead-based paint hazards will be addressed and all work and disclosures will be conducted in accordance with the Program Policy for Lead-Based Paint."
G. <u>Asbestos Containing Materials</u> NYS Department of Labor at 12 NYCRR Part 56	<input checked="" type="checkbox"/>	Asbestos Containing Materials (ACM) that will be disturbed as part of program

		activities will be handled and disposed of according to NYS Department of Labor requirements at 12 NYCRR Part 56 and local regulations.” .
H. Radon EPA map of Radon Zones; EPA Radon Mitigation Standards	<input type="checkbox"/>	The target area is located in a zone with moderate or high potential for radon levels to exceed the U.S. EPA action level (4pCi/L or higher). New construction or rehabilitation of residential units and common areas will include post-renovation testing and if elevated levels are found, a radon mitigation system will be installed in accordance with EPA Radon Mitigation Standards
I. Wetlands: 6 NYCRR Part 663, Freshwater Wetlands Permit Requirements and, Section 404 of the Clean Water Act	<input type="checkbox"/>	The program does not involve new construction, ground disturbance or is entirely within an urban, built-up area.

J. Endangered Species: 6 NYCRR Part 182, Endangered and Threatened Species	<input checked="" type="checkbox"/>	The project is in a built up urban area or does not involve new construction, ground disturbance or tree cutting. The NYSDEC Environmental Resource Map is attached.
K. Agricultural Districts: Agriculture and Markets Law Article 25-AA, Sections 303 and 304, Agricultural Districts	<input type="checkbox"/>	The project is not located in an agricultural district, does not involve any activities with potential to convert farmland to nonagricultural use and does not require an Agricultural Data Statement.

Individual site specific checklists may require more detailed supporting documentation and review in circumstances such as:

- substantial improvement in a flood zone;
- projects in, or adjacent to, Agricultural Districts;
- work on a building determined by SHPO to have historic or cultural significance;
- ground disturbance;
- zoning changes;
- a change in actual building use (whether or not this change is locally regulated);
- if the work constitutes a SEQR Unlisted action.

Certification

I am authorized to execute contract materials for the program award to the Local Program Administrator (LPA) named above. I have read this Checklist and by signing this document agree with the statements made herein and agree that: (1) site specific checklists will be prepared and submitted to the OCR for each project site and additional documentation will be provided as necessary for the circumstances listed above; (2) project activities will be conducted in conformance with the described compliance procedures; (3) an environmental determination letter or approval from OCR will be received before taking any physical action on a site or incurring costs related to a specific activity; and, (4) costs incurred for activities completed prior to the SEQR determination and submission of the site-specific checklist will not be eligible for reimbursement.

Signature:

Printed Name: William Barlow, Jr.**Title:** Mayor**Date:** _____**Prepared by:** _____**Title:** _____**Phone:** _____**Email:** _____



Parks, Recreation, and Historic Preservation

ANDREW M. CUOMO
Governor

ROSE HARVEY
Commissioner

October 31, 2018

Mr. Tim Stahl
Deputy Director
City of Oswego
44 East Bridge Street
Oswego, NY 13126

Re: HTFMS
Oswego DRI-198 West First Street
198 West 1st Street, Oswego, NY 13126
18PR07025
DRI Project No. 20170236

Dear Mr. Stahl:

Thank you for requesting the comments of the Division for Historic Preservation of the Office of Parks, Recreation and Historic Preservation (OPRHP). We have reviewed the submitted materials in accordance with the New York State Historic Preservation Act of 1980 (section 14.09 of the New York Parks, Recreation and Historic Preservation Law). These comments are those of the Division for Historic Preservation and relate only to Historic/Cultural resources. They do not include potential environmental impacts to New York State Parkland that may be involved in or near your project. Such impacts must be considered as part of the environmental review of the project pursuant to the State Environmental Quality Review Act (New York Environmental Conservation Law Article 8) and its implementing regulations (6NYCRR Part 617).

We note that 198 West 1st Street is eligible for listing in the State and National Registers of Historic Places as a contributing building to a potential commercial historic district.

We have reviewed the project submission received on 10/26/2018. Based upon our review, the OPRHP has the following questions/concerns:

1. The proposed installation of faux brick panels on the building façade in place of the existing stucco is not an appropriate treatment. It is unclear what finish exists under the stucco, and whether the building ever had a brick exterior. Please provide any available documentation of the historic appearance and documentation of the existing material under the stucco.
2. Please provide information regarding the material and configuration of the proposed replacement windows for the second floor, including manufacturer's product information sheets and/or details if available.
3. Please provide clear color photographs of the existing second floor interior space, and floor plans of the proposed renovations so that we may assess the impacts to historic

Division for Historic Preservation

P.O. Box 189, Waterford, New York 12188-0189 • (518) 237-8643 • www.nysparks.com

materials and features. Photos can be combined into a single PDF or Word document and should be keyed to a floor plan indicating the direction and location of each image.

We would appreciate the requested information be provided via our Cultural Resource Information System (CRIS) at www.nysparks.com/shpo/online-tools/. Once on the CRIS site, you can log in as a guest and choose "submit" at the very top menu. Next choose "submit new information for an existing project." You will need this project number (18PR07025) and your e-mail address. If you have any questions, I can be reached at (518) 268-2217.

Sincerely,



Christina Vagvolgyi
Historic Preservation Technical Specialist
e-mail: christina.vagvolgyi@parks.ny.gov

via e-mail only

Art Jones Construction Inc.
2094 Co. Rt . 8 Oswego, NY 13126
315-436-8551
art@ajonesinc.com

Michelle King

198 W. 1st St.

RE: Historic evaluation

- 1) West 1st st. façade: We found the existing stucco finish to be applied over wood framing that is original to the building. We believe the stucco finish is al the original finish.
- 2) Windows: The existing wood sash windows with integral sills will be replaced with Marvin Wood series windows or equivalent sizes may be altered by architect for code compliance reasons.

Please contact me with any questions regarding this report.

Art Jones

art@ajonesinc.com

315-436-8551



Bygone Images



Harrison Wilde photo

Above is a view of downtown in Oswego along West First Street, looking south, in the early 1940s. If you have a bygone image to share, email photos@palltimes.com, or stop by our office, 140 W. First St., Oswego. Please supply as much caption information as possible.

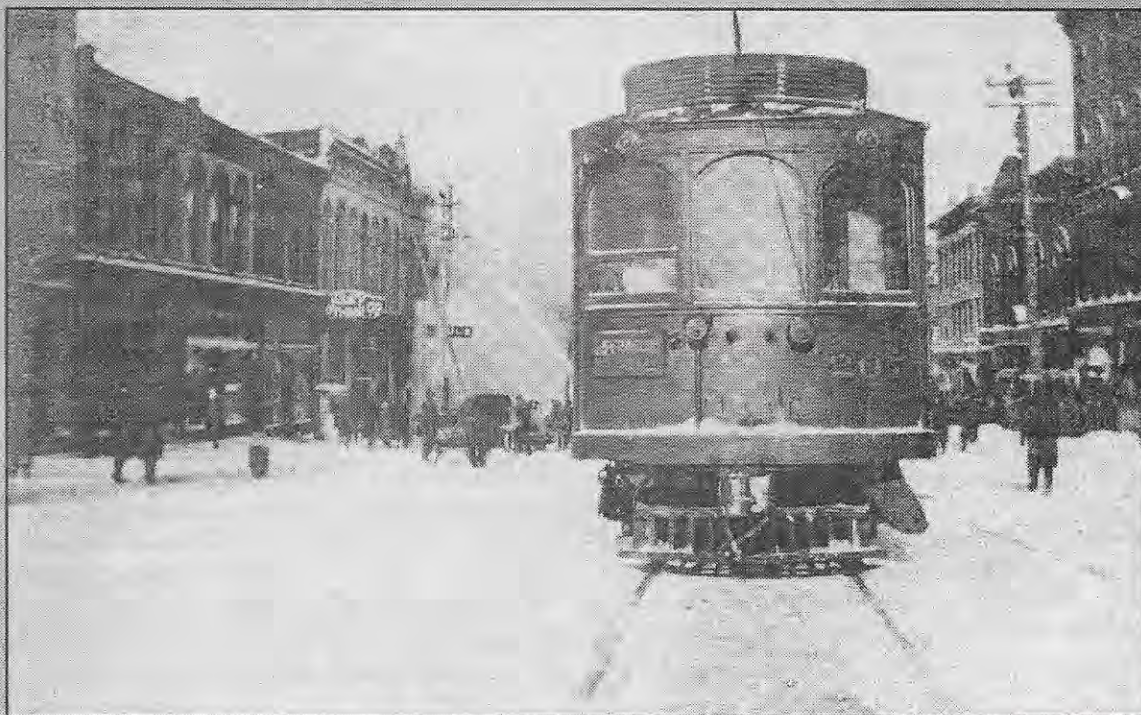
Bygone Images



Submitted by Raymond Flack

Another reminder of what Oswego could look like is another picture of the blizzard of 1966, looking south on West First and Bridge streets. Greens Department Store can be seen to the left. If you have a bygone image to share, e-mail photos@palltimes.com or stop by our office, 140 W. First St., Oswego. Please supply as much caption information as possible.

Bygone Images



Submitted photo

On Jan. 14, 1914, a fire destroyed Hennessey Drugstore, in Oswego, located in the Littlefield Building at 200 W. First St. (the business of Austen's Forest Flower Cologne). Damage was reported to have been \$40,000. Firefighters can be seen to the left of the engine above. If you have a bygone image to share, e-mail photos@palltimes.com, or stop by our office, 140 W. First St., Oswego. Please supply as much caption information as possible.

Bygone Images



Submitted by Fred Crisafulli

Above, performing in drill formation from the State Armory, north, on West First Street, Oswego, in the mid-1940s, are the Naval Militia officers and crew. Third from the left is the manager of the Hotel Pontiac. If you have a bygone image to share, email photos@palltimes.com, or stop by our office, 140 W. First St., Oswego. Please supply as much caption information as possible.

Bygone Images



Submitted photo

Above, is a view of West First Street, during the 1980s. Much of the area has received a major facelift since then. If you have a bygone image to share, e-mail photos@palltimes.com or stop by our office, 140 W. First St., Oswego. Please supply as much caption information as possible.





Parks, Recreation, and Historic Preservation

ANDREW M. CUOMO
Governor

ROSE HARVEY
Commissioner

November 27, 2018

Mr. Tim Stahl
Deputy Director
City of Oswego
44 East Bridge Street
Oswego, NY 13126

Re: HTFMS
Oswego DRI-198 West First Street
198 West 1st St, Oswego, NY 13126
18PR07025
DRI Project No. 20170236

Dear Mr. Stahl:

Thank you for continuing to consult with the Division for Historic Preservation of the Office of Parks, Recreation and Historic Preservation (OPRHP). We have reviewed the submitted materials in accordance with the New York State Historic Preservation Act of 1980 (section 14.09 of the New York Parks, Recreation and Historic Preservation Law). These comments are those of the Division for Historic Preservation and relate only to Historic/Cultural resources.

We have reviewed the project submission received on 11/26/2018, including the historic photos and letter from Art Jones. The letter states that the stucco was discovered to be the original finish, however, it does not indicate whether this will be retained. Given that it is original to the building, the stucco exterior should be retained and restored, and no brick veneer should be installed. Please provide clarification of the proposed scope for the exterior finish. Additionally, need to know about any changes the sizes of the windows. Marvin wood series sash are acceptable, but they should fit within the existing window openings. If there will be changes to the window openings, please provide us with that information. Please note that we are also still waiting for the requested information regarding the second floor, including photographs and floor plans.

We would appreciate the requested information be provided via our Cultural Resource Information System (CRIS) at www.nysparks.com/shpo/online-tools/. Once on the CRIS site, you can log in as a guest and choose "submit" at the very top menu. Next choose "submit new information for an existing project." You will need this project number (18PR07025) and your e-mail address. If you have any questions, I can be reached at (518) 268-2217.

Sincerely,

Christina Vagvolgyi
Historic Preservation Technical Specialist
e-mail: christina.vagvolgyi@parks.ny.gov

via e-mail only

Division for Historic Preservation

P.O. Box 189, Waterford, New York 12188-0189 • (518) 237-8643 • www.nysparks.com

National Flood Hazard Layer FIRMette



Legend

SEE FIS REPORT FOR DETAILED LEGEND AND INDEX MAP FOR FIRM PANEL LAYOUT

SPECIAL FLOOD HAZARD AREAS		Without Base Flood Elevation (BFE) Zone A, V, A99
		With BFE or Depth Zone AE, AO, AH, VE, AR
		Regulatory Floodway
OTHER AREAS OF FLOOD HAZARD		0.2% Annual Chance Flood Hazard, Areas of 1% annual chance flood with average depth less than one foot or with drainage areas of less than one square mile Zone X
		Future Conditions 1% Annual Chance Flood Hazard Zone X
		Area with Reduced Flood Risk due to Levee. See Notes. Zone X
		Area with Flood Risk due to Levee Zone D
OTHER AREAS		Area of Minimal Flood Hazard Zone X
		Effective LOMRs
GENERAL STRUCTURES		Area of Undetermined Flood Hazard Zone D
		Channel, Culvert, or Storm Sewer
		Levee, Dike, or Floodwall
OTHER FEATURES		Cross Sections with 1% Annual Chance Water Surface Elevation
		Coastal Transect
		Base Flood Elevation Line (BFE)
		Limit of Study
		Jurisdiction Boundary
		Coastal Transect Baseline
MAP PANELS		Digital Data Available
		No Digital Data Available
		Unmapped



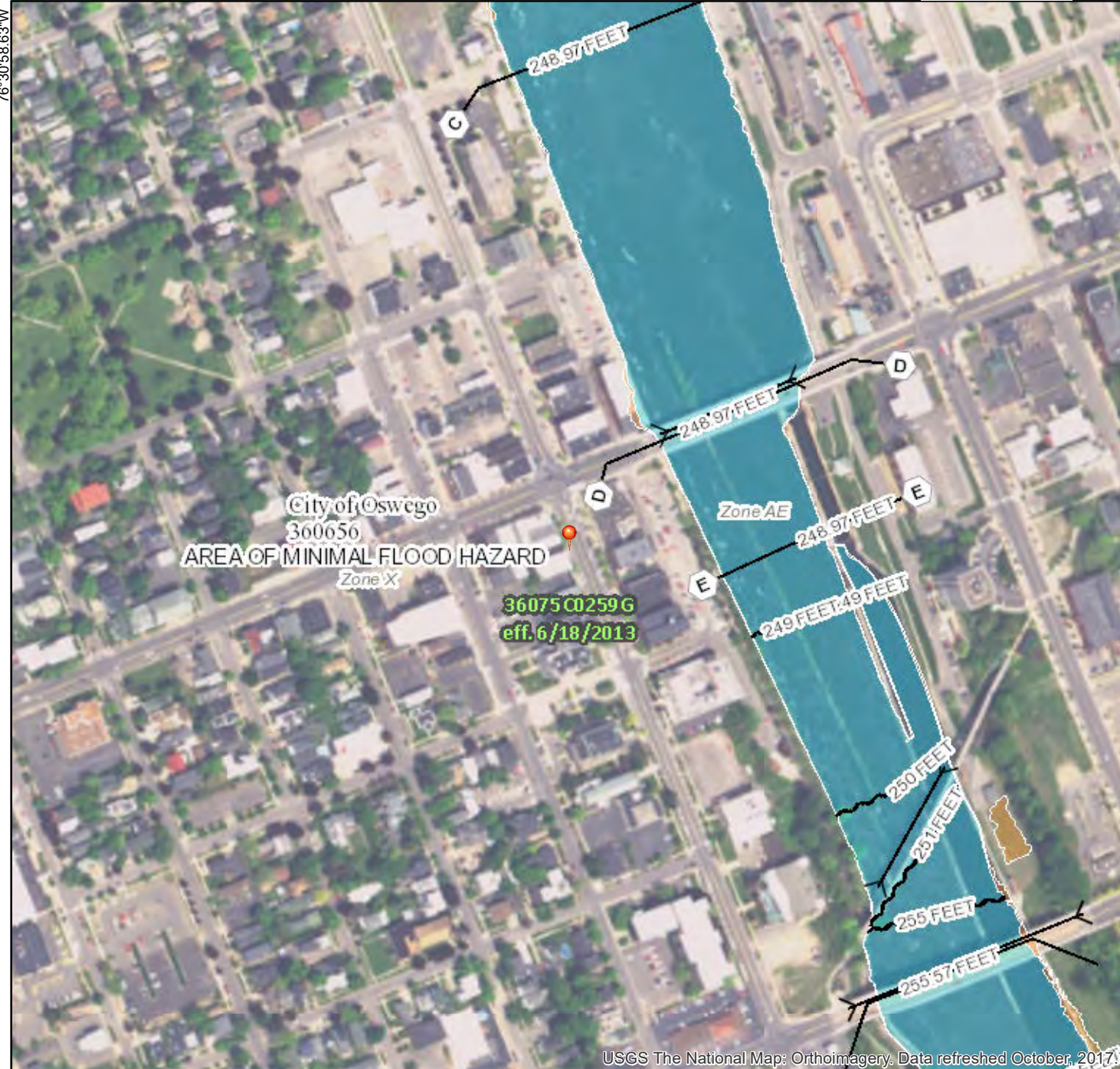
The pin displayed on the map is an approximate point selected by the user and does not represent an authoritative property location.

This map complies with FEMA's standards for the use of digital flood maps if it is not void as described below. The basemap shown complies with FEMA's basemap accuracy standards

The flood hazard information is derived directly from the authoritative NFHL web services provided by FEMA. This map was exported on **2/8/2019 at 11:41:47 AM** and does not reflect changes or amendments subsequent to this date and time. The NFHL and effective information may change or become superseded by new data over time.

This map image is void if the one or more of the following map elements do not appear: basemap imagery, flood zone labels, legend, scale bar, map creation date, community identifiers, FIRM panel number, and FIRM effective date. Map images for unmapped and unmodernized areas cannot be used for regulatory purposes.

43°27'35.30"N



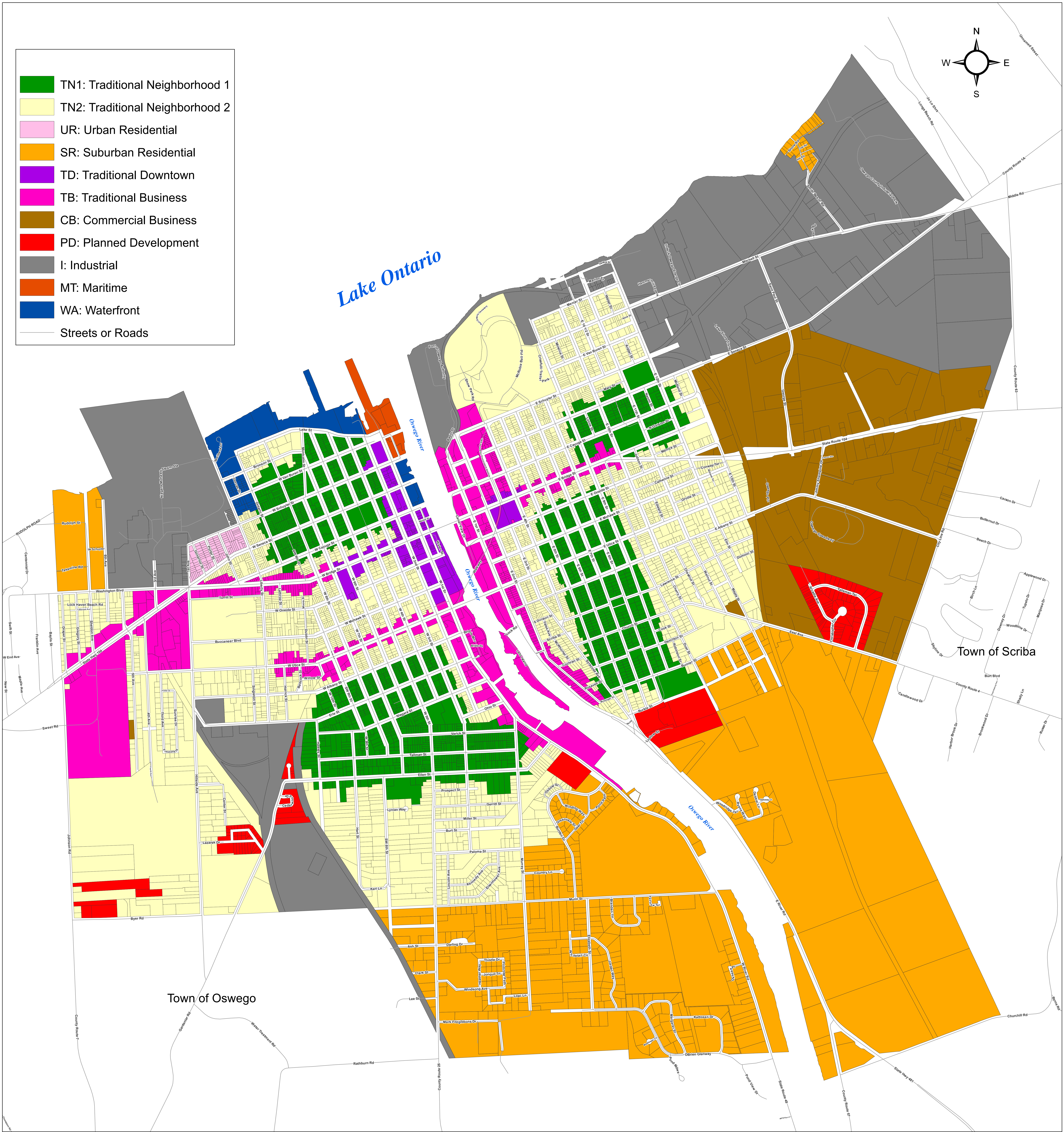
USGS The National Map: Orthoimagery. Data refreshed October, 2017

0 250 500 1,000 1,500 2,000 Feet 1:6,000

43°27'9.18"N

76°30'21.18"W

City of Oswego Zoning Map

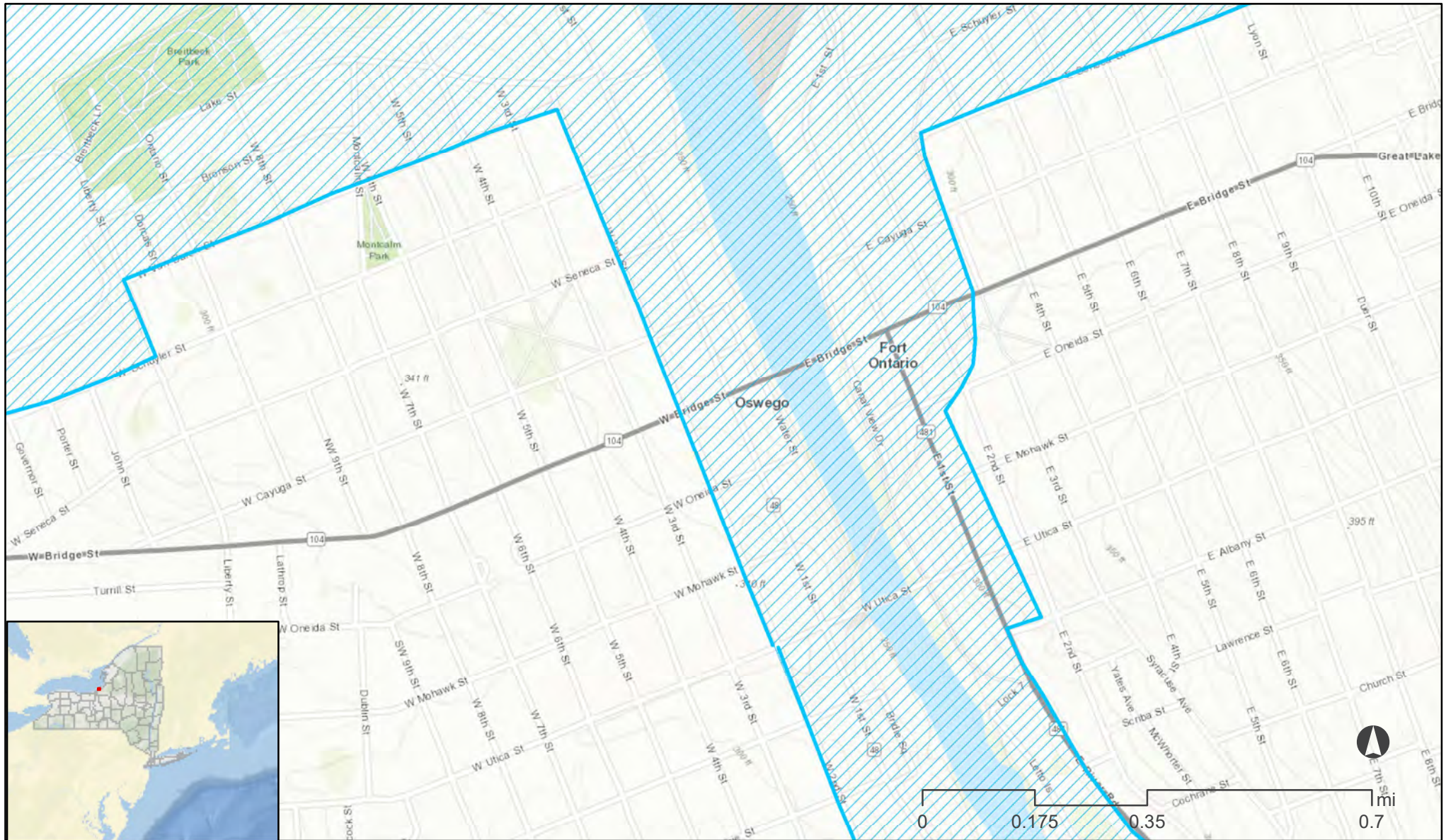


For:
City of Oswego
Zoning & Planning Office
13 West Oneida Street
Oswego, NY 13126
(315) 342-8153

0 650 1,300 2,600 3,900 5,200 Feet

By:
Oswego County Community Development, Tourism & Planning
46 East Bridge Street
Oswego, NY 13126
(315)349-8295
Karen B. Noyes, AICP
April 8, 2019

198 West 1st Street Coastal Boundary Map



— Coastal_Boundary_Polyline_update CoastalBoundary_Polygon_March2017



Department
of State

The New York Department of State (DOS) gives no warranty, expressed or implied, as to the accuracy, reliability, or completeness of data shown on this map product. DOS does not assume responsibility for the use or application of any information represented on this map nor responsibility for any error, omission or other discrepancy between the electronic and printed versions of documents.



April 12th, 2019

Housing Trust Fund Corporation
OCR Managed Downtown Revitalization Initiative Projects (DRI)

Re: 198 West 1st Street, Oswego, New York – Environmental Compliance Handbook

To whom it my concern:

As per the Environmental Compliance Handbook, Section E: Site Contamination (Hazardous Materials), established by the Housing Trust Fund Corporation, the project site at 198 West 1st Street in Oswego, New York was examined for the potential presence of hazardous materials. The three (3) online databases that were used in this desktop review were the Nationwide Environmental Title Research (NETR) Database, the New York State Department of Environmental Conservation (NYSDEC) Environmental Site Database, and the United States Environmental Protection Agency (USEPA) EnviroFacts Database.

The project site is free of hazardous materials, contamination, toxic chemicals and gases, and radioactive substances which could affect the health and safety of occupants or users or conflict with the intended utilization of the property. I, Ariadna Cheremeteff, certify that I am an environmental professional as per ASTM 1527.

Please email if you have any questions.

Sincerely,

Ariadna Cheremeteff
BERGMANN
Environmental Discipline Leader
acheremeteff@bergmannpc.com



May 16th, 2019

Mr. Tim Stahl, Deputy Director
The City of Oswego – Office of Economic Development
44 East Bridge Street
Oswego, New York 13126

Re: Lead Based Paint Sampling Results – 198 West 1st Street, Oswego, New York

Dear Mr. Stahl,

Bergmann was retained by the City of Oswego to conduct Lead Based Paint (LBP) sampling on select sites in the City of Oswego as part of the Downtown Revitalization Initiative (DRI). The results in this letter and the information gathered during Bergmann's sampling is being used to satisfy Section F of the Housing Trust Fund Corporation Environmental Compliance Checklist (ECC). Below is a summary of the materials sampled by Bergmann using a Thermo XL2 980 X-Ray Fluorescence (XRF) ray. Bergmann took three (3) samples from various DRI sites throughout the City of Oswego that were submitted to Paradigm Environmental Services for laboratory confirmation of the accuracy of the XRF ray. No major discrepancies between the field XRF results and laboratory analytical results were observed. In addition to the summary below, attached you will find a frontal façade photograph depicting the exact locations of the paints that were screened by Bergmann.

The following paints at 198 West 1st Street, Oswego, NY were screened by Bergmann using an XRF on March 29th, 2019:

- Blue painted paneling on store front (negative)
- White painted window trim (negative)
- Red painted paneling that is under blue paint (positive, 3.01 mg/cm² lead).

In addition, Bergmann personnel sampled interior paints. Three (3) paints were identified inside of the building at 198 West 1st Street that were sampled for laboratory analysis on March 21st, 2019. These samples were taken at this time for laboratory analysis identified these paints as potential LBPs during interior Asbestos Containing Materials (ACM) survey. These samples were analyzed by Paradigm Environmental Services in Rochester, New York. The following samples were taken from the interior of 198 West 1st Street:

- Paint on walls of back storage room (positive, 7.81% lead)
- Paint on interior of skylight (negative, 0.223% lead)
- Paint on interior door (negative, 0.204% lead)

In addition, the condition of the sampled paints were also examined. The conditions of the sampled paints are summarized below:

Paint Sample	Sample Condition (Good, Fair, Poor)
Blue painted paneling on store front	Good
White painted window trim	Good
Red painted paneling that is under the blue paint	Good
Paint on walls of back storage room	Good
Paint on interior of skylight	Fair
Paint on interior door	Poor



Photographs of the interior samples taken are included in Attachment 3 of this letter summary.

The Federal Department of Housing and Urban Development (HUD) has established guidelines for the identification of lead based paint. Paint containing a concentration equal to or greater than 0.5% by weight (5,000 parts per million or 5,000 ppm) is to be considered lead-based paint. Given these values established by the HUD, all paint identified above as "positive" is considered to be lead based paint and must be abated to satisfy Section F of the Environmental Compliance Checklist (ECC). As per the request of New York State Homes and Community Renewal (NYSHCR), Bergmann has attached a Worker Protection Plan that details specific lead hazard exposure control recommendations. This plan is included in Attachment 4 of this summary letter.

In addition, the laboratory analytical report and chain-of-custody form is included as an attachment to the letter.

Should any additional layers of paint be found during the abatement or renovation process, they are to be sampled via XRF or laboratory analyses to confirm or deny the presence of lead at levels exceeding the HUD action level of 0.5% by weight.

Please email if you have any questions.

Sincerely,
Bergmann



CASH R. BLEIER
Environmental Scientist
cbleier@bergmannpc.com

- Attachment 1: Exterior Sample Location Photo
- Attachment 2: Laboratory Results for Interior LBP Samples
- Attachment 3: Interior Photographs
- Attachment 4: Section 028214 – Protection of Worker – Lead-Based Paint



BERGMANN

ARCHITECTS ENGINEERS PLANNERS



NEGATIVE (Blue Paint)

NEGATIVE

POSITIVE (Red Paint under Blue Paint, 3.01 mg/cm²)



PARADIGM
ENVIRONMENTAL SERVICES, INC.

Analytical Report For
Bergmann Associates

For Lab Project ID

191137

Referencing

Oswego DRI

Prepared

Thursday, March 28, 2019

Any noncompliant QC parameters or other notes impacting data interpretation are flagged or documented on the final report or are noted below:

Reduced sample size used for Lead analysis due to limited sample volume. Kindly refer to Chain of Custody Supplement for the affected sample(s).

A handwritten signature in black ink, appearing to be "Jia", is written over a horizontal line.

Certifies that this report has been approved by the Technical Director or Designee

179 Lake Avenue • Rochester, NY 14608 • (585) 647-2530 • Fax (585) 647-3311 • ELAP ID# 10958

This report is part of a multipage document and should only be evaluated in its entirety. The Chain of Custody provides additional sample information, including compliance with the sample condition requirements upon receipt.

Report Prepared Thursday, March 28, 2019

Page 1 of 12



Lab Project ID: 191137

Client: **Bergmann Associates**

Project Reference: Oswego DRI

Sample Identifier: OB-LBP-001

Lab Sample ID: 191137-01

Date Sampled: 3/20/2019

Matrix: Paint

Date Received: 3/21/2019

Lead

<u>Analyte</u>	<u>Result</u>	<u>Units</u>	<u>Qualifier</u>	<u>Date Analyzed</u>
Lead	8.45	%		3/22/2019 18:22
Method Reference(s):	EPA 6010C			
	EPA 3050B			
Preparation Date:	3/22/2019			
Data File:	190322B			



Lab Project ID: 191137

Client: **Bergmann Associates**

Project Reference: Oswego DRI

Sample Identifier: OB-LBP-002

Lab Sample ID: 191137-02

Date Sampled: 3/20/2019

Matrix: Paint

Date Received: 3/21/2019

Lead

<u>Analyte</u>	<u>Result</u>	<u>Units</u>	<u>Qualifier</u>	<u>Date Analyzed</u>
Lead	0.503	%		3/22/2019 19:09
Method Reference(s):	EPA 6010C			
	EPA 3050B			
Preparation Date:	3/22/2019			
Data File:	190322B			



Lab Project ID: 191137

Client: **Bergmann Associates**

Project Reference: Oswego DRI

Sample Identifier: OB-LBP-003

Lab Sample ID: 191137-03

Date Sampled: 3/20/2019

Matrix: Paint

Date Received: 3/21/2019

Lead

<u>Analyte</u>	<u>Result</u>	<u>Units</u>	<u>Qualifier</u>	<u>Date Analyzed</u>
Lead	5.36	%		3/22/2019 18:31
Method Reference(s):	EPA 6010C			
	EPA 3050B			
Preparation Date:	3/22/2019			
Data File:	190322B			



Analytical Report Appendix

The reported results relate only to the samples as they have been received by the laboratory.

Each page of this document is part of a multipage report. This document may not be reproduced except in its entirety, without the prior consent of Paradigm Environmental Services, Inc.

All soil/sludge samples have been reported on a dry weight basis, unless qualified "reported as received". Other solids are reported as received.

Low level Volatiles blank reports for soil/solid matrix are based on a nominal 5 gram weight. Sample results and reporting limits are based on actual weight, which may be more or less than 5 grams.

The Chain of Custody provides additional information, including compliance with sample condition requirements upon receipt. Sample condition requirements are defined under the 2003 NELAC Standard, sections 5.5.8.3.1 and 5.5.8.3.2.

NYSDOH ELAP does not certify for all parameters. Paradigm Environmental Services or the indicated subcontracted laboratory does hold certification for all analytes where certification is offered by ELAP unless otherwise specified. Aliquots separated for certain tests, such as TCLP, are indicated on the Chain of Custody and final reports with an "A" suffix.

Data qualifiers are used, when necessary, to provide additional information about the data. This information may be communicated as a flag or as text at the bottom of the report. Please refer to the following list of analyte-specific, frequently used data flags and their meaning:

"<" = Analyzed for but not detected at or above the quantitation limit.

"E" = Result has been estimated, calibration limit exceeded.

"Z" = See case narrative.

"D" = Sample, Laboratory Control Sample, or Matrix Spike Duplicate results above Relative Percent Difference limit.

"M" = Matrix spike recoveries outside QC limits. Matrix bias indicated.

"B" = Method blank contained trace levels of analyte. Refer to included method blank report.

"J" = Result estimated between the quantitation limit and half the quantitation limit.

"L" = Laboratory Control Sample recovery outside accepted QC limits.

"P" = Concentration differs by more than 40% between the primary and secondary analytical columns.

"NC" = Not calculable. Applicable to RPD if sample or duplicate result is non-detect or estimated (see primary report for data flags). Applicable to MS if sample is greater or equal to ten times the spike added. Applicable to sample surrogates or MS if sample dilution is 10x or higher.

"" = Indicates any recoveries outside associated acceptance windows. Surrogate outliers in samples are presumed matrix effects. LCS demonstrates method compliance unless otherwise noted.*

"(1)" = Indicates data from primary column used for QC calculation.

"A" = denotes a parameter for which ELAP does not offer approval as part of their laboratory certification program.

"F" = denotes a parameter for which Paradigm does not carry certification, the results for which should therefore only be used where ELAP certification is not required, such as personal exposure assessment.

This report is part of a multipage document and should only be evaluated in its entirety. The Chain of Custody provides additional sample information, including compliance with the sample condition requirements upon receipt.

GENERAL TERMS AND CONDITIONS

LABORATORY SERVICES

These Terms and Conditions embody the whole agreement of the parties in the absence of a signed and executed contract between the Laboratory (LAB) and Client. They shall supersede all previous communications, representations, or agreements, either verbal or written, between the parties. The LAB specifically rejects all additional, inconsistent, or conflicting terms, whether printed or otherwise set forth in any purchase order or other communication from the Client to the LAB. The invalidity or unenforceability in whole or in part of any provision, term or condition hereof shall not affect in any way the validity or enforceability of the remainder of the Terms and Conditions. No waiver by LAB of any provision, term, or condition hereof or of any breach by or obligation of the Client hereunder shall constitute a waiver of such provision, term, or condition on any other occasion or a waiver of any other breach by or obligation of the Client. This agreement shall be administered and interpreted under the laws of the state which services are procured.

Warranty.

Recognizing that the nature of many samples is unknown and that some may contain potentially hazardous components, LAB warrants only that it will perform testing services, obtain findings, and prepare reports in accordance with generally accepted analytical laboratory principles and practices at the time of performance of services. LAB makes no other warranty, express or implied.

Scope and Compensation.

LAB agrees to perform the services described in the chain of custody to which these terms and conditions are attached. Unless the parties agree in writing to the contrary, the duties of LAB shall not be construed to exceed the services specifically described. LAB will use LAB default method for all tests unless specified otherwise on the Work Order.

Payment terms are net 30 days from the date of invoice. All overdue payments are subject to an interest charge of one and one-half percent (1-1/2%) per month or a portion thereof. Client shall also be responsible for costs of collection, including payment of reasonable attorney fees if such expense is incurred. The prices, unless stated, do not include any sale, use or other taxes. Such taxes will be added to invoice prices when required.

Prices.

Compensation for services performed will be based on the current Lab Analytical Fee Schedule or on quotations agreed to in writing by the parties. Turnaround time based charges are determined from the time of resolution of all work order questions. Testimony, court appearances or data compilation for legal action will be charged separately. Evaluation and reporting of initial screening runs may incur additional fees.

Limitations of Liability.

In the event of any error, omission, or other professional negligence, the sole and exclusive responsibility of LAB shall be to re-perform the deficient work at its own expense and LAB shall have no other liability whatsoever. All claims shall be deemed waived unless made in writing and received by LAB within ninety (90) days following completion of services.

LAB shall have no liability, obligation, or responsibility of any kind for losses, costs, expenses, or other damages (including but not limited to any special, direct, incidental or consequential damages) with respect to LAB's services or results.

All results provided by LAB are strictly for the use of its clients and LAB is in no way responsible for the use of such results by clients or third parties. All reports should be considered in their entirety, and LAB is not responsible for the separation, detachment, or other use of any portion of these reports. Client may not assign the lab report without the written consent of the LAB.

Client covenants and agrees, at its/his/her sole expense, to indemnify, protect, defend, and save harmless the LAB from and against any and all damages, losses, liabilities, obligations, penalties, claims, litigation, demands, defenses, judgments, suits, actions, proceedings, costs, disbursements and/or expenses (including, without limitation attorneys' and experts' fees and disbursements) of any kind whatsoever which may at any time be imposed upon, incurred by or asserted or awarded against client relating to, resulting from or arising out of (a) the breach of this agreement by this client, (b) the negligence of the client in handling, delivering or disclosing any hazardous substance, (c) the violation of the Client of any applicable law, (d) non-compliance by the Client with any environmental permit or (e) a material misrepresentation in disclosing the materials to be tested.

Hazard Disclosure.

Client represents and warrants that any sample delivered to LAB will be preceded or accompanied by complete written disclosure of the presence of any hazardous substances known or suspected by Client. Client further warrants that any sample containing any hazardous substance that is to be delivered to LAB will be packaged, labeled, transported, and delivered properly and in accordance with applicable laws.

Sample Handling.

Prior to LAB's acceptance of any sample (or after any revocation of acceptance), the entire risk of loss or of damage to such sample remains with Client. Samples are accepted when receipt is acknowledged on chain of custody documentation. In no event will LAB have any responsibility for the action or inaction of any carrier shipping or delivering any sample to or from LAB premises.

Client authorizes LAB to proceed with the analysis of samples as received by the laboratory, recognizing that any samples not in compliance with all current DOH-ELAP-NELAP requirements for containers, preservation or holding time will be noted as such on the final report.

Disposal of hazardous waste samples is the responsibility of the Client. If the Client does not wish such samples returned, LAB may add storage and disposal fees to the final invoice. Maximum storage time for samples is 30 days after completion of analysis unless modified by applicable state or federal laws. Client will be required to give the LAB written instructions concerning disposal of these samples.

LAB reserves the absolute right, exercisable at any time, to refuse to receive delivery of, refuse to accept, or revoke acceptance of any sample, which, in the sole judgment of LAB (a) is of unsuitable volume, (b) may be or become unsuitable for or may pose a risk in handling, transport, or processing for any health, safety, environmental or other reason whether or not due to the presence in the sample of any hazardous substance, and whether or not such presence has been disclosed to LAB by Client or (c) if the condition or sample date make the sample unsuitable for analysis.

Legal Responsibility.

LAB is solely responsible for performance of this contract, and no affiliated company, director, officer, employee, or agent shall have any legal responsibility hereunder, whether in contract or tort including negligence.

Assignment.

LAB may assign its performance obligations under this contract to other parties, as it deems necessary. LAB shall disclose to Client any assignee (subcontractor) by ELAP ID # on the submitted final report.

Force Majeure.

LAB shall have no responsibility or liability to the Client for any failure or delay in performance by LAB, which results in whole or in part from any cause or circumstance beyond the reasonable control of LAB. Such causes and circumstances shall include, but not limited to, acts of God, acts or orders of any government authority, strikes or other labor disputes, natural disasters, accidents, wars, civil disturbances, difficulties or delays in transportation, mail or delivery services, inability to obtain sufficient services or supplies from LAB's usual suppliers, or any other cause beyond LAB's reasonable control.

Law.

This contract shall be continued under the laws of the State of New York without regard to its conflicts of laws provision.

This report is part of a multipage document and should only be evaluated in its entirety. The Chain of Custody provides additional sample information, including compliance with the sample condition requirements upon receipt.



CHAIN OF CUSTODY

REPORT TO:		INVOICE TO:																			
CLIENT: Bergmann	CLIENT: Same	LAB PROJECT ID																			
ADDRESS: 280 E. Broad Street, Suite 200	ADDRESS:	191137																			
CITY: Rochester STATE: NY ZIP: 14604	CITY: STATE: ZIP:	Quotation #:																			
PHONE: 585-498-7950	PHONE:	Email:																			
ATTN: Cash Bleier	ATTN:	cbleier@bergmannpc.com																			
PROJECT REFERENCE Oswego DRI		Matrix Codes:																			
		AQ - Aqueous Liquid WA - Water DW - Drinking Water SO - Soil SD - Solid WP - Wipe OL - Oil NQ - Non-Aqueous Liquid WG - Groundwater WW - Wastewater SL - Sludge PT - Paint CK - Caulk AR - Air																			
REQUESTED ANALYSIS																					
DATE COLLECTED	TIME COLLECTED	COMPOSITE	GRAB	SAMPLE IDENTIFIER	MATRIX	CONTAINER OF	LEAD											REMARKS	PARADIGM LAB SAMPLE NUMBER		
3/20/2019	11:00am			OB-LBP-001	PT	1	X													01	
3/20/2019	11:00am			OB-LBP-002	PT	1	X													02	
3/20/2019	11:00am			OB-LBP-003	PT	1	X													03	
						per															
						visual															
						mv 3/21/19															

Turnaround Time		Report Supplements	
Availability contingent upon lab approval; additional fees may apply.			
Standard 5 day	<input checked="" type="checkbox"/>	None Required	<input checked="" type="checkbox"/>
10 day	<input type="checkbox"/>	Batch QC	<input type="checkbox"/>
Rush 3 day	<input type="checkbox"/>	Category A	<input type="checkbox"/>
Rush 2 day	<input type="checkbox"/>	Category B	<input type="checkbox"/>
Rush 1 day	<input type="checkbox"/>		
Other	<input type="checkbox"/>	Other	<input type="checkbox"/>
please indicate date needed: _____		please indicate package needed: _____	

C. Bleier / S. Francis

3/20/2019 & 3/21/2019

Sampled By

Date/Time

Relinquished By

Date/Time

Received By

Date/Time

Received @ Lab By

Date/Time

Total Cost:

P.I.F.

By signing this form, client agrees to Paradigm Terms and Conditions (reverse).

See additional page for sample conditions.



Chain of Custody Supplement

Client: BergmannCompleted by: Molly VailLab Project ID: 191137Date: 3/21/19

Sample Condition Requirements

Per NELAC/ELAP 210/241/242/243/244

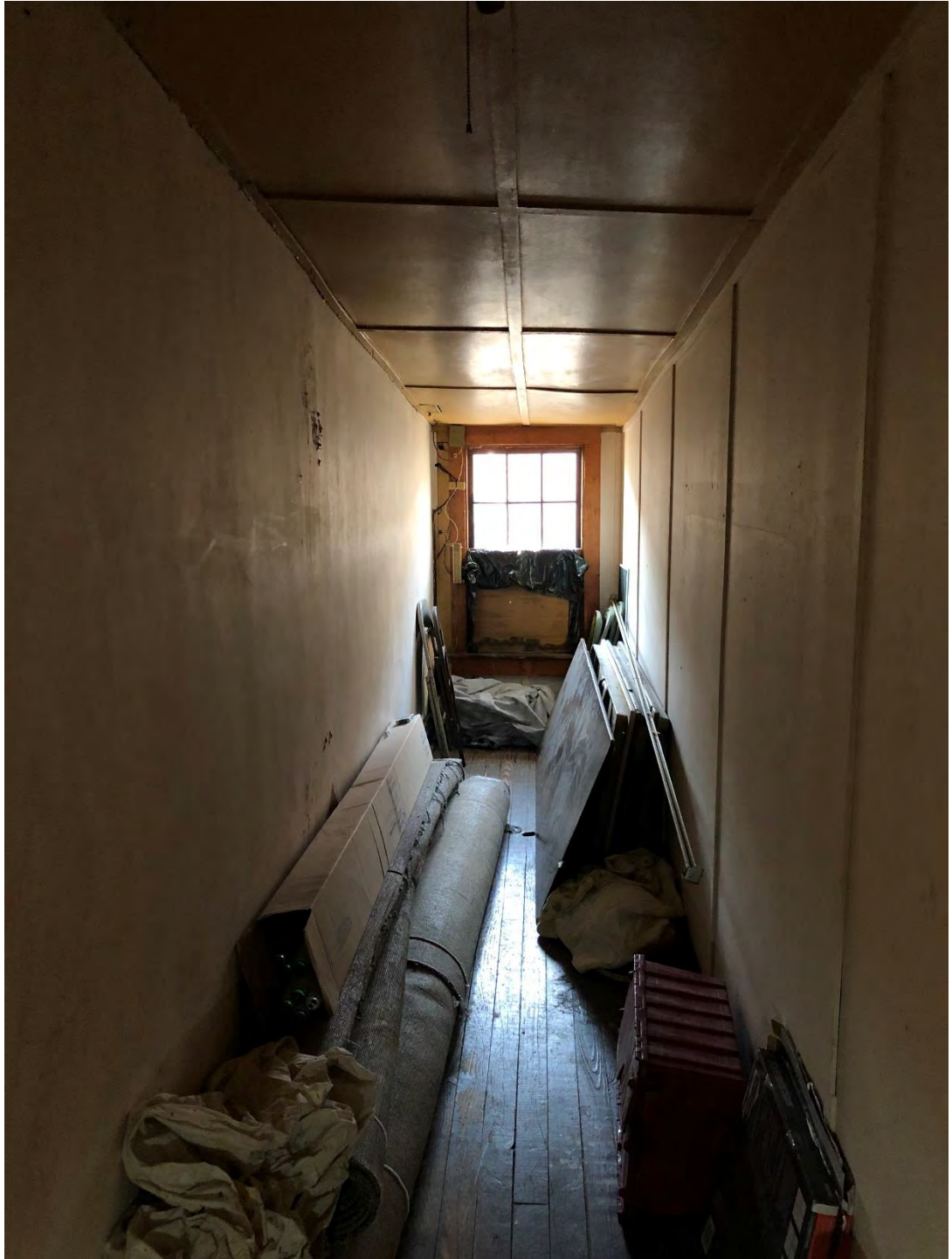
NELAC compliance with the sample condition requirements upon receipt			
Condition	Yes	No	N/A
Container Type	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Comments			
Transferred to method-compliant container	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Headspace (<1 mL)	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Comments			
Preservation	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Comments			
Chlorine Absent (<0.10 ppm per test strip)	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Comments			
Holding Time	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Comments			
Temperature	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Comments			
Sufficient Sample Quantity	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Comments	<u>Samples 02, 03, [REDACTED] were below lg for MPBK</u> <u>analysis CM 3/25/19</u>		



Blue paint sampled on interior door.



White paint sampled on skylight.



Paint sampled on the walls of the back storage room.

SECTION 028214 – PROTECTION OF WORKER – LEAD-BASED PAINT

PART 1 - GENERAL

1.1 SCOPE

Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

Contractors are alerted to the fact that the paint coating on surfaces in this project contains lead. Lead is a toxic metal capable of causing damage to the nervous system, kidneys, bones, heart and reproductive system.

Any surface coated with paint is considered to contain some percentage of lead, based on the age of the building. Any alteration and/or repair, including painting and decorating shall meet the requirements of OSHA CFR 29 1926.62 Construction Lead Standard.

1.2 SUBMITTALS

A. Contractors of each trade shall submit their written Lead Program prior to the start of work. The plan must identify potential sources of lead exposure and propose specific procedures to protect workers from those exposures.

1.3 DEFINITIONS

A. Action Level means employee exposure, without regard to the use of respirators, to an airborne concentration of lead of 30 micrograms per cubic meter of air (30 ug/m^3) calculated as an 8-hour time weighted average (TWA).

B. Exposure Assessment means a Contractor's requirement to determine if any Contractor's employees may be exposed to lead at or above the action level.

C. Lead means metallic lead, all inorganic lead compounds and organic lead soaps. Excluded from this definition are all other organic lead compounds.

D. Permissible Exposure Limit means employee exposure, without the use of respirators, to an airborne concentration of lead of 50 ug/m^3 averaged over an 8-hour period.

PART 2 - PRODUCTS

None Specified.

PART 3 - EXECUTION

3.1 PROTECTION OF WORKERS

A. All Contractors shall be responsible to conduct an exposure assessment and shall initially determine if any Contractor's employee may be exposed to lead at or above the action level. Until the Contractor performs a Contractor's employee exposure assessment, the Contractor shall provide to Contractor's employees interim protection as specified in 29 CFR 1926.62, as follows:



1. Appropriate respiratory protection
2. Appropriate personal protective clothing and equipment
3. Change areas
4. Hand Washing Facilities
5. Biological Monitoring to consist of blood sampling and analysis for lead and zinc protoporphyrin levels
6. Training

3.2 EXPOSURE ASSESSMENT

A. The Contractor shall collect personal samples representative of a full shift including at least one sample for each job classification in each work area either for each shift or for the shift with the highest exposure.

1. Below the Action Level - should the initial personal air monitoring results be less than 30 ug/m³ the Contractor shall make a written record of such determination. Further exposure determination need not be repeated except as follows:

a. Whenever there has been a change of equipment, process, control, personnel or a new task has been initiated that may result in additional employees being exposed to lead at or above the action level or may result in employees already exposed at or above the action level being exposed above the PEL, the employer shall conduct additional monitoring.

2. At or Above the Action Level but at or Below the PEL - the Contractor shall perform monitoring until at least two consecutive measurements taken at least 7 days apart, are below the action level at which time the Contractor may discontinue monitoring for that employee except as otherwise provided in paragraph 3.02.A.1.a.

3. Above the PEL - the Contractor shall perform monitoring until at least two consecutive measurements taken at least 7 days apart, are at or below the PEL but at or above the action level at which time the Contractor shall repeat monitoring for that Contractor's employee as specified in 3.02 A.2.

3.3 METHODS OF COMPLIANCE

A. To the extent feasible, Contractors must reduce worker lead exposure to the Permissible Exposure Limit (PEL) of 50 ug/m³ by a combination of engineering controls, work practice, and administrative controls.

B. Respiratory protection and other protective equipment must be provided and used to the extent that the engineering and work practice controls cannot reduce exposure to the PEL as specified within 29 CFR 1926.62.

3.4 HOUSEKEEPING (required whenever lead is disturbed)

A. All surfaces shall be maintained as free as practical of accumulations of lead.

B. Clean up of floors and other surfaces where lead accumulates shall wherever possible be cleaned by vacuuming or other methods that minimize the likelihood of lead becoming airborne.

C. Shoveling, dry or wet sweeping and brushing may be used only where vacuuming or other equally effective methods have been tried and found not to be effective.

D. Where vacuuming methods are selected, the vacuums shall be equipped with HEPA filters and used and emptied in a manner which minimizes the reentry of lead into the workplace.

E. Compressed air shall not be used to remove lead from any surface unless the compressed air is used in conjunction with a ventilation system designed to capture the airborne dust created by the compressed air.

3.5 HYGIENE FACILITIES AND PRACTICES (required above the PEL)

The Contractor shall assure that in areas where Contractor's employees are exposed to lead above the PEL without regard to the use of respirators, food or beverage is not present or consumed, tobacco products are not present or used, and cosmetics are not applied.

Change Areas (required above the PEL and during exposure assessment)

1. The Contractor shall provide clean change areas for employees whose airborne exposure to lead is above the PEL, and as interim protection for employees.
2. The Contractor shall assure that change areas are equipped with separate storage facilities for protective work clothing and equipment and for street clothes which prevent cross-contamination.
3. The Contractor shall assure that Contractor's employees do not leave the workplace wearing any protective clothing or equipment that is required to be worn during the work shift.

C. Showers (required above the PEL)

1. The Contractor shall provide shower facilities, where feasible, for use by Contractor's employees whose airborne exposure to lead is above the PEL.
2. The Contractor shall assure where shower facilities are available, that Contractor's employees shower at the end of the work shift and shall provide an adequate supply of cleansing agents and towels for use by affected Contractor's employees.

D. Eating Facilities (required above the PEL)

1. The Contractor shall provide lunchroom facilities or eating areas for Contractor's employees whose airborne exposure to lead is above the PEL, without regard to the use of respirators.
2. The Contractor shall assure that lunchroom facilities or eating areas are as free as practicable from lead contamination and are readily accessible to Contractor's employees.
3. The Contractor shall assure that Contractor's employees whose airborne exposure to lead is above the PEL, without regard to the use of a respirator, wash their hands and face prior to eating, drinking, smoking or applying cosmetics.
4. The Contractor shall assure that Contractor's employees do not enter lunchroom facilities or eating areas with protective work clothing or equipment unless surface lead dust has been removed by vacuuming, downdraft booth, or other cleaning method that limits dispersion of lead dust.

E. Handwashing Facilities (required whenever lead is disturbed)

1. The Contractor shall provide adequate handwashing facilities for use by Contractor's employees exposed to lead.

Where showers are not provided the Contractor shall assure that Contractor's employees wash their hands and face at the end of the work shift.

3.6 MEDICAL SURVEILLANCE (required whenever lead is disturbed)

- A. The Contractor is responsible for providing medical examinations and maintaining medical records of personnel as required by 29 CFR 1926.62 (j) Medical Surveillance.

3.7 TRAINING (required whenever lead is disturbed)

A. For all Contractor's employees who are subject to exposure to lead at or above the action level on any day or who are subject to exposure to lead compounds which may cause skin or eye irritation, the Contractor shall provide a training program in accordance with 29 CFR 1926.62 (1)(2).

3.8 SIGNS (required above the PEL)

A. The Contractor shall post the following warning signs in each work area where Contractor's employees exposure to lead is above the PEL.

WARNING
LEAD WORK AREA
POISON
NO SMOKING OR EATING

B. The Contractor shall assure that signs are illuminated and cleaned as necessary so that the legend is readily visible.

3.9 RECORDKEEPING (required whenever lead is disturbed)

A. The Contractor is responsible to establish and maintain an accurate record of all monitoring and other data used in conducting Contractor's employee exposure assessments and for each Contractor's employee subject to medical surveillance as required per 29 CFR 1926.62 (n).

3.10 OBSERVATION OF MONITORING (required whenever lead is disturbed)

A. The Contractor shall provide affected Contractor's employees or their designated representatives an opportunity to observe any monitoring of employee exposure to lead.

B. Whenever observation of the monitoring of employee exposure to lead requires entry into an area where the use of respirators, protective clothing or equipment is required, the Contractor shall provide the observer with and assure the use of such respirators, clothing and equipment.

C. Without interfering with the monitoring, observers shall be entitled to:

1. Receive an explanation of the measurement procedures;
2. Observe all steps related to the monitoring of lead performed at the place of exposure; and
3. Record the results obtained or receive copies of the results when returned by the laboratory.

END OF SECTION 028314



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ARCHITECTS ENGINEERS PLANNERS

Pre-Renovation Survey

City of Oswego – Downtown Revitalization Initiative

198 West 1st Street, Oswego, New York



Date: April 11, 2019

Project Number: 010875.00

Bergmann

Office:

280 East Broad Street, Suite 200
Rochester, New York 14604

Phone: 585.232.5135





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Appendices:

Appendix A: Asbestos License, Worker Certifications, and Laboratory Certifications

Appendix B: Sample Location Plan

Appendix C: Laboratory Analytical Reports and Chain-of-Custody Forms



1.0 INTRODUCTION

The City of Oswego retained Bergmann to conduct a Pre-Renovation Asbestos Containing Materials (ACM) Survey for the existing structure located at 198 West 1st Street, Oswego, New York.

This survey is inclusive of the second story hallway, conference room, kitchen, bathroom, and storage rooms. The purpose of this report is to satisfy Section G of the Environmental Compliance Checklist per the Housing Trust Fund Corporation as part of the Downtown Revitalization Initiative (DRI). Only materials on the second story were sampled as a part of this survey. Bergmann personnel was on site to sample materials on March 20, 2019.

The result of this pre-renovation survey, based on field observations and the samples collected and analyzed, did reveal the presence of asbestos. Due to the building being occupied, Bergmann personnel was unable to use destructive measures to sample all layers that had been covered by exterior walls, floors, ceilings, and other surfaces.

2.0 ASBESTOS SURVEY AND SAMPLING METHODOLOGY

Bergmann conducted a site visit to collect bulk samples and to estimate quantities of suspect asbestos containing materials on March 20, 2019. The site visit and bulk sampling were performed by Skylar Francis and Cash Bleier, both New York State Department of Labor (NYSDOL) certified Asbestos Inspectors. Samples were collected from accessible portions of each building interior space.

Bergmann personnel conducted the Asbestos Survey in accordance with applicable Federal and State regulations. These regulations include:

- National Emission Standards for Hazardous Air Pollutants (NESHAPS)
- New York State Department of Labor Industrial Code Rule 56 (ICR-56)
- New York State Department of Health Environmental Laboratory Approval Program (NYSDOH-ELAP)
- National Voluntary Laboratory Accreditation Program (NVLAP)
- Occupational and Health Administration (OSHA) Regulation 29 CFR 1926.1101, 29 CFR 1910.1001 and 29 CFR 1910.134.

A suspect material is considered to be an asbestos containing material (ACM) under the Occupational Safety and Health Administration (OSHA) regulations 29 CFR 1910.1001 and 29 CFR 1926.1101 as any material that contains more than one percent ($>1\%$) asbestos by weight. Although samples containing less than or equal to one percent ($\leq 1\%$) asbestos by weight or trace are not considered asbestos containing materials by definition, employees must be informed about the presence of materials containing $\leq 1\%$ or trace asbestos when it is known it is present. Trace asbestos containing sample results for suspect materials collected as part of this inspection, if identified are included in Table 1 - Positive Materials Sampled & Analyzed.

Samples were collected in a manner to minimize damage to the surrounding area, to minimize potential release of material and in a fashion to maintain the safety of the collection personnel, building occupants and any building visitors.

The Bergmann Asbestos license, laboratory certifications and certifications of the person(s) that conducted the bulk sampling are provided in Appendix A – Bergmann Asbestos License, Worker Certifications, and Laboratory Certifications. Sample location are depicted in the drawings provided in Appendix B – Sample Location Plans.



Procedures for bulk sampling and measurements included:

- Sample collection area was cleaned of any debris or non-ACM material and was wetted with amended water as needed.
- A minimal amount of material was collected and placed into discrete plastic sample bags.
- Sample containers were labeled with a site-specific code that reflected location and sample number.

Representative bulk samples of suspect materials were collected for laboratory analysis. The samples were transported via Chain-of-Custody protocol to Paradigm Environmental Services, a NYSDOH-ELAP and NVLAP certified asbestos testing laboratory. Copies of the Chain-of-Custody forms are provided in Appendix C – Asbestos Laboratory Analytical Reports and Chain-of-Custody Forms.

3.0 ASBESTOS LABORATORY ANALYSIS METHODOLOGY

Samples, including both friable and non-friable, were initially analyzed for the presence of asbestos via Polarized Light Microscopy (PLM). For friable material and material determined by gravimetric analysis to be greater than 1% asbestos, no further analysis was required. PLM analysis is typically sufficient on samples of friable insulation, pipe wrap, spray-on fire proofing, drywall and plaster.

Some samples were determined to be non-friable organically bound (NOB) material. The results of representative samples of NOB material that were determined to be non-ACM via initial PLM analysis were confirmed via Transmission Electron Microscopy (TEM). TEM analysis was performed in accordance with ELAP regulations to confirm the presence or absence of asbestos from NOB material. TEM confirmation is typical of materials such as electrical wiring, vinyl floor tile, adhesive mastics and roofing materials including flashing, caulk, roofing tar, and asphalt shingles.

Vermiculite materials used for thermal systems insulation (TSI), surfacing materials, and other miscellaneous ACM (e.g. surfacing materials, plaster, pipe lagging and sprayed-on fireproofing) may be presumed asbestos containing material (PACM) or may follow the latest Pennsylvania acceptable testing method. If vermiculite materials are used as a fill, block fill, or other loose bulk vermiculite materials, it must be designated and treated as ACM.

All laboratory analysis was performed in accordance with NYSDOH-ELAP and NVLAP regulations. A copy of the laboratory analysis on the bulk samples is provided in Appendix C – Asbestos Laboratory Analytical Reports and Chain-of-Custody Forms.



4.0 MATERIALS SAMPLED AND ANALYZED

Asbestos Containing Materials

Bergmann identified ACM during the March 2019 inspection, sampling and analysis activities. The following table summarizes the materials sampled and the findings from the assessment:

198 West 1st Street, Oswego, New York
Asbestos Containing Materials Sampling Results

Sample ID	Material Sampled	Asbestos?	Condition	Friable	Estimated Quantity *
K-OFT-001 A,B	Green Floor Tile	10% Chrysotile	Good	No	~144 SF
K-GFTM-002 A,B	Green Floor Tile Mastic	No	N/A	N/A	N/A
K-FFT-003 A,B	Floor Tile Tape	No	N/A	N/A	N/A
K-BFT-004 A	Beige Floor Tile	No	N/A	N/A	N/A
K-BFT-005 B	Beige Floor Tile Mastic	<1.0% Residue Remaining	Good	No	~150 SF
K-CT-005 A,B,C	Hallway Ceiling Tile	<1.0% Residue Remaining	Good	Yes	~200 SF
K-BFT-006 A,B	Brown Floor Tile	No	N/A	N/A	N/A
K-FL-007 A,B	Dry Wall Top Coat (White)	No	N/A	N/A	N/A
KODW-008 A,B	White Drywall	No	N/A	N/A	N/A
K-BFM-009 A,B	Blue Floor Mastic	No	N/A	N/A	N/A

*All quantities are subject to abatement contractor's verification

Sample locations for the materials presented in the tables above are depicted in the drawings provided in Appendix B – Sample Location Plans. There is a potential for additional ACM to be present in other areas such as unground vapor barriers, wall chases, and other layers that Bergmann did not have access to due to the building being occupied during the time of the sampling event.



5.0 LIMITATIONS

Bergmann inspected and sampled materials, which were observable and accessible to the survey inspection team. Any suspect asbestos-containing materials that have not been tested and/or found positive for asbestos, if any, must be assumed ACM until they may be sampled and tested.

This asbestos inspection investigated the presence of accessible suspect ACMs or those that could be exposed with limited hand tool and destructive methods. Bergmann did not perform destructive testing within interior cavities and spaces that may exist in inaccessible spaces or hidden by alteration or renovation, or mechanical or electrical devices. Additional suspect materials may remain hidden within columns, chases, and hidden wall cavities or located beneath flooring, flooring or pavement. Should suspect material be uncovered during renovation, the material should be sampled and analyzed to confirm or deny the presence of asbestos.

This ACM survey report presents our findings and is not to be used as a bid document, work plan, or in place of an asbestos abatement design for conducting asbestos abatement. This ACM survey was limited to the scope as part of the proposed site plan and onsite limitations as of March 20th, 2019 site visit. Changes to this plan or scope of work may require additional sampling and analysis of materials.

6.0 ASBESTOS CONTAINING MATERIALS REPORT NOTIFICATION

In accordance with New York State Asbestos Regulations under 12 NYCRR Part 56 (Industrial Code Rule 56) Subpart 56-5.1(g), one copy of the results of the building/structure asbestos survey shall be **immediately** transmitted by the building/structure owner as follows:

- The completed asbestos survey for controlled demolition (as per Subpart 56-11.5) or pre-demolition asbestos projects shall be submitted to the appropriate **Asbestos Control Bureau** District office. The bureau office for this project is the Syracuse District office: 450 South Salina Street, Syracuse, NY 13202, phone: (315) 479-3215 fax: (315) 479-3333.
- One copy of the completed ACM survey shall be sent by the owner or their agent to the local government entity charged with issuing a permit for demolition, renovation, remodeling or repair work under applicable State or local laws.
- The completed asbestos survey shall be kept at the construction site throughout the duration of the demolition, renovation, remodeling or repair work.



APPENDIX A:

Worker Certifications & Laboratory Certifications

New York State – Department of Labor

Division of Safety and Health
License and Certificate Unit
State Campus, Building 12
Albany, NY 12240

ASBESTOS HANDLING LICENSE

Bergmann Associates, Architects, Engineers,
Landscape Architects & Surveyors, D.P.C.
Suite 200
280 East Broad Street
Rochester, NY 14604

FILE NUMBER: 03-0147
LICENSE NUMBER: 29822
LICENSE CLASS: RESTRICTED
DATE OF ISSUE: 04/25/2018
EXPIRATION DATE: 04/30/2019

Duly Authorized Representative – Jim Marschner:

This license has been issued in accordance with applicable provisions of Article 30 of the Labor Law of New York State and of the New York State Codes, Rules and Regulations (12 NYCRR Part 56). It is subject to suspension or revocation for a (1) serious violation of state, federal or local laws with regard to the conduct of an asbestos project, or (2) demonstrated lack of responsibility in the conduct of any job involving asbestos or asbestos material.

This license is valid only for the contractor named above and this license or a photocopy must be prominently displayed at the asbestos project worksite. This license verifies that all persons employed by the licensee on an asbestos project in New York State have been issued an Asbestos Certificate, appropriate for the type of work they perform, by the New York State Department of Labor.



Eileen M. Franko, Director
For the Commissioner of Labor

STATE OF NEW YORK - DEPARTMENT OF LABOR
ASBESTOS CERTIFICATE



CASH R BLEIER
CLASS(EXPIRES)
D INSP(02/20)

CERT# 17-36495
DMV# 812999078

MUST BE CARRIED ON ASBESTOS PROJECTS

REPRODUCED BY THE NEW YORK STATE DEPARTMENT OF LABOR

STATE OF NEW YORK - DEPARTMENT OF LABOR
ASBESTOS CERTIFICATE



SKYLAR J FRANUS
CLASS(EXPIRES)
D INSP(01/20)

CERT# 18-44630
DMV# 597279951

MUST BE CARRIED ON ASBESTOS PROJECTS



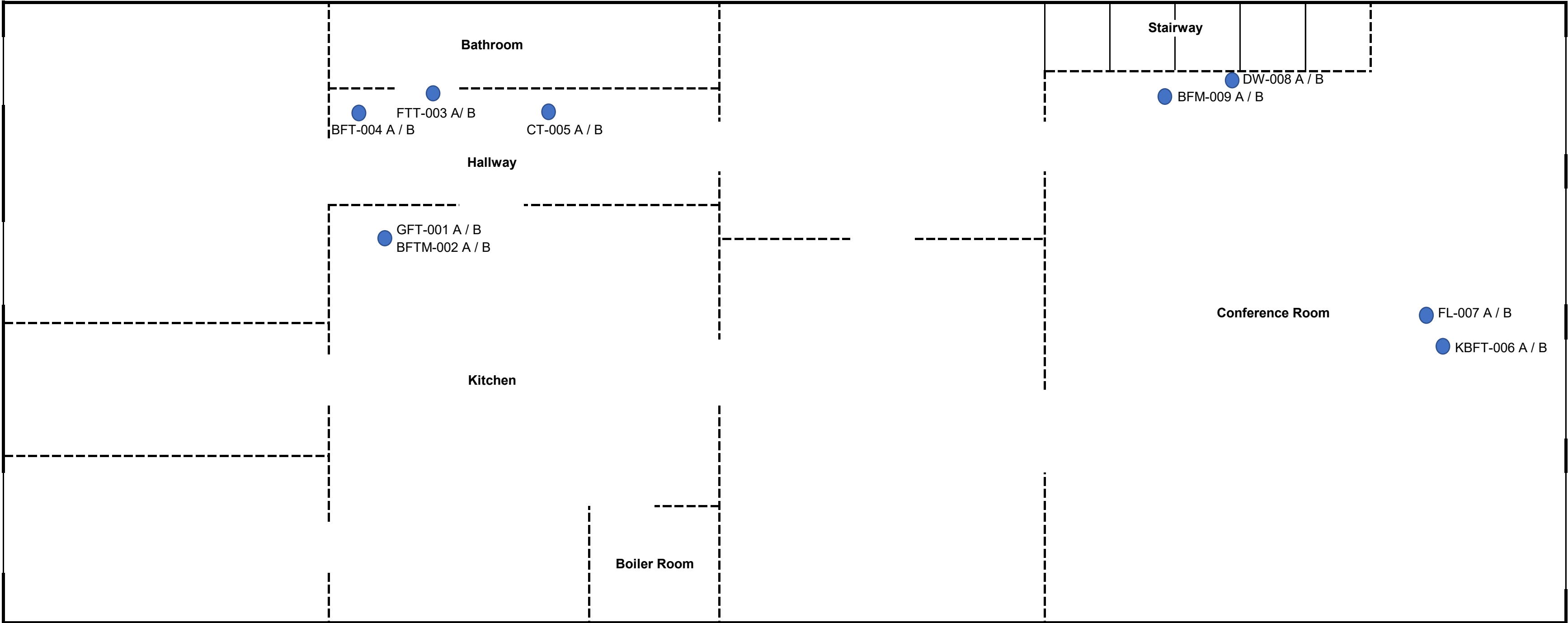


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APPENDIX B:

Sample Location Plans

198 West 1st Street, Oswego, New York - Asbestos Containing Materials Sample Locations



*Drawing is not to scale and is used to show approximate location of samples taken.





APPENDIX C:

Laboratory Analytical Reports and Chain-of-Custody Forms



PLM & TEM BULK ASBESTOS ANALYSIS REPORT
via NYSDOH ELAP Method 198.1, 198.4 and 198.6

Client: Bergmann
Location: Oswego, New York
(King)

Job No: 2156-19
Page: 1 of 3

Sample Date: 3/20/2019

Client ID	Lab ID	Sampling Location	Description	PLM Asbestos Fibers Type & Percentage	PLM Total Asbestos	N O B	TEM Asbestos Fibers Type & Percentage	TEM Total Asbestos	PLM Non-Asbestos Fibers Type & Percentage	Non- Fibrous Matrix Material %
K-OFT-001A	18326	Floor Tile	Green Fibrous Floor Tile	Chrysotile 10%	10%	✓	Not Required	N/A	None Detected	90%
K-OFT-001B	18327	Floor Tile	Green Floor Tile	STOP	POSITIVE	X	SAMPLE	NOT	ANALYZED	N/A
K-GFTM-002A	18328	Floor Tile Mastic	Yellow Mastic	Inconclusive No Asbestos Detected	0%	✓	None Detected	<1.0%	None Detected	100%
K-GFTM-002B	18329	Floor Tile Mastic	Yellow Mastic	Inconclusive No Asbestos Detected	0%	✓	Trace Chrysotile <1.0%	<1.0%	None Detected	100%
K-FTT-003A	18330	Floor Tile Tape	Beige Fibrous Floor Tile Tape	None Detected	0%		Not Required	N/A	Cellulose 75% Fiberglass 25%	0%
K-FTT-003B	18331	Floor Tile Tape	Beige Fibrous Floor Tile Tape	None Detected	0%		Not Required	N/A	Cellulose 80% Fiberglass 20%	0%
K-BFT-004A	18332	Floor Tile	Beige Floor Tile	Inconclusive No Asbestos Detected	0%	✓	None Detected	<1.0%	None Detected	100%
K-BFT-004B	18333	Floor Tile Mastic	Beige Floor Tile Mastic	<1.0% Residue Remaining. PLM and TEM Not Required.	N/A	X	N/A	N/A	N/A	N/A
K-CT-005A	18334	Ceiling Tile	Beige Ceiling Tile	<1.0% Residue Remaining. PLM and TEM Not Required.	N/A	X	N/A	N/A	N/A	N/A
K-CT-005B	18335	Ceiling Tile	Beige Ceiling Tile	<1.0% Residue Remaining. PLM and TEM Not Required.	N/A	X	N/A	N/A	N/A	N/A

KEY TO NOB COLUMN SYMBOLS

No Symbol in the NOB column denotes sample analyzed by ELAP Method 198.1 (PLM).

✓ NOB (non-friable organically bound) denotes material analyzed by ELAP Method 198.6 (PLM) and 198.4 (TEM) as noted.

✓ denotes material analyzed by ELAP Method 198.6 (PLM) per NYSDOH. This Method does not remove vermiculite and may underestimate the level of asbestos present in a sample containing greater than 10% vermiculite.

denotes friable material analyzed by ELAP Method 198.6 (PLM) and 198.4 (TEM) as noted.

X denotes sample prepped only by ELAP Method 198.6.

** Polarized-light microscopy is not consistently reliable in detecting asbestos in floor coverings and similar non-friable organically bound materials.

Quantitative transmission electron microscopy is currently the only method that can be used to determine if this material can be considered or treated as non-asbestos containing.

PLM Bulk Asbestos Analysis by New York State Department of Health, ELAP Method 198.1, 198.4 and 198.6 ("Polarized Light Microscopy and Transmission Electron Microscopy Methods for Identifying and Quantitating Asbestos in Bulk Samples and in Non-Friable Organically Bound Bulk Samples.") or EPA 600/M4-82-020 per 40 CFR 763 (NVLAP Lab Code 200530-0),



Lab Code 200530-0 for PLM Analysis

PLM Date Analyzed: 3/26/2019

Microscope: Olympus BH-2 #232953

Analyst: T. Bush

TEM Date Analyzed: 3/28/2019

TEM Analyst: A. Voldbakken

ELAP ID No.: 10958

Laboratory Results Approved By:
Asbestos Operations Manager or Designee

Mary Dohr
Mary Dohr

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PLM & TEM BULK ASBESTOS ANALYSIS REPORT
via NYSDOH ELAP Method 198.1, 198.4 and 198.6

Client: Bergmann
Location: Oswego, New York
(King)

Job No: 2156-19
Page: 2 of 3

Sample Date: 3/20/2019

Client ID	Lab ID	Sampling Location	Description	PLM Asbestos Fibers Type & Percentage	PLM Total Asbestos	N O B	TEM Asbestos Fibers Type & Percentage	TEM Total Asbestos	PLM Non-Asbestos Fibers Type & Percentage	Non- Fibrous Matrix Material %
K-CT-005C	18336	Ceiling Tile	Beige Ceiling Tile	<1.0% Residue Remaining. PLM and TEM Not Required.	N/A	X	N/A	N/A	N/A	N/A
K-BFT-006A	18337	Floor Tile (Peel & Stick)	Brown Floor Tile	Inconclusive No Asbestos Detected	0%	✓	None Detected	<1.0%	None Detected	100%
K-BFT-006B	18338	Floor Tile (Peel & Stick)	Brown Floor Tile	Inconclusive No Asbestos Detected	0%	✓	None Detected	<1.0%	None Detected	100%
K-FL-007A	18339	Floor Leveler in Meeting Room	White Floor Leveler	None Detected	0%		Not Required	N/A	None Detected	100%
K-FL-007B	18340	Floor Leveler in Meeting Room	White Floor Leveler	None Detected	0%		Not Required	N/A	None Detected	100%
K-DW-008A	18341	Dry Wall	White Drywall	None Detected	0%		Not Required	N/A	None Detected	100%
K-DW-008B	18342	Dry Wall	White Drywall	None Detected	0%		Not Required	N/A	None Detected	100%
K-BFM-009A	18343	Floor Mastic	Blue Mastic	Inconclusive No Asbestos Detected	0%	✓	None Detected	<1.0%	None Detected	100%
K-BFM-009B	18344	Floor Mastic	Blue Mastic	Inconclusive No Asbestos Detected	0%	✓	None Detected	<1.0%	None Detected	100%

KEY TO NOB COLUMN SYMBOLS

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✓ NOB (non-friable organically bound) denotes material analyzed by ELAP Method 198.6 (PLM) and 198.4 (TEM) as noted.

⌘ denotes material analyzed by ELAP Method 198.6 (PLM) per NYSDOH. This Method does not remove vermiculite and may underestimate the level of asbestos present in a sample containing greater than 10% vermiculite.

denotes friable material analyzed by ELAP Method 198.6 (PLM) and 198.4 (TEM) as noted.

X denotes sample prepped only by ELAP Method 198.6.

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Quantitative transmission electron microscopy is currently the only method that can be used to determine if this material can be considered or treated as non-asbestos containing.

PLM Bulk Asbestos Analysis by New York State Department of Health, ELAP Method 198.1, 198.4 and 198.6 ("Polarized Light Microscopy and Transmission Electron Microscopy Methods for Identifying and Quantitating Asbestos in Bulk Samples and in Non-Friable Organically Bound Bulk Samples.") per EPA 600/M4-82-020 per 40 CFR 763 (NVLAP Lab Code 200530-0).



Lab Code 200530-0 for PLM Analysis

PLM Date Analyzed: 3/26/2019

Microscope: Olympus BH-2 #232953

Analyst: T. Bush

TEM Date Analyzed: 3/28/2019

TEM Analyst: A. Voldbakken

ELAP ID No.: 10958

Laboratory Results Approved By:
Asbestos Operations Manager or Designee

Mary Dohr
Mary Dohr

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CHAIN OF CUSTODY FOR BULK ASBESTOS ANALYSIS

☒ 179 Lake Avenue, Rochester, New York 14608
☐ 1815 Love Road, Grand Island, New York 14072

Office: 585-647-2530
Office: 716-775-5777

Client: Bergmann		Contact: Cash Bleier	
Phone Number: 585-498-7950		Email Address for Data: cbleier@bergmannpc.com	
Client Mailing Address: Bergmann	Results To: C. Bleier	Turn Around Time: 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 5 <input checked="" type="checkbox"/> X Other <input type="checkbox"/>	
Date Sampled: 3/20/2019	Date Logged In: 3-21-19	Logged In By: 19	
Project Location: Rochester, NY 14604		Project Location: Oswego, New York (King)	

****STOP ON POSITIVE****

Client ID	Lab ID	Sampling Location	Color	Material Size	Type of Material
1 K-OFT-001 A,B	1326 327	Green Floor Tile	Green		Tile
2 K-GFTM-002 A,B	328 329	Green Floor Tile Mastic	Yellow		Mastic
3 K-FTT-003 A,B	330 331	Floor Tile Tape	Beige		Tape
4 K-BFT-004 A,B	332 333	Beige Floor Tile / Mastic	Beige		Tile / Mastic
5 K-CT-005 A,B,C	334 335 336	Beige Ceiling Tile	Beige		Ceiling Tile
6 K-BFT-006 A,B	337 338	Brown floor tile (peel and stick)	Brown		Floor Tile
7 K-FL-007 A,B	339 340	Floor leveler in meeting room	White		floor leveler
8 K-DW-008 A,B	341 342	Dry Wall	White		Dry Wall
9 K-BFM-009 A,B	343 344	Blue Floor Mastic	Blue		Mastic
#					

Sampled By: C. Bleier / S. Franics	Date: 3/20/2019
Transported to Paradigm By: C. Bleier	Date: 3/21/2019
Received By: C. Bleier	Date: 3-21-19

All samples will be analyzed by the appropriate New York State Department of Health methods (198.1, 198.4 and 198.6) unless EPA 600/M4/82/020 per 40 CFR 763 and/or EPA 600/R-93/116 methods are requested.

CHECK TO AUTOMATICALLY PERFORM TEM ON NOBS ☒ X

or provide TEM contact name:

TOTAL NUMBER OF SAMPLES ON ALL CHAINS OF CUSTODY: 19

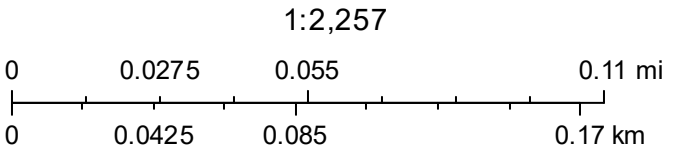
By signing this form, client agrees to Paradigm Terms and Conditions (reverse).

QC d 3/28/19 19

198 West 1st St NYSDEC Endangered and Threatened Species Map



February 8, 2019



Sources: Esri, HERE, Garmin, Intermap, increment P Corp., GEBCO, USGS, FAO, NPS, NRCAN, GeoBase, IGN, Kadaster NL, Ordnance Survey, Esri Japan, METI, Esri China (Hong Kong), swisstopo, © OpenStreetMap contributors, and the GIS User Community

HOUSING TRUST FUND CORPORATION
Program Description Form

Office of Community Renewal (OCR) state funded programs including:
Adirondack Community Housing Trust (AHT), Buffalo Main Streets Initiative (BMSI), OCR Managed Downtown Revitalization Initiative (DRI), New York Main Street (NYMS), Rural Area Revitalization Projects (RARP), and Urban Initiatives (UI).

Local Program Administrator (LPA): City of Oswego

Funding Program: DRI **Program Municipality:** Oswego

SHARS ID: 20170236 **Program County:** Oswego

Check all activities that apply to the Program or Project:

- | | |
|------------------------------------------------------------------|---------------------------------------------|
| <input checked="" type="checkbox"/> Façade/Storefront Renovation | <input type="checkbox"/> Streetscape |
| <input type="checkbox"/> Interior Building Renovation | <input type="checkbox"/> New Construction |
| <input type="checkbox"/> Commercial | <input type="checkbox"/> Site Work |
| <input type="checkbox"/> Residential | <input type="checkbox"/> Ground Disturbance |

For Site-Specific Review, outline the specific scope of work for the project(s). The formal scope of work should also be attached.

Replace sign and historical façade/awnings

Description of Target Area:

Describe the target area or project site location and attach a map of the target area or project site.

200-202 West 1st Street Within Oswego Downtown Revitalization Boundary Area

Environmental Compliance Areas/ SEQR Classification Evaluation:

Specifically identify if any of the following activities will or may occur as part of this program: substantial improvement in a flood zone; projects in or adjacent to Agricultural Districts; work on a building determined by SHPO to have historic or cultural significance; ground disturbance; zoning changes, change in actual building use.

See attached Environmental Compliance form

Primary Contact for Environmental Review Issues:

Justin Rudgick, Economic Development Director, City of Oswego

Prepared by: _____

Title: _____

Date: _____

Phone Number: _____

Email Address: _____

HOUSING TRUST FUND CORPORATION
Environmental Compliance Checklist

Office of Community Renewal (OCR) state funded programs including:
Adirondack Community Housing Trust (ACHT), Buffalo Main Streets Initiative (BMSI), OCR Managed Downtown Revitalization Initiative (DRI), New York Main Street (NYMS), Rural Area Revitalization Projects (RARP), and Urban Initiatives (UI).

Local Program Administrator (LPA): City of Oswego

Funding DRI **Project Municipality:** Oswego

Program:
SHARS ID: 20170236 **Project County:** Oswego

Review Type: Site Specific **Project Address (if site specific):** 200-202 West 1st Street

Compliance Area	Attachments Included	Compliance Procedures
A. <u>Historic/Cultural Resources:</u> Section 14.09 of the Parks, Recreation and Historic Preservation Law.	<input checked="" type="checkbox"/>	The SHPO No Adverse Impact determination is attached.
B. <u>Flood Plains:</u> 6 NYCRR Part 502, Floodplain Management Criteria for State Projects.	<input checked="" type="checkbox"/>	The project is not within a SFHA. A copy of the FIRM map, with the Panel Number and Effective date is included.
C. <u>Zoning:</u> C1. Projects must conform to local land use plans and receive all necessary zoning and site plan approvals and permits.	<input type="checkbox"/>	The project will not require zoning modifications, variances or a special use permit for issuance of a building permit. See attached documentation.
C2. Projects that result in a change in the building use must be identified.	<input type="checkbox"/>	The existing use of the building is commercial; the proposed use of the building is commercial.
D. <u>Coastal Zones:</u> 19 NYCRR Part 600, Coastal Zone Management	<input checked="" type="checkbox"/>	The project is located within the coastal zone but is not listed as a Type I or Unlisted action.
E. <u>Site Contamination- Hazardous Materials:</u> Projects funded under the Program must be free of hazardous materials which could affect the health and safety of the occupants or conflict with the intended utilization of the property.	<input checked="" type="checkbox"/>	An environmental professional has certified that the project site is free of hazardous materials, contamination, toxic chemicals and gases, and radioactive substances. Documentation is attached.
F. <u>Lead Based Paint:</u> All activities impacting dwelling units or child occupied facilities must be free from the hazards posed by lead-based paint. <i>Refer to the Program Policy for Lead-Based Paint.</i>	<input checked="" type="checkbox"/>	The project involves exterior renovations or interior commercial renovations only. Window openings into residential or child-occupied facility floors will not be impacted as part of the project scope of work.
G. <u>Asbestos Containing Materials</u> NYS Department of Labor at 12 NYCRR Part 56	<input type="checkbox"/>	Asbestos Containing Materials (ACM) that will be disturbed as part of program activities will be handled and disposed of according to NYS Department of Labor requirements at 12 NYCRR Part 56 and local regulations.
H. <u>Radon</u> EPA map of Radon Zones; EPA Radon Mitigation Standards	<input type="checkbox"/>	The target area is located in a zone with moderate or high potential for radon levels

		to exceed the U.S. EPA action level (4pCi/L or higher). New construction or rehabilitation of residential units and common areas will include post-renovation testing and if elevated levels are found, a radon mitigation system will be installed in accordance with EPA Radon Mitigation Standards.
I. Wetlands: 6 NYCRR Part 663, Freshwater Wetlands Permit Requirements and, Section 404 of the Clean Water Act	<input type="checkbox"/>	The project does not involve new construction, ground disturbance or is entirely within an urban, built-up area.

J. Endangered Species: 6 NYCRR Part 182, Endangered and Threatened Species	<input checked="" type="checkbox"/>	The project is in a built up urban area or does not involve new construction, ground disturbance or tree cutting. The NYSDEC Environmental Resource Map is attached.
K. Agricultural Districts: Agriculture and Markets Law Article 25-AA, Sections 303 and 304, Agricultural Districts	<input type="checkbox"/>	The project is not located in an agricultural district, does not involve any activities with potential to convert farmland to nonagricultural use and does not require an Agricultural Data Statement.

Individual site specific checklists may require more detailed supporting documentation and review in circumstances such as:

- substantial improvement in a flood zone;
- projects in, or adjacent to, Agricultural Districts;
- work on a building determined by SHPO to have historic or cultural significance;
- ground disturbance;
- zoning changes;
- a change in actual building use (whether or not this change is locally regulated);
- if the work constitutes a SEQR Unlisted action.

Certification

I am authorized to execute contract materials for the program award to the Local Program Administrator (LPA) named above. I have read this Checklist and by signing this document agree with the statements made herein and agree that: (1) site specific checklists will be prepared and submitted to the OCR for each project site and additional documentation will be provided as necessary for the circumstances listed above; (2) project activities will be conducted in conformance with the described compliance procedures; (3) an environmental determination letter or approval from OCR will be received before taking any physical action on a site or incurring costs related to a specific activity; and, (4) costs incurred for activities completed prior to the SEQR determination and submission of the site-specific checklist will not be eligible for reimbursement.

Signature:

Printed Name: William Barlow, Jr.
Title: Mayor
Date: _____

Prepared by: _____
Title: _____
Phone: _____

Email: _____



Parks, Recreation, and Historic Preservation

ANDREW M. CUOMO
Governor

ROSE HARVEY
Commissioner

October 30, 2018

Mr. Tim Stahl
Deputy Director
City of Oswego
44 East Bridge Street
Oswego, NY 13126

Re: HTFMS
Oswego DRI - 200-202 West First Street
200 West 1st Street, Oswego, NY 13126
18PR06938
DRI Project No. 20170236

Dear Mr. Stahl:

Thank you for requesting the comments of the Division for Historic Preservation of the Office of Parks, Recreation and Historic Preservation (OPRHP). We have reviewed the submitted materials in accordance with the New York State Historic Preservation Act of 1980 (section 14.09 of the New York Parks, Recreation and Historic Preservation Law). These comments are those of the Division for Historic Preservation and relate only to Historic/Cultural resources. They do not include potential environmental impacts to New York State Parkland that may be involved in or near your project. Such impacts must be considered as part of the environmental review of the project pursuant to the State Environmental Quality Review Act (New York Environmental Conservation Law Article 8) and its implementing regulations (6NYCRR Part 617).

We note that 200-202 West First Street is eligible for listing in the State and National Registers of Historic Places as a contributing building to a potential commercial historic district.

We have reviewed the project submission received on 10/24/2018. We note that the project submission indicates façade update, replacement of signage, and installation of an awning. It is not clear whether or not the signage and awning are the only work items, or if other work will be performed on the building. If there are additional work items, please provide a detailed description of the proposed work and clear color photographs of the areas that will be impacted.

We would appreciate the requested information be provided via our Cultural Resource Information System (CRIS) at www.nysparks.com/shpo/online-tools/. Once on the CRIS site, you can log in as a guest and choose "submit" at the very top menu. Next choose "submit new information for an existing project." You will need this project number (18PR06938) and your e-mail address. If you have any questions, I can be reached at (518) 268-2217.

Sincerely,

Christina Vagvolgyi
Historic Preservation Technical Specialist
e-mail: christina.vagvolgyi@parks.ny.gov

via e-mail only

Division for Historic Preservation

Oswego Downtown Revitalization Initiative

Applicants: Francis and Jennifer Heagerty

Address: 200-202 West First Street Oswego, New York 13126

Phone Number: (315) 342-6159

Start date: September 1, 2018

End date: January 1, 2019

We have applied for this grant as a business and property owner of our building located at 200-202 West First Street, Oswego, New York 13126. We have been the owners of this building and have participated in running a business here for over 18 years and look forward to many more years in beautiful downtown Oswego. In applying for this grant we are hopeful to be a part of the downtown community movement and look forward to improving the facade of our building in conjunction with our downtown neighbors. Our building is centrally located in the heart of downtown and is a major focal point with a clear view from the East side of the river. We are hopeful that by doing these improvements that it will encourage more community members as well as visitors to visit downtown areas and promote business in our community.

200-202 West First Street Project information and description

The following itemization includes a proposed scope of work:

Major elements to be rehabilitated:

1. Addition of awnings to both 200 and 202 West First Street exterior storefronts at ground level as a reflection of the downtown historical district.

Contracted to:

Genson Overhead Door Inc
11710 State Route 90
Locke, New York 13092
315-497-0912

Costs of awnings including labor: \$7,860.00

*Attached please find current building appearance .

*Dimension of awnings:18" +/- long X 36" height X 44" projection ,
rectangular four rib with aluminum frame

8" low wave valance

*Please find color swatch image attached.

* Awnings reflective of historical downtown Oswego

* Awnings improve the visual appeal of downtown storefront

2. Replacement of exterior signage

Contracted to:

The Image Press | Syracuse Signage

6333 Daedalus Rd | Cicero, NY | 13039

P: 315-699-7109 | F: 315-699-7494

TF:1-888-699-7109

Contact person: Somer Hackler

Cost of sign including labor: \$2,910.60

* Attached please find current building appearance as well as photo of proposed sign

* Sign creates a pedestrian friendly atmosphere

* Sign placed strategically to not impede upon or obstruct key architectural elements of the building.

Project proposed start date: September 1, 2018

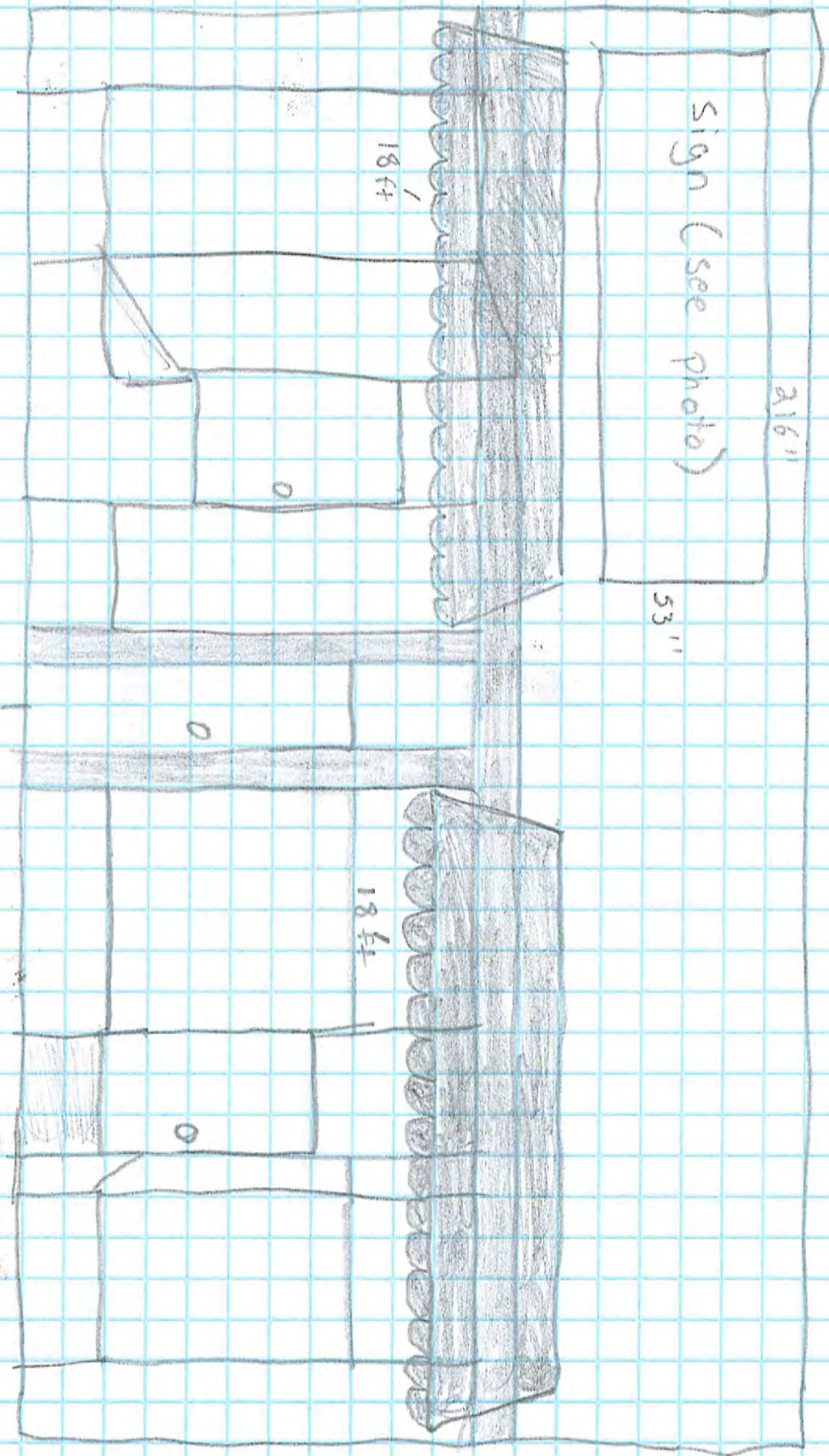
Project proposed end date: January 1 2019

**200-202 West First Street Project Section F: Additional Requirements
for Facade and Signage Grants**

Please find a photograph of the current building facade as well as a sketch of the proposed facade renovation.



Sketch of proposed facade renovation



200-202 West First Street Project Section G: Financial Information

Estimated budget total is \$9,390.60

Sources of matching funds is through a personal account/equity from Pathfinder Bank. Please see supporting documents as well as Appendix II worksheet.

1. Please find attached estimates indicating the renovation project scope of work from each contracted source.

Timetable- upon approval of project, estimated start date is September 1, 2018. Estimated completion/end date is January 1, 2019.

2. Please find attached the budget broken out by expense per the estimates given from each contracted source.

- PROPOSAL -

GENSON OVERHEAD DOOR, INC.

11710 STATE RTE. 90

LOCKE, NY 13092

Locke 315-497-0912

Ithaca 607-272-2494

Cortland 607-753-3380

FAX 315-497-3455

Name: FRANK HAEGARTY

Date: 07/17/18

Street: 200-202 West 1st St.

Job Location:

City: Oswego State: NY Zip: 13126

Job Name:

ATTN:

Phone (cell): 315-529-2179

Phone ():

FAX:

email: amazon699@yahoo.com

We hereby submit specifications and estimates for:

(2) 18' +/- Long X 36" Height X 44" projection +/- SUNESTA "SUNBRERO" RECTANGULAR FOUR-RIB AWNINGS WITH ALUMINUM FRAME, WALL BRACKETS, RAFTER BARS AND CENTER SUPPORT LEG, 8" LOW WAVE VALANCE, INSTALLED (\$ 3,930 ea.)

FABRIC COLOR: 338640

BINDING COLOR:

TOTAL \$ 7,860.00

We propose hereby to furnish material and labor – complete in accordance with the above specifications, for the sum of:

SEVEN THOUSAND EIGHT HUNDRED SIXTY AND 00/100 ————— \$ 7,860.00 DOLLARS

Payment to be made as follows: 50% DOWN AND 50% ON COMPLETION

Authorized Signature GREG L. GENSON

ACCEPTANCE OF PROPOSAL- The above prices, specifications and conditions are satisfactory and are hereby accepted. You are authorized to do the work as specified. Payment will be made as outlined above.

Date of acceptance: / /

Signature _____



The Image Press
 6333 Daedalus Road
 Cicero, NY 13039
 Ph: (315) 699-7109
 FAX: (315) 699-7494
 Email: chris@theimagepress.com
 Web: <http://www.theimagepress.com>

Estimate #: C166862

Page 1 of 2

Created Date:	7/17/2018 11:49:54AM	Prepared For:	HEAGERTY'S HOT SPOT
Salesperson:	Somer Hackler	Contact:	FRANCIS HEAGERTY
Email:	somer@theimagepress.com	Office Phone:	(315) 529-8703
Not Specified:	(315) 699-7109	Fax:	N/A
Not Specified:	(315) 699-7494	Email:	jheagert@oswego.org
		Address:	57 County RT 1A, Oswego, NY 13126

Description: STORE FRONT SIGN

		Quantity	Unit Price	Subtotal
1	Product: Design Description: LAYOUT & LOGO ART FILE • 1 Files	1	\$150.00	\$150.00
2	Product: Wide Format Prints Description: BASE PANEL • 1- 192 in (W) x 48 in (H) Single Sided Print(s) made from Vinyl - 3M IJ35 w Comply Gloss 54" stock material • Laminated with Laminate - PSA Matte 3mil 54" on face • Mounted on: Omegabond - White 6mm 4'x8'	1	\$595.00	\$595.00
2.A	Product: Wide Format Prints Description: DIMENSIONAL LETTERS • 1- 96 in (W) x 48 in (H) Single Sided Print(s) made from Vinyl - Oralite 5700 Reflective 6mil 48" stock material • Laminated with Laminate - PSA Gloss 3mil 54" on face • Mounted on: Komatex - Black 13mm 4'x8' • Custom Finishing • Tape - 3M 4905 VHB 1/2" on top, bottom, left, right, edges	1	\$690.00	\$690.00
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Estimate #: C166862

Page 2 of 2

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THIS QUOTE IS BASED ON A 3-5 BUSINESS DAY TURNAROUND AFTER FINAL APPROVAL OF IMAGE PRESS PDF PROOF. LARGE QUANTITIES, LABOR INTENSIVE PROJECTS AND/OR OUTSOURCED MATERIALS MAY REQUIRE AN EXTENDED TURNAROUND.

IF AN ACCELERATED TURNAROUND IS REQUIRED, PLEASE CALL FOR PRICING.

Estimate Total:	\$2,695.00
Subtotal:	\$2,695.00
Taxes:	\$215.60
Total:	\$2,910.60

Payment Terms: Balance due upon receipt.

Client Reply Request

- ☐ Estimate Accepted "As Is". Please proceed with Order.
☐ Changes required, please contact me.

☐ Other: _____
SIGN: _____ Date: ____/____/____

Print Date: 7/17/2018 3:28:06PM

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Detailed Scope of work
200-202 West First Street
Oswego, New York 13126

Attached please find pictures of 200-202 West First Street Oswego, New York taken circa 1958. Please note that originals are available if interested.

Historical downtown awnings provide representation of what once was and what could be in the future.



- PROPOSAL -

GENSON OVERHEAD DOOR, INC.

11710 STATE RTE. 90

LOCKE, NY 13092

Locke 315-497-0912

Ithaca 607-272-2494

Cortland 607-753-3380

FAX 315-497-3455

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Date: 07/17/18

Job Location:

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ATTN:

email: amazon699@yahoo.com

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Date of acceptance: / /

Signature _____

The Sunbrero Retractable Window and Door Awning



Customized Canopy Awnings From the Pioneer in Awnings

Your home is one-of-a-kind. That's why Sunesta offers total customization, so that each Sunbrero Retractable Awning is made to fit your home's style. The Sunbrero is available in many shapes and sizes. And since we offer a wide variety of customized options within each style, you're sure to find the perfect fit and look for your home or business.



Search for anything

All Categories

Back to previous page | Listed in category: Home & Garden > Yard, Garden & Outdoor Living > Outdoor Lighting > Outdoor Wall & Porch Lights

Factory Direct Barn Lights - Quick Ship & In StockWide Selection Of Commercial Grade Goosenecks. In Stock & Ready To Ship.
aqlightinggroup.com**BUY 1, GET 1 AT 20% OFF (add 2 to cart)** See all eligible items ▶**Exterior Outdoor Barn Light Fixture 10" Industrial Gooseneck 1 or 2**Condition: **New**Package: **2 Pack of Lights**

Quantity:

Quantity: **1**More than 10 available
35 sold / See feedbackPrice: **US \$189.99****Buy It Now****View Cart**[Add to watch list](#)**100% buyer
satisfaction**

35 sold

Free shipping

Bucks You'll earn at least **\$1.00** in eBay Bucks. See conditionsShipping: **FREE** Standard Shipping | [See details](#)

Item location: Grand Blanc, Michigan, United States

Ships to: United States | [See exclusions](#)Delivery: Estimated on or before **Wed. Jul. 25** to 13126

Payments:

PayPal   

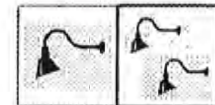
Credit Cards processed by PayPal

PayPal CREDITNo Interest if paid in full in 6 months on \$99+. [Apply Now](#)| [See terms](#)[See details](#)Returns: **30 day returns.** Buyer pays for return shipping |[See details](#)

Guarantee:

| [See](#)[details](#)Get the item you ordered or get your money back.
Covers your purchase price and original shipping.**Seller information****howplumb** (109831)

99.5% Positive feedback

[Save this Seller](#)[Contact seller](#)[Visit store](#)**LED Wall Pac
Sale - up to 30%****DLC - 5 Year Warr;
Ready to Ship - Low**[beeslighting.com](#)Have one to sell? **Sell now****Description****Shipping and payments**

Seller assumes all responsibility for this listing.

Last updated on Feb 26, 2018 10:54:49 PST [View all revisions](#)

eBay item number:

Item specifics

Condition: New: A brand-new, unused, unopened, undamaged item in its original packaging (where packaging is ... [Read more](#))

Power Source: Hardwired Electric

Finish: Black

Style: Contemporary

Brand: HowPlumb


Model: 1LIGHT0BLACK

MPN: 1LIGHT0BLACK

Type: Wall Mount

HowPlumb Inc

howplumb (109831) 99.5%

 [Sign up for newsletter](#)[Visit Store: HowPlumb Inc](#)

Welcome bargains_and_deals. Visit my Store now to see discounted items that equal big savings.



Categories

Bath

Bedding

Bike Accessories

Cabinet Hardware

Caulks and Sealants

Clearance

Door Hardware

Features:

- Choose from 1 single light or a 2 pack of lights from the drop down menu above
- All aluminum, glossy black finish
- 26 3/4" L (extension from wall) x 18 3/4"H x 10" W (shade diameter)
- Backplate measures 4 3/4" in diameter
- Requires 1 x 200W type A bulb per light (not included)
- Rated for wet locations
- CSA US listed

Product Description:

This 1 or 2 pack of outdoor barn light fixtures will illuminate your home or business in style. These classic fixtures are made from aluminum and project 26 3/4 inches from the wall. The design makes these fixtures great as floodlights with their angled shade. With a 200 watt A bulb (not included), these fixtures are sure to provide plenty of light. The glossy black classic gooseneck design makes these exterior fixtures ideal for barns, farmhouses or industrial styled spaces. These exterior fixtures are CSA US listed and are rated for wet locations.

BUY 1, GET 1 AT 20% OFF (add 2 to cart)* See all eligible items ▶

Select Exterior Lights

Discount will be applied when you add promotional items from howplumb to your cart

All promotional offers from



Exterior Outdoor Barn Light Fixture
16" Industrial Gooseneck 1 or 2
Pack, Black

US \$104.99



Exterior Outdoor Cage Light Vintage
Bulb Fixture Sconce 1 or 2 Pack
Black Finish

US \$37.99



Exterior Outdoor Cage Light Vintage
Bulb Fixture 1 or 2 Pack, Oil Rubbed
Bronze

US \$39.99



Weatherproof Exterior Outdoor Cage
Light Wall Ceiling Mount 1 or 2 Pack,
Silver

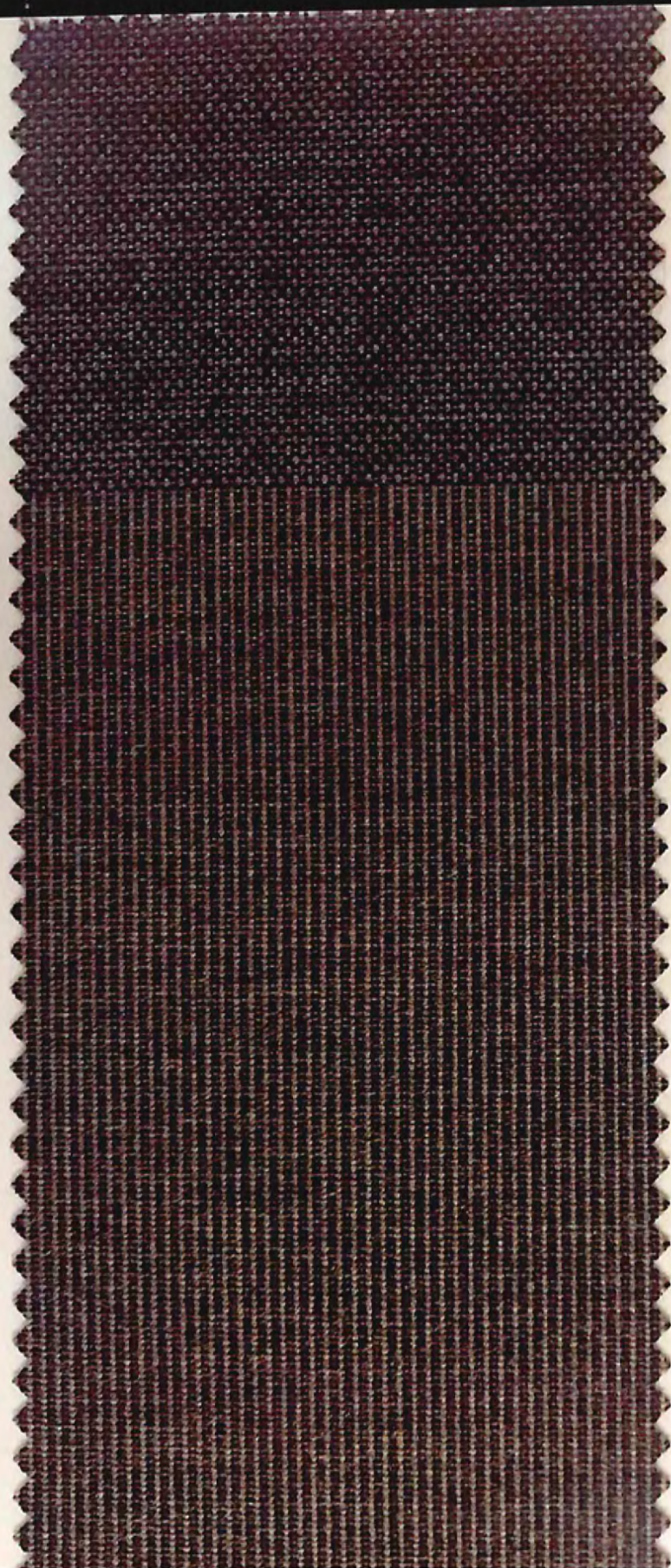
US \$24.99

* Value of least expensive qualifying item will be discounted from the subtotal amount, once per transaction.
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 Email: chris@theimagepress.com
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Email:	somer@theimagepress.com	Office Phone:	(315) 529-8703
Not Specified:	(315) 699-7109	Fax:	N/A
Not Specified:	(315) 699-7494	Email:	jheagert@oswego.org
		Address:	57 County RT 1A. Oswego, NY 13126

Description: STORE FRONT SIGN

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Payment Terms: Balance due upon receipt.

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☐ Estimate Accepted "As Is". Please proceed with Order.

☐ Other: _____

☐ Changes required, please contact me.

SIGN: _____ Date: / /

Print Date: 7/17/2018 3:28:06PM

\\pfs01\cyrilous\$\Control\Reports\imagepress Estimate01.RPT

Subject: Store Sign Proof.pdf

From: somer@theimagepress.com

To: yobo699@yahoo.com

Date: Tuesday, July 17, 2018, 12:43:48 PM EDT

Hi Frank,

We are still waiting on a price from our vendor for the base material. Here is the mockup of the proposed sign. The overall size will be 216x53" with 1/2" dimensional letters. All type and dragon will be reflective vinyl mounted over the matte black base. We recommend at least 4 gooseneck lamps to mount above the sign (I think you mentioned before you have an electrician that can help you with that). I will have the remaining quote for you shortly, hopefully this is enough to get you started on the paperwork.

Somer Hackler

The Image Press | Syracuse Signage

6333 Daedalus Rd | Cicero, NY | 13039

P: 315-699-7109 | F: 315-699-7494

TF: 1-888-699-7109

Visit us at: theimagepress.com



Store Sign Proof.pdf

1.2MB



Parks, Recreation, and Historic Preservation

ANDREW M. CUOMO

Governor

ROSE HARVEY

Commissioner

November 7, 2018

Mr. Tim Stahl
Deputy Director
City of Oswego
44 East Bridge Street
Oswego, NY 13126

Re: HTFMS
Oswego DRI - 200-202 West First Street
200 West 1st Street, Oswego, NY 13126
18PR06938
DRI Project No. 20170236

Dear Mr. Stahl:

Thank you for continuing to consult with the Division for Historic Preservation of the Office of Parks, Recreation and Historic Preservation (OPRHP). We have reviewed the submitted materials in accordance with the New York State Historic Preservation Act of 1980 (section 14.09 of the New York Parks, Recreation and Historic Preservation Law). These comments are those of the Division for Historic Preservation and relate only to Historic/Cultural resources.

We have reviewed the project submission received on 10/30/2018, including the project description and photos. Based upon our review, it is the OPRHP's opinion that the proposed project will have No Adverse Impact upon historic resources.

If there are substantive changes to the project, consultation with our office should resume. If you have any questions, I can be reached at (518) 268-2217.

Sincerely,

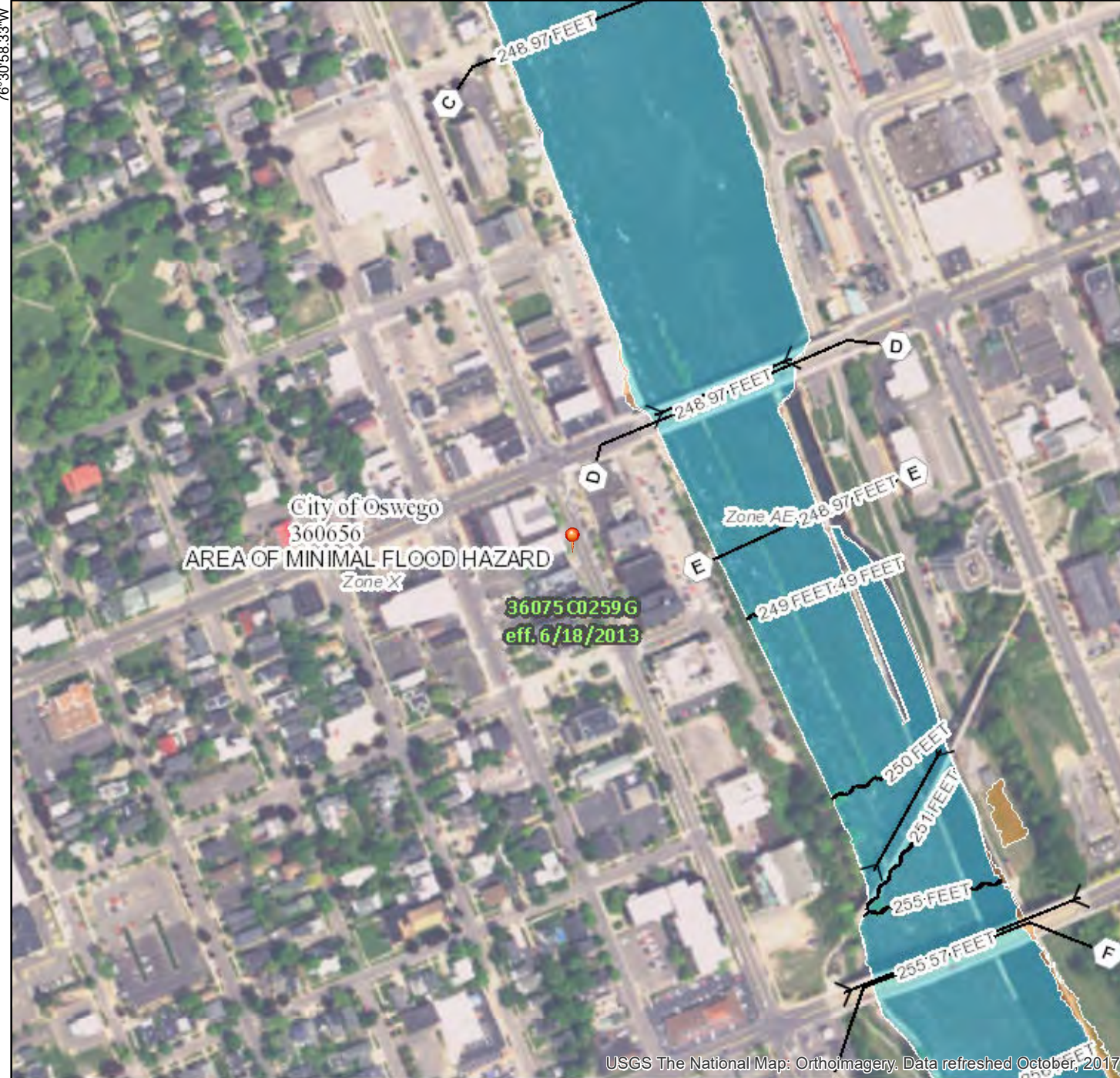
Christina Vagvolgyi
Historic Preservation Technical Specialist
e-mail: christina.vagvolgyi@parks.ny.gov

via e-mail only

National Flood Hazard Layer FIRMette



43°27'34.80"N



0 250 500 1,000 1,500 2,000 Feet

1:6,000

43°27'8.68"N

Legend

SEE FIS REPORT FOR DETAILED LEGEND AND INDEX MAP FOR FIRM PANEL LAYOUT

SPECIAL FLOOD HAZARD AREAS		Without Base Flood Elevation (BFE) Zone A, V, A99
		With BFE or Depth Zone AE, AO, AH, VE, AR
		Regulatory Floodway
OTHER AREAS OF FLOOD HAZARD		0.2% Annual Chance Flood Hazard, Areas of 1% annual chance flood with average depth less than one foot or with drainage areas of less than one square mile Zone X
		Future Conditions 1% Annual Chance Flood Hazard Zone X
		Area with Reduced Flood Risk due to Levee. See Notes. Zone X
		Area with Flood Risk due to Levee Zone D
OTHER AREAS		NO SCREEN Area of Minimal Flood Hazard Zone X
		Effective LOMRs
GENERAL STRUCTURES		Area of Undetermined Flood Hazard Zone D
		Channel, Culvert, or Storm Sewer
		Levee, Dike, or Floodwall
OTHER FEATURES		20.2 Cross Sections with 1% Annual Chance Water Surface Elevation
		17.5
		Coastal Transect
		Base Flood Elevation Line (BFE)
		Limit of Study
		Jurisdiction Boundary
MAP PANELS		Coastal Transect Baseline
		Profile Baseline
		Hydrographic Feature
		Digital Data Available
		No Digital Data Available
		Unmapped



The pin displayed on the map is an approximate point selected by the user and does not represent an authoritative property location.

This map complies with FEMA's standards for the use of digital flood maps if it is not void as described below. The basemap shown complies with FEMA's basemap accuracy standards

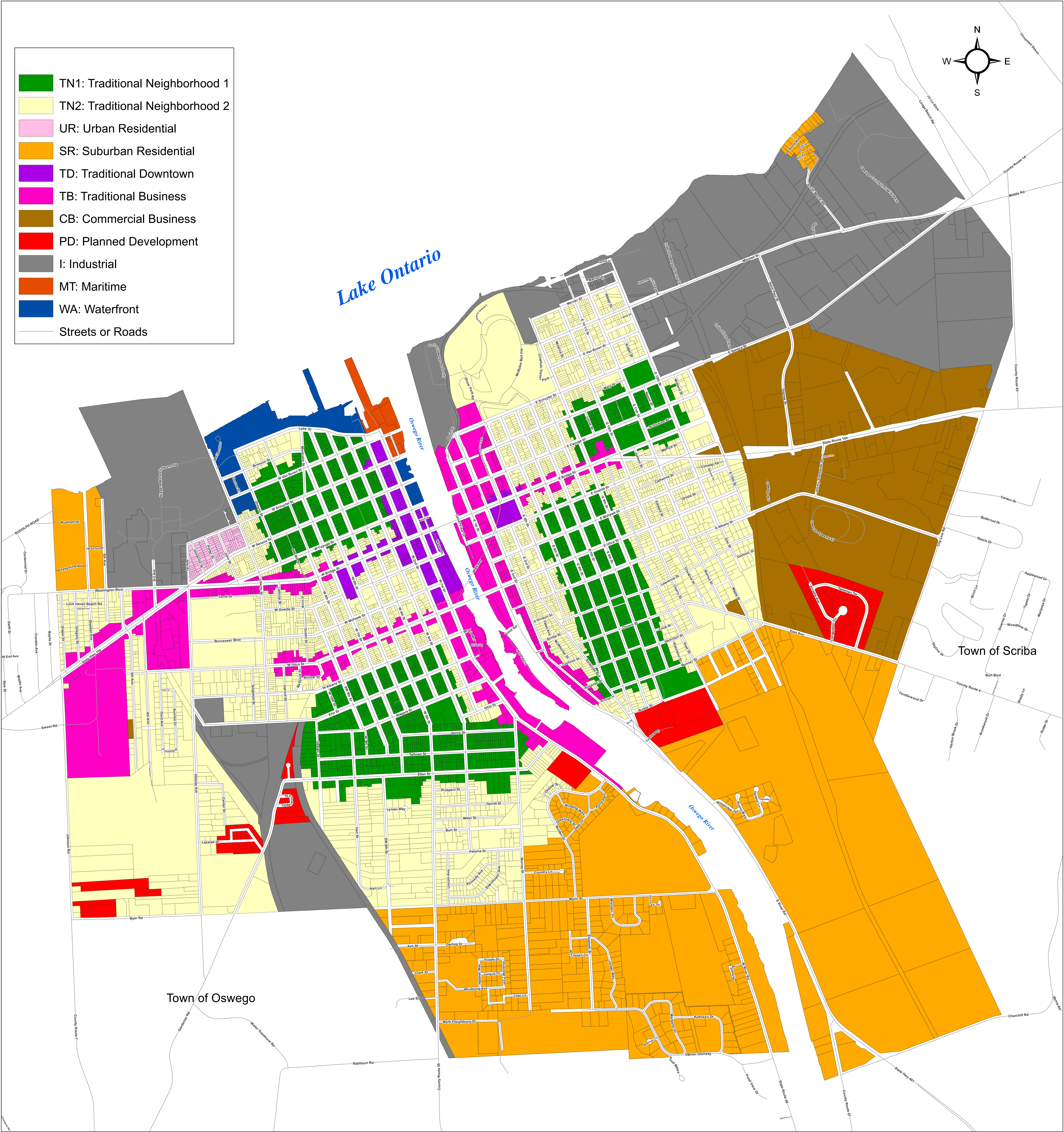
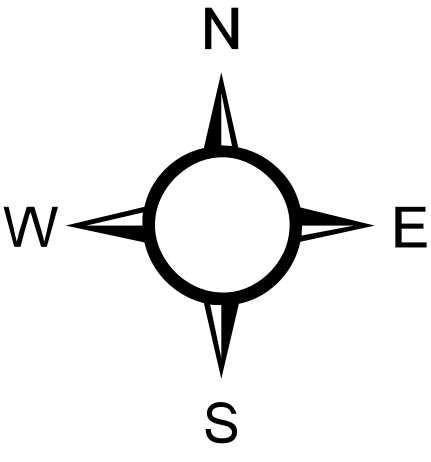
The flood hazard information is derived directly from the authoritative NFHL web services provided by FEMA. This map was exported on 2/8/2019 at 1:24:13 PM and does not reflect changes or amendments subsequent to this date and time. The NFHL and effective information may change or become superseded by new data over time.

This map image is void if the one or more of the following map elements do not appear: basemap imagery, flood zone labels, legend, scale bar, map creation date, community identifiers, FIRM panel number, and FIRM effective date. Map images for unmapped and unmodernized areas cannot be used for regulatory purposes.



City of Oswego Zoning Map

- TN1: Traditional Neighborhood 1
- TN2: Traditional Neighborhood 2
- UR: Urban Residential
- SR: Suburban Residential
- TD: Traditional Downtown
- TB: Traditional Business
- CB: Commercial Business
- PD: Planned Development
- I: Industrial
- MT: Maritime
- WA: Waterfront
- Streets or Roads

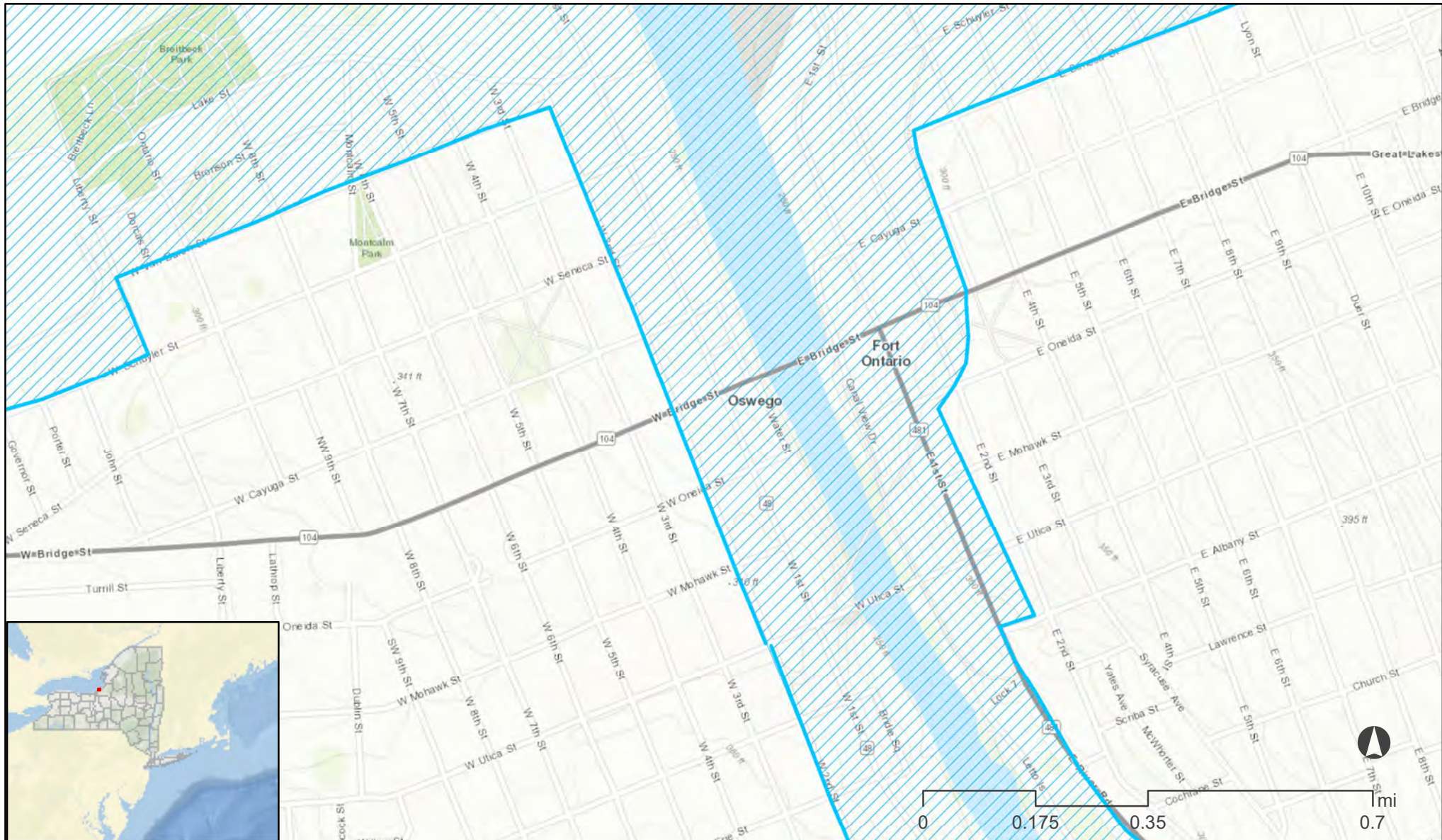


For:
City of Oswego
Zoning & Planning Office
13 West Oneida Street
Oswego, NY 13126
(315) 342-8153

0 650 1,300 2,600 3,900 5,200 Feet

By:
Oswego County Community Development, Tourism & Planning
46 East Bridge Street
Oswego, NY 13126
(315)349-8295
Karen B. Noyes, AICP
April 8, 2019

200-202 West 1st Street Coastal Boundary Map



— Coastal_Boundary_Polyline_update CoastalBoundary_Polygon_March2017



Department
of State

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May 1st, 2019

Housing Trust Fund Corporation
OCR Managed Downtown Revitalization Initiative Projects (DRI)

Re: 200-202 West 1st Street, Oswego, New York – Environmental Compliance Handbook

To whom it my concern:

As per the Environmental Compliance Handbook, Section E: Site Contamination (Hazardous Materials), established by the Housing Trust Fund Corporation, the project site at 200-202 West 1st Street in Oswego, New York was examined for the potential presence of hazardous materials. The three (3) online databases that were used in this desktop review were the Nationwide Environmental Title Research (NETR) Database, the New York State Department of Environmental Conservation (NYSDEC) Environmental Site Database, and the United States Environmental Protection Agency (USEPA) EnviroFacts Database.

The project site is free of hazardous materials, contamination, toxic chemicals and gases, and radioactive substances which could affect the health and safety of occupants or users or conflict with the intended utilization of the property. I, Ariadna Cheremeteff, certify that I am an environmental professional as per ASTM 1527.

Please email if you have any questions.

Sincerely,

Ariadna Cheremeteff
BERGMANN
Environmental Discipline Leader
acheremeteff@bergmannpc.com



April 30th, 2019

Mr. Tim Stahl, Deputy Director
The City of Oswego – Office of Economic Development
44 East Bridge Street
Oswego, New York 13126

Re: Lead Based Paint Sampling Results – 200-202 West 1st Street, Oswego, New York

Dear Mr. Stahl,

Bergmann was retained by the City of Oswego to conduct Lead Based Paint (LBP) sampling on select sites in the City of Oswego as part of the Downtown Revitalization Initiative (DRI). The results in this letter and the information gathered during Bergmann's sampling is being used to satisfy Section F of the Housing Trust Fund Corporation Environmental Compliance Checklist (ECC). Below is a summary of the materials sampled by Bergmann using a Thermo XL2 980 X-Ray Fluorescence (XRF) ray. Bergmann took three (3) samples from various DRI sites throughout the City of Oswego that were submitted to Paradigm Environmental Services for laboratory confirmation of the accuracy of the XRF ray. No major discrepancies between the field XRF results and laboratory analytical results were observed. In addition to the summary below, attached you will find a frontal façade photograph depicting the exact locations of the paints that were screened by Bergmann.

The following paints at 200-202 West 1st Street, Oswego, NY were screened by Bergmann using an XRF on March 29th, 2019:

- Front façade black window trim paint (negative)
- Interior frontal façade black window trim (negative)
- Beige brick entry way paint (negative)
- Beige paint on door at 200 W 1st (negative)

The Federal Department of Housing and Urban Development (HUD) has established guidelines for the identification of lead based paint. Paint containing a concentration equal to or greater than 0.5% by weight (5,000 parts per million or 5,000 ppm) is to be considered lead-based paint. Given these values established by the HUD, all paint identified above as "positive" is considered to be lead based paint and must be abated to satisfy Section F of the Environmental Compliance Checklist (ECC).

Should any additional layers of paint be found during the abatement or renovation process, they are to be sampled via XRF or laboratory analyses to confirm or deny the presence of lead at levels exceeding the HUD action level of 0.5% by weight.



Please email if you have any questions.

Sincerely,
Bergmann



CASH R. BLEIER
Environmental Scientist
cbleier@bergmannpc.com

Attachment 1: Exterior Sample Location Photo



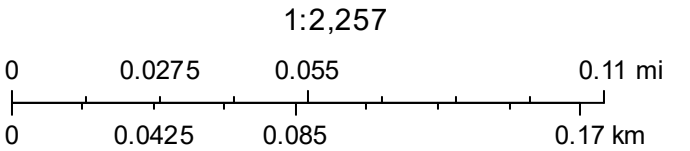
BERGMANN
ARCHITECTS ENGINEERS PLANNERS



200-202 West 1st St NYSDEC Endangered and Threatened Species Map



February 8, 2019



Sources: Esri, HERE, Garmin, Intermap, increment P Corp., GEBCO, USGS, FAO, NPS, NRCAN, GeoBase, IGN, Kadaster NL, Ordnance Survey, Esri Japan, METI, Esri China (Hong Kong), swisstopo, © OpenStreetMap contributors, and the GIS User Community

HOUSING TRUST FUND CORPORATION
Program Description Form

Office of Community Renewal (OCR) state funded programs including:

Adirondack Community Housing Trust (ACHT), Buffalo Main Streets Initiative (BMSI), OCR Managed Downtown Revitalization Initiative (DRI), New York Main Street (NYMS), Rural Area Revitalization Projects (RARP), and Urban Initiatives (UI).

Local Program Administrator (LPA): City of Oswego

Funding Program: DRI

Program Municipality: Oswego

SHARS ID: 20170236

Program County: Oswego

Check all activities that apply to the Program or Project:

- ☒ Façade/Storefront Renovation
☐ Interior Building Renovation
 ☐ Commercial
 ☐ Residential

- ☐ Streetscape
☐ New Construction
☐ Site Work
☐ Ground Disturbance

For Site-Specific Review, outline the specific scope of work for the project(s). The formal scope of work should also be attached.

Replace awning & signs, façade. Replace 3 windows. Repair masonry & columns.

Description of Target Area:

Describe the target area or project site location and attach a map of the target area or project site.

205 West 1st Street within Oswego Downtown Revitalization Boundary Area

Environmental Compliance Areas/ SEQR Classification Evaluation:

Specifically identify if any of the following activities will or may occur as part of this program: substantial improvement in a flood zone; projects in or adjacent to Agricultural Districts; work on a building determined by SHPO to have historic or cultural significance; ground disturbance; zoning changes, change in actual building use.

See attached Environmental Compliance Review form

Primary Contact for Environmental Review Issues:

Justin Rudgick, Economic Development Director, City of Oswego

Prepared by: _____

Title: _____

Date: _____

Phone Number: _____

Email Address: _____

HOUSING TRUST FUND CORPORATION
Environmental Compliance Checklist

Office of Community Renewal (OCR) state funded programs including:
Adirondack Community Housing Trust (AHT), Buffalo Main Streets Initiative (BMSI), OCR Managed Downtown Revitalization Initiative (DRI), New York Main Street (NYMS), Rural Area Revitalization Projects (RARP), and Urban Initiatives (UI).

Local Program Administrator (LPA): City of Oswego

Funding Program: DRI **Project Municipality:** Oswego

SHARS ID: 20170236 **Project County:** Oswego

Review Type: Site Specific **Project Address (if site specific):** 205 West 1st Street

Compliance Area	Attachments Included	Compliance Procedures
A. <u>Historic/Cultural Resources:</u> Section 14.09 of the Parks, Recreation and Historic Preservation Law.	<input checked="" type="checkbox"/>	SHPO identified specific conditions as described in the attached determination. The conditions will be incorporated into the project scope of work.
B. <u>Flood Plains:</u> 6 NYCRR Part 502, Floodplain Management Criteria for State Projects.	<input checked="" type="checkbox"/>	The project is not within a SFHA. A copy of the FIRM map, with the Panel Number and Effective Date is included.
C. <u>Zoning:</u> C1. Projects must conform to local land use plans and receive all necessary zoning and site plan approvals and permits.	<input checked="" type="checkbox"/>	The project will not require zoning modifications, variances or special use permit for issuance of building permit. See attached documentation.
C2. Projects that result in a change in the building use must be identified.	<input type="checkbox"/>	The existing use of the building is commercial; the proposed use of the building is commercial.
D. <u>Coastal Zones:</u> 19 NYCRR Part 600, Coastal Zone Management	<input checked="" type="checkbox"/>	The project is located within the coastal zone but is not a Type I or Unlisted action.
E. <u>Site Contamination- Hazardous Materials:</u> Projects funded under the Program must be free of hazardous materials which could affect the health and safety of the occupants or conflict with the intended utilization of the property.	<input checked="" type="checkbox"/>	An environmental professional has certified that the project site is free of hazardous materials, contamination, toxic chemicals and gases, and radioactive substances. Documentation is attached."
F. <u>Lead Based Paint:</u> All activities impacting dwelling units or child occupied facilities must be free from the hazards posed by lead-based paint. <i>Refer to the Program Policy for Lead-Based Paint.</i>	<input checked="" type="checkbox"/>	The project involves exterior renovations or interior commercial renovations only. Window openings into residential or child-occupied facility floors will not be impacted as part of the project scope of work .
G. <u>Asbestos Containing Materials</u> NYS Department of Labor at 12 NYCRR Part 56	<input type="checkbox"/>	Asbestos Containing Materials (ACM) that will be disturbed as part of program activities will be handled and disposed of according to NYS Department of Labor requirements at 12 NYCRR Part 56 and local regulations.

H. Radon EPA map of Radon Zones; EPA Radon Mitigation Standards	<input type="checkbox"/>	The project does not include construction or rehabilitation of residential units or common areas.
I. Wetlands: 6 NYCRR Part 182, Freshwater Wetlands Permit Requirements and, Section 404 of the Clean Water Act	<input type="checkbox"/>	The program does not involve new construction, ground disturbance or is entirely within an urban, built-up area.

J. Endangered Species: 6 NYCRR Part 182, Endangered and Threatened Species	<input checked="" type="checkbox"/>	The project is in a built up urban area, does not involve new construction, ground disturbance or tree cutting. The NYSDEC Environmental Resource Map is attached.
K. Agricultural Districts: Agriculture and Markets Law Article 25-AA, Sections 303 and 304, Agricultural Districts	<input type="checkbox"/>	The program is not located in an agricultural district, does not involve any activities with potential to convert farmland to nonagricultural use and does not require an Agricultural Data Statement.

Individual site specific checklists may require more detailed supporting documentation and review in circumstances such as:

- substantial improvement in a flood zone;
- projects in, or adjacent to, Agricultural Districts;
- work on a building determined by SHPO to have historic or cultural significance;
- ground disturbance;
- zoning changes;
- a change in actual building use (whether or not this change is locally regulated);
- if the work constitutes a SEQR Unlisted action.

Certification

I am authorized to execute contract materials for the program award to the Local Program Administrator (LPA) named above. I have read this Checklist and by signing this document agree with the statements made herein and agree that: (1) site specific checklists will be prepared and submitted to the OCR for each project site and additional documentation will be provided as necessary for the circumstances listed above; (2) project activities will be conducted in conformance with the described compliance procedures; (3) an environmental determination letter or approval from OCR will be received before taking any physical action on a site or incurring costs related to a specific activity; and, (4) costs incurred for activities completed prior to the SEQR determination and submission of the site-specific checklist will not be eligible for reimbursement.

Signature:

Printed Name: William Barlow, Jr.

Title: Mayor

Date: _____

Prepared by: _____

Title: _____

Phone: _____

Email: _____



Parks, Recreation, and Historic Preservation

ANDREW M. CUOMO
Governor

ROSE HARVEY
Commissioner

October 29, 2018

Mr. Tim Stahl
Deputy Director
City of Oswego
44 East Bridge Street
Oswego, NY 13126

Re: HTFMS
Oswego DRI - 205 West First Street
205 West 1st Street, Oswego, NY 13126
18PR06936
DRI Project No. 20170236

Dear Mr. Stahl:

Thank you for requesting the comments of the Division for Historic Preservation of the Office of Parks, Recreation and Historic Preservation (OPRHP). We have reviewed the submitted materials in accordance with the New York State Historic Preservation Act of 1980 (section 14.09 of the New York Parks, Recreation and Historic Preservation Law). These comments are those of the Division for Historic Preservation and relate only to Historic/Cultural resources. They do not include potential environmental impacts to New York State Parkland that may be involved in or near your project. Such impacts must be considered as part of the environmental review of the project pursuant to the State Environmental Quality Review Act (New York Environmental Conservation Law Article 8) and its implementing regulations (6NYCRR Part 617).

We note that 205 West 1st Street is eligible for listing in the State and National Registers of Historic Places as a contributing building to a potential commercial historic district.

We have reviewed the project submission received on 10/24/2018. In order to continue our review, the OPRHP requests the following additional information:

- Please clarify what the material of the proposed decorative façade panels will be, and provide manufacturer's product information sheets if available.

We would appreciate the requested information be provided via our Cultural Resource Information System (CRIS) at www.nysparks.com/shpo/online-tools/. Once on the CRIS site, you can log in as a guest and choose "submit" at the very top menu. Next choose "submit new information for an existing project." You will need this project number (18PR06936) and your e-mail address. If you have any questions, I can be reached at (518) 268-2217.

Sincerely,

Christina Vagvolgyi
Historic Preservation Technical Specialist
e-mail: christina.vagvolgyi@parks.ny.gov

via e-mail only

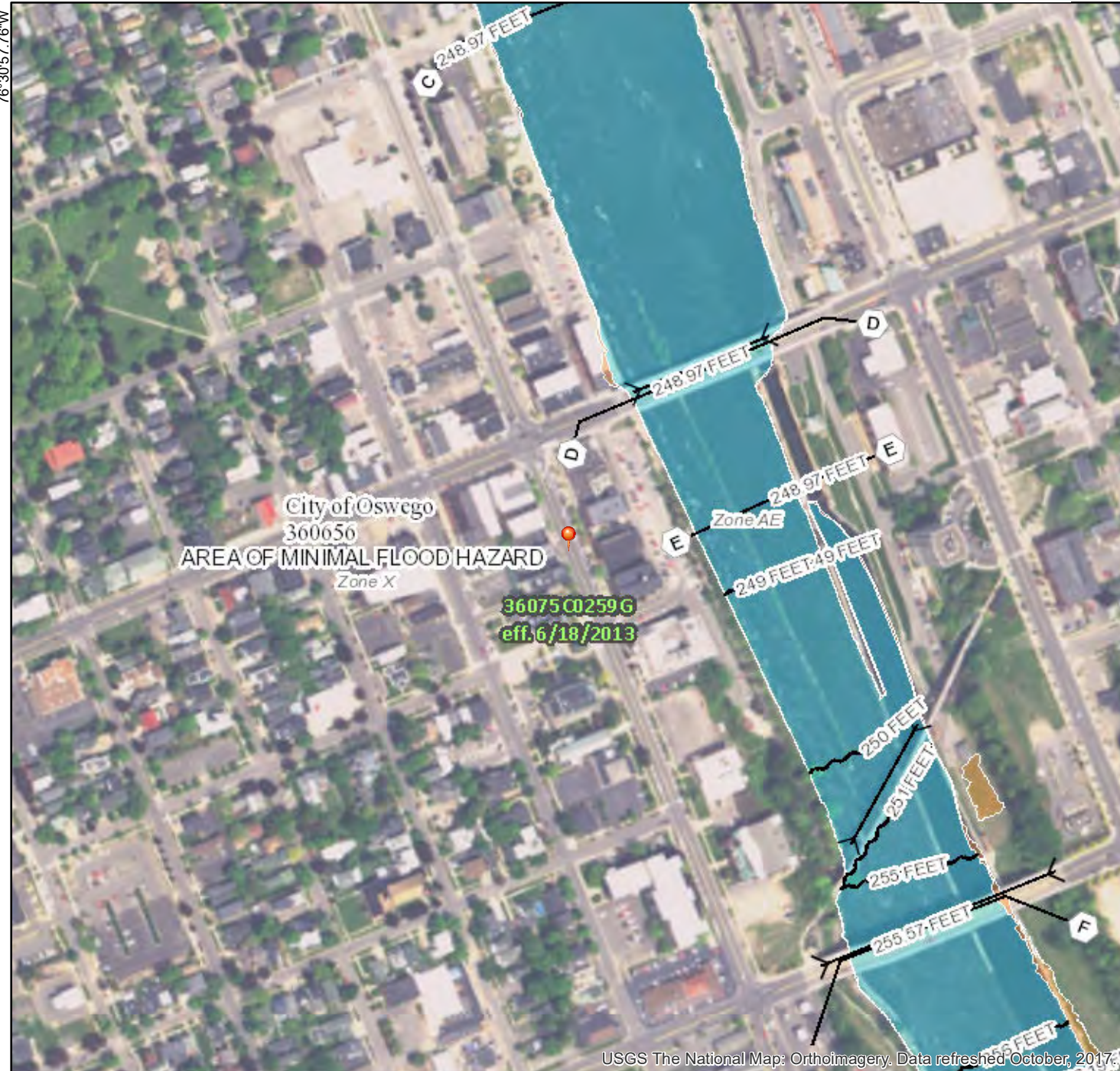
Division for Historic Preservation

P.O. Box 189, Waterford, New York 12188-0189 • (518) 237-8643 • www.nysparks.com

National Flood Hazard Layer FIRMette



43°27'34.25"N



USGS The National Map: Orthoimagery. Data refreshed October, 2017. 1:6,000

Legend

SEE FIS REPORT FOR DETAILED LEGEND AND INDEX MAP FOR FIRM PANEL LAYOUT

SPECIAL FLOOD HAZARD AREAS		Without Base Flood Elevation (BFE) Zone A, V, A99
		With BFE or Depth Zone AE, AO, AH, VE, AR
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OTHER AREAS OF FLOOD HAZARD		0.2% Annual Chance Flood Hazard, Areas of 1% annual chance flood with average depth less than one foot or with drainage areas of less than one square mile Zone X
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		Area with Reduced Flood Risk due to Levee. See Notes. Zone X
		Area with Flood Risk due to Levee Zone D
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		Jurisdiction Boundary
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MAP PANELS		Profile Baseline
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		No Digital Data Available
		Unmapped



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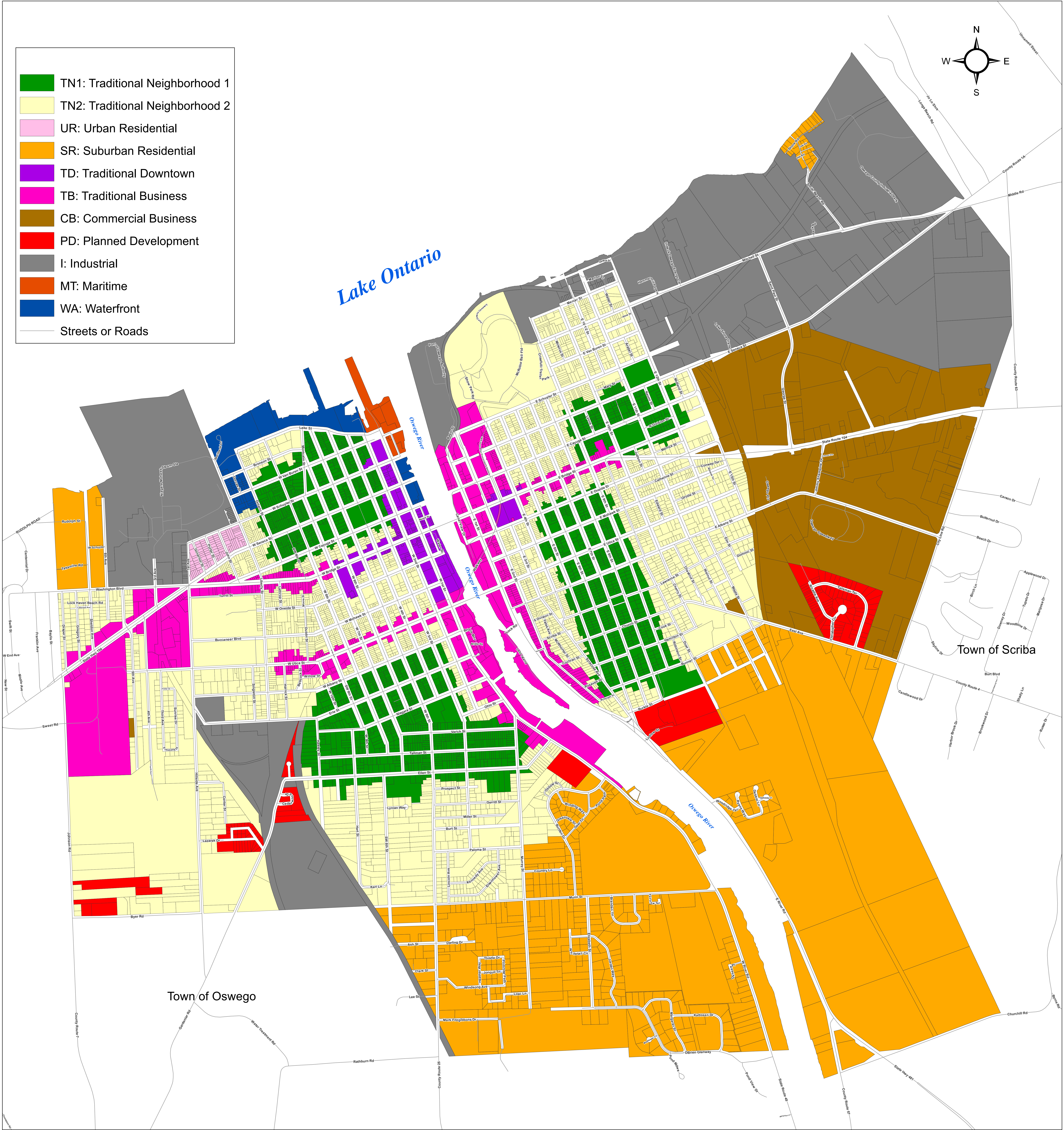
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City of Oswego Zoning Map

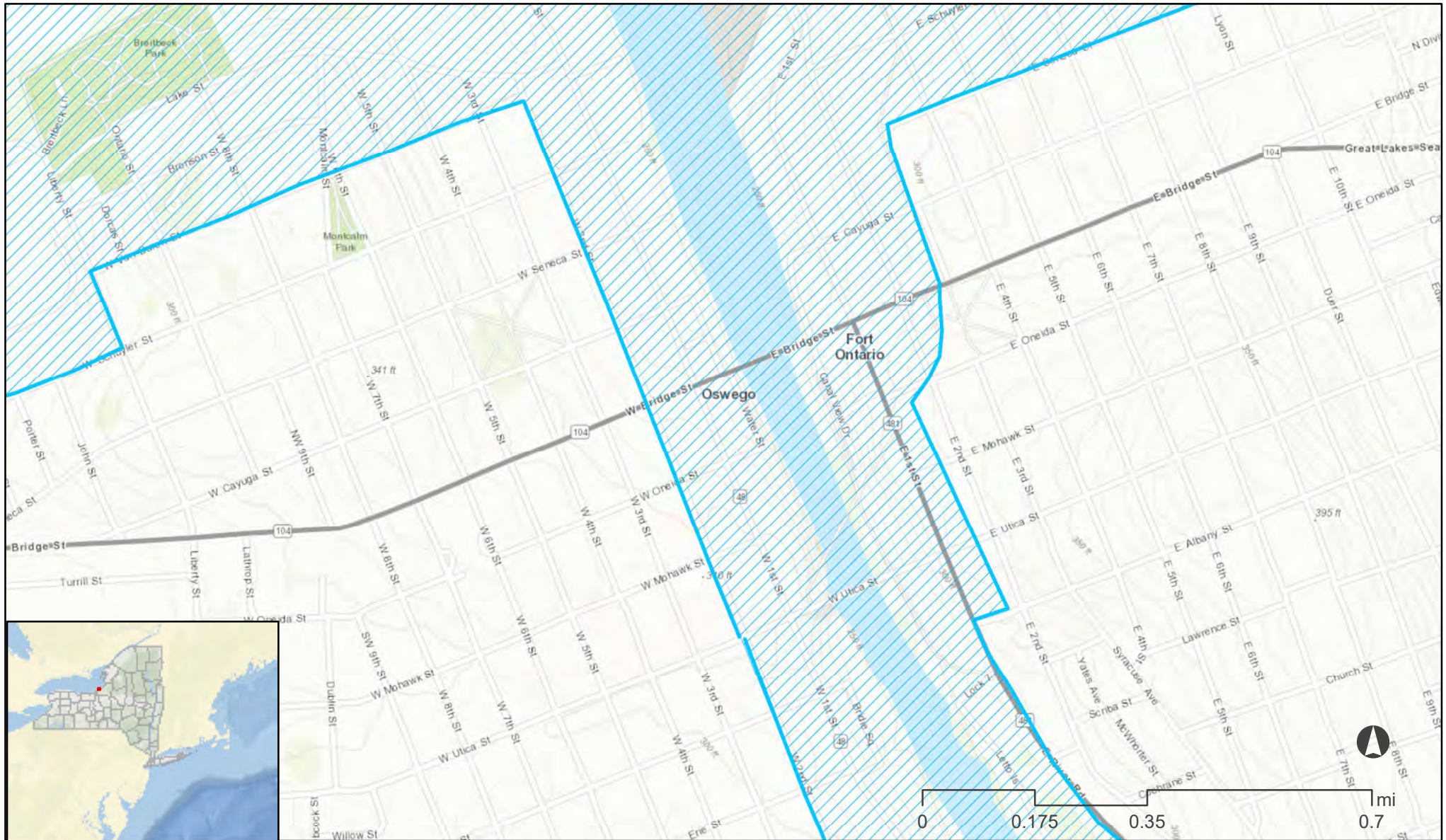


For:
City of Oswego
Zoning & Planning Office
13 West Oneida Street
Oswego, NY 13126
(315) 342-8153

0 650 1,300 2,600 3,900 5,200 Feet

By:
Oswego County Community Development, Tourism & Planning
46 East Bridge Street
Oswego, NY 13126
(315)349-8295
Karen B. Noyes, AICP
April 8, 2019

205 West 1st Street Coastal Boundary Map



— Coastal_Boundary_Polyline_update CoastalBoundary_Polygon_March2017



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of State**

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April 24th, 2019

Housing Trust Fund Corporation
OCR Managed Downtown Revitalization Initiative Projects (DRI)

Re: 205 West 1st Street, Oswego, New York – Environmental Compliance Handbook

To whom it may concern:

As per the Environmental Compliance Handbook, Section E: Site Contamination (Hazardous Materials), established by the Housing Trust Fund Corporation, the project site at 205 West 1st Street in Oswego, New York was examined for the potential presence of hazardous materials. The three (3) online databases that were used in this desktop review were the Nationwide Environmental Title Research (NETR) Database, the New York State Department of Environmental Conservation (NYSDEC) Environmental Site Database, and the United States Environmental Protection Agency (USEPA) EnviroFacts Database.

The project site is free of hazardous materials, contamination, toxic chemicals and gases, and radioactive substances which could affect the health and safety of occupants or users or conflict with the intended utilization of the property. I, Ariadna Cheremeteff, certify that I am an environmental professional as per ASTM 1527.

Please email if you have any questions.

Sincerely,

Ariadna Cheremeteff
BERGMANN
Environmental Discipline Leader
acheremeteff@bergmannpc.com



April 9th, 2019

Mr. Tim Stahl, Deputy Director
The City of Oswego – Office of Economic Development
44 East Bridge Street
Oswego, New York 13126

Re: Lead Based Paint Sampling Results – 205 West 1st Street, Oswego, New York

Dear Mr. Stahl,

Bergmann was retained by the City of Oswego to conduct Lead Based Paint (LBP) sampling on select sites in the City of Oswego as part of the Downtown Revitalization Initiative (DRI). The results in this letter and the information gathered during Bergmann's sampling is being used to satisfy Section F of the Housing Trust Fund Corporation Environmental Compliance Checklist (ECC). Below is a summary of the materials sampled by Bergmann using a Thermo XL2 980 X-Ray Fluorescence (XRF) ray. Bergmann took three (3) samples from various DRI sites throughout the City of Oswego that were submitted to Paradigm Environmental Services for laboratory confirmation of the accuracy of the XRF ray. No major discrepancies between the field XRF results and laboratory analytical results were observed. In addition to the summary below, attached you will find a frontal façade photograph depicting the exact locations of the paints that were screened by Bergmann.

The following paints at 205 West 1st Street, Oswego, NY were screened by Bergmann using an XRF on March 29th, 2019:

- Red shingle façade paint (negative)
- White painted window trim (negative)
- Beige window trim (positive, 1.48% lead, confirmed by laboratory analysis).

Inconclusive results were obtained while using the XRF to screen the beige painted door trim. Because of this, Bergmann obtained a physical sample of the paint and submitted it for laboratory analysis. The sample was analyzed by Paradigm Environmental Services in Rochester, New York and was confirmed positive for lead (1.48% lead).

The Federal Department of Housing and Urban Development (HUD) has established guidelines for the identification of lead based paint. Paint containing a concentration equal to or greater than 0.5% by weight (5,000 parts per million or 5,000 ppm) is to be considered lead-based paint. Given these values established by the HUD, all paint identified above as "positive" is considered to be lead based paint and must be abated to satisfy Section F of the Environmental Compliance Checklist (ECC).

In addition, the laboratory analytical report and chain-of-custody form is included as an attachment to the letter.

Should any additional layers of paint be found during the abatement or renovation process, they are to be sampled via XRF or laboratory analyses to confirm or deny the presence of lead at levels exceeding the HUD action level of 0.5% by weight.



BERGMANN
ARCHITECTS ENGINEERS PLANNERS

Please email if you have any questions.

Sincerely,
Bergmann



CASH R. BLEIER
Environmental Scientist
cbleier@bergmannpc.com

Attachment 1: Exterior Sample Location Photo

Attachment 2: Laboratory Results for Beige Door Trim LBP Sample



BERGMANN

ARCHITECTS ENGINEERS PLANNERS



POSITIVE (1.48% Lead)

NEGATIVE

NEGATIVE



PARADIGM
ENVIRONMENTAL SERVICES, INC.

Analytical Report For
Bergmann Associates

For Lab Project ID

191278

Referencing

Oswego DRI

Prepared

Monday, April 8, 2019

Any noncompliant QC parameters or other notes impacting data interpretation are flagged or documented on the final report or are noted below.

A handwritten signature in black ink, appearing to read "R. R. G. L.", is written over a horizontal line.

Certifies that this report has been approved by the Technical Director or Designee

179 Lake Avenue • Rochester, NY 14608 • (585) 647-2530 • Fax (585) 647-3311 • ELAP ID# 10958

This report is part of a multipage document and should only be evaluated in its entirety. The Chain of Custody provides additional sample information, including compliance with the sample condition requirements upon receipt.

Report Prepared Monday, April 8, 2019

Page 1 of 8



Lab Project ID: 191278

Client: **Bergmann Associates**

Project Reference: Oswego DRI

Sample Identifier: 205 West 1st LBP (Exterior), Beige Door

Lab Sample ID: 191278-01

Date Sampled: 3/29/2019

Matrix: Paint

Date Received: 4/1/2019

Lead

<u>Analyte</u>	<u>Result</u>	<u>Units</u>	<u>Qualifier</u>	<u>Date Analyzed</u>
Lead	1.48	%		4/5/2019 11:21
Method Reference(s): EPA 6010C EPA 3050B				
Preparation Date: 4/4/2019				
Data File: 190405A				



Analytical Report Appendix

The reported results relate only to the samples as they have been received by the laboratory.

Each page of this document is part of a multipage report. This document may not be reproduced except in its entirety, without the prior consent of Paradigm Environmental Services, Inc.

All soil/sludge samples have been reported on a dry weight basis, unless qualified "reported as received". Other solids are reported as received.

Low level Volatiles blank reports for soil/solid matrix are based on a nominal 5 gram weight. Sample results and reporting limits are based on actual weight, which may be more or less than 5 grams.

The Chain of Custody provides additional information, including compliance with sample condition requirements upon receipt. Sample condition requirements are defined under the 2003 NELAC Standard, sections 5.5.8.3.1 and 5.5.8.3.2.

NYSDOH ELAP does not certify for all parameters. Paradigm Environmental Services or the indicated subcontracted laboratory does hold certification for all analytes where certification is offered by ELAP unless otherwise specified. Aliquots separated for certain tests, such as TCLP, are indicated on the Chain of Custody and final reports with an "A" suffix.

Data qualifiers are used, when necessary, to provide additional information about the data. This information may be communicated as a flag or as text at the bottom of the report. Please refer to the following list of analyte-specific, frequently used data flags and their meaning:

"<" = Analyzed for but not detected at or above the quantitation limit.

"E" = Result has been estimated, calibration limit exceeded.

"Z" = See case narrative.

"D" = Sample, Laboratory Control Sample, or Matrix Spike Duplicate results above Relative Percent Difference limit.

"M" = Matrix spike recoveries outside QC limits. Matrix bias indicated.

"B" = Method blank contained trace levels of analyte. Refer to included method blank report.

"J" = Result estimated between the quantitation limit and half the quantitation limit.

"L" = Laboratory Control Sample recovery outside accepted QC limits.

"P" = Concentration differs by more than 40% between the primary and secondary analytical columns.

"NC" = Not calculable. Applicable to RPD if sample or duplicate result is non-detect or estimated (see primary report for data flags). Applicable to MS if sample is greater or equal to ten times the spike added. Applicable to sample surrogates or MS if sample dilution is 10x or higher.

"" = Indicates any recoveries outside associated acceptance windows. Surrogate outliers in samples are presumed matrix effects. LCS demonstrates method compliance unless otherwise noted.*

"(1)" = Indicates data from primary column used for QC calculation.

"A" = denotes a parameter for which ELAP does not offer approval as part of their laboratory certification program.

"F" = denotes a parameter for which Paradigm does not carry certification, the results for which should therefore only be used where ELAP certification is not required, such as personal exposure assessment.

This report is part of a multipage document and should only be evaluated in its entirety. The Chain of Custody provides additional sample information, including compliance with the sample condition requirements upon receipt.

GENERAL TERMS AND CONDITIONS

LABORATORY SERVICES

These Terms and Conditions embody the whole agreement of the parties in the absence of a signed and executed contract between the Laboratory (LAB) and Client. They shall supersede all previous communications, representations, or agreements, either verbal or written, between the parties. The LAB specifically rejects all additional, inconsistent, or conflicting terms, whether printed or otherwise set forth in any purchase order or other communication from the Client to the LAB. The invalidity or unenforceability in whole or in part of any provision, term or condition hereof shall not affect in any way the validity or enforceability of the remainder of the Terms and Conditions. No waiver by LAB of any provision, term, or condition hereof or of any breach by or obligation of the Client hereunder shall constitute a waiver of such provision, term, or condition on any other occasion or a waiver of any other breach by or obligation of the Client. This agreement shall be administered and interpreted under the laws of the state which services are procured.

Warranty.

Recognizing that the nature of many samples is unknown and that some may contain potentially hazardous components, LAB warrants only that it will perform testing services, obtain findings, and prepare reports in accordance with generally accepted analytical laboratory principles and practices at the time of performance of services. LAB makes no other warranty, express or implied.

Scope and Compensation.

LAB agrees to perform the services described in the chain of custody to which these terms and conditions are attached. Unless the parties agree in writing to the contrary, the duties of LAB shall not be construed to exceed the services specifically described. LAB will use LAB default method for all tests unless specified otherwise on the Work Order.

Payment terms are net 30 days from the date of invoice. All overdue payments are subject to an interest charge of one and one-half percent (1-1/2%) per month or a portion thereof. Client shall also be responsible for costs of collection, including payment of reasonable attorney fees if such expense is incurred. The prices, unless stated, do not include any sale, use or other taxes. Such taxes will be added to invoice prices when required.

Prices.

Compensation for services performed will be based on the current Lab Analytical Fee Schedule or on quotations agreed to in writing by the parties. Turnaround time based charges are determined from the time of resolution of all work order questions. Testimony, court appearances or data compilation for legal action will be charged separately. Evaluation and reporting of initial screening runs may incur additional fees.

Limitations of Liability.

In the event of any error, omission, or other professional negligence, the sole and exclusive responsibility of LAB shall be to re-perform the deficient work at its own expense and LAB shall have no other liability whatsoever. All claims shall be deemed waived unless made in writing and received by LAB within ninety (90) days following completion of services.

LAB shall have no liability, obligation, or responsibility of any kind for losses, costs, expenses, or other damages (including but not limited to any special, direct, incidental or consequential damages) with respect to LAB's services or results.

All results provided by LAB are strictly for the use of its clients and LAB is in no way responsible for the use of such results by clients or third parties. All reports should be considered in their entirety, and LAB is not responsible for the separation, detachment, or other use of any portion of these reports. Client may not assign the lab report without the written consent of the LAB.

Client covenants and agrees, at its/his/her sole expense, to indemnify, protect, defend, and save harmless the LAB from and against any and all damages, losses, liabilities, obligations, penalties, claims, litigation, demands, defenses, judgments, suits, actions, proceedings, costs, disbursements and/or expenses (including, without limitation attorneys' and experts' fees and disbursements) of any kind whatsoever which may at any time be imposed upon, incurred by or asserted or awarded against client relating to, resulting from or arising out of (a) the breach of this agreement by this client, (b) the negligence of the client in handling, delivering or disclosing any hazardous substance, (c) the violation of the Client of any applicable law, (d) non-compliance by the Client with any environmental permit or (e) a material misrepresentation in disclosing the materials to be tested.

Hazard Disclosure.

Client represents and warrants that any sample delivered to LAB will be preceded or accompanied by complete written disclosure of the presence of any hazardous substances known or suspected by Client. Client further warrants that any sample containing any hazardous substance that is to be delivered to LAB will be packaged, labeled, transported, and delivered properly and in accordance with applicable laws.

Sample Handling.

Prior to LAB's acceptance of any sample (or after any revocation of acceptance), the entire risk of loss or of damage to such sample remains with Client. Samples are accepted when receipt is acknowledged on chain of custody documentation. In no event will LAB have any responsibility for the action or inaction of any carrier shipping or delivering any sample to or from LAB premises.

Client authorizes LAB to proceed with the analysis of samples as received by the laboratory, recognizing that any samples not in compliance with all current DOH-ELAP-NELAP requirements for containers, preservation or holding time will be noted as such on the final report.

Disposal of hazardous waste samples is the responsibility of the Client. If the Client does not wish such samples returned, LAB may add storage and disposal fees to the final invoice. Maximum storage time for samples is 30 days after completion of analysis unless modified by applicable state or federal laws. Client will be required to give the LAB written instructions concerning disposal of these samples.

LAB reserves the absolute right, exercisable at any time, to refuse to receive delivery of, refuse to accept, or revoke acceptance of any sample, which, in the sole judgment of LAB (a) is of unsuitable volume, (b) may be or become unsuitable for or may pose a risk in handling, transport, or processing for any health, safety, environmental or other reason whether or not due to the presence in the sample of any hazardous substance, and whether or not such presence has been disclosed to LAB by Client or (c) if the condition or sample date make the sample unsuitable for analysis.

Legal Responsibility.

LAB is solely responsible for performance of this contract, and no affiliated company, director, officer, employee, or agent shall have any legal responsibility hereunder, whether in contract or tort including negligence.

Assignment.

LAB may assign its performance obligations under this contract to other parties, as it deems necessary. LAB shall disclose to Client any assignee (subcontractor) by ELAP ID # on the submitted final report.

Force Majeure.

LAB shall have no responsibility or liability to the Client for any failure or delay in performance by LAB, which results in whole or in part from any cause or circumstance beyond the reasonable control of LAB. Such causes and circumstances shall include, but not limited to, acts of God, acts or orders of any government authority, strikes or other labor disputes, natural disasters, accidents, wars, civil disturbances, difficulties or delays in transportation, mail or delivery services, inability to obtain sufficient services or supplies from LAB's usual suppliers, or any other cause beyond LAB's reasonable control.

Law.

This contract shall be continued under the laws of the State of New York without regard to its conflicts of laws provision.

This report is part of a multipage document and should only be evaluated in its entirety. The Chain of Custody provides additional sample information, including compliance with the sample condition requirements upon receipt.



EE 97153d

Turnaround Time		Report Supplements	
Availability contingent upon lab approval; additional fees may apply.			
Standard 5 day	<input checked="" type="checkbox"/>	None Required	<input checked="" type="checkbox"/>
10 day	<input type="checkbox"/>	Batch QC	<input type="checkbox"/>
Rush 3 day	<input type="checkbox"/>	Category A	<input type="checkbox"/>
Rush 2 day	<input type="checkbox"/>	Category B	<input type="checkbox"/>
Rush 1 day	<input type="checkbox"/>		
Other	<input type="checkbox"/>	Other	<input type="checkbox"/>
please indicate date needed:		please indicate package needed:	
_____		_____	

Total Cost:

P.I.F.

See additional page for sample conditions.



Chain of Custody Supplement

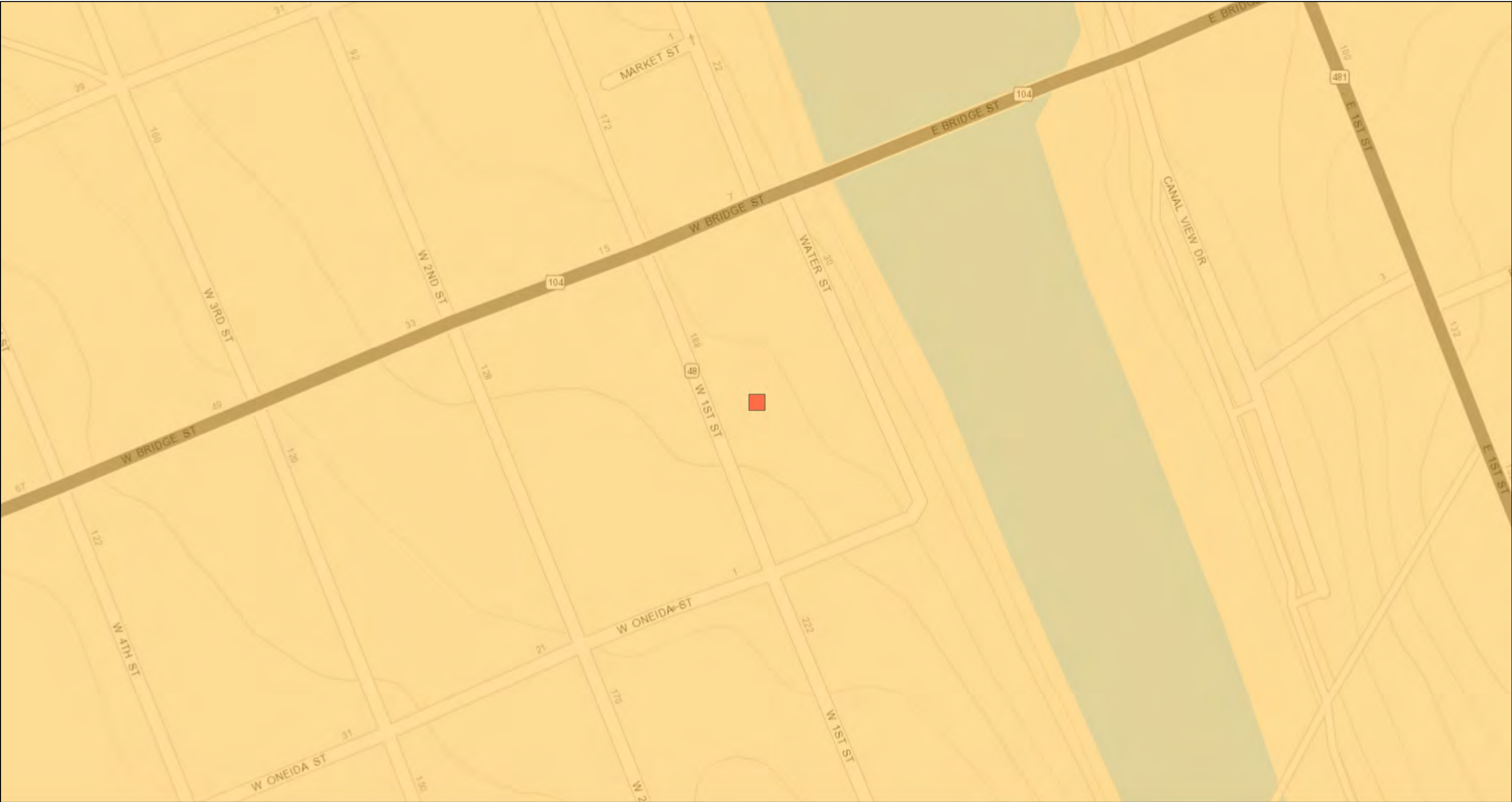
Client:	<u>Bergmann</u>	Completed by:	<u>Molly Nail</u>
Lab Project ID:	<u>191278</u>	Date:	<u>4/19/19</u>

Sample Condition Requirements

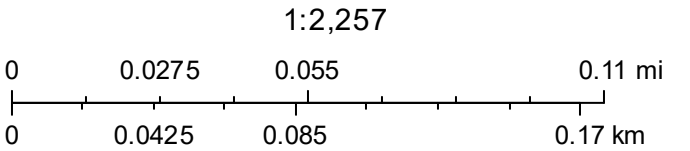
Per NELAC/ELAP 210/241/242/243/244

NELAC compliance with the sample condition requirements upon receipt			
Condition	Yes	No	N/A
Container Type	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Comments	<div style="border-bottom: 1px solid black; height: 15px; width: 100%;"></div>		
Transferred to method-compliant container	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Headspace (<1 mL)	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Comments	<div style="border-bottom: 1px solid black; height: 15px; width: 100%;"></div>		
Preservation	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Comments	<div style="border-bottom: 1px solid black; height: 15px; width: 100%;"></div>		
Chlorine Absent (<0.10 ppm per test strip)	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Comments	<div style="border-bottom: 1px solid black; height: 15px; width: 100%;"></div>		
Holding Time	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Comments	<div style="border-bottom: 1px solid black; height: 15px; width: 100%;"></div>		
Temperature	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Comments	<div style="border-bottom: 1px solid black; height: 15px; width: 100%;"></div>		
Sufficient Sample Quantity	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Comments	<div style="border-bottom: 1px solid black; height: 15px; width: 100%;"></div>		

205 West 1st St NYSDEC Endangered and Threatened Species Map



February 8, 2019



Sources: Esri, HERE, Garmin, Intermap, increment P Corp., GEBCO, USGS, FAO, NPS, NRCAN, GeoBase, IGN, Kadaster NL, Ordnance Survey, Esri Japan, METI, Esri China (Hong Kong), swisstopo, © OpenStreetMap contributors, and the GIS User Community

HOUSING TRUST FUND CORPORATION
Program Description Form

Office of Community Renewal (OCR) state funded programs including:
Adirondack Community Housing Trust (AHT), Buffalo Main Streets Initiative (BMSI), OCR Managed Downtown Revitalization Initiative (DRI), New York Main Street (NYMS), Rural Area Revitalization Projects (RARP), and Urban Initiatives (UI).

Local Program Administrator (LPA): City of Oswego

Funding Program: DRI

Program Municipality: Oswego

SHARS ID: 20170236

Program County: Oswego

Check all activities that apply to the Program or Project:

- | | |
|------------------------------------------------------------------|---------------------------------------------|
| <input checked="" type="checkbox"/> Façade/Storefront Renovation | <input type="checkbox"/> Streetscape |
| <input checked="" type="checkbox"/> Interior Building Renovation | <input type="checkbox"/> New Construction |
| <input type="checkbox"/> Commercial | <input type="checkbox"/> Site Work |
| <input checked="" type="checkbox"/> Residential | <input type="checkbox"/> Ground Disturbance |

For Site-Specific Review, outline the specific scope of work for the project(s). The formal scope of work should also be attached.

Project will create 2,000 sq ft. 2 apartments, replace windows, replace signage and create outside seating barrier

Description of Target Area:

Describe the target area or project site location and attach a map of the target area or project site.

209 West 1st Street within Oswego Downtown Revitalization Initiative Boundary Area

Environmental Compliance Areas/ SEQR Classification Evaluation:

Specifically identify if any of the following activities will or may occur as part of this program: substantial improvement in a flood zone; projects in or adjacent to Agricultural Districts; work on a building determined by SHPO to have historic or cultural significance; ground disturbance; zoning changes, change in actual building use.

See attached Environmental Compliance Review form

Primary Contact for Environmental Review Issues:

Justin Rudgick, Economic Development Director, City of Oswego

Prepared by: _____

Title: _____

Date: _____

Phone Number: _____

Email Address: _____

HOUSING TRUST FUND CORPORATION
Environmental Compliance Checklist

Office of Community Renewal (OCR) state funded programs including:
Adirondack Community Housing Trust (AHT), Buffalo Main Streets Initiative (BMSI), OCR Managed Downtown Revitalization Initiative (DRI), New York Main Street (NYMS), Rural Area Revitalization Projects (RARP), and Urban Initiatives (UI).

Local Program Administrator (LPA): City of Oswego

Funding DRI **Project Municipality:** Oswego

Program:
SHARS ID: 20170236 **Project County:** Oswego

Review Type: Site Specific **Project Address (if site specific):** 209 West 1st Street

Compliance Area	Attachments Included	Compliance Procedures
A. <u>Historic/Cultural Resources:</u> Section 14.09 of the Parks, Recreation and Historic Preservation Law.	<input checked="" type="checkbox"/>	SHPO identified specific conditions as described in the attached determination. The conditions will be incorporated into the project scope of work.
B. <u>Flood Plains:</u> 6 NYCRR Part 502, Floodplain Management Criteria for State Projects.	<input checked="" type="checkbox"/>	The project is not within a SFHA. A copy of the FIRM map, with the Panel Number and Effective Date is included.
C. <u>Zoning:</u> C1. Projects must conform to local land use plans and receive all necessary zoning and site plan approvals and permits.	<input checked="" type="checkbox"/>	The project will not require zoning modifications, variances or a special use permit for issuance of a building permit. See attached documentation.
C2. Projects that result in a change in the building use must be identified.	<input type="checkbox"/>	The existing use of the building is commercial; the proposed use of the building is commercial/residential.
D. <u>Coastal Zones:</u> 19 NYCRR Part 600, Coastal Zone Management	<input checked="" type="checkbox"/>	The project is located within the coastal zone but is not a Type I or Unlisted action.
E. <u>Site Contamination- Hazardous Materials:</u> Projects funded under the Program must be free of hazardous materials which could affect the health and safety of the occupants or conflict with the intended utilization of the property.	<input type="checkbox"/>	Any building construction or renovation and/or streetscape activity within the program's target area will be assessed to determine its environmental condition. If the condition of the property is unknown, or a possible environmental hazard is suspected, the LPA will proceed with the advice and guidance of an 'environmental professional.' If so advised, further study will be completed as recommended.
F. <u>Lead Based Paint:</u> All activities impacting dwelling units or child occupied facilities must be free from the hazards posed by lead-based paint. <i>Refer to the Program Policy for Lead-Based Paint.</i>	<input type="checkbox"/>	Any projects that will involve the disturbance of painted surfaces will be evaluated using the Program Policy for Lead-Based Paint.
G. <u>Asbestos Containing Materials</u> NYS Department of Labor at 12 NYCRR Part 56	<input type="checkbox"/>	Asbestos Containing Materials (ACM) that will be disturbed as part of program

		activities will be handled and disposed of according to NYS Department of Labor requirements at 12 NYCRR Part 56 and local regulations.
H. <u>Radon</u> EPA map of Radon Zones; EPA Radon Mitigation Standards	<input type="checkbox"/>	The target area is located in a zone with moderate or high potential for radon levels to exceed the U.S. EPA action level (4pCi/L or higher). New construction or rehabilitation of residential units and common areas will include post-renovation testing and if elevated levels are found, a radon mitigation system will be installed in accordance with EPA Radon Mitigation Standards.
I. <u>Wetlands:</u> 6 NYCRR Part 663, Freshwater Wetlands Permit Requirements and, Section 404 of the Clean Water Act	<input type="checkbox"/>	The program does not involve new construction, ground disturbance or is entirely within an urban, built-up area.

J. <u>Endangered Species:</u> 6 NYCRR Part 182, Endangered and Threatened Species	<input checked="" type="checkbox"/>	The project is in a built up urban area or does not involve new construction, ground disturbance, or tree cutting. The NYSDEC Environmental Resource Map is attached.
K. <u>Agricultural Districts:</u> Agriculture and Markets Law Article 25-AA, Sections 303 and 304, Agricultural Districts	<input type="checkbox"/>	The program is not located in an agricultural district, does not involve any activities with potential to convert farmland to nonagricultural use and does not require an Agricultural Data Statement.

Individual site specific checklists may require more detailed supporting documentation and review in circumstances such as:

- substantial improvement in a flood zone;
- projects in, or adjacent to, Agricultural Districts;
- work on a building determined by SHPO to have historic or cultural significance;
- ground disturbance;
- zoning changes;
- a change in actual building use (whether or not this change is locally regulated);
- if the work constitutes a SEQR Unlisted action.

Certification

I am authorized to execute contract materials for the program award to the Local Program Administrator (LPA) named above. I have read this Checklist and by signing this document agree with the statements made herein and agree that: (1) site specific checklists will be prepared and submitted to the OCR for each project site and additional documentation will be provided as necessary for the circumstances listed above; (2) project activities will be conducted in conformance with the described compliance procedures; (3) an environmental determination letter or approval from OCR will be received before taking any physical action on a site or incurring costs related to a specific activity; and, (4) costs incurred for activities completed prior to the SEQR determination and submission of the site-specific checklist will not be eligible for reimbursement.

Signature:

Printed Name: William Barlow, Jr.**Title:** Mayor**Date:** _____**Prepared by:** _____**Title:** _____**Phone:** _____**Email:** _____



Parks, Recreation, and Historic Preservation

ANDREW M. CUOMO
Governor

ROSE HARVEY
Commissioner

October 23, 2018

Mr. Tim Stahl
Deputy Director
City of Oswego
44 East Bridge Street
Oswego, NY 13126

Re: NYSHCR
Oswego DRI - 207-209 West 1st Street
207 West First Street, Oswego, NY 13126
18PR06803
DRI Project No. 20170236

Dear Mr. Stahl:

Thank you for requesting the comments of the New York State Historic Preservation Office (SHPO). We have reviewed the provided documentation in accordance with Section 106 of the National Historic Preservation Act of 1966. These comments are those of SHPO and relate only to Historic/Cultural resources. They do not include other environmental impacts to New York State Parkland that may be involved in or near your project. Such impacts must be considered as part of the environmental review of the project pursuant to the National Environmental Policy Act and/or the State Environmental Quality Review Act (New York Environmental Conservation Law Article 8).

We note that 207-209 West 1st Street is eligible for listing in the State and National Registers of Historic Places.

We have reviewed the project submission received on 10/19/2018. In order to continue our review of this undertaking, the SHPO requests the following additional information:

1. A detailed description of the proposed project scope of work, including drawings and specifications, for all proposed work on the building exterior and interior. Please be sure to describe any work items that may impact original materials or finishes, and indicate on the drawings which windows are proposed for replacement. Please note that windows are considered character-defining features of historic buildings. Replacements should only be used when an existing unit is deteriorated beyond repair. If replacements are necessary, any new windows installed should match the historic units as closely as possible in material, size, profiles, and method of operation. Both interior and/or exterior storms are considered appropriate. Vinyl windows are typically not appropriate as they cannot acquire a sufficient match to the historic windows.
2. Clear color photographs illustrating all areas to be affected by interior and exterior work. Photos of the general project area should be accompanied by detail images where work

Division for Historic Preservation

P.O. Box 189, Waterford, New York 12188-0189 • (518) 237-8643 • www.nysparks.com

is proposed, particularly when a potentially historic feature is planned for replacement or alteration. All photographs should be labeled and keyed to a site or building plan indicating the location and direction of each image. If submitting a large number of photos, we recommend combining them into a single document (Word or PDF format) before uploading.

3. Cut sheets or manufacturer's product information sheets for any new materials proposed for installation on the building.
4. Please provide the name, address, phone number and email address of a contact person either at the New York State Homes and Community Renewal so that we may include them in our correspondence.

We would appreciate the requested information be provided via our Cultural Resource Information System (CRIS) at www.nysparks.com/shpo/online-tools/. Once on the CRIS site, you can log in as a guest and choose "submit" at the very top menu. Next choose "submit new information for an existing project." You will need this project number (18PR06803) and your e-mail address. If you have any questions, I can be reached at (518) 268-2217.

Sincerely,



Christina Vagvolgyi
Historic Preservation Technical Specialist
e-mail: christina.vagvolgyi@parks.ny.gov

via e-mail only

National Flood Hazard Layer FIRMette



Legend

SEE FIS REPORT FOR DETAILED LEGEND AND INDEX MAP FOR FIRM PANEL LAYOUT

SPECIAL FLOOD HAZARD AREAS		Without Base Flood Elevation (BFE) Zone A, V, A99
		With BFE or Depth Zone AE, AO, AH, VE, AR
		Regulatory Floodway
OTHER AREAS OF FLOOD HAZARD		0.2% Annual Chance Flood Hazard, Areas of 1% annual chance flood with average depth less than one foot or with drainage areas of less than one square mile Zone X
		Future Conditions 1% Annual Chance Flood Hazard Zone X
		Area with Reduced Flood Risk due to Levee. See Notes. Zone X
		Area with Flood Risk due to Levee Zone D
OTHER AREAS		Area of Minimal Flood Hazard Zone X
		Effective LOMRs
GENERAL STRUCTURES		Area of Undetermined Flood Hazard Zone D
		Channel, Culvert, or Storm Sewer
		Levee, Dike, or Floodwall
OTHER FEATURES		20.2 Cross Sections with 1% Annual Chance Water Surface Elevation
		17.5 Coastal Transect
		Base Flood Elevation Line (BFE)
		Limit of Study
		Jurisdiction Boundary
		Coastal Transect Baseline
MAP PANELS		Profile Baseline
		Hydrographic Feature
		Digital Data Available
		No Digital Data Available
		Unmapped



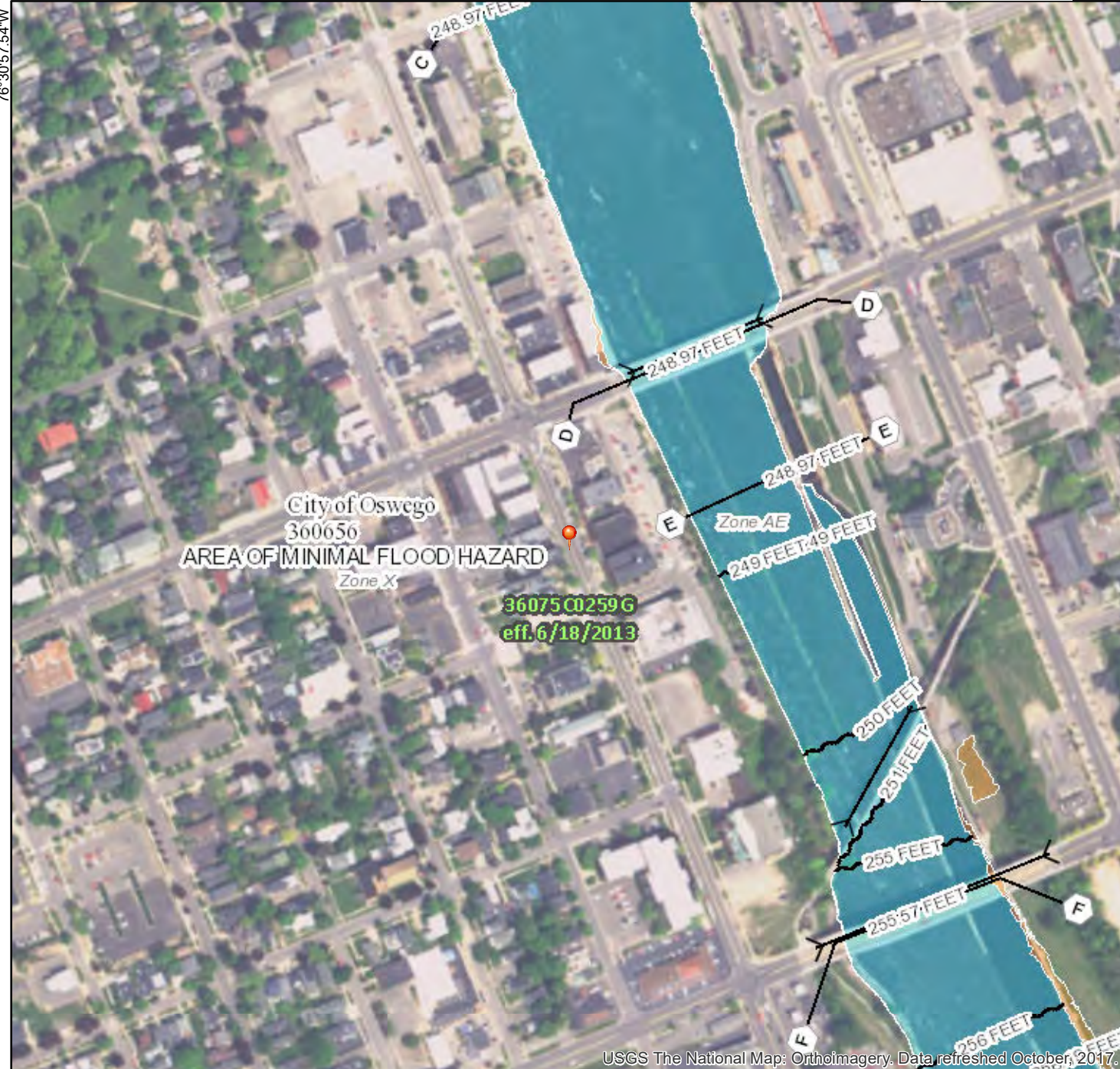
The pin displayed on the map is an approximate point selected by the user and does not represent an authoritative property location.

This map complies with FEMA's standards for the use of digital flood maps if it is not void as described below. The basemap shown complies with FEMA's basemap accuracy standards

The flood hazard information is derived directly from the authoritative NFHL web services provided by FEMA. This map was exported on **2/8/2019 at 2:02:30 PM** and does not reflect changes or amendments subsequent to this date and time. The NFHL and effective information may change or become superseded by new data over time.

This map image is void if the one or more of the following map elements do not appear: basemap imagery, flood zone labels, legend, scale bar, map creation date, community identifiers, FIRM panel number, and FIRM effective date. Map images for unmapped and unmodernized areas cannot be used for regulatory purposes.

43°27'33.83"N



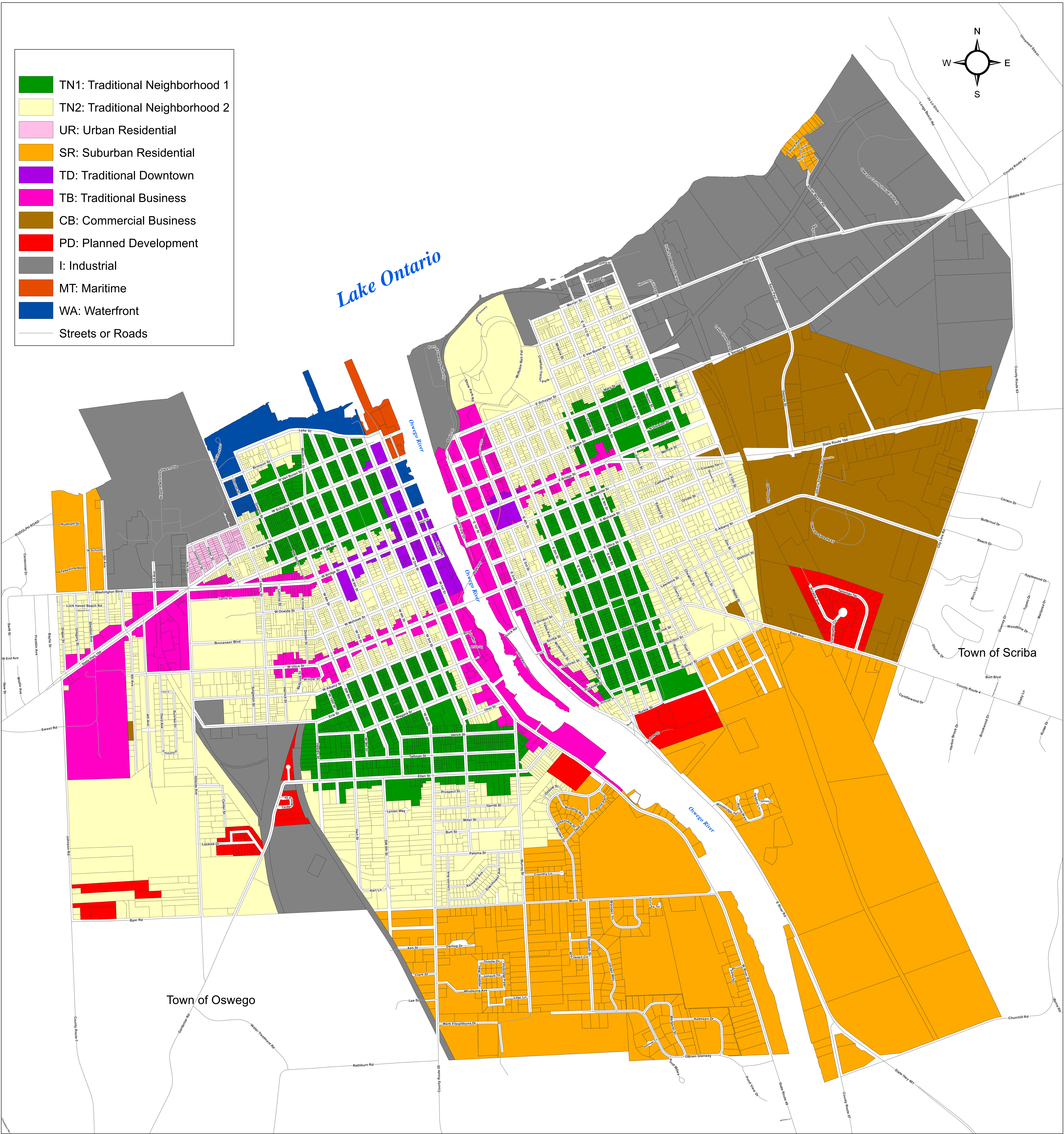
USGS The National Map: Orthoimagery. Data refreshed October, 2017

0 250 500 1,000 1,500 2,000 Feet 1:6,000

43°27'7.71"N

76°30'20.08"W

City of Oswego Zoning Map

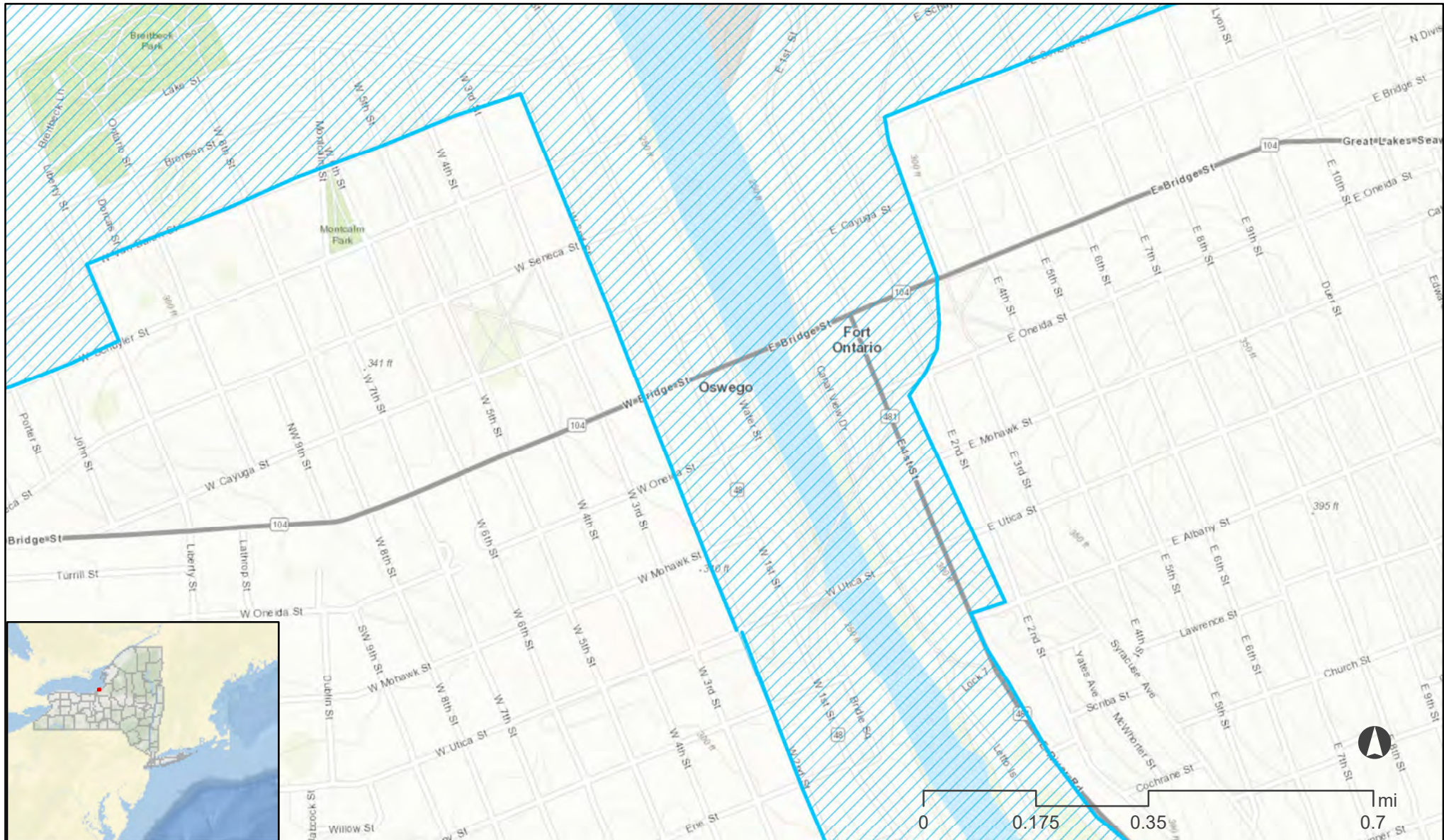


For:
City of Oswego
Zoning & Planning Office
13 West Oneida Street
Oswego, NY 13126
(315) 342-8153

0 650 1,300 2,600 3,900 5,200 Feet

By:
Oswego County Community Development, Tourism & Planning
46 East Bridge Street
Oswego, NY 13126
(315)349-8295
Karen B. Noyes, AICP
April 8, 2019

209 West 1st Street Coastal Boundary Map



— Coastal_Boundary_Polyline_update CoastalBoundary_Polygon_March2017



Department
of State

The New York Department of State (DOS) gives no warranty, expressed or implied, as to the accuracy, reliability, or completeness of data shown on this map product. DOS does not assume responsibility for the use or application of any information represented on this map nor responsibility for any error, omission or other discrepancy between the electronic and printed versions of documents.



April 23rd, 2019

Housing Trust Fund Corporation
OCR Managed Downtown Revitalization Initiative Projects (DRI)

Re: 209 West 1st Street, Oswego, New York – Environmental Compliance Handbook

To whom it may concern:

As per the Environmental Compliance Handbook, Section E: Site Contamination (Hazardous Materials), established by the Housing Trust Fund Corporation, the project site at 209 West 1st Street in Oswego, New York was examined for the potential presence of hazardous materials. A desktop review was utilized in order to satisfy the requirements of Section E. To do this, Bergmann conducted a diligent search using online databases. The three (3) online databases that were used in this desktop review were the Nationwide Environmental Title Research (NETR) Database, the New York State Department of Environmental Conservation (NYSDEC) Environmental Site Database, and the United States Environmental Protection Agency (USEPA) EnviroFacts Database.

The project site is free of hazardous materials, contamination, toxic chemicals and gases, and radioactive substances which could affect the health and safety of occupants or users or conflict with the intended utilization of the property. I, Ariadna Cheremeteff, certify that I am an environmental professional as per ASTM 1527.

Please email if you have any questions.

Sincerely,

Ariadna Cheremeteff
BERGMANN
Environmental Discipline Leader
acheremeteff@bergmannpc.com



April 23rd, 2019

Mr. Tim Stahl, Deputy Director
The City of Oswego – Office of Economic Development
44 East Bridge Street
Oswego, New York 13126

Re: Lead Based Paint Sampling Results – 209 West 1st Street, Oswego, New York

Dear Mr. Stahl,

Bergmann was retained by the City of Oswego to conduct Lead Based Paint (LBP) sampling on select sites in the City of Oswego as part of the Downtown Revitalization Initiative (DRI). The results in this letter and the information gathered during Bergmann's sampling is being used to satisfy Section F of the Housing Trust Fund Corporation Environmental Compliance Checklist (ECC). Below is a summary of the sampling conducted by Bergmann:

Bergmann personnel sampled interior paints. Three (3) paints were identified inside of the building at 209 West 1st Street that were sampled for laboratory analysis on March 21st, 2019. These samples were taken at this time for laboratory analysis identified these paints as potential LBPs during interior Asbestos Containing Materials (ACM) survey. These samples were analyzed by Paradigm Environmental Services in Rochester, New York. The following samples were taken from the interior of 209 West 1st Street:

- White paint on wall above stairway entrance, southern side (positive, 8.45% lead).
- Paint on window trim of western wall (positive 0.503% lead).
- Paint on window trim around eastern wall windows (positive, 5.36% lead).

The condition of the sampled paints were also examined. The conditions of the sampled paints are summarized below:

Paint Sample	Sample Condition (Good, Fair, Poor)
White paint on wall above stairway entrance	Good
Paint on window trim of western wall	Good
Paint on window trim around eastern wall windows	Good

The Federal Department of Housing and Urban Development (HUD) has established guidelines for the identification of lead based paint. Paint containing a concentration equal to or greater than 0.5% by weight (5,000 parts per million or 5,000 ppm) is to be considered lead-based paint. Given these values established by the HUD, all paint identified above as "positive" is considered to be lead based paint and must be abated to satisfy Section F of the Environmental Compliance Checklist (ECC). As per the request of New York State Homes and Community Renewal (NYSHCR), Bergmann has attached a Worker Protection Plan that details specific lead hazard exposure control recommendations. This plan is included in Attachment 4 of this summary letter.

In addition, the laboratory analytical report and chain-of-custody form is included as an attachment to the letter.



BERGMANN

ARCHITECTS ENGINEERS PLANNERS

Should any additional layers of paint be found during the abatement or renovation process, they are to be sampled via XRF or laboratory analysis to confirm or deny the presence of lead at levels exceeding the HUD action level of 0.5% by weight.

Please email if you have any questions.

Sincerely,
Bergmann

CASH R. BLEIER
Environmental Scientist
cbleier@bergmannpc.com

- Attachment 1: Laboratory Results for Interior LBP Samples
- Attachment 2: Interior Photographs
- Attachment 3: Section 028214 – Protection of Worker – Lead-Based Paint



PARADIGM
ENVIRONMENTAL SERVICES, INC.

Analytical Report For
Bergmann Associates

For Lab Project ID

191137

Referencing

Oswego DRI

Prepared

Thursday, March 28, 2019

Any noncompliant QC parameters or other notes impacting data interpretation are flagged or documented on the final report or are noted below:

Reduced sample size used for Lead analysis due to limited sample volume. Kindly refer to Chain of Custody Supplement for the affected sample(s).

A handwritten signature in black ink, appearing to be "Jia", is written over a horizontal line.

Certifies that this report has been approved by the Technical Director or Designee

179 Lake Avenue • Rochester, NY 14608 • (585) 647-2530 • Fax (585) 647-3311 • ELAP ID# 10958

This report is part of a multipage document and should only be evaluated in its entirety. The Chain of Custody provides additional sample information, including compliance with the sample condition requirements upon receipt.

Report Prepared Thursday, March 28, 2019

Page 1 of 12



Lab Project ID: 191137

Client: **Bergmann Associates**

Project Reference: Oswego DRI

Sample Identifier: K-LBP-001

Lab Sample ID: 191137-04

Date Sampled: 3/20/2019

Matrix: Paint

Date Received: 3/21/2019

Lead

<u>Analyte</u>	<u>Result</u>	<u>Units</u>	<u>Qualifier</u>	<u>Date Analyzed</u>
Lead	7.81	%		3/22/2019 18:35
Method Reference(s):	EPA 6010C			
	EPA 3050B			
Preparation Date:	3/22/2019			
Data File:	190322B			



Lab Project ID: 191137

Client: **Bergmann Associates**

Project Reference: Oswego DRI

Sample Identifier: K-LBP-002

Lab Sample ID: 191137-05

Date Sampled: 3/20/2019

Matrix: Paint

Date Received: 3/21/2019

Lead

<u>Analyte</u>	<u>Result</u>	<u>Units</u>	<u>Qualifier</u>	<u>Date Analyzed</u>
Lead	0.223	%		3/22/2019 19:22
Method Reference(s):	EPA 6010C			
	EPA 3050B			
Preparation Date:	3/22/2019			
Data File:	190322B			



Lab Project ID: 191137

Client: **Bergmann Associates**

Project Reference: Oswego DRI

Sample Identifier: K-LBP-003

Lab Sample ID: 191137-06

Date Sampled: 3/20/2019

Matrix: Paint

Date Received: 3/21/2019

Lead

<u>Analyte</u>	<u>Result</u>	<u>Units</u>	<u>Qualifier</u>	<u>Date Analyzed</u>
Lead	0.204	%		3/22/2019 19:26
Method Reference(s):	EPA 6010C			
	EPA 3050B			
Preparation Date:	3/22/2019			
Data File:	190322B			



Analytical Report Appendix

The reported results relate only to the samples as they have been received by the laboratory.

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All soil/sludge samples have been reported on a dry weight basis, unless qualified "reported as received". Other solids are reported as received.

Low level Volatiles blank reports for soil/solid matrix are based on a nominal 5 gram weight. Sample results and reporting limits are based on actual weight, which may be more or less than 5 grams.

The Chain of Custody provides additional information, including compliance with sample condition requirements upon receipt. Sample condition requirements are defined under the 2003 NELAC Standard, sections 5.5.8.3.1 and 5.5.8.3.2.

NYSDOH ELAP does not certify for all parameters. Paradigm Environmental Services or the indicated subcontracted laboratory does hold certification for all analytes where certification is offered by ELAP unless otherwise specified. Aliquots separated for certain tests, such as TCLP, are indicated on the Chain of Custody and final reports with an "A" suffix.

Data qualifiers are used, when necessary, to provide additional information about the data. This information may be communicated as a flag or as text at the bottom of the report. Please refer to the following list of analyte-specific, frequently used data flags and their meaning:

"<" = Analyzed for but not detected at or above the quantitation limit.

"E" = Result has been estimated, calibration limit exceeded.

"Z" = See case narrative.

"D" = Sample, Laboratory Control Sample, or Matrix Spike Duplicate results above Relative Percent Difference limit.

"M" = Matrix spike recoveries outside QC limits. Matrix bias indicated.

"B" = Method blank contained trace levels of analyte. Refer to included method blank report.

"J" = Result estimated between the quantitation limit and half the quantitation limit.

"L" = Laboratory Control Sample recovery outside accepted QC limits.

"P" = Concentration differs by more than 40% between the primary and secondary analytical columns.

"NC" = Not calculable. Applicable to RPD if sample or duplicate result is non-detect or estimated (see primary report for data flags). Applicable to MS if sample is greater or equal to ten times the spike added. Applicable to sample surrogates or MS if sample dilution is 10x or higher.

"" = Indicates any recoveries outside associated acceptance windows. Surrogate outliers in samples are presumed matrix effects. LCS demonstrates method compliance unless otherwise noted.*

"(1)" = Indicates data from primary column used for QC calculation.

"A" = denotes a parameter for which ELAP does not offer approval as part of their laboratory certification program.

"F" = denotes a parameter for which Paradigm does not carry certification, the results for which should therefore only be used where ELAP certification is not required, such as personal exposure assessment.

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GENERAL TERMS AND CONDITIONS

LABORATORY SERVICES

These Terms and Conditions embody the whole agreement of the parties in the absence of a signed and executed contract between the Laboratory (LAB) and Client. They shall supersede all previous communications, representations, or agreements, either verbal or written, between the parties. The LAB specifically rejects all additional, inconsistent, or conflicting terms, whether printed or otherwise set forth in any purchase order or other communication from the Client to the LAB. The invalidity or unenforceability in whole or in part of any provision, term or condition hereof shall not affect in any way the validity or enforceability of the remainder of the Terms and Conditions. No waiver by LAB of any provision, term, or condition hereof or of any breach by or obligation of the Client hereunder shall constitute a waiver of such provision, term, or condition on any other occasion or a waiver of any other breach by or obligation of the Client. This agreement shall be administered and interpreted under the laws of the state which services are procured.

Warranty.

Recognizing that the nature of many samples is unknown and that some may contain potentially hazardous components, LAB warrants only that it will perform testing services, obtain findings, and prepare reports in accordance with generally accepted analytical laboratory principles and practices at the time of performance of services. LAB makes no other warranty, express or implied.

Scope and Compensation.

LAB agrees to perform the services described in the chain of custody to which these terms and conditions are attached. Unless the parties agree in writing to the contrary, the duties of LAB shall not be construed to exceed the services specifically described. LAB will use LAB default method for all tests unless specified otherwise on the Work Order.

Payment terms are net 30 days from the date of invoice. All overdue payments are subject to an interest charge of one and one-half percent (1-1/2%) per month or a portion thereof. Client shall also be responsible for costs of collection, including payment of reasonable attorney fees if such expense is incurred. The prices, unless stated, do not include any sale, use or other taxes. Such taxes will be added to invoice prices when required.

Prices.

Compensation for services performed will be based on the current Lab Analytical Fee Schedule or on quotations agreed to in writing by the parties. Turnaround time based charges are determined from the time of resolution of all work order questions. Testimony, court appearances or data compilation for legal action will be charged separately. Evaluation and reporting of initial screening runs may incur additional fees.

Limitations of Liability.

In the event of any error, omission, or other professional negligence, the sole and exclusive responsibility of LAB shall be to re-perform the deficient work at its own expense and LAB shall have no other liability whatsoever. All claims shall be deemed waived unless made in writing and received by LAB within ninety (90) days following completion of services.

LAB shall have no liability, obligation, or responsibility of any kind for losses, costs, expenses, or other damages (including but not limited to any special, direct, incidental or consequential damages) with respect to LAB's services or results.

All results provided by LAB are strictly for the use of its clients and LAB is in no way responsible for the use of such results by clients or third parties. All reports should be considered in their entirety, and LAB is not responsible for the separation, detachment, or other use of any portion of these reports. Client may not assign the lab report without the written consent of the LAB.

Client covenants and agrees, at its/his/her sole expense, to indemnify, protect, defend, and save harmless the LAB from and against any and all damages, losses, liabilities, obligations, penalties, claims, litigation, demands, defenses, judgments, suits, actions, proceedings, costs, disbursements and/or expenses (including, without limitation attorneys' and experts' fees and disbursements) of any kind whatsoever which may at any time be imposed upon, incurred by or asserted or awarded against client relating to, resulting from or arising out of (a) the breach of this agreement by this client, (b) the negligence of the client in handling, delivering or disclosing any hazardous substance, (c) the violation of the Client of any applicable law, (d) non-compliance by the Client with any environmental permit or (e) a material misrepresentation in disclosing the materials to be tested.

Hazard Disclosure.

Client represents and warrants that any sample delivered to LAB will be preceded or accompanied by complete written disclosure of the presence of any hazardous substances known or suspected by Client. Client further warrants that any sample containing any hazardous substance that is to be delivered to LAB will be packaged, labeled, transported, and delivered properly and in accordance with applicable laws.

Sample Handling.

Prior to LAB's acceptance of any sample (or after any revocation of acceptance), the entire risk of loss or of damage to such sample remains with Client. Samples are accepted when receipt is acknowledged on chain of custody documentation. In no event will LAB have any responsibility for the action or inaction of any carrier shipping or delivering any sample to or from LAB premises.

Client authorizes LAB to proceed with the analysis of samples as received by the laboratory, recognizing that any samples not in compliance with all current DOH-ELAP-NELAP requirements for containers, preservation or holding time will be noted as such on the final report.

Disposal of hazardous waste samples is the responsibility of the Client. If the Client does not wish such samples returned, LAB may add storage and disposal fees to the final invoice. Maximum storage time for samples is 30 days after completion of analysis unless modified by applicable state or federal laws. Client will be required to give the LAB written instructions concerning disposal of these samples.

LAB reserves the absolute right, exercisable at any time, to refuse to receive delivery of, refuse to accept, or revoke acceptance of any sample, which, in the sole judgment of LAB (a) is of unsuitable volume, (b) may be or become unsuitable for or may pose a risk in handling, transport, or processing for any health, safety, environmental or other reason whether or not due to the presence in the sample of any hazardous substance, and whether or not such presence has been disclosed to LAB by Client or (c) if the condition or sample date make the sample unsuitable for analysis.

Legal Responsibility.

LAB is solely responsible for performance of this contract, and no affiliated company, director, officer, employee, or agent shall have any legal responsibility hereunder, whether in contract or tort including negligence.

Assignment.

LAB may assign its performance obligations under this contract to other parties, as it deems necessary. LAB shall disclose to Client any assignee (subcontractor) by ELAP ID # on the submitted final report.

Force Majeure.

LAB shall have no responsibility or liability to the Client for any failure or delay in performance by LAB, which results in whole or in part from any cause or circumstance beyond the reasonable control of LAB. Such causes and circumstances shall include, but not limited to, acts of God, acts or orders of any government authority, strikes or other labor disputes, natural disasters, accidents, wars, civil disturbances, difficulties or delays in transportation, mail or delivery services, inability to obtain sufficient services or supplies from LAB's usual suppliers, or any other cause beyond LAB's reasonable control.

Law.

This contract shall be continued under the laws of the State of New York without regard to its conflicts of laws provision.

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CHAIN OF CUSTODY

PROJECT REFERENCE				REPORT TO:		INVOICE TO:		LAB PROJECT ID							
Oswego DRI				CLIENT:	Bergmann	CLIENT:	Same	191137							
				ADDRESS:	280 E. Broad Street, Suite 200	ADDRESS:									
				CITY:	Rochester	STATE:	NY	ZIP:	14604	CITY:		STATE:		ZIP:	
				PHONE:	585-498-7950			PHONE:				Quotation #:			
				ATTN:	Cash Bleier	ATTN:		Email:	cbleier@bergmannpc.com						
				Matrix Codes: AQ - Aqueous Liquid WA - Water DW - Drinking Water SO - Soil SD - Solid WP - Wipe OL - Oil NQ - Non-Aqueous Liquid WG - Groundwater WW - Wastewater SL - Sludge PT - Paint CK - Caulk AR - Air											
REQUESTED ANALYSIS															
DATE COLLECTED	TIME COLLECTED	COMPOSITE	GRAB	SAMPLE IDENTIFIER	MATRIX	CONTAINER	LEAD	REMARKS	PARADIGM LAB SAMPLE NUMBER						
[REDACTED]															
3/20/2019	1:30pm			K-LBP-001	PT		X		04						
3/20/2019	1:30pm			K-LBP-002	PT		X		05						
3/20/2019	1:30pm			K-LBP-003	PT		X		06						
[REDACTED]															

Turnaround Time		Report Supplements	
Availability contingent upon lab approval; additional fees may apply.			
Standard 5 day	<input checked="" type="checkbox"/>	None Required	<input checked="" type="checkbox"/>
10 day	<input type="checkbox"/>	Batch QC	<input type="checkbox"/>
Rush 3 day	<input type="checkbox"/>	Category A	<input type="checkbox"/>
Rush 2 day	<input type="checkbox"/>	Category B	<input type="checkbox"/>
Rush 1 day	<input type="checkbox"/>		
Other	<input type="checkbox"/>	Other	<input type="checkbox"/>
please indicate date needed:		please indicate package needed:	

C. Bleier / S. Francis

3/20/2019 & 3/21/2019

Sampled By

Date/Time

Total Cost:

Relinquished By

Date/Time

Received By

Date/Time

P.I.F.

Received @ Lab By

Date/Time

By signing this form, client agrees to Paradigm Terms and Conditions (reverse).

See additional page for sample conditions.



Chain of Custody Supplement

202

Client: Bergmann

Completed by: Molly Vail

Lab Project ID: 191137

Date: 3/21/19

Sample Condition Requirements

Per NELAC/ELAP 210/241/242/243/244

Condition	NELAC compliance with the sample condition requirements upon receipt		
	Yes	No	N/A
Container Type	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Comments			
Transferred to method-compliant container	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Headspace (<1 mL)	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Comments			
Preservation	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Comments			
Chlorine Absent (<0.10 ppm per test strip)	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Comments			
Holding Time	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Comments			
Temperature	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Comments			
Sufficient Sample Quantity	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Comments	<u>Samples 04, 05, [redacted] were below lg for MPBX</u> <u>analysis CM 3/25/19</u>		



Paint on window trim around eastern windows.



White paint on wall above stairway entrance.



Paint on window trim of western wall.

SECTION 028214 – PROTECTION OF WORKER – LEAD-BASED PAINT

PART 1 - GENERAL

1.1 SCOPE

Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

Contractors are alerted to the fact that the paint coating on surfaces in this project contains lead. Lead is a toxic metal capable of causing damage to the nervous system, kidneys, bones, heart and reproductive system.

Any surface coated with paint is considered to contain some percentage of lead, based on the age of the building. Any alteration and/or repair, including painting and decorating shall meet the requirements of OSHA CFR 29 1926.62 Construction Lead Standard.

1.2 SUBMITTALS

A. Contractors of each trade shall submit their written Lead Program prior to the start of work. The plan must identify potential sources of lead exposure and propose specific procedures to protect workers from those exposures.

1.3 DEFINITIONS

A. Action Level means employee exposure, without regard to the use of respirators, to an airborne concentration of lead of 30 micrograms per cubic meter of air (30 ug/m^3) calculated as an 8-hour time weighted average (TWA).

B. Exposure Assessment means a Contractor's requirement to determine if any Contractor's employees may be exposed to lead at or above the action level.

C. Lead means metallic lead, all inorganic lead compounds and organic lead soaps. Excluded from this definition are all other organic lead compounds.

D. Permissible Exposure Limit means employee exposure, without the use of respirators, to an airborne concentration of lead of 50 ug/m^3 averaged over an 8-hour period.

PART 2 - PRODUCTS

None Specified.

PART 3 - EXECUTION

3.1 PROTECTION OF WORKERS

A. All Contractors shall be responsible to conduct an exposure assessment and shall initially determine if any Contractor's employee may be exposed to lead at or above the action level. Until the Contractor performs a Contractor's employee exposure assessment, the Contractor shall provide to Contractor's employees interim protection as specified in 29 CFR 1926.62, as follows:



1. Appropriate respiratory protection
2. Appropriate personal protective clothing and equipment
3. Change areas
4. Hand Washing Facilities
5. Biological Monitoring to consist of blood sampling and analysis for lead and zinc protoporphyrin levels
6. Training

3.2 EXPOSURE ASSESSMENT

A. The Contractor shall collect personal samples representative of a full shift including at least one sample for each job classification in each work area either for each shift or for the shift with the highest exposure.

1. Below the Action Level - should the initial personal air monitoring results be less than 30 ug/m^3 the Contractor shall make a written record of such determination. Further exposure determination need not be repeated except as follows:

a. Whenever there has been a change of equipment, process, control, personnel or a new task has been initiated that may result in additional employees being exposed to lead at or above the action level or may result in employees already exposed at or above the action level being exposed above the PEL, the employer shall conduct additional monitoring.

2. At or Above the Action Level but at or Below the PEL - the Contractor shall perform monitoring until at least two consecutive measurements taken at least 7 days apart, are below the action level at which time the Contractor may discontinue monitoring for that employee except as otherwise provided in paragraph 3.02.A.1.a.

3. Above the PEL - the Contractor shall perform monitoring until at least two consecutive measurements taken at least 7 days apart, are at or below the PEL but at or above the action level at which time the Contractor shall repeat monitoring for that Contractor's employee as specified in 3.02 A.2.

3.3 METHODS OF COMPLIANCE

A. To the extent feasible, Contractors must reduce worker lead exposure to the Permissible Exposure Limit (PEL) of 50 ug/m^3 by a combination of engineering controls, work practice, and administrative controls.

B. Respiratory protection and other protective equipment must be provided and used to the extent that the engineering and work practice controls cannot reduce exposure to the PEL as specified within 29 CFR 1926.62.

3.4 HOUSEKEEPING (required whenever lead is disturbed)

A. All surfaces shall be maintained as free as practical of accumulations of lead.

B. Clean up of floors and other surfaces where lead accumulates shall wherever possible be cleaned by vacuuming or other methods that minimize the likelihood of lead becoming airborne.

C. Shoveling, dry or wet sweeping and brushing may be used only where vacuuming or other equally effective methods have been tried and found not to be effective.

D. Where vacuuming methods are selected, the vacuums shall be equipped with HEPA filters and used and emptied in a manner which minimizes the reentry of lead into the workplace.

E. Compressed air shall not be used to remove lead from any surface unless the compressed air is used in conjunction with a ventilation system designed to capture the airborne dust created by the compressed air.

3.5 HYGIENE FACILITIES AND PRACTICES (required above the PEL)

The Contractor shall assure that in areas where Contractor's employees are exposed to lead above the PEL without regard to the use of respirators, food or beverage is not present or consumed, tobacco products are not present or used, and cosmetics are not applied.

Change Areas (required above the PEL and during exposure assessment)

1. The Contractor shall provide clean change areas for employees whose airborne exposure to lead is above the PEL, and as interim protection for employees.
2. The Contractor shall assure that change areas are equipped with separate storage facilities for protective work clothing and equipment and for street clothes which prevent cross-contamination.
3. The Contractor shall assure that Contractor's employees do not leave the workplace wearing any protective clothing or equipment that is required to be worn during the work shift.

C. Showers (required above the PEL)

1. The Contractor shall provide shower facilities, where feasible, for use by Contractor's employees whose airborne exposure to lead is above the PEL.
2. The Contractor shall assure where shower facilities are available, that Contractor's employees shower at the end of the work shift and shall provide an adequate supply of cleansing agents and towels for use by affected Contractor's employees.

D. Eating Facilities (required above the PEL)

1. The Contractor shall provide lunchroom facilities or eating areas for Contractor's employees whose airborne exposure to lead is above the PEL, without regard to the use of respirators.
2. The Contractor shall assure that lunchroom facilities or eating areas are as free as practicable from lead contamination and are readily accessible to Contractor's employees.
3. The Contractor shall assure that Contractor's employees whose airborne exposure to lead is above the PEL, without regard to the use of a respirator, wash their hands and face prior to eating, drinking, smoking or applying cosmetics.
4. The Contractor shall assure that Contractor's employees do not enter lunchroom facilities or eating areas with protective work clothing or equipment unless surface lead dust has been removed by vacuuming, downdraft booth, or other cleaning method that limits dispersion of lead dust.

E. Handwashing Facilities (required whenever lead is disturbed)

1. The Contractor shall provide adequate handwashing facilities for use by Contractor's employees exposed to lead.

Where showers are not provided the Contractor shall assure that Contractor's employees wash their hands and face at the end of the work shift.

3.6 MEDICAL SURVEILLANCE (required whenever lead is disturbed)

- A. The Contractor is responsible for providing medical examinations and maintaining medical records of personnel as required by 29 CFR 1926.62 (j) Medical Surveillance.

3.7 TRAINING (required whenever lead is disturbed)

A. For all Contractor's employees who are subject to exposure to lead at or above the action level on any day or who are subject to exposure to lead compounds which may cause skin or eye irritation, the Contractor shall provide a training program in accordance with 29 CFR 1926.62 (1)(2).

3.8 SIGNS (required above the PEL)

A. The Contractor shall post the following warning signs in each work area where Contractor's employees exposure to lead is above the PEL.

WARNING
LEAD WORK AREA
POISON
NO SMOKING OR EATING

B. The Contractor shall assure that signs are illuminated and cleaned as necessary so that the legend is readily visible.

3.9 RECORDKEEPING (required whenever lead is disturbed)

A. The Contractor is responsible to establish and maintain an accurate record of all monitoring and other data used in conducting Contractor's employee exposure assessments and for each Contractor's employee subject to medical surveillance as required per 29 CFR 1926.62 (n).

3.10 OBSERVATION OF MONITORING (required whenever lead is disturbed)

A. The Contractor shall provide affected Contractor's employees or their designated representatives an opportunity to observe any monitoring of employee exposure to lead.

B. Whenever observation of the monitoring of employee exposure to lead requires entry into an area where the use of respirators, protective clothing or equipment is required, the Contractor shall provide the observer with and assure the use of such respirators, clothing and equipment.

C. Without interfering with the monitoring, observers shall be entitled to:

1. Receive an explanation of the measurement procedures;
2. Observe all steps related to the monitoring of lead performed at the place of exposure; and
3. Record the results obtained or receive copies of the results when returned by the laboratory.

END OF SECTION 028314



BERGMANN

ARCHITECTS ENGINEERS PLANNERS

Pre-Renovation Survey Proposed Residential Redevelopment

209 West 1st Street, Oswego, New York



Date: April 24nd, 2019

Project Number: 010875.00

Bergmann

Office:

280 East Broad Street, Suite 200
Rochester, New York 14604

Phone: 585.232.5135

www.bergmannpc.com





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Appendices:

Appendix A: Bergmann Asbestos License, Worker Certifications, Laboratory Certifications

Appendix B: Structure ID Sample Location Plans

Appendix C: Laboratory Analytical Reports and Chain-of-Custody Forms



1.0 INTRODUCTION

The City of Oswego retained Bergmann to conduct a Pre-Renovation Asbestos Containing Materials (ACM) Survey for the existing structure located at 209 West 1st Street, Oswego, New York.

This survey is inclusive of the second story only, consisting of: stairway, stairway landing, and the two (2) apartment units on the second floor. The purpose of this report is to satisfy Section G of the Environmental Compliance Checklist per the Housing Trust Fund Corporation as part of the Downtown Revitalization Initiative (DRI) for the City of Oswego. Only materials on the second story were sampled as a part of this survey. New York State Department of Labor (NYSDOL) certified asbestos inspectors from Bergmann were on site to sample materials on March 20, 2019.

The result of this pre-renovation survey, based on field observations and the samples collected and analyzed, did not reveal the presence of asbestos.

2.0 ASBESTOS SURVEY AND SAMPLING METHODOLOGY

Bergmann conducted a site visit to collect bulk samples and to estimate quantities of suspect asbestos containing materials on March 20, 2019. The site visit and bulk sampling were performed by Skylar Francis and Cash Bleier, both NYSDOL certified Asbestos Inspectors. Samples were collected from accessible portions of each building interior space.

Bergmann personnel conducted the Asbestos Survey and submitted samples for laboratory analysis in accordance with applicable Federal and State regulations. These regulations include:

- National Emission Standards for Hazardous Air Pollutants (NESHAPS)
- New York State Department of Labor Industrial Code Rule 56 (ICR-56)
- New York State Department of Health Environmental Laboratory Approval Program (NYSDOH-ELAP)
- National Voluntary Laboratory Accreditation Program (NVLAP)
- Occupational and Health Administration (OSHA) Regulation 29 CFR 1926.1101, 29 CFR 1910.1001 and 29 CFR 1910.134.

A suspect material is considered to be an asbestos containing material (ACM) under the Occupational Safety and Health Administration (OSHA) regulations 29 CFR 1910.1001 and 29 CFR 1926.1101 as any material that contains more than one percent ($>1\%$) asbestos by weight. Although samples containing less than or equal to one percent ($\leq 1\%$) asbestos by weight or trace are not considered asbestos containing materials by definition, employees must be informed about the presence of materials containing $\leq 1\%$ or trace asbestos when it is known it is present. Trace asbestos containing sample results for suspect materials collected as part of this inspection, if identified are included in Table 1 - Positive Materials Sampled & Analyzed.

Samples were collected in a manner to minimize damage to the surrounding area, to minimize potential release of material and in a fashion to maintain the safety of the collection personnel, building occupants and any building visitors.

The Bergmann Corporate Asbestos license, laboratory certifications and certifications of the person(s) that conducted the bulk sampling are provided in Appendix A – Bergmann Asbestos License, Worker Certifications, and Laboratory Certifications. Sample location are depicted in the drawings provided in Appendix B – Sample Location Plans.



Procedures for bulk sampling and measurements included:

- Sample collection area was cleaned of any debris or non-ACM material and was wetted with amended water as needed.
- A minimal amount of material was collected and placed into discrete plastic sample bags.
- Sample containers were labeled with a site-specific code that reflected location and sample number.

Representative bulk samples of suspect materials were collected for laboratory analysis. The samples were transported via Chain-of-Custody protocol to Paradigm Environmental Services, a NYSDOH-ELAP and NVLAP certified asbestos testing laboratory. Copies of the Chain-of-Custody forms are provided in Appendix C – Asbestos Laboratory Analytical Reports and Chain-of-Custody Forms.

3.0 ASBESTOS LABORATORY ANALYSIS METHODOLOGY

Samples, including both friable and non-friable, were initially analyzed for the presence of asbestos via Polarized Light Microscopy (PLM). For friable material and material determined by gravimetric analysis to be greater than 1% asbestos, no further analysis was required. PLM analysis is typically sufficient on samples of friable insulation, pipe wrap, spray-on fire proofing, drywall and plaster.

Some samples were determined to be non-friable organically bound (NOB) material. The results of representative samples of NOB material that were determined to be non-ACM via initial PLM analysis were confirmed via Transmission Electron Microscopy (TEM). TEM analysis was performed in accordance with ELAP regulations to confirm the presence or absence of asbestos from NOB material. TEM confirmation is typical of materials such as electrical wiring, vinyl floor tile, adhesive mastics and roofing materials including flashing, caulk, roofing tar, and asphalt shingles.

Vermiculite materials used for thermal systems insulation (TSI), surfacing materials, and other miscellaneous ACM (e.g. surfacing materials, plaster, pipe lagging and sprayed-on fireproofing) may be presumed asbestos containing material (PACM) or may follow the latest New York acceptable testing method. If vermiculite materials are used as a fill, block fill, or other loose bulk vermiculite materials, it must be designated and treated as ACM as stated in New York ICR-56.



4.0 MATERIALS SAMPLED AND ANALYZED

Bergmann did not identify ACM during the March 2019 inspection, sampling and analysis activities. The following table summarizes the materials sampled and the findings from the assessment:

209 W. 1st Street, Oswego ACM Sampling Results

Sample ID	Material Sampled	Asbestos?	Condition	Friable	Estimated Quantity *
OB-WP-001 A,B	Floral Wall Paper / Mastic	ND	N/A	N/A	N/A
OB-P-002 A,B	Wall plaster behind floral wall paper	ND	N/A	N/A	N/A
OB-WP-003 A,B	Leaf pattern wall paper / mastic	ND	N/A	N/A	N/A
OB-P-004 A,B	Plaster on wall behind 003 A,B	ND	N/A	N/A	N/A
OB-WC-005 A,B	Window cloth liner	ND	N/A	N/A	N/A
OB-WP-006 A,B	Hallway wall paper / mastic (red)	ND	N/A	N/A	N/A
OB-WP-007 A,B	Hallway wall paper / mastic (white)	ND	N/A	N/A	N/A
OB-FP-008 A,B	Fiber wall plaster	ND	N/A	N/A	N/A
OB-PJT-009 A	Pipe joint tape	ND	N/A	N/A	N/A
OB-PW-010 A,B	Pipe wrap	ND	N/A	N/A	N/A
OB-OFT-011 A,B	Old floor tile / mastic	ND	N/A	N/A	N/A
OB-EFT-012 A,B	Entrance floor tile / mastic	ND	N/A	N/A	N/A

*All quantities are subject to abatement contractor's verification

Sample location for the materials presented in the tables above are depicted in the drawings provided in Appendix B – Sample Location Plans. There is a potential for additional ACM to be present in other apartments and other spaces within the building that Bergmann did not have access too.



5.0 LIMITATIONS

Bergmann inspected and sampled materials, which were observable and accessible to the survey inspection team. Any suspect asbestos-containing materials that have not been tested and/or found positive for asbestos, if any, must be assumed ACM until they may be sampled and tested.

This asbestos inspection investigated the presence of accessible suspect ACMs or those that could be exposed with limited hand tool and destructive methods. Bergmann did not perform destructive testing within interior cavities and spaces that may exist in inaccessible spaces or hidden by alteration or renovation, or mechanical or electrical devices. Additional suspect materials may remain hidden within columns, chases, and hidden wall cavities or located beneath flooring, flooring or pavement. Should suspect material be uncovered during renovation, the material should be sampled and analyzed to confirm or deny the presence of asbestos.

This ACM survey report presents our findings and is not to be used as a bid document, work plan, or in place of an asbestos abatement design for conducting asbestos abatement. This ACM survey was limited to the scope as part of the proposed site plan and onsite limitations as of March 20th, 2019 site visit. Changes to this plan or scope of work may require additional sampling and analysis of materials.

6.0 ASBESTOS CONTAINING MATERIALS REPORT NOTIFICATION

In accordance with New York State Asbestos Regulations under 12 NYCRR Part 56 (Industrial Code Rule 56) Subpart 56-5.1(g), one copy of the results of the building/structure asbestos survey shall be **immediately** transmitted by the building/structure owner as follows:

- The completed asbestos survey for controlled demolition (as per Subpart 56-11.5) or pre-demolition asbestos projects shall be submitted to the appropriate **Asbestos Control Bureau** District office. The bureau office for this project is the Syracuse District office: 450 South Salina Street, Syracuse, NY 13202, phone: (315) 479-3215 fax: (315) 479-3333.
- One (1) copy of the completed ACM survey shall be sent by the owner or their agent to the local government entity charged with issuing a permit for demolition, renovation, remodeling or repair work under applicable State or local laws.
- The completed asbestos survey shall be kept at the construction site throughout the duration of the demolition, renovation, remodeling or repair work.



APPENDIX A:

Worker Certifications & Laboratory Certifications

New York State – Department of Labor

Division of Safety and Health
License and Certificate Unit
State Campus, Building 12
Albany, NY 12240

ASBESTOS HANDLING LICENSE

Bergmann Associates, Architects, Engineers,
Landscape Architects & Surveyors, D.P.C.
Suite 200
280 East Broad Street
Rochester, NY 14604

FILE NUMBER: 03-0147
LICENSE NUMBER: 29822
LICENSE CLASS: RESTRICTED
DATE OF ISSUE: 04/25/2018
EXPIRATION DATE: 04/30/2019

Duly Authorized Representative – Jim Marschner:

This license has been issued in accordance with applicable provisions of Article 30 of the Labor Law of New York State and of the New York State Codes, Rules and Regulations (12 NYCRR Part 56). It is subject to suspension or revocation for a (1) serious violation of state, federal or local laws with regard to the conduct of an asbestos project, or (2) demonstrated lack of responsibility in the conduct of any job involving asbestos or asbestos material.

This license is valid only for the contractor named above and this license or a photocopy must be prominently displayed at the asbestos project worksite. This license verifies that all persons employed by the licensee on an asbestos project in New York State have been issued an Asbestos Certificate, appropriate for the type of work they perform, by the New York State Department of Labor.



Eileen M. Franko, Director
For the Commissioner of Labor

STATE OF NEW YORK - DEPARTMENT OF LABOR
ASBESTOS CERTIFICATE



CASH R BLEIER
CLASS(EXPIRES)
D INSP(02/20)

CERT# 17-36495
DMV# 812999078

MUST BE CARRIED ON ASBESTOS PROJECTS

REPRODUCED BY THE NEW YORK STATE DEPARTMENT OF LABOR

STATE OF NEW YORK - DEPARTMENT OF LABOR
ASBESTOS CERTIFICATE



SKYLAR J FRANUS
CLASS(EXPIRES)
D INSP(01/20)

CERT# 18-44630
DMV# 597279951

MUST BE CARRIED ON ASBESTOS PROJECTS

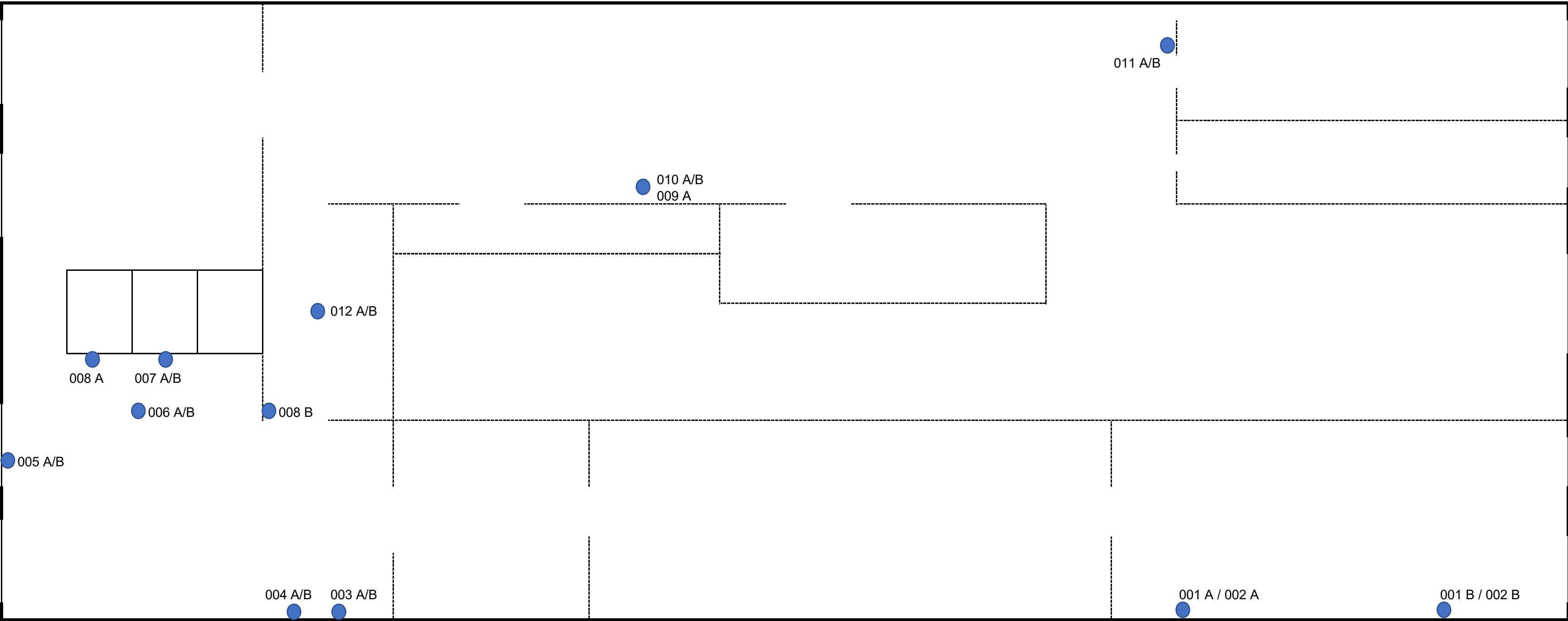




APPENDIX B:

Sample Location Plans

209 West 1st Street, Oswego, New York - Asbestos Containing Materials Sample Locations



*Drawing is not to scale and is used to show approximate location of samples taken.





APPENDIX C:

Laboratory Analytical Reports and Chain-of-Custody Forms



PLM & TEM BULK ASBESTOS ANALYSIS REPORT

via NYSDOH ELAP Method 198.1, 198.4 and 198.6

Client: Bergmann Associates

Job No: 2153-19

Location: Oswego, New York
(O'Brien)

Page: 1 of 5

Sample Date: 3/20/2019

Client ID	Lab ID	Sampling Location	Description	PLM Asbestos Fibers Type & Percentage	PLM Total Asbestos	N O B	TEM Asbestos Fibers Type & Percentage	TEM Total Asbestos	PLM Non-Asbestos Fibers Type & Percentage	Non- Fibrous Matrix Material %
OB-WP-001A	18288	Floral Wall Paper/Mastic	Floral Wallpaper/Mastic	Inconclusive No Asbestos Detected	0%	✓	None Detected	<1.0%	None Detected	100%
OB-WP-001B	18289	Floral Wall Paper/Mastic	Floral Wallpaper/Mastic	Inconclusive No Asbestos Detected	0%	✓	None Detected	<1.0%	None Detected	100%
OB-P-002A	18290	Wall Plaster Behind Floral Wall Paper	White Fibrous Plaster	None Detected	0%		Not Required	N/A	Animal Hair 10%	90%
OB-P-002B	18291	Wall Plaster Behind Floral Wall Paper	White Plaster	None Detected	0%		Not Required	N/A	Animal Hair 5%	95%
OB-WP-003A	18292	Leaf Pattern Wall Paper/Mastic	Leaf Pattern Wallpaper/Mastic	Inconclusive No Asbestos Detected	0%	✓	None Detected	<1.0%	None Detected	100%
OB-WP-003B	18293	Leaf Pattern Wall Paper/Mastic	Leaf Pattern Wallpaper/Mastic	Inconclusive No Asbestos Detected	0%	✓	None Detected	<1.0%	None Detected	100%
OB-P-004A	18294	Plaster on Wall Behind 003 A, B	White Plaster	None Detected	0%		Not Required	N/A	Animal Hair 3%	97%
OB-P-004B	18295	Plaster on Wall Behind 003 A, B	White Plaster	None Detected	0%		Not Required	N/A	Animal Hair 5%	95%
OB-WC-005A	18296	Window Cloth Liner	White Fibrous Cloth	None Detected	0%		Not Required	N/A	Cellulose 100%	0%
OB-WC-005B	18297	Window Cloth Liner	White Fibrous Cloth	None Detected	0%		Not Required	N/A	Cellulose 100%	0%

KEY TO NOB COLUMN SYMBOLS

No Symbol in the NOB column denotes sample analyzed by ELAP Method 198.1 (PLM).

✓ NOB (non-friable organically bound) denotes material analyzed by ELAP Method 198.6 (PLM) and 198.4 (TEM) as noted.

✓ denotes material analyzed by ELAP Method 198.6 (PLM) per NYSDOH. This Method does not remove vermiculite and may underestimate the level of asbestos present in a sample containing greater than 10% vermiculite.

denotes friable material analyzed by ELAP Method 198.6 (PLM) and 198.4 (TEM) as noted.

X denotes sample prepped only by ELAP Method 198.6.

** Polarized-light microscopy is not consistently reliable in detecting asbestos in floor coverings and similar non-friable organically bound materials.

Quantitative transmission electron microscopy is currently the only method that can be used to determine if this material can be considered or treated as non-asbestos containing.

PLM Bulk Asbestos Analysis by New York State Department of Health, ELAP Method 198.1, 198.4 and 198.6 ("Polarized Light Microscopy and Transmission Electron Microscopy Methods for Identifying and Quantitating Asbestos in Bulk Samples and in Non-Friable Organically Bound Bulk Samples.") or EPA 600/M4-82-020 per 40 CFR 763 (NVLAP Lab Code 200530-0).



Lab Code 200530-0 for PLM Analysis

PLM Date Analyzed: 3/27/2019

TEM Date Analyzed: 3/27/2019

Microscope: Olympus BH-2 #232953

TEM Analyst: A. Voldbakken

Analyst: T. Bush

Laboratory Results Approved By:

Asbestos Operations Manager or Designee

Mary Dohr

Paradigm Environmental Services, Inc. is not responsible for the data supplied by an independent inspector. National Institute of Standards and Technology Accreditation requirements mandate that this report must not be reproduced except in full without the approval of the laboratory. This PLM report relates ONLY to the items tested. This report must not be used to claim product endorsement by NVLAP or any agency of the U.S. Government. Quality control data (including 95% confidence limits and laboratory and analysts' and precision) is available upon request.



PLM & TEM BULK ASBESTOS ANALYSIS REPORT
via NYSDOH ELAP Method 198.1, 198.4 and 198.6

Client: Bergmann Associates

Job No: 2153-19

Location: Oswego, New York
(O'Brien)

Page: 2 of 5

Sample Date: 3/20/2019

Client ID	Lab ID	Sampling Location	Description	PLM Asbestos Fibers Type & Percentage	PLM Total Asbestos	N O B	TEM Asbestos Fibers Type & Percentage	TEM Total Asbestos	PLM Non-Asbestos Fibers Type & Percentage	Non- Fibrous Matrix Material %
OB-WP-006A	18298	Hallway Wall Paper/Mastic	Red Wallpaper/Mastic	Inconclusive No Asbestos Detected	0%	✓	None Detected	<1.0%	None Detected	100%
OB-WP-006B	18299	Hallway Wall Paper/Mastic	Red Wallpaper/Mastic	Inconclusive No Asbestos Detected	0%	✓	None Detected	<1.0%	None Detected	100%
OB-WP-007A	18300	Hallway Wall Paper/Mastic	White Wall Paper/Mastic	Inconclusive No Asbestos Detected	0%	✓	None Detected	<1.0%	None Detected	100%
OB-WP-007B	18301	Hallway Wall Paper/Mastic	White Wall Paper/Mastic	Inconclusive No Asbestos Detected	0%	✓	None Detected	<1.0%	None Detected	100%
OB-FP-008A	18302	Fiber Wall Plaster	White Wall Plaster	None Detected	0%		Not Required	N/A	Animal Hair 5%	95%
OB-FP-008B	18303	Fiber Wall Plaster	White Wall Plaster	None Detected	0%		Not Required	N/A	Animal Hair 2%	98%
OB-PJT-009A	18304	Pipe Joint Tape	White Fibrous Tape	None Detected	0%		Not Required	N/A	Cellulose 100%	0%
OB-PW-010A	18305	Pipe Wrap	Yellow Fibrous Wrapping	None Detected	0%		Not Required	N/A	Cellulose 100%	0%
OB-PW-010B	18306	Pipe Wrap	Yellow Fibrous Wrapping	None Detected	0%		Not Required	N/A	Cellulose 100%	0%

KEY TO NOB COLUMN SYMBOLS

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√ denotes material analyzed by ELAP Method 198.6 (PLM) per NYSDOH. This Method does not remove vermiculite and may underestimate the level of asbestos present in a sample containing greater than 10% vermiculite.

denotes friable material analyzed by ELAP Method 198.6 (PLM) and 198.4 (TEM) as noted.

X denotes sample prepped only by ELAP Method 198.6.

** Polarized-light microscopy is not consistently reliable in detecting asbestos in floor coverings and similar non-friable organically bound materials.

Quantitative transmission electron microscopy is currently the only method that can be used to determine if this material can be considered or treated as non-asbestos containing.

PLM Bulk Asbestos Analysis by New York State Department of Health, ELAP Method 198.1, 198.4 and 198.6 ("Polarized Light Microscopy and Transmission Electron Microscopy Methods for Identifying and Quantitating Asbestos in Bulk Samples and in Non-Friable Organically Bound Bulk Samples.") or EPA 600/M4-82-020 per 40 CFR 763 (NVLAP Lab Code 200530-0).



Lab Code 200530-0 for PLM Analysis

PLM Date Analyzed: 3/27/2019

TEM Date Analyzed: 3/27/2019

Microscope: Olympus BH-2 #232953

TEM Analyst: A. Voldbakken

Analyst: T. Bush

ELAP ID No.: 10958

Laboratory Results Approved By:

Asbestos Operations Manager or Designee

Mary Dohr

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PLM & TEM BULK ASBESTOS ANALYSIS REPORT
via NYSDOH ELAP Method 198.1, 198.4 and 198.6

Client: Bergmann Associates

Job No: 2153-19

Location: Oswego, New York
(O'Brien)

Page: 3 of 5

Sample Date: 3/20/2019

Client ID	Lab ID	Sampling Location	Description	PLM Asbestos Fibers Type & Percentage	PLM Total Asbestos	N O B	TEM Asbestos Fibers Type & Percentage	TEM Total Asbestos	PLM Non-Asbestos Fibers Type & Percentage	Non- Fibrous Matrix Material %
OB-OFT-011A	18307	Old Floor Tile/Mastic	Multi-Colored Floor Tile/Mastic	Inconclusive No Asbestos Detected	0%	✓	None Detected	<1.0%	Synthetic 2%	98%
OB-OFT-011B	18308	Old Floor Tile/Mastic	Multi-Colored Floor Tile/ Mastic	Inconclusive No Asbestos Detected	0%	✓	None Detected	<1.0%	Synthetic 2%	98%
OB-EFT-012A	18309	Entrance Floor Tile/Mastic	Black Floor Tile/ Mastic	Inconclusive No Asbestos Detected	0%	✓	None Detected	<1.0%	Synthetic 5%	95%
OB-EFT-012B	18310	Entrance Floor Tile/Mastic	Black Floor Tile/ Mastic	Inconclusive No Asbestos Detected	0%	✓	None Detected	<1.0%	Synthetic 5%	95%

KEY TO NOB COLUMN SYMBOLS

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✓ NOB (non-friable organically bound) denotes material analyzed by ELAP Method 198.6 (PLM) and 198.4 (TEM) as noted.

✓ denotes material analyzed by ELAP Method 198.6 (PLM) per NYSDOH. This Method does not remove vermiculite and may underestimate the level of asbestos present in a sample containing greater than 10% vermiculite.

denotes friable material analyzed by ELAP Method 198.6 (PLM) and 198.4 (TEM) as noted.

X denotes sample prepped only by ELAP Method 198.6.

** Polarized-light microscopy is not consistently reliable in detecting asbestos in floor coverings and similar non-friable organically bound materials.

Quantitative transmission electron microscopy is currently the only method that can be used to determine if this material can be considered or treated as non-asbestos containing.

PLM Bulk Asbestos Analysis by New York State Department of Health, ELAP Method 198.1, 198.4 and 198.6 ("Polarized Light Microscopy and Transmission Electron Microscopy Methods for Identifying and Quantitating Asbestos in Bulk Samples and in Non-Friable Organically Bound Bulk Samples.") or EPA 600/M4-82-020 per 40 CFR 763 (NVLAP Lab Code 200530-0).



Lab Code 200530-0 for PLM Analysis

PLM Date Analyzed: 3/27/2019

TEM Date Analyzed: 3/28/2019

Microscope: Olympus BH-2 #232953

TEM Analyst: A. Voldbakken

Analyst: T. Bush

ELAP ID No.: 10958

Laboratory Results Approved By:
Asbestos Operations Manager or Designee

Mary Dohr

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CHAIN OF CUSTODY FOR BULK ASBESTOS ANALYSIS

☒ 179 Lake Avenue, Rochester, New York 14608
☐ 1815 Love Road, Grand Island, New York 14072

Office: 585-647-2530

Office: 716-775-5777

Client: Bergmann	Contact: Cash Bleier
Phone Number: 585-498-7950	Email Address for Data: cbleier@bergmannpc.com
Results To: C. Bleier	Turn Around Time: 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 5 <input checked="" type="checkbox"/> Other <input type="checkbox"/>
Date Sampled: 3/20/2019	Material Type/Quantity: Friable <input type="checkbox"/> NOB <input type="checkbox"/> TEM <input type="checkbox"/>
Project Location: Oswego, New York (O'Brien)	

Client Mailing Address:
Bergmann

280 E. Broad Street, Suite 200

Rochester, NY 14604

OFFICE USE ONLY	
Job #: 2153-19	
Page 1 of 2	
Date Logged In: 3-21-19	
Logged In By: CB	
10F2	

STOP ON POSITIVE

	Client ID	Lab ID	Sampling Location	Color	Material Size	Type of Material
1	OB-WP-001 A,B	1888, 289	Floral Wall Paper / Mastic	Beige		Wall Paper / Mastic
2	OB-P-002 A,B	290 291	Wall Plaster Behind Floral Wall Paper	White		Plaster
3	OB-WP-003 A,B	292 293	Yellow leaf pattern wall paper	Yellow		Wall Paper / Mastic
4	OB-P-004 A,B	294 295	Plaster on wall behing 003 A,B	white		plaster
5	OB-WC-005 A,B	296 297	Window cloth liner	White		cloth
6	OB-WP-006 A,B	298 299	Hallway wall paper	Red		Wall Paper / Mastic
7	OB-WP-007 A,B	300 301	White hallway papar	White		Wall Paper / Mastic
8	OB-FP-008 A,B	302 303	Fiber wall plaster	White		Plaster
9	OB-PJT-009A	304	Pipe joint tape	White		Tape
#	OB-PW-010 A,B	305 306	Pipe Wrap	Yellow		Wrapping

Sampled By: C. Bleier / S. Franics	Date: 3/20/2019
Transported to Paradigm By: C. Bleier	Date: 3/21/2019
Received By: [Signature]	Date: 3-21-19 16:43

All samples will be analyzed by the appropriate New York State Department of Health methods (198.1, 198.4 and 198.6) unless EPA 600/M4/82/020 per 40 CFR 763 and/or EPA 600/R-93/116 methods are requested.

CHECK TO AUTOMATICALLY PERFORM TEM ON NOBS ☒ X

or provide TEM contact name:

TOTAL NUMBER OF SAMPLES ON ALL CHAINS OF CUSTODY: 23

By signing this form, client agrees to Paradigm Terms and Conditions (reverse).

QC'd 3/28/19 AS



CHAIN OF CUSTODY FOR BULK ASBESTOS ANALYSIS

☒ 179 Lake Avenue, Rochester, New York 14608
☐ 1815 Love Road, Grand Island, New York 14072

Office: 585-647-2530
Office: 716-775-5777

Client: Bergmann	Contact: Cash Bleier
Phone Number: 585-498-7950	Email Address for Data: cbleier@bergmannpc.com
Results To C. Bleier	Turn Around Time: 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 5 <input checked="" type="checkbox"/> Other <input type="checkbox"/>
Date Sampled: 3/20/2019	Material Type/Quantity: Friable <input type="checkbox"/> NOB <input type="checkbox"/> TEM <input type="checkbox"/>
Project Location: Oswego, New York (O'Brien)	

Client Mailing Address:
Bergmann

280 E. Broad Street, Suite 200

Rochester, NY 14604

OFFICE USE ONLY

Job #: 2153-19
Page 2 **of** 2
Date Logged In: 3-21-19
Logged In By: LB
20F2

****STOP ON POSITIVE****

	Client ID	Lab ID	Sampling Location	Color	Material Size	Type of Material
1	OB-OFT-011 A,B	18307 308	Old Floor Tile / Mastic	Multi		Tile / Mastic
2	OB-EFT-012 A,B	309 310	Entrance Floor Tile / Mastic	Black		Tile / Mastic
3						
4						
5						
6						
7						
8						
9						
#						

Sampled By: C. Bleier / S. Franics	Date: 3/20/2019
Transported to Paradigm By: C. Bleier	Date: 3/21/2019
Received By: Amym Burgess	Date: 3-21-19

All samples will be analyzed by the appropriate New York State Department of Health methods (198.1,198.4 and 198.6) unless EPA 600/M4/82/020 per 40 CFR 763 and/or EPA 600/R-93/116 methods are requested.

CHECK TO AUTOMATICALLY PERFORM TEM ON NOBS ☒

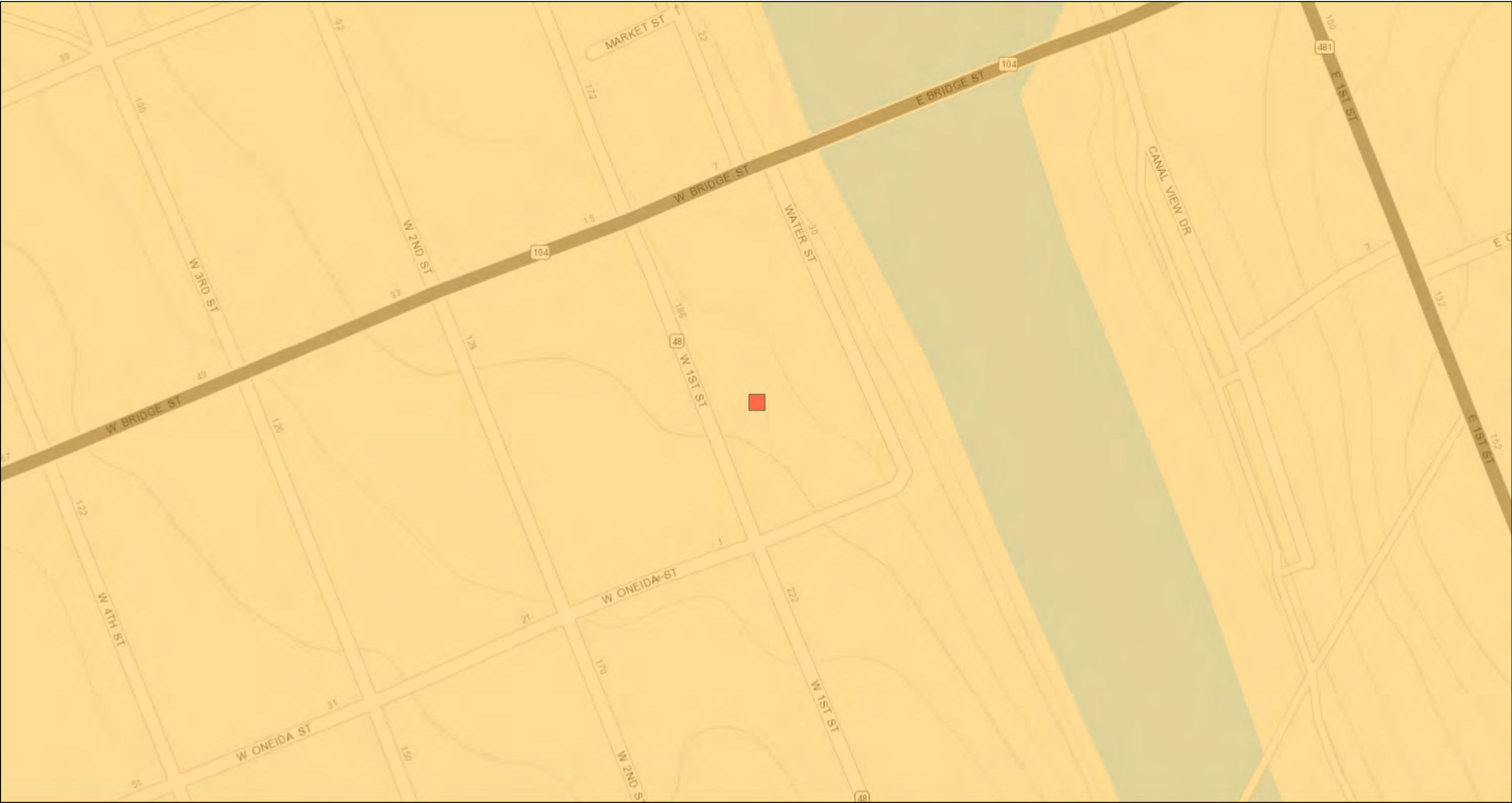
or provide TEM contact name:

TOTAL NUMBER OF SAMPLES ON ALL CHAINS OF CUSTODY:

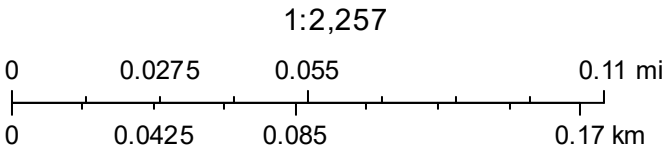
23

By signing this form, client agrees to Paradigm Terms and Conditions (reverse).

209 West 1st St NYSDEC Endangered and Threatened Species Map



February 8, 2019



Sources: Esri, HERE, Garmin, Intermap, increment P Corp., GEBCO, USGS, FAO, NPS, NRCAN, GeoBase, IGN, Kadaster NL, Ordnance Survey, Esri Japan, METI, Esri China (Hong Kong), swisstopo, © OpenStreetMap contributors, and the GIS User Community

HOUSING TRUST FUND CORPORATION
Program Description Form

Office of Community Renewal (OCR) state funded programs including:
Adirondack Community Housing Trust (AHT), Buffalo Main Streets Initiative (BMSI), OCR Managed Downtown Revitalization Initiative (DRI), New York Main Street (NYMS), Rural Area Revitalization Projects (RARP), and Urban Initiatives (UI).

Local Program Administrator (LPA): City of Oswego

Funding Program: DRI **Program Municipality:** Oswego

SHARS ID: 20170236 **Program County:** Oswego

Check all activities that apply to the Program or Project:

- | | |
|-------------------------------------------------------|---------------------------------------------|
| <input type="checkbox"/> Façade/Storefront Renovation | <input type="checkbox"/> Streetscape |
| <input type="checkbox"/> Interior Building Renovation | <input type="checkbox"/> New Construction |
| <input type="checkbox"/> Commercial | <input type="checkbox"/> Site Work |
| <input type="checkbox"/> Residential | <input type="checkbox"/> Ground Disturbance |

For Site-Specific Review, outline the specific scope of work for the project(s). The formal scope of work should also be attached.

New signage

Description of Target Area:

Describe the target area or project site location and attach a map of the target area or project site.

215 West 1st Street within Oswego Downtown Revitalization Initiative Boundary Area

Environmental Compliance Areas/ SEQR Classification Evaluation:

Specifically identify if any of the following activities will or may occur as part of this program: substantial improvement in a flood zone; projects in or adjacent to Agricultural Districts; work on a building determined by SHPO to have historic or cultural significance; ground disturbance; zoning changes, change in actual building use.

See attached Environmental Compliance Review form

Primary Contact for Environmental Review Issues:

Justin Rudgick, Economic Development Director, City of Oswego

Prepared by: _____

Title: _____

Date: _____

Phone Number: _____

Email Address: _____

HOUSING TRUST FUND CORPORATION
Environmental Compliance Checklist

Office of Community Renewal (OCR) state funded programs including:
Adirondack Community Housing Trust (AHT), Buffalo Main Streets Initiative (BMSI), OCR Managed Downtown Revitalization Initiative (DRI), New York Main Street (NYMS), Rural Area Revitalization Projects (RARP), and Urban Initiatives (UI).

Local Program Administrator (LPA): City of Oswego

Funding DRI **Project Municipality:** Oswego

Program:
SHARS ID: 20170236 **Project County:** Oswego

Review Type: Site Specific **Project Address (if site specific):** 215 West 1st Street

Compliance Area	Attachments Included	Compliance Procedures
A. <u>Historic/Cultural Resources:</u> Section 14.09 of the Parks, Recreation and Historic Preservation Law.	<input checked="" type="checkbox"/>	The SHPO No Adverse Impact determination is attached.
B. <u>Flood Plains:</u> 6 NYCRR Part 502, Floodplain Management Criteria for State Projects.	<input checked="" type="checkbox"/>	The project is not within a SFHA. A copy of the FIRM map, with the Panel Number and Effective Date is included.
C. <u>Zoning:</u> C1. Projects must conform to local land use plans and receive all necessary zoning and site plan approvals and permits.	<input checked="" type="checkbox"/>	The project will not require zoning modifications, variances or a special use permit for issuance of a building permit.
C2. Projects that result in a change in the building use must be identified.	<input type="checkbox"/>	The existing use of the building is commercial; the proposed use of the building is commercial.
D. <u>Coastal Zones:</u> 19 NYCRR Part 600, Coastal Zone Management	<input checked="" type="checkbox"/>	The project is located within the coastal zone but is not a Type I or Unlisted action.
E. <u>Site Contamination- Hazardous Materials:</u> Projects funded under the Program must be free of hazardous materials which could affect the health and safety of the occupants or conflict with the intended utilization of the property.	<input checked="" type="checkbox"/>	The project involves minor exterior activities only without new construction, interior building rehabilitation, or ground disturbance with a total cost of \$10,000 or less. A Site Contamination Evaluation will not be compelled.
F. <u>Lead Based Paint:</u> All activities impacting dwelling units or child occupied facilities must be free from the hazards posed by lead-based paint. <i>Refer to the Program Policy for Lead-Based Paint.</i>	<input type="checkbox"/>	Any projects that will involve the disturbance of painted surfaces will be evaluated using the Program Policy for Lead-Based Paint.
G. <u>Asbestos Containing Materials</u> NYS Department of Labor at 12 NYCRR Part 56	<input type="checkbox"/>	Asbestos Containing Materials (ACM) that will be disturbed as part of program activities will be handled and disposed of according to NYS Department of Labor requirements at 12 NYCRR Part 56 and local regulations.
H. <u>Radon</u> EPA map of Radon Zones; EPA Radon Mitigation Standards	<input type="checkbox"/>	The target area is located in a zone with moderate or high potential for radon levels to exceed the U.S. EPA action level

		(4pCi/L or higher). New construction or rehabilitation of residential units and common areas will include post-renovation testing and if elevated levels are found, a radon mitigation system will be installed in accordance with EPA Radon Mitigation Standards.
I. Wetlands: 6 NYCRR Part 663, Freshwater Wetlands Permit Requirements and, Section 404 of the Clean Water Act	<input type="checkbox"/>	The program does not involve new construction, ground disturbance or is entirely within an urban, built-up area.

J. Endangered Species: 6 NYCRR Part 182, Endangered and Threatened Species	<input checked="" type="checkbox"/>	The program/project is in a built up urban area, does not involve new construction, ground disturbance or tree cutting. The NYSDEC Environmental Resource Map is attached.
K. Agricultural Districts: Agriculture and Markets Law Article 25-AA, Sections 303 and 304, Agricultural Districts	<input type="checkbox"/>	The program is not located in an agricultural district, does not involve any activities with potential to convert farmland to nonagricultural use and does not require an Agricultural Data Statement.

Individual site specific checklists may require more detailed supporting documentation and review in circumstances such as:

- substantial improvement in a flood zone;
- projects in, or adjacent to, Agricultural Districts;
- work on a building determined by SHPO to have historic or cultural significance;
- ground disturbance;
- zoning changes;
- a change in actual building use (whether or not this change is locally regulated);
- if the work constitutes a SEQR Unlisted action.

Certification

I am authorized to execute contract materials for the program award to the Local Program Administrator (LPA) named above. I have read this Checklist and by signing this document agree with the statements made herein and agree that: (1) site specific checklists will be prepared and submitted to the OCR for each project site and additional documentation will be provided as necessary for the circumstances listed above; (2) project activities will be conducted in conformance with the described compliance procedures; (3) an environmental determination letter or approval from OCR will be received before taking any physical action on a site or incurring costs related to a specific activity; and, (4) costs incurred for activities completed prior to the SEQR determination and submission of the site-specific checklist will not be eligible for reimbursement.

Signature:

Printed Name: William Barlow, Jr.

Title: Mayor

Date: _____

Prepared by: _____

Title: _____

Phone: _____

Email: _____



Parks, Recreation, and Historic Preservation

ANDREW M. CUOMO
Governor

ROSE HARVEY
Commissioner

November 9, 2018

Mr. Tim Stahl
Deputy Director
City of Oswego
44 East Bridge Street
Oswego, NY 13126

Re: HTFMS
Oswego DRI-215 West First Street
215 West 1st Street, Oswego, NY 13126
18PR07225
DRI Project No. 20170236

Dear Mr. Stahl:

Thank you for requesting the comments of the Division for Historic Preservation of the Office of Parks, Recreation and Historic Preservation (OPRHP). We have reviewed the submitted materials in accordance with the New York State Historic Preservation Act of 1980 (section 14.09 of the New York Parks, Recreation and Historic Preservation Law). These comments are those of the Division for Historic Preservation and relate only to Historic/Cultural resources. They do not include potential environmental impacts to New York State Parkland that may be involved in or near your project. Such impacts must be considered as part of the environmental review of the project pursuant to the State Environmental Quality Review Act (New York Environmental Conservation Law Article 8) and its implementing regulations (6NYCRR Part 617).

We note that 215 West 1st Street, the former Browne-Davis Furniture Company building, is individually listed in the State and National Registers of Historic Places.

We have reviewed the project submission received on 11/5/2018. Based upon this review, it is the OPRHP's opinion that the proposed project will have No Adverse Impact upon historic resources.

If there are substantive changes to the project, consultation with our office should resume. If you have any questions, I can be reached at (518) 268-2217.

Sincerely,

Christina Vagvolgyi
Historic Preservation Technical Specialist
e-mail: christina.vagvolgyi@parks.ny.gov

via e-mail only

Division for Historic Preservation

P.O. Box 189, Waterford, New York 12188-0189 • (518) 237-8643 • www.nysparks.com

National Flood Hazard Layer FIRMette



Legend

SEE FIS REPORT FOR DETAILED LEGEND AND INDEX MAP FOR FIRM PANEL LAYOUT

SPECIAL FLOOD HAZARD AREAS		Without Base Flood Elevation (BFE) Zone A, V, A99
		With BFE or Depth Zone AE, AO, AH, VE, AR
		Regulatory Floodway
OTHER AREAS OF FLOOD HAZARD		0.2% Annual Chance Flood Hazard, Areas of 1% annual chance flood with average depth less than one foot or with drainage areas of less than one square mile Zone X
		Future Conditions 1% Annual Chance Flood Hazard Zone X
		Area with Reduced Flood Risk due to Levee. See Notes. Zone X
		Area with Flood Risk due to Levee Zone D
OTHER AREAS		NO SCREEN Area of Minimal Flood Hazard Zone X
		Effective LOMRs
GENERAL STRUCTURES		Area of Undetermined Flood Hazard Zone D
		Channel, Culvert, or Storm Sewer
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OTHER FEATURES		20.2 Cross Sections with 1% Annual Chance Water Surface Elevation
		17.5
		Coastal Transect
		Base Flood Elevation Line (BFE)
		Limit of Study
		Jurisdiction Boundary
MAP PANELS		Coastal Transect Baseline
		Profile Baseline
		Hydrographic Feature
		Digital Data Available
		No Digital Data Available
		Unmapped



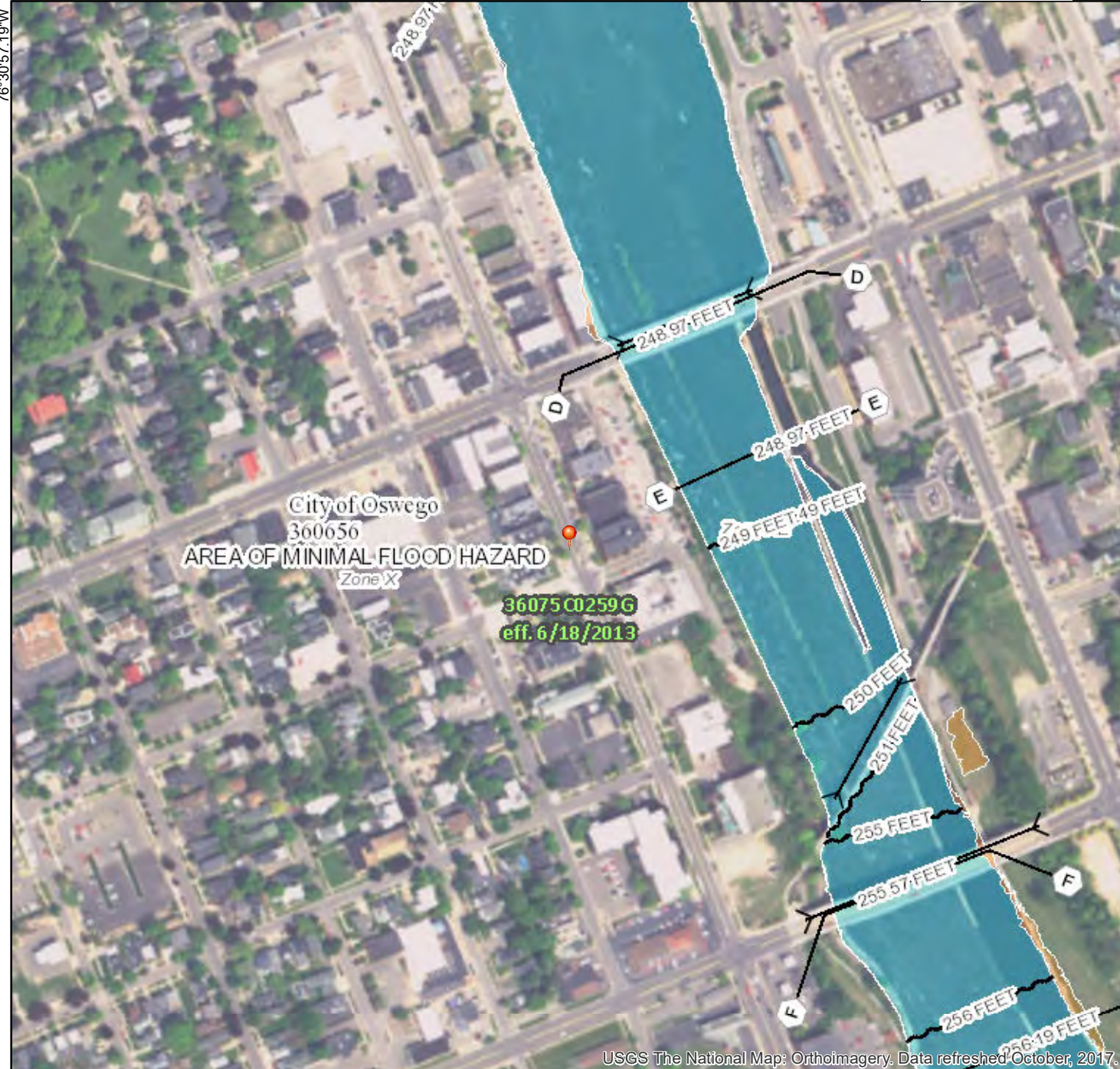
The pin displayed on the map is an approximate point selected by the user and does not represent an authoritative property location.

This map complies with FEMA's standards for the use of digital flood maps if it is not void as described below. The basemap shown complies with FEMA's basemap accuracy standards

The flood hazard information is derived directly from the authoritative NFHL web services provided by FEMA. This map was exported on **2/8/2019 at 2:44:16 PM** and does not reflect changes or amendments subsequent to this date and time. The NFHL and effective information may change or become superseded by new data over time.

This map image is void if the one or more of the following map elements do not appear: basemap imagery, flood zone labels, legend, scale bar, map creation date, community identifiers, FIRM panel number, and FIRM effective date. Map images for unmapped and unmodernized areas cannot be used for regulatory purposes.

43°27'33.15"N



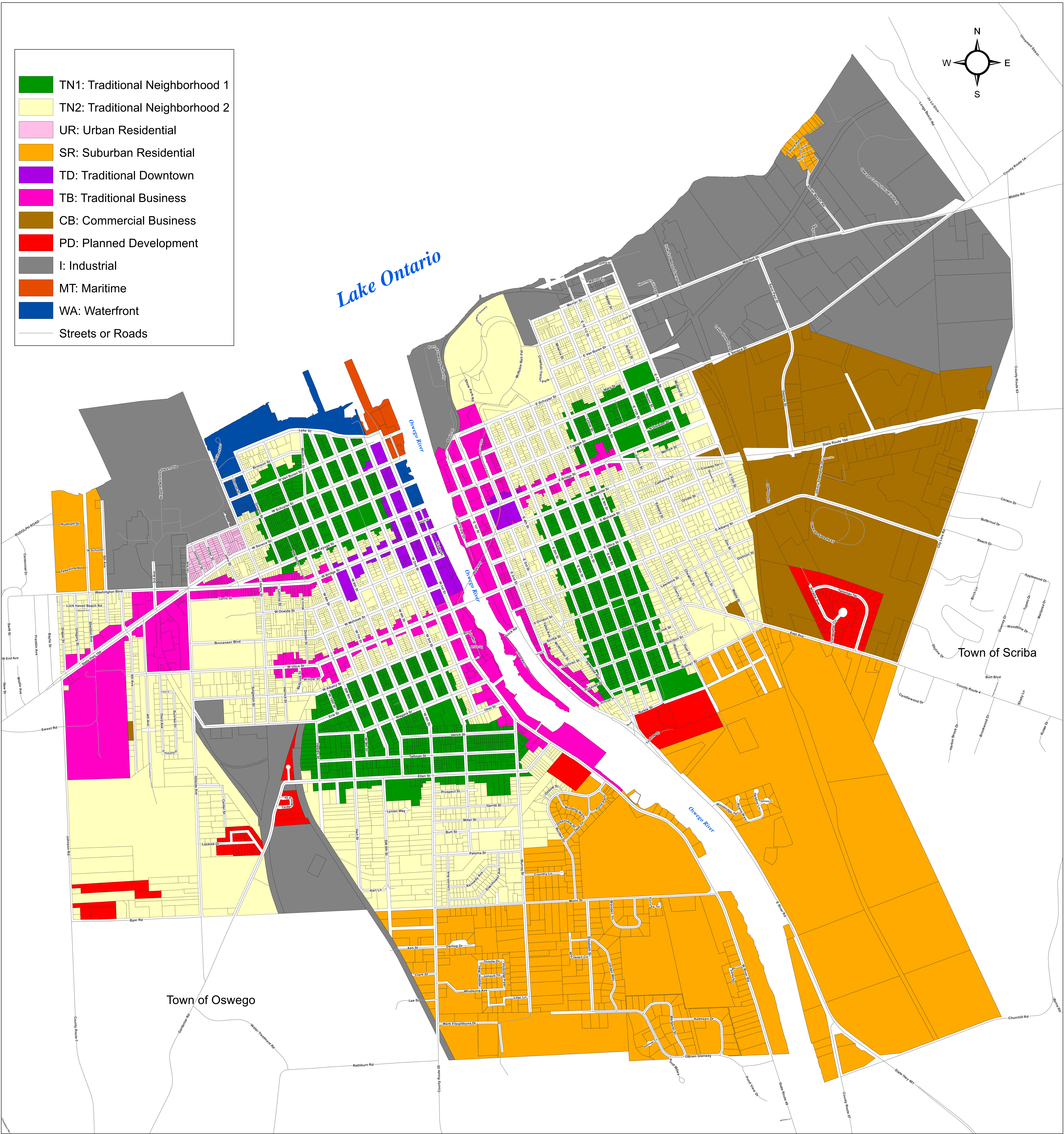
USGS The National Map: Orthoimagery. Data refreshed October, 2017.

0 250 500 1,000 1,500 2,000 Feet 1:6,000

43°27'7.03"N

76°30'19.73"W

City of Oswego Zoning Map

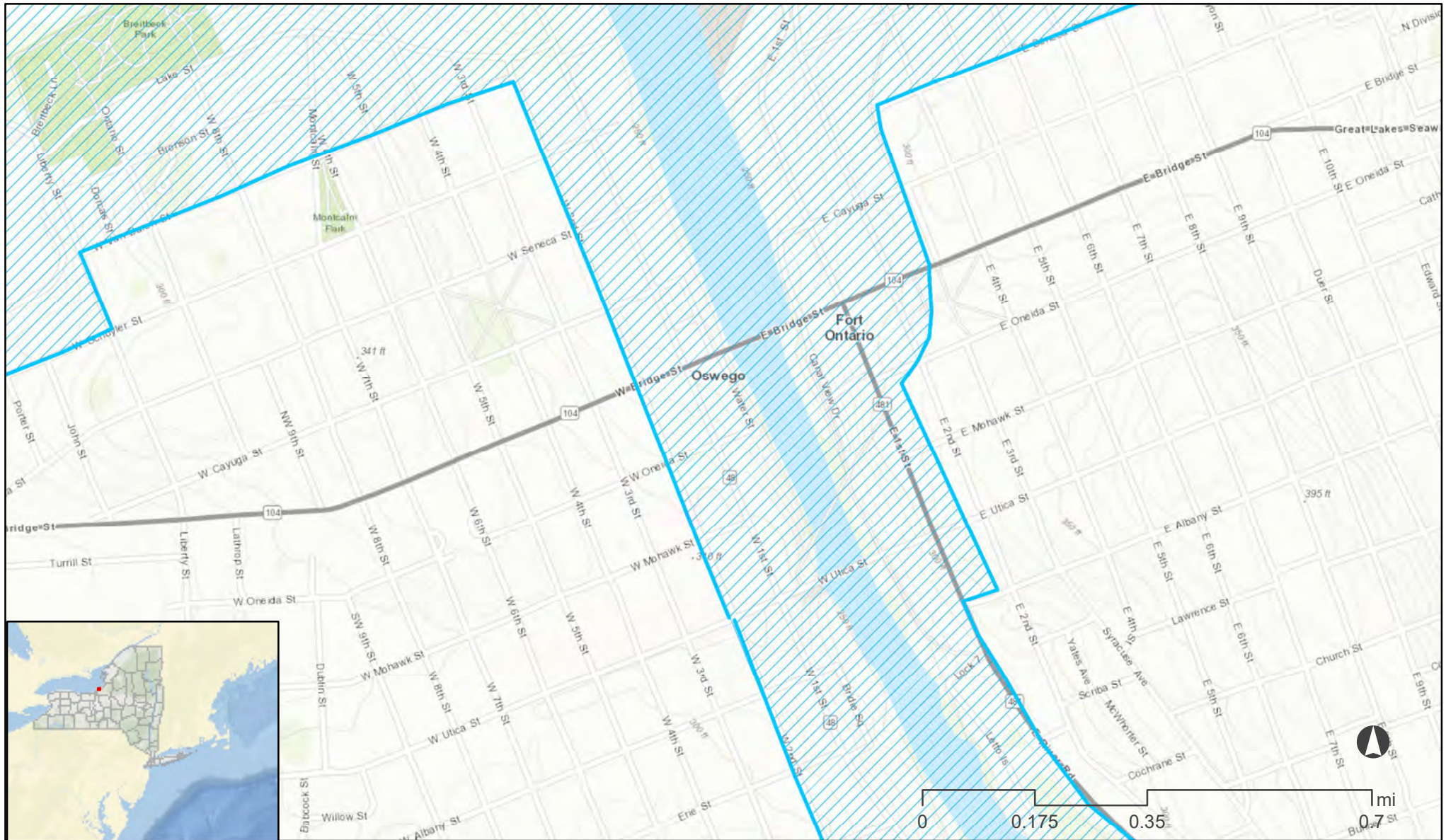


For:
City of Oswego
Zoning & Planning Office
13 West Oneida Street
Oswego, NY 13126
(315) 342-8153

0 650 1,300 2,600 3,900 5,200 Feet

By:
Oswego County Community Development, Tourism & Planning
46 East Bridge Street
Oswego, NY 13126
(315)349-8295
Karen B. Noyes, AICP
April 8, 2019

215 West 1st Street Coastal Boundary Map



— Coastal_Boundary_Polyline_update
 ▨ CoastalBoundary_Polygon_March2017



**Department
of State**

The New York Department of State (DOS) gives no warranty, expressed or implied, as to the accuracy, reliability, or completeness of data shown on this map product. DOS does not assume responsibility for the use or application of any information represented on this map nor responsibility for any error, omission or other discrepancy between the electronic and printed versions of documents.

Oswego Downtown Revitalization Initiative

DOWNTOWN IMPROVEMENT FUND APPLICATION

I. GENERAL INFORMATION

A. Applicant Information

Name Debra McKinstry (Sherwood)		
Mailing Address 104 W Van Buren St.		
City OSWEGO	County OSWEGO	Zip Code 13126
Telephone No. 315393 1655	Fax No.	Email Address

B. Building Owner Information (if different from Applicant)

Name J.T. BRAVES LLC. Jeff & Norma Barnes.		
Mailing Address 200 WATER ST. APT 5B		
City OSWEGO	County OSWEGO	Zip Code 13126
Telephone No. 315-342-1474	Fax No. -	Email Address LAM-JMOOT@Hotmail.com

C. Property Information

Property Address 215-217 W First St.		
City OSWEGO	County OSWEGO	Zip Code 13126
Landmark Status <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		

D. Grant Request Information

Proposed Use of Grant (select all that apply)	
<input checked="" type="checkbox"/> Building Signage Grant	<input type="checkbox"/> Façade Improvements Grant
<input type="checkbox"/> Residential Conversion Grant	<input checked="" type="checkbox"/> Storefront/Commercial Improvement Grant

APPENDIX 2 SOURCES OF FUNDS

Including the Downtown Improvement Fund, list each source of funds for the proposed project; the amount requested from that source; whether each source is federal, state, local, private, or other; and the status of the funds. Use the codes listed below to provide funding source and status.

Assistance Types: Loan (L), Grant (G), Equity (E)
 Funding Types: Federal (F), State (S), Private (P), Local (L), Other (O)
 Status Codes: Committed (C), Pending Approval (PA)

Financing Sources				
Name of Funding Source	Amount	Assistance Type	Funding Type	Status
1. DOWNTOWN IMPROVEMENT FUND	3500.00	G	S	PA
2. MALDEN FLORA SHOP INC	128.80	PE	P	C
3.				
4.				
5.				
6.				
7. Total Financing (sum lines 1-6)				

Hunt Signs

86 St. Rt.104A, Oswego NY, 13126

315-342-7576

huntsigns1@gmail.com

Estimate: Maidas Floral Shop

7/16/18

Please reference layout.

Façade sign. Individual letters mounted on new 3'x 30' façade. Two Window graphics, and "Welcome w/ illustration over front door.

1- New blank façade_____	\$ ² 950.00
2- Letters (16)_____	910.00
3- 2 Windows @ \$175ea_____	350.00
4- Sign over front door_____	290.00
5- Hanging sign w/ bracket_____	610.00
6- Installation_____	<u>250.00</u>
7- Plus tax	

\$
3360.00
+x 268.80

Total 3628.80

APPENDIX 1

APPLICATION RATING CRITERIA

The Downtown Improvement Fund will use the following criteria to evaluate each project before making its final award determinations:

1. **Detailed Project Summary / Description: 30 Points**
 - Identifies and References with Oswego DRI Plan: 10 Points
 - Targets Priority Mixed Use Building Renovation Activity(ies): 10 Points
 - Sources & Uses of Budget: 10 Points
2. **Location: 20 Points**
 - West 1st Street (W. Utica to Lake St.): 20 Points
 - West Bridge St. (Water St. to w. 3rd St.): 15 Points
 - East Bridge St. (East 1st to East 4th St.): 15 Points
 - East 1st Street (East Cayuga St. to East Utica St.): 15 Points
3. **Leveraging & Commitment: 20 Points**
 - Leveraging: 10 Points
 - Financing Commitment: 10 Points
4. **Job Creation: 10 Points**
 - Greater than 4 Full Time Equivalent positions created: 10 Points
 - One to 4 Full Time Equivalent positions created: 5 Points

III. APPLICANT CERTIFICATIONS

I certify I own the property located at DEBRA MCKINSTRY (AKA Debra Sherwood), or that I am authorized by the building owner to file this submission with Oswego Downtown Revitalization Initiative – Downtown Improvement Fund (the “Program”) on the owner’s behalf, and am authorized to execute all necessary documents; that I am authorized to carry out the proposed activities and that I will comply with all applicable statutes, rules and regulations. I, the building owner or a party authorized by the building’s owner, am applying to the Downtown Improvement Fund for approval to participate in the Program.

I certify that all statements contained in this application are true, complete, and correct to the best of my belief and are made in good faith, and I agree to immediately inform the Downtown Improvement Fund of any changes. I understand that a false certification or failure to disclose material information shall be grounds for termination of any award.

A. I further certify that:

a. The property described in this application:

- does not have any outstanding taxes that are due and payable, and
- either (1) does not have any building code violations, or (2) if applying for a building renovation grant, any and all building code violations will be remedied during the renovation process and will be discharged from record.
- Does not have any delinquent commercial loans with the City of Oswego’s Community Development Office.

b. The proposed project has been reviewed by the primary funder and has obtained or is in the process of obtaining approval of the funder.

c. I understand the guidelines with respect to design, affordable housing, publicity, and other matters described in the application package.

B. I agree to permit a representative from the Downtown Improvement Fund to visually inspect the property described in this application to ensure that health or safety issues do not exist.

Signature of Building Owner

Norma Barnes

Date 7-17-18

Print Name/Title

NORMA BARNES
BUILDING OWNER

Signature of Grant Applicant
(if other than building owner)

Debra J McKinstry

Date

7/17/18

Print Name/Title

Debra J McKinstry
OWNER / PRESIDENT
MAIDAS FLORAL SHOP INC.

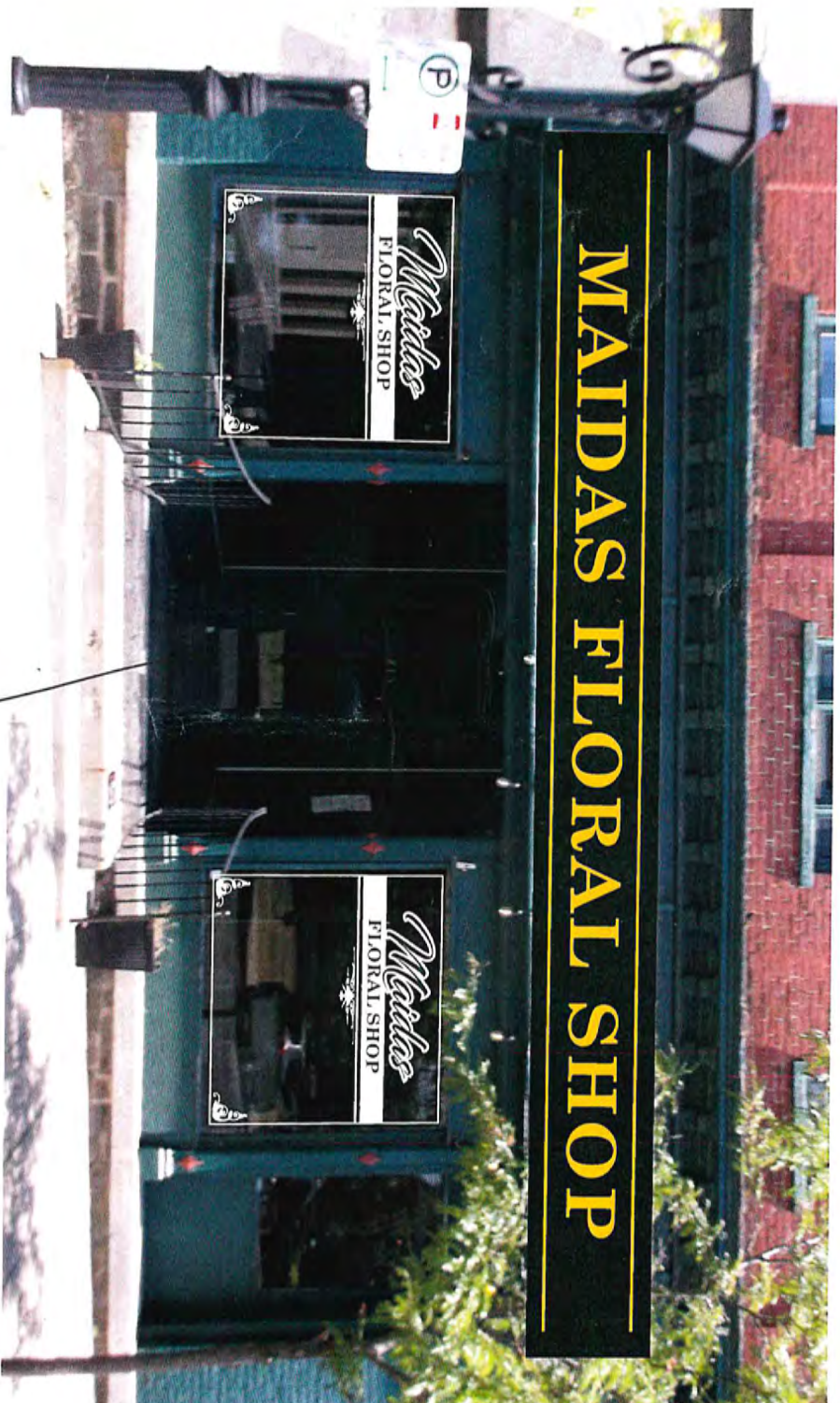
APPENDIX 3 APPLICATION CHECKLIST

Before submitting your grant application, ensure that you have completed it in its entirety. Incomplete applications will be subject to elimination.

- ☒ General Applicant Information (Part I, sections A, B, C, D)
- ☒ Project Information: description of proposed scope of work (Part I, section E)
- ☒ Photograph of building façade and sketch of proposed façade renovation (if applicable) (Part I, section F)
- ☒ Financial Information: estimated budget for renovation (Part I, section G, Appendix 2)
- ☒ Financial Information: sources of matching funds (Part I, section G; Appendix 2)
- ☒ Detailed Scope of Work (Part II)
- ☒ Applicant Certification (Part III)
- ☒ Completed Application Checklist (Appendix 3)

24"





Black Aluminum panel w/ raised gold letters
30' 6"

3'

MAIDA'S FLORAL SHOP

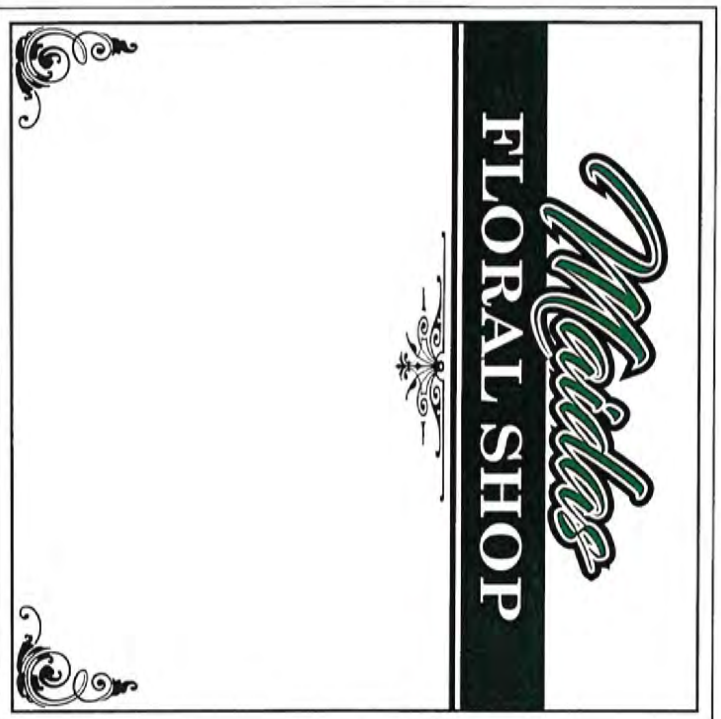
2 Bay Windows

74"

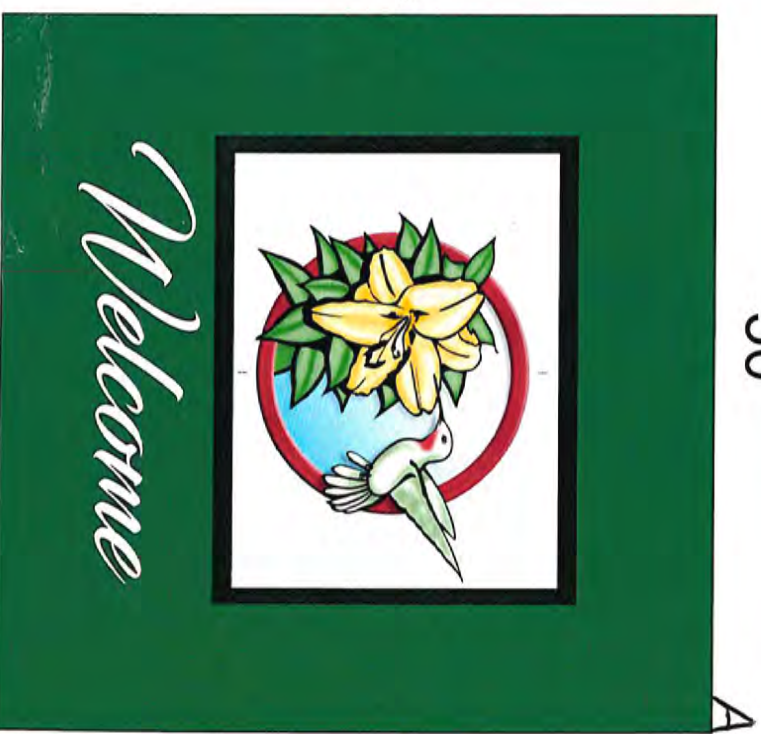
Over Door Entrance

50"

74"



50"



Grant Request

Façade Improvement _____
(Requires minimum 20% Match - Maximum \$25,000)

Mixed Use Expansion _____
(Requires minimum 25% Match - Maximum \$60,000)

Building Signage 3500⁰⁰
(No Required Match - Maximum \$3,500)

Total Requested _____
(maximum \$80,000)

E. Project Information

Start Date

AUGUST 1, 2018

End Date

AUGUST 5, 2018

Please **attach to this application** a brief description of the proposed scope of work which includes: the major elements to be rehabilitated, the number of apartments to be renovated, and the planned start and end dates for the project.

F. Additional Requirements for Façade and Signage Grants

Applicants for façade grants must **attach to this application** a photograph of the current building façade and a sketch of the proposed façade renovation for SHPO review.

G. Financial Information

Applicants must indicate the estimated budget and sources of matching funds for the proposed renovation. Use **Appendix 2** as a guide for your reporting.

Please list all current and previous real estate development and renovation projects and business improvement and expansion you have been involved with during the past five (5) years. Attach additional sheets if necessary.

INI NAME
DJD Donna J F W
M 7/09/18
T 7/10/18
W 7/11/18
F 7/12/18



STATEMENT OF ACCOUNT
Statement Date: 06/29/18
Account Number: XXXXXX3601

Whatever your dreams and needs,
Pathfinder Bank offers a variety of personal
loans to fit your lifestyle!

TR 21 PD 20180702 T48511 A20 325



MAIDAS FLORAL SHOP INC
201 W 1ST ST
OSWEGO, NY 13128-2689



Member since 06/01/18 to 06/29/18

PATHFINDER EDGE CHECKING ACCOUNT XXXXXX3601					
DATE	DESCRIPTION	Withdrawal	Deposit		BALANCE
05/31	Balance Forward				4,291.61
06/27	Withdrawal	2.82			4,288.79

Daily Balance Summary

Date	Balance	Date	Balance	Date	Balance
05/31	4,291.61	06/27	4,288.79		

Account Summary

Beginning Balance	+ Deposits	Interest + Paid	- Withdrawals	Service - Charge	Ending = Balance
4,291.61	.00	.00	2.82	.00	4,288.79

Statement from 06/01/18 Thru 06/29/18

ACCOUNT ANALYSIS

Average Ledger Balance	4,291.31
Less Average Uncollected Balance	.00
Average Collected Balance	4,291.31
= Average Available Balance	4,291.31

Activity Charges:

Service	TMA	Grp#	Volume	Unit Price
Total Activity Charges				.00

Total Service Charge

.00

ACCOUNT	BALANCE	RATE	YTD INT	YTD PENALTY	MATURITY
CK XXXXXX3601	4,288.79				



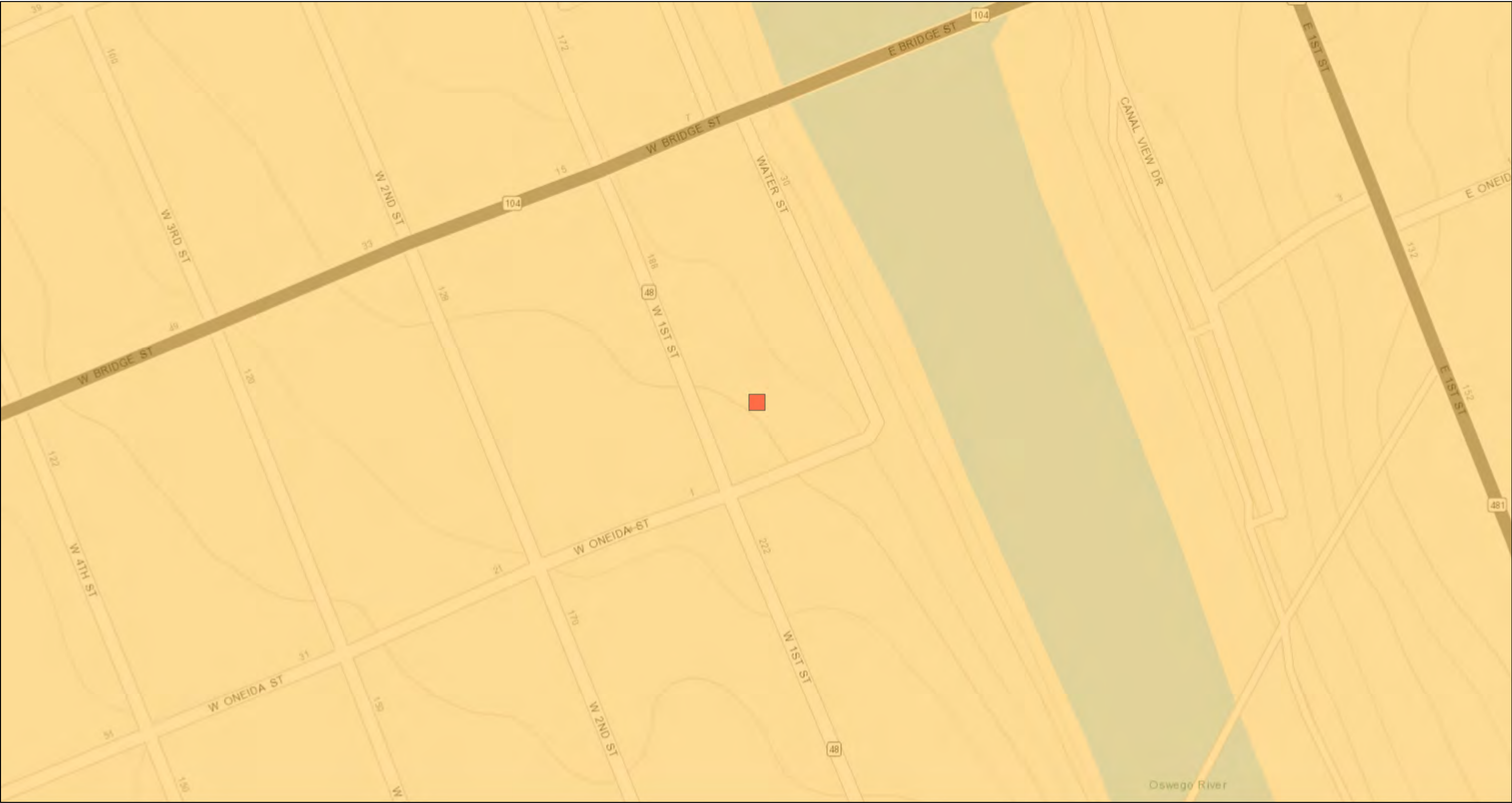
A new website you can bank on!

Starting in June, whether you are using your tablet, phone or desktop, you'll notice a fresher, more intuitive design that delivers the ultimate user experience!

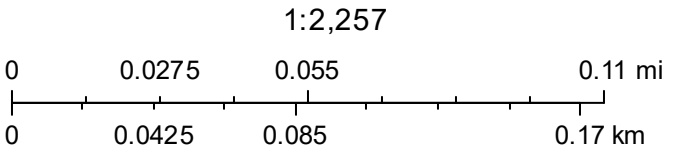
www.pathfinderbank.com



215 West 1st St NYSDEC Endangered and Threatened Species Map



February 8, 2019



Sources: Esri, HERE, Garmin, Intermap, increment P Corp., GEBCO, USGS, FAO, NPS, NRCAN, GeoBase, IGN, Kadaster NL, Ordnance Survey, Esri Japan, METI, Esri China (Hong Kong), swisstopo, © OpenStreetMap contributors, and the GIS User Community

HOUSING TRUST FUND CORPORATION
Program Description Form

Office of Community Renewal (OCR) state funded programs including:
Adirondack Community Housing Trust (ACHT), Buffalo Main Streets Initiative (BMSI), OCR Managed Downtown Revitalization Initiative (DRI), New York Main Street (NYMS), Rural Area Revitalization Projects (RARP), and Urban Initiatives (UI).

Local Program Administrator (LPA): City of Oswego

Funding Program: DRI **Program Municipality:** Oswego

SHARS ID: 20170236 **Program County:** Oswego

Check all activities that apply to the Program or Project:

- | | |
|------------------------------------------------------------------|---------------------------------------------|
| <input checked="" type="checkbox"/> Façade/Storefront Renovation | <input type="checkbox"/> Streetscape |
| <input type="checkbox"/> Interior Building Renovation | <input type="checkbox"/> New Construction |
| <input type="checkbox"/> Commercial | <input type="checkbox"/> Site Work |
| <input type="checkbox"/> Residential | <input type="checkbox"/> Ground Disturbance |

For Site-Specific Review, outline the specific scope of work for the project(s). The formal scope of work should also be attached.

New sign for business, Create residential roof-top patio. Repoint brick exterior.

Description of Target Area:

Describe the target area or project site location and attach a map of the target area or project site.
215-217 West 1st Street within Oswego Downtown Revitalization Initiative Boundary Area

Environmental Compliance Areas/ SEQR Classification Evaluation:

Specifically identify if any of the following activities will or may occur as part of this program: substantial improvement in a flood zone; projects in or adjacent to Agricultural Districts; work on a building determined by SHPO to have historic or cultural significance; ground disturbance; zoning changes, change in actual building use.

See attached Environmental Compliance Review form

Primary Contact for Environmental Review Issues:

Justin Rudgick, Economic Development Director, City of Oswego

Prepared by: _____

Title: _____

Date: _____

Phone Number: _____

Email Address: _____

HOUSING TRUST FUND CORPORATION
Environmental Compliance Checklist

Office of Community Renewal (OCR) state funded programs including:
Adirondack Community Housing Trust (AHT), Buffalo Main Streets Initiative (BMSI), OCR Managed Downtown Revitalization Initiative (DRI), New York Main Street (NYMS), Rural Area Revitalization Projects (RARP), and Urban Initiatives (UI).

Local Program Administrator (LPA): City of Oswego

Funding DRI **Project Municipality:** Oswego

Program:
SHARS ID: 20170236 **Project County:** Oswego

Review Type: Site Specific **Project Address (if site specific):** 215-217 West 1st Street

Compliance Area	Attachments Included	Compliance Procedures
A. <u>Historic/Cultural Resources:</u> Section 14.09 of the Parks, Recreation and Historic Preservation Law.	<input checked="" type="checkbox"/>	SHPO identified specific conditions as described in the attached determination. The conditions will be incorporated into the project scope.
B. <u>Flood Plains:</u> 6 NYCRR Part 502, Floodplain Management Criteria for State Projects.	<input checked="" type="checkbox"/>	The project is not located within a SFHA. A copy of the FIRM map, with Panel Number and Effective Date is included.
C. <u>Zoning:</u> C1. Projects must conform to local land use plans and receive all necessary zoning and site plan approvals and permits.	<input checked="" type="checkbox"/>	The project will not require zoning modifications, variances, or a special use permit for issuance of a building permit. See attached documentation.
C2. Projects that result in a change in the building use must be identified.	<input type="checkbox"/>	The existing use of the building is commercial; the proposed use of the building is commercial
D. <u>Coastal Zones:</u> 19 NYCRR Part 600, Coastal Zone Management	<input checked="" type="checkbox"/>	The project is located with the coastal zone but is not a Type I or Unlisted action
E. <u>Site Contamination- Hazardous Materials:</u> Projects funded under the Program must be free of hazardous materials which could affect the health and safety of the occupants or conflict with the intended utilization of the property.	<input checked="" type="checkbox"/>	An environmental professional has certified that the project site is free of hazardous materials, contamination, toxic chemicals and gases, and radioactive substances. Documentation is attached."
F. <u>Lead Based Paint:</u> All activities impacting dwelling units or child occupied facilities must be free from the hazards posed by lead-based paint. <i>Refer to the Program Policy for Lead-Based Paint.</i>	<input checked="" type="checkbox"/>	The project involves exterior renovations or interior commercial renovations only. Window openings into residential or child-occupied facility floors will not be impacted as part of the project scope of work.
G. <u>Asbestos Containing Materials</u> NYS Department of Labor at 12 NYCRR Part 56	<input type="checkbox"/>	Asbestos Containing Materials (ACM) that will be disturbed as part of program activities will be handled and disposed of according to NYS Department of Labor requirements at 12 NYCRR Part 56 and local regulations.

H. Radon EPA map of Radon Zones; EPA Radon Mitigation Standards	<input type="checkbox"/>	The target area is located in a zone with moderate or high potential for radon levels to exceed the U.S. EPA action level (4pCi/L or higher). New construction or rehabilitation of residential units and common areas will include post-renovation testing and if elevated levels are found, a radon mitigation system will be installed in accordance with EPA Radon Mitigation Standards.
I. Wetlands: 6 NYCRR Part 663, Freshwater Wetlands Permit Requirements and, Section 404 of the Clean Water Act	<input type="checkbox"/>	The project does not involve new construction, ground disturbance or is entirely within an urban, built-up area.

J. Endangered Species: 6 NYCRR Part 182, Endangered and Threatened Species	<input checked="" type="checkbox"/>	The project is in a built up urban area, does not involve new construction, ground disturbance or tree cutting. The NYSDEC Environmental Resource Map is attached.
K. Agricultural Districts: Agriculture and Markets Law Article 25-AA, Sections 303 and 304, Agricultural Districts	<input type="checkbox"/>	The program is not located in an agricultural district, does not involve any activities with potential to convert farmland to nonagricultural use and does not require an Agricultural Data Statement.

Individual site specific checklists may require more detailed supporting documentation and review in circumstances such as:

- substantial improvement in a flood zone;
- projects in, or adjacent to, Agricultural Districts;
- work on a building determined by SHPO to have historic or cultural significance;
- ground disturbance;
- zoning changes;
- a change in actual building use (whether or not this change is locally regulated);
- if the work constitutes a SEQRL Unlisted action.

Certification

I am authorized to execute contract materials for the program award to the Local Program Administrator (LPA) named above. I have read this Checklist and by signing this document agree with the statements made herein and agree that: (1) site specific checklists will be prepared and submitted to the OCR for each project site and additional documentation will be provided as necessary for the circumstances listed above; (2) project activities will be conducted in conformance with the described compliance procedures; (3) an environmental determination letter or approval from OCR will be received before taking any physical action on a site or incurring costs related to a specific activity; and, (4) costs incurred for activities completed prior to the SEQRL determination and submission of the site-specific checklist will not be eligible for reimbursement.

Signature:

Printed Name: William Barlow, Jr.

Title: Mayor

Prepared by: _____

Title: _____

Date: _____

Phone: _____

Email: _____



Parks, Recreation, and Historic Preservation

ANDREW M. CUOMO
Governor

ROSE HARVEY
Commissioner

November 9, 2018

Mr. Tim Stahl
Deputy Director
City of Oswego
44 East Bridge Street
Oswego, NY 13126

Re: HTFMS
Oswego DRI-215-217 West First Street
215 West First Street, Oswego, NY 13126
18PR07224
DRI Project No. 20170236

Dear Mr. Stahl:

Thank you for requesting the comments of the Division for Historic Preservation of the Office of Parks, Recreation and Historic Preservation (OPRHP). We have reviewed the submitted materials in accordance with the New York State Historic Preservation Act of 1980 (section 14.09 of the New York Parks, Recreation and Historic Preservation Law). These comments are those of the Division for Historic Preservation and relate only to Historic/Cultural resources. They do not include potential environmental impacts to New York State Parkland that may be involved in or near your project. Such impacts must be considered as part of the environmental review of the project pursuant to the State Environmental Quality Review Act (New York Environmental Conservation Law Article 8) and its implementing regulations (6NYCRR Part 617).

We note that 215 West 1st Street, the former Browne-Davis Furniture Company building, is individually listed in the State and National Registers of Historic Places.

We have reviewed the project submission received on 11/5/2018. Based upon this review, it is the OPRHP's opinion that the project will have No Adverse Impact upon historic resources, provided the following condition be met:

1. All brick repair/replacement be done in accordance with Preservation Brief No. 2: *Repointing Mortar Joints in Historic Masonry Buildings* (available online here: <https://www.nps.gov/tps/how-to-preserve/briefs/2-repoint-mortar-joints.htm>).

If there are substantive changes to the project, consultation with our office should resume. If you have any questions, I can be reached at (518) 268-2217.

Sincerely,

Christina Vagvolgyi
Historic Preservation Technical Specialist
e-mail: christina.vagvolgyi@parks.ny.gov

via e-mail only

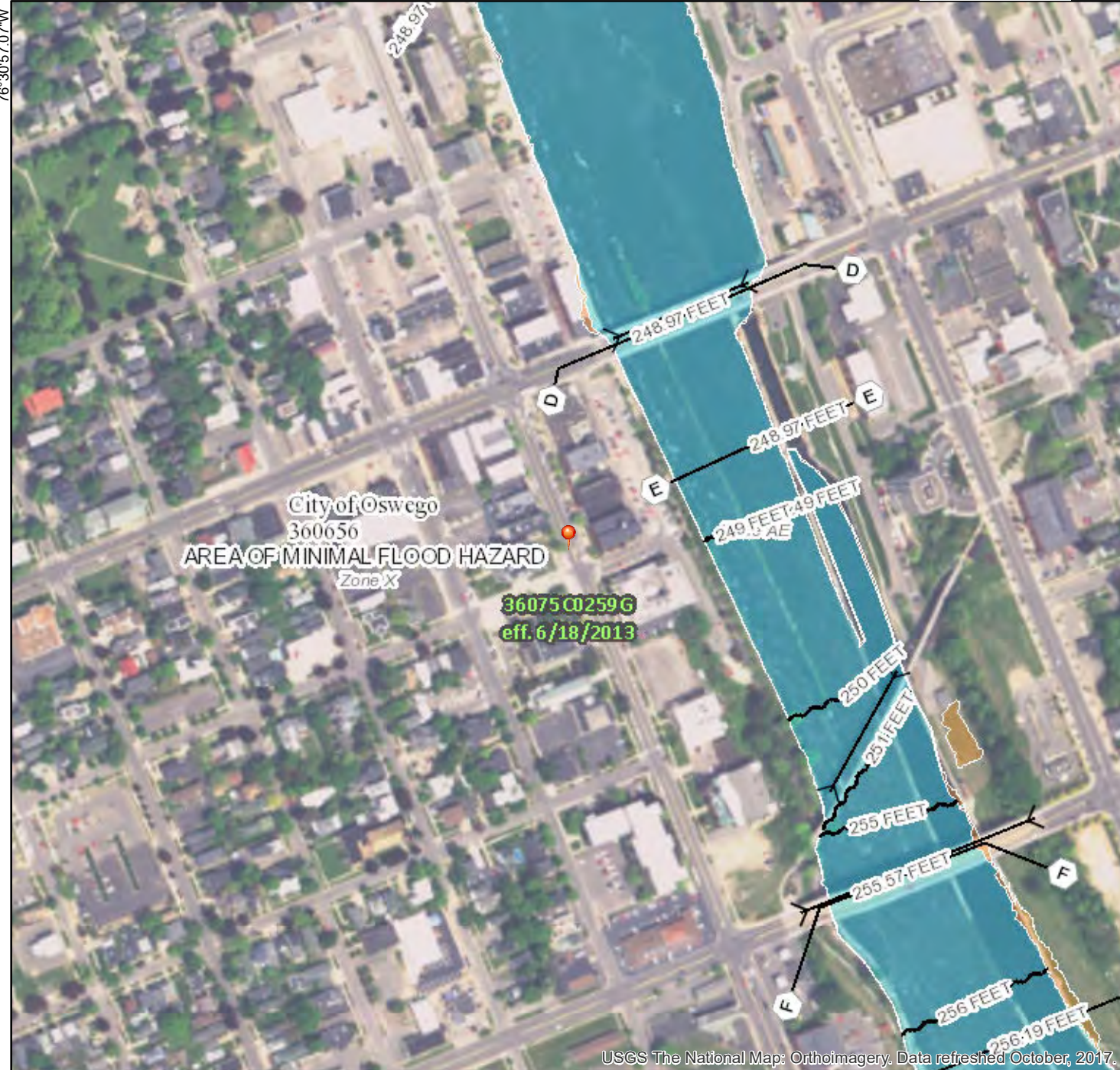
Division for Historic Preservation

P.O. Box 189, Waterford, New York 12188-0189 • (518) 237-8643 • www.nysparks.com

National Flood Hazard Layer FIRMette



43°27'32.99"N



0 250 500 1,000 1,500 2,000 Feet

1:6,000

43°27'6.87"N

Legend

SEE FIS REPORT FOR DETAILED LEGEND AND INDEX MAP FOR FIRM PANEL LAYOUT

SPECIAL FLOOD HAZARD AREAS		Without Base Flood Elevation (BFE) Zone A, V, A99
		With BFE or Depth Zone AE, AO, AH, VE, AR
		Regulatory Floodway
OTHER AREAS OF FLOOD HAZARD		0.2% Annual Chance Flood Hazard, Areas of 1% annual chance flood with average depth less than one foot or with drainage areas of less than one square mile Zone X
		Future Conditions 1% Annual Chance Flood Hazard Zone X
		Area with Reduced Flood Risk due to Levee. See Notes. Zone X
		Area with Flood Risk due to Levee Zone D
OTHER AREAS		Area of Minimal Flood Hazard Zone X
		Effective LOMRs
GENERAL STRUCTURES		Area of Undetermined Flood Hazard Zone D
		Channel, Culvert, or Storm Sewer
		Levee, Dike, or Floodwall
OTHER FEATURES		20.2 Cross Sections with 1% Annual Chance Water Surface Elevation
		17.5 Coastal Transect
		Base Flood Elevation Line (BFE)
		Limit of Study
		Jurisdiction Boundary
		Coastal Transect Baseline
MAP PANELS		Digital Data Available
		No Digital Data Available
		Unmapped



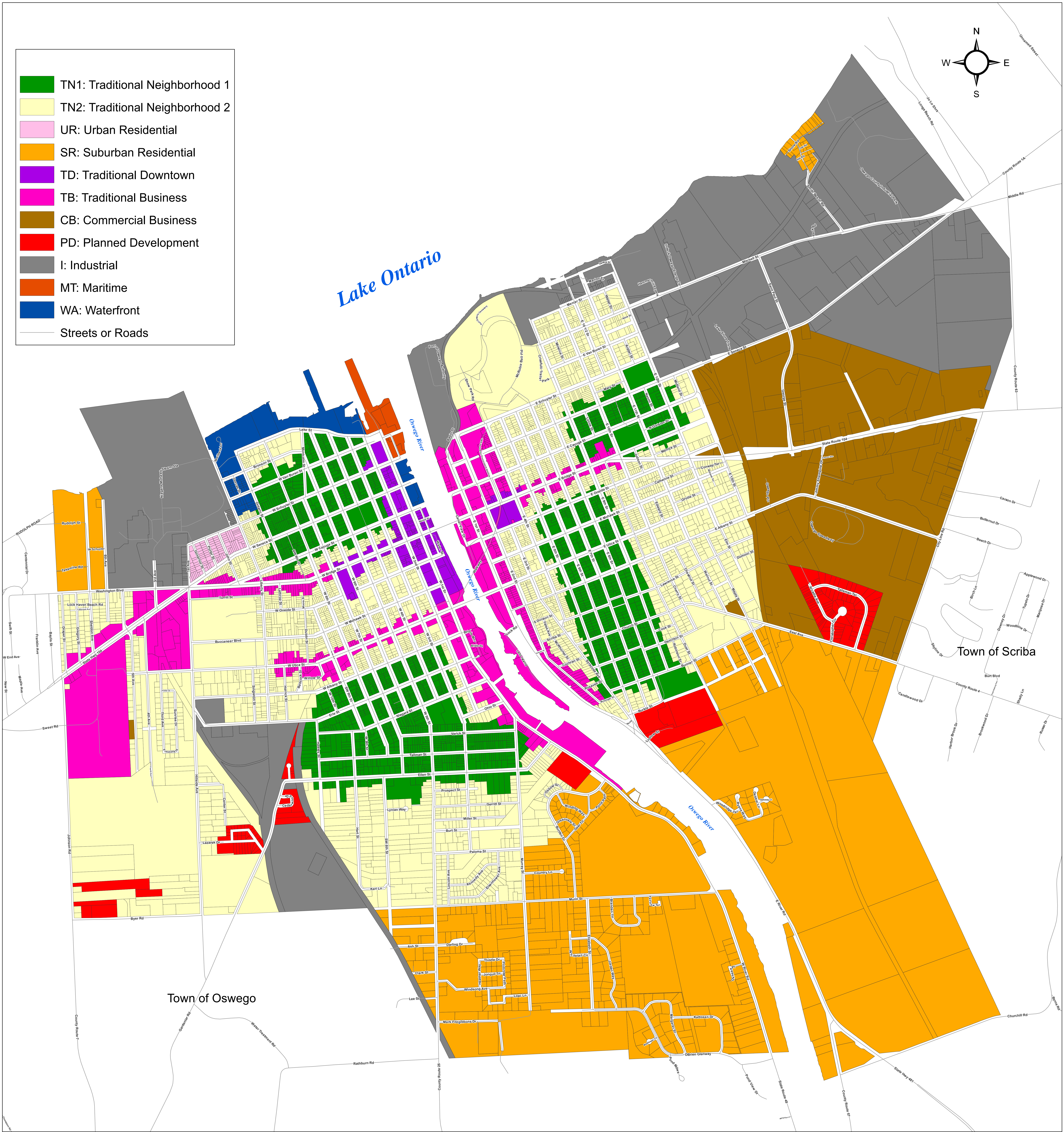
The pin displayed on the map is an approximate point selected by the user and does not represent an authoritative property location.

This map complies with FEMA's standards for the use of digital flood maps if it is not void as described below. The basemap shown complies with FEMA's basemap accuracy standards

The flood hazard information is derived directly from the authoritative NFHL web services provided by FEMA. This map was exported on **2/8/2019 at 3:06:40 PM** and does not reflect changes or amendments subsequent to this date and time. The NFHL and effective information may change or become superseded by new data over time.

This map image is void if the one or more of the following map elements do not appear: basemap imagery, flood zone labels, legend, scale bar, map creation date, community identifiers, FIRM panel number, and FIRM effective date. Map images for unmapped and unmodernized areas cannot be used for regulatory purposes.

City of Oswego Zoning Map

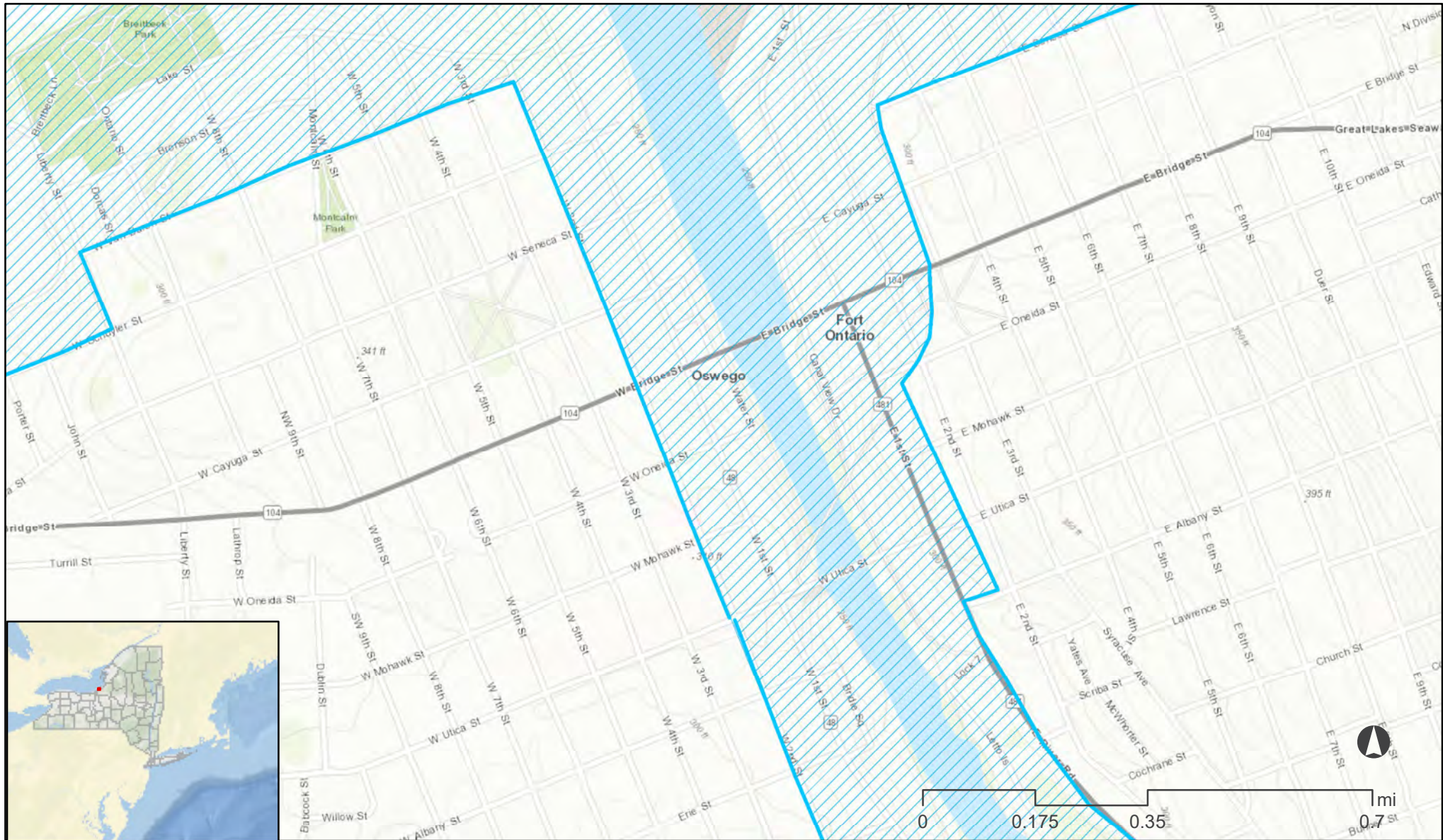


For:
City of Oswego
Zoning & Planning Office
13 West Oneida Street
Oswego, NY 13126
(315) 342-8153

0 650 1,300 2,600 3,900 5,200 Feet

By:
Oswego County Community Development, Tourism & Planning
46 East Bridge Street
Oswego, NY 13126
(315)349-8295
Karen B. Noyes, AICP
April 8, 2019

215-217 West 1st Street Coastal Boundary Map



— Coastal_Boundary_Polyline_update CoastalBoundary_Polygon_March2017



**Department
of State**

The New York Department of State (DOS) gives no warranty, expressed or implied, as to the accuracy, reliability, or completeness of data shown on this map product. DOS does not assume responsibility for the use or application of any information represented on this map nor responsibility for any error, omission or other discrepancy between the electronic and printed versions of documents.



May 1st, 2019

Housing Trust Fund Corporation
OCR Managed Downtown Revitalization Initiative Projects (DRI)

Re: 215-217 West 1st Street, Oswego, New York – Environmental Compliance Handbook

To whom it may concern:

As per the Environmental Compliance Handbook, Section E: Site Contamination (Hazardous Materials), established by the Housing Trust Fund Corporation, the project site at 215-217 West 1st Street in Oswego, New York was examined for the potential presence of hazardous materials. The three (3) online databases that were used in this desktop review were the Nationwide Environmental Title Research (NETR) Database, the New York State Department of Environmental Conservation (NYSDEC) Environmental Site Database, and the United States Environmental Protection Agency (USEPA) EnviroFacts Database.

The project site is free of hazardous materials, contamination, toxic chemicals and gases, and radioactive substances which could affect the health and safety of occupants or users or conflict with the intended utilization of the property. I, Ariadna Cheremeteff, certify that I am an environmental professional as per ASTM 1527.

Please email if you have any questions.

Sincerely,

Ariadna Cheremeteff
BERGMANN
Environmental Discipline Leader
acheremeteff@bergmannpc.com



April 30th, 2019

Mr. Tim Stahl, Deputy Director
The City of Oswego – Office of Economic Development
44 East Bridge Street
Oswego, New York 13126

Re: Lead Based Paint Sampling Results – 215-217 West 1st Street, Oswego, New York

Dear Mr. Stahl,

Bergmann was retained by the City of Oswego to conduct Lead Based Paint (LBP) sampling on select sites in the City of Oswego as part of the Downtown Revitalization Initiative (DRI). The results in this letter and the information gathered during Bergmann's sampling is being used to satisfy Section F of the Housing Trust Fund Corporation Environmental Compliance Checklist (ECC). Below is a summary of the materials sampled by Bergmann using a Thermo XL2 980 X-Ray Fluorescence (XRF) ray. Bergmann took three (3) samples from various DRI sites throughout the City of Oswego that were submitted to Paradigm Environmental Services for laboratory confirmation of the accuracy of the XRF ray. No major discrepancies between the field XRF results and laboratory analytical results were observed. In addition to the summary below, attached you will find a frontal façade photograph depicting the exact locations of the paints that were screened by Bergmann.

The following paints at 215-217 West 1st Street, Oswego, NY were screened by Bergmann using an XRF on March 29th, 2019:

- Front façade green paint (positive, 3.10 mg/cm² lead)
- Red diamonds along frontal façade trim (positive, 3.12 mg/cm²)
- Dark green paint on front entrance (negative)
- Faded green paint along frontal façade header (negative)

The Federal Department of Housing and Urban Development (HUD) has established guidelines for the identification of lead based paint. Paint containing a concentration equal to or greater than 0.5% by weight (5,000 parts per million or 5,000 ppm) is to be considered lead-based paint. Given these values established by the HUD, all paint identified above as "positive" is considered to be lead based paint and must be abated to satisfy Section F of the Environmental Compliance Checklist (ECC).

Should any additional layers of paint be found during the abatement or renovation process, they are to be sampled via XRF or laboratory analyses to confirm or deny the presence of lead at levels exceeding the HUD action level of 0.5% by weight.



Please email if you have any questions.

Sincerely,
Bergmann



CASH R. BLEIER
Environmental Scientist
cbleier@bergmannpc.com

Attachment 1: Exterior Sample Location Photo

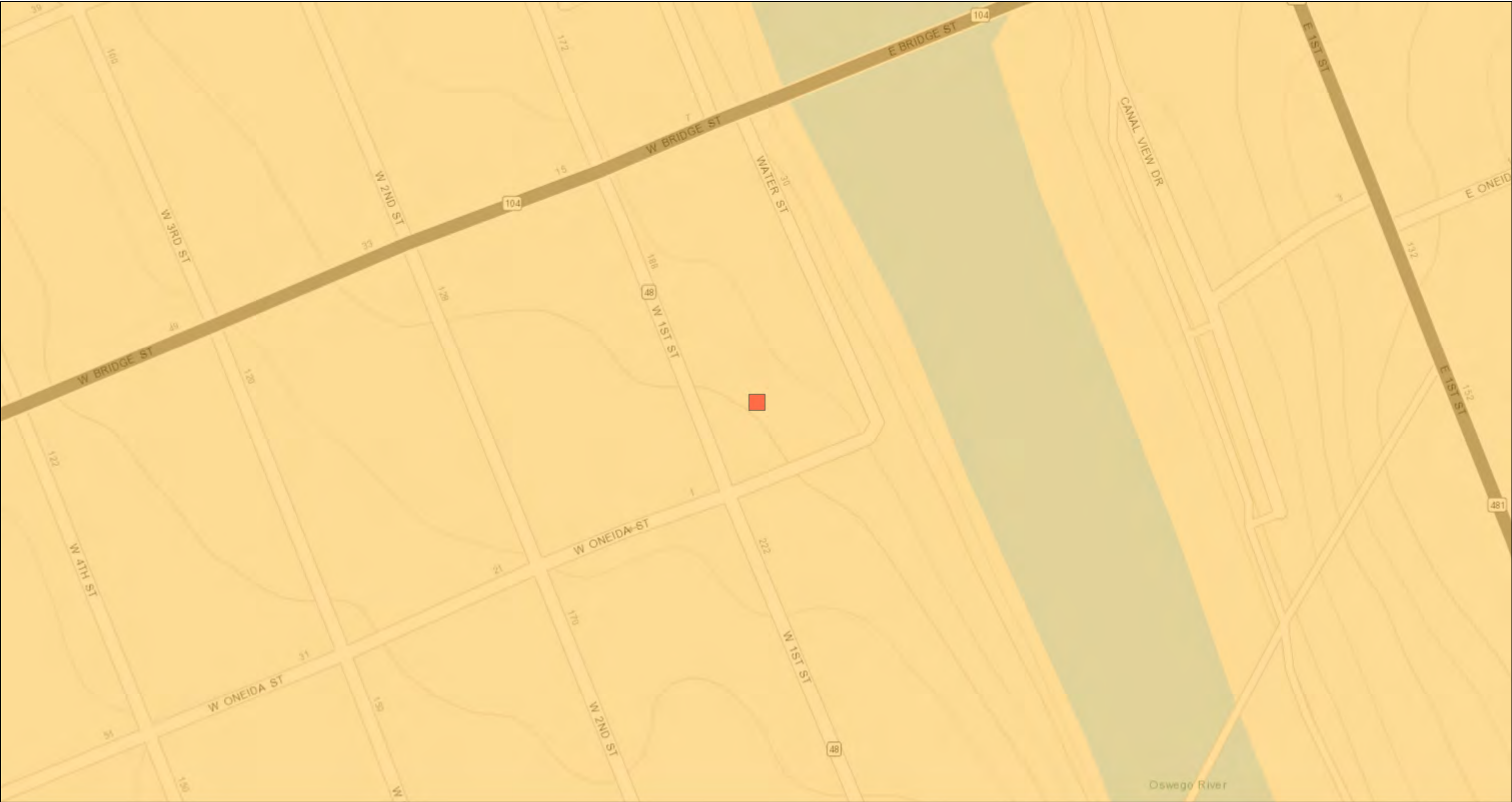


BERGMANN

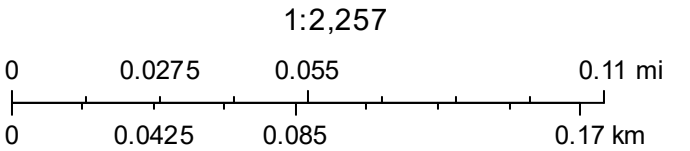
ARCHITECTS ENGINEERS PLANNERS



215-217 West 1st St NYSDEC Endangered and Threatened Species Map



February 8, 2019



Sources: Esri, HERE, Garmin, Intermap, increment P Corp., GEBCO, USGS, FAO, NPS, NRCAN, GeoBase, IGN, Kadaster NL, Ordnance Survey, Esri Japan, METI, Esri China (Hong Kong), swisstopo, © OpenStreetMap contributors, and the GIS User Community