



2021-2022 Annual Action Plan

**Economic Development Department
Housing Division
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Executive Summary

AP-05 Executive Summary - 24 CFR 91.200(c), 91.220(b)

1. Introduction

This Annual Action Plan outlines the activities which will be undertaken during the program year beginning July 1, 2021 and ending June 30, 2022 using the Community Development Block Grant (CDBG), HOME Investment Partnerships (HOME), and Emergency Solutions Grant (ESG) program funds that are received by the City of Stockton from the U.S. Department of Housing and Urban Development (HUD). The Action Plan describes how the City will address the proposed priority goals, activities, planned outcomes and budget for the new fiscal year and the funding resources allocated to housing and community development projects to meet its established goals as identified in the City's Five-Year 2020-2025 Consolidated Plan.

2. Summarize the objectives and outcomes identified in the Plan

This could be a restatement of items or a table listed elsewhere in the plan or a reference to another location. It may also contain any essential items from the housing and homeless needs assessment, the housing market analysis or the strategic plan.

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The Annual Action Plan for the 2021-22 program year identifies the various activities and projects that will be implemented and funded under the CDBG, ESG, and HOME federal programs to meet the goals and objectives identified in the Five-Year Consolidated Plan (FY 2020-2025). The City anticipates allocating \$3.3 million in CDBG funding, \$1.7 million in HOME funding and \$292,379 in ESG funding. The objectives and outcomes that are anticipated from the implementation of the 2021-22 Action Plan are identified in AP20 and AP35.

The City will fund 22 grants to 20 agencies that will assist low-income persons and address the City's five primary goals and priorities to guide efforts to address Stockton's priority housing and community development needs:

- Housing Services for the Homeless. Provide housing and services for the City's homeless population, including homelessness prevention. *Increase and maintain transitional housing opportunities. Expand housing first model to provide permanent housing units with intense wrap around services on-site.*
- Affordable Housing. Preserve, improve, and expand the supply of quality affordable housing for lower-income households. *Increase the supply of affordable multifamily housing. Provide*

homeownership opportunities for first-time buyers. Assist existing low-income owner-occupied households keep their homes safe and well maintained by providing rehabilitation assistance.

- Services for Special Needs. Provide supportive services necessary to meet the needs of the City's non-homeless special needs populations. *Improve community health care, including basic and specialty care. Support and expand programs and opportunities that engage senior as well as youth.*
- Support Economic Development. Promote economic development activities that create, attract, and retain jobs and promote economic activity and vitality, especially those that provide economic opportunities for low- and moderate-income persons. *Prioritize and expand job readiness programs targeting low-income youth, disabled persons, and homeless persons;*
- Public Services. Ensure the provision of high-quality public services to support ongoing community development, including the provision of funding for fair housing services, among other activities. *Actively and faithfully promote fair housing and investigate housing discrimination. Prioritize the maintenance and improvement of municipal services, facilities, and infrastructure.*

3. Evaluation of past performance

This is an evaluation of past performance that helped lead the grantee to choose its goals or projects.

This is an evaluation of past performance that helped lead the grantee to choose its goals or projects.

The City's Housing Division of the Economic Development Department annually monitors Subrecipient agencies that are recipients of the divisions Federal Grant funds. Staff reviews the agencies programmatic, fiscal management and performance goals; this information is factored into the Community Development Committee's (CDC) discussion and evaluation of the agencies future request for funding through the annual grant application process. The CDC and staff also use this information to recommend grant funding to the City Council.

The City's performance relative to its annual objectives can be reviewed in the Consolidated Annual Performance and Evaluation Report (CAPER) that is prepared following completion of the fiscal year and submitted to HUD.

4. Summary of Citizen Participation Process and consultation process

Summary from citizen participation section of plan.

Summary from citizen participation section of plan.

The goals and priorities in the FY 2021-22 Action Plan were developed through a series of public hearings and outreach conducted by City and the Community Development Committee when developing the Five-Year Consolidated Plan FY 2020-2025.

The planning process for the development of this Annual Action Plan began in December 2020 with published notices and direct e-mails to the City's housing and community development distribution list providing notification that the City was accepting applications for funding under the CDBG, ESG, and HOME programs through February 12, 2021. The notifications reached over two hundred agencies, individuals, and stakeholders.

HUD regulations for the development of the Consolidated Plan, which is the basis for the Annual Action Plan requires jurisdictions to reach out to, and consult with, other public and private agencies and organizations to collect information regarding need, to identify available resources and gaps in the institutional delivery structure, and to coordinate the development of funding allocation priorities, performance standards, and evaluation outcomes. To prepare the Consolidated and Action Plans, the City's consultant met one-on-one with agencies and organizations located throughout the city and county, including public and assisted housing providers, an assortment of government agencies, as well as private and public health, mental health, and social service agencies. Additionally, the City held four focus group meetings with stakeholders other than those targeted for direct consultation, though anyone with an interest in the Consolidated Plan was encouraged to attend. The focus groups were organized around four general topic areas that expanded beyond the content covered by the one-on-one consultations. These included housing, business development, economic development, and issues pertinent to the Central City. The focus group meetings were open to the public and advertised in the local newspaper. City staff also reached out to a variety of stakeholders directly to encourage their participation. In most cases, the consultations and focus group discussions represented a continuation of the ongoing interactions and dialogue between the City and local stakeholder groups.

The City held an application workshop in January 2021. Notices regarding the workshop were mailed directly to agencies on the City's Economic and Housing distribution list and published in the local newspaper The Record prior to the workshop.

Following the application submission period of February 12, 2021, funding requests were reviewed by staff and members of the CDC. Applications were reviewed for compliance with appropriate Federal regulations, Council adopted local community development objectives, and consistency with the priorities and objectives contained in the 2021-2022 Consolidated Plan.

The public will have two opportunities to review the proposed FY 2021-22 Action Plan. A 30-day public review period on the draft FY 2021-22 Action Plan will occur from April 9, 2021, through May 9, 2022. Furthermore, the draft Action Plan will be reviewed at a public hearing at the City Council's May 11, 2021 meeting. The public hearing was noticed to the public in The Record as well as the local Spanish

newspaper, Spanish Times. The draft Stockton Action Plan was made available for public review at the Economic Development Department front counter and online.

5. Summary of public comments

This could be a brief narrative summary or reference an attached document from the Citizen Participation section of the Con Plan.

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A summary of the public comments to the Annual Action Plan will be included as an attachment to this Plan at the conclusion of the Public review period on May 11, 2021. It will include any public comments received at the Stockton City Council's Public Hearing held on May 11, 2021.

6. Summary of comments or views not accepted and the reasons for not accepting them

Not applicable, all comments are received for consideration.

7. Summary

The Stockton 2020-2025 Consolidated Plan and Annual Action Plan represent refined and updated versions of the City's prior planning document, and therefore do not represent a major shift in strategic direction. Programs to preserve, enhance, and increase the supply of housing affordable to lower-income households remain a priority; however, emphasis is placed on a holistic approach to overall community development. This entails providing housing opportunities for vulnerable populations, and emergency shelter and transitional housing as a priority. The Consolidated Plan supports these residents by fostering a system of supportive services, and by directing public investments, such as improved public infrastructure, facilities, and services, where they can benefit the City's lower- and moderate-income populations. At the same time, efforts to provide expanded business assistance and educational opportunities for workers and entrepreneurs will help to provide increased opportunities for residents to improve their economic self-sufficiency, so that resources made available pursuant to the Consolidated Plan can be provided to those most in need of assistance.

PR-05 Lead & Responsible Agencies – 91.200(b)

1. Agency/entity responsible for preparing/administering the Consolidated Plan

Describe the agency/entity responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source.

Agency Role	Name	Department/Agency
CDBG Administrator	STOCKTON	Economic Development Department
HOME Administrator	STOCKTON	Economic Development Department
ESG Administrator	STOCKTON	Economic Development Department

Table 1 – Responsible Agencies

Narrative (optional)

The Economic Development Department of the City of Stockton is responsible for preparing the Consolidated Plan, the Annual Action Plan, and the Consolidated Annual Performance and Evaluation Report (CAPER). The Economic Development Department consists of several divisions, which work together to administer the CDBG, HOME, and ESG programs. The Housing Division functions as the primary lead, providing coordination with other divisions as necessary and appropriate. In addition, staff also collaborates with an extensive network of governmental agencies and non-profit organizations to facilitate strategic planning and implementation of Consolidated Plan goals and objectives.

Consolidated Plan Public Contact Information

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AP-10 Consultation – 91.100, 91.200(b), 91.215(I)

1. Introduction

The planning process required under HUD regulations for the development of the Action Plan requires jurisdictions to reach out to, and consult with, other public and private agencies and organizations to collect information on need, to identify available resources and gaps in the official delivery structure, and to coordinate the development of funding allocation priorities, performance standards, and evaluation outcomes. The Plan itself must include a summary of the consultation process, including the identification of participating agencies and organizations, as well as a summary of efforts to enhance coordination between public and private entities.

Provide a concise summary of the jurisdiction's activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies (91.215(I))

To facilitate preparation of the Consolidated Plan, the City of Stockton consulted one-on-one with various agencies and organizations located throughout the city and county, including public and assisted housing providers and developers, an assortment of government agencies, as well as private and public health, mental health, and social service agencies. These consultations represent a continuation of ongoing interactions between the City and the agency or organizations described. For a complete list of those contacted for participation in Consolidated Plan consultations, please refer to Table 2, below.

Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness.

Consultation and coordination with the Continuum of Care (CoC) representatives is a fundamental component of the plan development process. Efforts to address the needs of homeless persons are described in greater detail throughout the remainder of the Consolidated Plan. The San Joaquin County Community Development Department is the lead agency for the San Joaquin County Continuum of Care (CoC), which represents a consortium of local government agencies and non-profit organizations, encompassing all jurisdictions in San Joaquin County, including the City of Stockton. In addition to coordinating plan development with the available information from the CoC application, the City also conducted consultations with a variety of consortia members, including San Joaquin County, the Central Valley Low Income Housing Corporation (CVLIHC), the Stockton Shelter for the Homeless, the Women's Center - YFS, and Dignity's Alcove Veterans Housing, among other important organizations and agencies.

Describe consultation with the Continuum(s) of Care that serves the jurisdiction's area in determining how to allocate ESG funds, develop performance standards for and evaluate

outcomes of projects and activities assisted by ESG funds, and develop funding, policies and procedures for the operation and administration of HMIS

As the largest city within the San Joaquin CoC area, the City of Stockton maintains a seat on the CoC governing body and participates in consultation with the San Joaquin County Community Development Department, and other participating agencies and organizations, regarding the coordination of ESG funding, including how ESG funds are allocated and the identification of performance standards and evaluation outcomes. Under the CoC, the primary contact for the collaborative application is the CoC administrator Adam Cheshire, while the Central Valley Low Income Housing Corporation (CVLIHC) is responsible for administration of the Homeless Management Information System (HMIS).

2. Describe Agencies, groups, organizations and others who participated in the process and describe the jurisdiction’s consultations with housing, social service agencies and other entities

Table 2 – Agencies, groups, organizations who participated

1	Agency/Group/Organization	Arnaiz Development Company, Inc.
	Agency/Group/Organization Type	Housing Business Leaders
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Market Analysis Economic Development
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	The organization was invited to participate in the focus group discussions, followed up by a one-one-one phone call. The anticipated outcome was to collect information regarding the ability of private housing developers to provide new housing within the city, particularly quality affordable housing, and to identify any barriers to the provision of housing.
2	Agency/Group/Organization	CATHOLIC CHARITIES OF THE DIOCESE OF STOCKTON
	Agency/Group/Organization Type	Services-Children Services-Elderly Persons Services-Persons with Disabilities Services-Health
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Anti-poverty Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	The organization was invited to participate in the consultation process by the San Joaquin County Aging and Community Services Division of the County's Health and Human Services Agency. The anticipated outcome was to collect information regarding social service, public facility, and housing needs for elderly and disabled residents, and to improve coordination with the non-housing community development strategy and anti-poverty strategy, among others.

3	Agency/Group/Organization	Central Valley Low Income Housing Corporation
	Agency/Group/Organization Type	Housing Services-homeless
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Public Housing Needs Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy Non-Homeless Special Needs Market Analysis Anti-poverty Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This organization was invited to participate in the consultation process as a lead participant in the Continuum of Care. The anticipated outcome was to collect information regarding resources available for, and the needs of, homeless and at-risk populations, as well as to improve coordination with the housing, homelessness, and anti-poverty strategies.
4	Agency/Group/Organization	Conway Homes Resident Council
	Agency/Group/Organization Type	Housing Civic Leaders

	What section of the Plan was addressed by Consultation?	Housing Need Assessment Public Housing Needs Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Strategy Non-Homeless Special Needs Market Analysis Anti-poverty Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	The Resident Council President for Conway Homes, one of the Housing Authority of San Joaquin (HACSJ) public housing developments in Stockton, was invited to participate in the consultation process. The anticipated outcome was to collect information regarding the housing and service needs of public housing residents, as well as to improve coordination of the anti-poverty and non-housing community development strategies, among others.
5	Agency/Group/Organization	Dignity Alcove Veterans Housing
	Agency/Group/Organization Type	Housing Services - Housing Services-homeless
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homeless Needs - Chronically homeless Homelessness Needs - Veterans Homelessness Strategy Market Analysis Anti-poverty Strategy

	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This organization was invited to participate in the consultation process. The anticipated outcome was to collect information regarding the housing and social services available to veterans, as well as to improve coordination with the Consolidated Plan homelessness and anti-poverty strategies.
6	Agency/Group/Organization	Fathers and Families of San Joaquin County
	Agency/Group/Organization Type	Services-Children Services-Elderly Persons Services-Persons with Disabilities Services-Victims of Domestic Violence Services-Education Services-Employment Civic Leaders
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Anti-poverty Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	The organization was invited to participate in the consultation process by the San Joaquin County Aging and Community Services Division of the County's Health and Human Services Agency. The anticipated outcome was to collect information regarding social service, public facility, and housing needs of elderly and disabled residents, and to improve coordination with the non-housing community development strategy and anti-poverty strategy, among others.
7	Agency/Group/Organization	GOSPEL CENTER RESCUE MISSION
	Agency/Group/Organization Type	Housing Services - Housing Services-homeless

	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Strategy Non-Homeless Special Needs Anti-poverty Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	This organization was invited to participate in the consultation process. The anticipated outcome was to collect information regarding the housing and social service needs of persons experiencing drug and alcohol addictions, as well as to improve coordination with the Consolidated Plan homelessness, non-homeless special needs, and anti-poverty strategy, among other areas.
8	Agency/Group/Organization	Health Plan of San Joaquin County
	Agency/Group/Organization Type	Services-Children Services-Elderly Persons Services-Persons with Disabilities Services-Persons with HIV/AIDS Services-Victims of Domestic Violence Services-Health Regional organization
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Strategy Non-Homeless Special Needs Anti-poverty Strategy non-Housing Community Development Strategy

<p>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</p>	
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Identify any Agency Types not consulted and provide rationale for not consulting

During Consolidated Plan development, the City of Stockton consulted a wide variety of agencies indepth, including those listed in the table above. During Action Plan development, we posted information on the City's website, Facebook page, sent out flyers to our mailing list of stakeholders, and advertised public hearings in the local newspaper. Going forward, we will enhance these notifications to cast a wider net and encourage additional agencies to participate.

Other local/regional/state/federal planning efforts considered when preparing the Plan

Name of Plan	Lead Organization	How do the goals of your Strategic Plan overlap with the goals of each plan?
Continuum of Care	San Joaquin County Community Development Department	As the largest City in the CoC, the City of Stockton has a seat on the CoC governing body, ensuring coordination with the CoC and action planning process.

Table 3 – Other local / regional / federal planning efforts

Narrative (optional)

AP-12 Participation – 91.105, 91.200(c)

1. Summary of citizen participation process/Efforts made to broaden citizen participation Summarize citizen participation process and how it impacted goal-setting

The planning process for the development of this Annual Action Plan began in December 2020 with published notices and direct email providing notification that the City was accepting applications for funding under the CDBG, ESG, and HOME programs through February 12, 2021. The CDBG and ESG NOFA, in combination with a Needs Assessment Hearing held on November 2020, allowed agencies and interested citizens the opportunity to express their comments, concerns, and thoughts on the housing and community development needs of the community.

Following the application submission period, funding requests were reviewed by staff and members of the Community Development Committee (CDC). Applications were reviewed for compliance with appropriate Federal regulations, Council adopted local community development objectives, and consistency with the priorities and objectives contained in the 2020-2024 Consolidated Plan. In addition, each application was assessed for demonstration of need; project readiness, capacity, and experience; measurable outcomes, objectives, and low-moderate income benefit; and applicants experience with managing government grants and leveraging resources. The CDC held two public meetings on March 10, 2021, and March 30, 2021, which resulted in recommendations to the Council on the use of funds.

Citizen Participation Outreach

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
1	Mailer via e-mail	Minorities Non-English Speaking - Specify other language: Spanish	December 28,2020 a mailer advertising the NOFA requesting applications due by February 12, 2021.	none received	N/A	
2	Internet Outreach	Non-targeted/broad community	A flyer was posted to the City of Stockton's website and official Facebook page advertising the NOFA requesting applications due by February 12, 2021	none received	not applicable	https://www.facebook.com/Cityof Stockton

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
3	Newspaper Ad	Non-English Speaking - Specify other language: Spanish Non-targeted/broad community	A NOFA requesting applications due by February 12, 2021 and notice of March 11, 2021 meeting published in the Record.	None Received	Not Applicable	
4	Public Meeting	Minorities Non-English Speaking - Specify other language: Spanish Persons with disabilities Non-targeted/broad community	Attendance included CDC members, community members, stakeholders, and staff. A Public hearing, meetings, and outreach was conducted by the City and the CDC when developing the Annual Action Plan 2021-2022.		N/A	

Table 4 – Citizen Participation Outreach

Expected Resources

AP-15 Expected Resources – 91.220(c)(1,2)

Introduction

During the planning period, the City expects to receive approximately \$3.33 million in annual CDBG funding. This would equal a five-year total of \$16.5 million. The City also anticipates receiving an annual allocation of approximately \$1.7 million in HOME funding for housing activities, and administrative costs, which would equal a five-year total of \$8.5 million. The City also expects to receive an estimated \$293,000 in annual ESG funding, which would equal a five-year total of \$1.46 million.

Anticipated Resources

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
CDBG	public - federal	Acquisition Admin and Planning Economic Development Housing Public Improvements Public Services	3,388,867	1,670,238	1,700,000	6,759,105	10,166,601	

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
HOME	public - federal	Acquisition Homebuyer assistance Homeowner rehab Multifamily rental new construction Multifamily rental rehab New construction for ownership TBRA	1,760,529	100,000	0	1,860,529	5,281,587	
ESG	public - federal	Conversion and rehab for transitional housing Financial Assistance Overnight shelter Rapid re-housing (rental assistance) Rental Assistance Services Transitional housing	292,379	0	0	292,379	877,137	

Table 5 - Expected Resources – Priority Table

Explain how federal funds will leverage those additional resources (private, state and local funds), including a description of how matching requirements will be satisfied

The City will leverage its CDBG, ESG and HOME Investment Partnership program entitlement funding with State resources that include: Homeless Housing Assistance and Prevention (HHAP) program funding, Permanent Local Housing Allocation (PLHA) program funding and Low-

Income Husing Tax Credit program funding. The State funding sources are allocated solely for housing projects and/or programs capital projects that support human services projects that target very low and low-income persons; and Social Opportunity Endowment (SOE) funds are allocated to public service programs that assist very low and low-income persons. HOME Investment Partnership funds are allocated to projects that provide tenant based rental assistance to formerly homeless persons and those at risk of homelessness. In some instances City surplus General funds are used for specific projects and homeless services.

Matching Funds

The federal HOME and ESG programs require the use of the funds to be matched with other non-federal funding. ESG grants are required to bematched 100%. The City's FY 2021-22 ESG allocation of \$292,379 will be allocated to eight organizations that anticipate matching funds of approximately \$2 million. The sources of these matching funds include prvate and public donations; proceeds from thrift shops and other fundraising and special events; grants from San Joaquin County through the Probation, Mental Health and Human Services Departments; State EHAP funds and grants from EFSP (FEMA).

The HOME program requires a 25% match. However, HUD can reduce the match contribution requirement under two circumstances fiscal distress or inan area of presidentially declared state of emergency. For the past fifteen years, Stockton's HOME match requirements have been waived. For the six years prior to that it was reduced to 12.5%.

If appropriate, describe publically owned land or property located within the jurisdiction that may be used to address the needs identified in the plan

N/A

Discussion

N/A

Annual Goals and Objectives

AP-20 Annual Goals and Objectives

Goals Summary Information

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
1	Housing and Services for the Homeless	2020	2025	Affordable Housing Public Housing Homeless	City-wide	Homelessness	CDBG: \$332,489 ESG: \$272,379	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 330 Persons Assisted Public service activities other than Low/Moderate Income Housing Benefit: 1760 Persons Assisted Homeless Person Overnight Shelter: 4200 Persons Assisted Homelessness Prevention: 145 Persons Assisted
2	Affordable Housing	2020	2025	Affordable Housing Public Housing Homeless	City-wide	Affordable Housing	CDBG: \$1,789,372 HOME: \$1,860,529	Rental units constructed: 200 Household Housing Unit Rental units rehabilitated: 40 Household Housing Unit Homeowner Housing Rehabilitated: 8 Household Housing Unit Direct Financial Assistance to Homebuyers: 2 Households Assisted

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
3	Support Economic Development	2020	2024	Non-Homeless Special Needs Non-Housing Community Development	City-wide	Non-Housing Community Development 1	CDBG: \$1,035,000	Facade treatment/business building rehabilitation: 7 Business Jobs created/retained: 4 Jobs Businesses assisted: 11 Businesses Assisted
4	Public Services	2020	2025	Non-Homeless Special Needs Non-Housing Community Development	City-wide	Non-Housing Community Development 2	CDBG: \$427,511	Public service activities other than Low/Moderate Income Housing Benefit: 10000 Persons Assisted

Table 6 – Goals Summary

Goal Descriptions

1	Goal Name	Housing and Services for the Homeless
	Goal Description	
2	Goal Name	Affordable Housing
	Goal Description	
3	Goal Name	Support Economic Development
	Goal Description	
4	Goal Name	Public Services
	Goal Description	

Projects

AP-35 Projects – 91.220(d)

Introduction

This section of the Stockton Action Plan includes the proposed projects and activities to be undertaken by the City of Stockton that will implement its Housing and Community Development activity plan contained in the FY 2020-2025 Consolidated Plan. The projects described in this section are funded with FY 2021-2022 federal funding from the Community Development Block Grant Program (CDBG), Emergency Solutions Grant (ESG) and HOME Investment Partnerships Program (HOME). Additionally, a number of projects the City is funding are through its state allocated funding sources such as the Housing and Homeless Assistance Program (HHAP) and the Permanent Local Housing Assistance program (PLHA).

The projects and programs that the City funded with CDBG, HOME, and ESG funds during the previous fiscal years have contributed toward substantial improvements in the lives and neighborhoods of Stockton's low - income residents and provided various safety net programs, safe, decent housing for many who would not otherwise be able to afford it. The programs and activities funded in the FY 2021-2022 Action Plan will build on these prior successes and continue to meet the objectives of the 2020 - 2025 Consolidated Plan.

Projects

#	Project Name
1	CDBG Housing Program Operation
2	CDBG Housing Programs
3	Debt Service - Section 108 Loan Repayment
4	Emergency Repair Program
5	San Joaquin Fair Housing
6	Gospel Center Rescue Mission- Rehab
7	Tuleburg Press- Youth Program
8	Emergency Food Bank
9	Second Harvest Food Bank
10	SJC Human Services Agency
11	Community Center for the Blind and Visually Impaired
12	Bread of Life
13	Kelly's Angels
14	Community Medical Center
15	Child Abuse Prevention Council

#	Project Name
16	Women's Center-Youth & Family Services
17	Boys & Girls Club at Sierra Vista Stockton
18	New Legacy Foundation
19	Commercial Facade Improvement Program
20	Micro Storefront Beautification Grant
21	Stockton Entrepreneurship Program
22	Fresh Produce Access Grant - Stocked Full of Produce
23	Food Entrepreneurship & Urban Garden Support
24	Oak Park Facility Upgrades
25	Homeless Initiative
26	HOME Administration
27	HOME Housing Program Delivery
28	HOME Housing Loans
29	CHDO Set-Aside Funds
30	ESG21 Stockton
31	CDBG Program Administration
32	Multi-family Affordable Housing Development

Table 7 - Project Information

Describe the reasons for allocation priorities and any obstacles to addressing underserved needs

AP-38 Project Summary
Project Summary Information

1	Project Name	CDBG Housing Program Operation
	Target Area	City-wide
	Goals Supported	Housing and Services for the Homeless Affordable Housing
	Needs Addressed	Homelessness Affordable Housing
	Funding	CDBG: \$292,141
	Description	Staff and service delivery costs associated with implementation of various housing programs. Matrix Code: 14H National Objective: LMH Citation: 570.202 Performance Measure: DH-2
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	N/A - delivery costs
	Location Description	N/A - delivery costs
	Planned Activities	Rehabilitation Administration and Down Payment Assistance
2	Project Name	CDBG Housing Programs
	Target Area	City-wide
	Goals Supported	Housing and Services for the Homeless Affordable Housing
	Needs Addressed	Affordable Housing
	Funding	CDBG: \$1,959,372

	Description	CDBG funds will be provided through various City housing programs for the improvement of low- and moderate-income housing city-wide. The Multi-family Housing Program will be available to assist in the rehabilitation or the development of low- and moderate-income multi-family housing. This will also be used for Single-Family Housing Repair Program. Matrix Code: 14B National Objective: LMH Citation: 570.202 Performance Measure: DH-2
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	
	Planned Activities	Multi-family affordable housing development, and Single Family Housing Repair Program
3	Project Name	Debt Service - Section 108 Loan Repayment
	Target Area	Former Redevelopment Project Areas
	Goals Supported	Support Economic Development
	Needs Addressed	Non-Housing Community Development 1
	Funding	CDBG: \$1,885,771
	Description	The City of Stockton received a Section 108 Loan that was used for the development of the Hotel Stockton, the Downtown Cineplex, Weber Block Plaza (Dean DeCarli Square), and the Mercy Housing affordable housing development. These funds represent the City's repayment of the loan funds and interest. Matrix Code: 19F
	Target Date	6/30/2022

	Estimate the number and type of families that will benefit from the proposed activities	n/a
	Location Description	n/a
	Planned Activities	
4	Project Name	Emergency Repair Program
	Target Area	City-wide
	Goals Supported	Affordable Housing
	Needs Addressed	Affordable Housing
	Funding	CDBG: \$60,000
	Description	Funds are provided for the repair of immediate health and safety conditions that present a danger to the occupants of the home. The Emergency Repair Program was designed to provide one-time emergency repair assistance to low-income property owners. Properties with an owner over the age of 65 or with special needs may be eligible for a grant. Repairs are limited to corrections of code enforcement violations listed in official documents issued by the City, the Fire Marshal, or the Health Officer stating the code violations present causing imminent danger to life, limb, property, or safety of the public or occupants. Matrix Code: 14A National Objective: LMH Citation: 570.202 Performance Measure: DH-1
	Target Date	6/30/2022

	Estimate the number and type of families that will benefit from the proposed activities	Approximately four low-income owner occupied households, with one owner over the age of 65 or with special needs may be eligible
	Location Description	City-wide
	Planned Activities	Rehabilitation; Single family residential.
5	Project Name	San Joaquin Fair Housing
	Target Area	City-wide
	Goals Supported	Public Services
	Needs Addressed	Homelessness
	Funding	CDBG: \$154,851
	Description	This activity supports the local fair housing office which provides services in the form of landlord/tenant education and mediation. Matrix Code: 05J Citation: 570.201(e) Performance Measure: DH-1 National Objective Code: LMC Presumed benefit.
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	3,349 low-income households will be assisted with fair housing educational and mediation services, workshops, trainings, tenant /landlord information via telephone, email and/or mailings.
Location Description	City-wide	

	Planned Activities	Low-income households will be assisted with fair housing educational and mediation services, workshops, trainings, tenant rental information via telephone, email and/or mailings
6	Project Name	Gospel Center Rescue Mission- Rehab
	Target Area	City-wide
	Goals Supported	Public Services
	Needs Addressed	Homelessness
	Funding	CDBG: \$75,000
	Description	Rehab of sleeping quarters and bathroom, and expansion of laundry area serving homeless individuals. Matrix Code: 03C Citation: 570.201(c) Performance Measure: SL-1 National Objective: LMC Presumed benefit: Yes
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	705 homeless individuals staying at the emergency shelter.
	Location Description	229 East Church Street, Stockton, CA 95203
	Planned Activities	Rehabilitation of sleeping quarters and bathrooms, and expansion of laundry area
7	Project Name	Tuleburg Press- Youth Program
	Target Area	City-wide
	Goals Supported	Public Services
	Needs Addressed	Homelessness
	Funding	CDBG: \$30,000

	Description	Operating expenses and supplies for writing/publishing center for low-income youth. Matrix Code: 05D Citation: 570.201(e) Performance Measure: SL-1 National objective: LMC Presumed benefit: No
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	196 low-income households will benefit from the writing center activities.
	Location Description	343 East main Street, Suite 101, Stockton, CA 95202
	Planned Activities	Low-income households will benefit from the writing center activities.
8	Project Name	Emergency Food Bank
	Target Area	City-wide
	Goals Supported	Public Services
	Needs Addressed	Homelessness
	Funding	CDBG: \$45,000
	Description	Funds to be used for the Mobile Farmers' Market, Farm to Family and Child Care Mobile Farmers Market programs to distribute fresh fruits & vegetables. Two mobile vans visit over 61 low-income and/or resource deficient sites each month. Produce obtained through Farm to Family is distributed through the Food Bank's programs and to other programs that serve the low-income and/or homeless. Matrix Code: 05W Citation: 570.201(e) Performance Measure: SL-1 National Objective: LMC Presumed benefit: No
	Target Date	6/30/2022

	Estimate the number and type of families that will benefit from the proposed activities	12,000 low-income and/or homeless individuals will receive fresh fruits and vegetables via the mobile farmer's market, farm to family and childcare mobile farmer's market programs.
	Location Description	7 West Scotts Avenue, Stockton, CA 95203 ⁻
	Planned Activities	Funds to be used for the Mobile Farmers' Market, Farm to Family and Child Care Mobile Farmers Market programs to distribute fresh fruits & vegetables. Two mobile vans visit over 61 low-income and/or resource deficient sites each month. Produce obtained through Farm to Family is distributed through the Food Bank's programs and to other programs that serve the low-income and/or homeless.
9	Project Name	Second Harvest Food Bank
	Target Area	City-wide
	Goals Supported	Public Services
	Needs Addressed	Homelessness
	Funding	CDBG: \$30,000
	Description	Second Harvest Food Bank will distribute food to low-income residents of Stockton. Matrix Code: 05W Citation: 570.201(e) Performance Measure: SL-1 National Objective: LMC Presumed benefit: No
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	7,000 low-income residents of Stockton will receive food distributions.
	Location Description	1220 Vanderbilt Circle, Manteca, CA 95337

	Planned Activities	Second Harvest Food Bank will distribute food to low-income residents of Stockton.
10	Project Name	SJC Human Services Agency
	Target Area	City-wide
	Goals Supported	Public Services
	Needs Addressed	Homelessness
	Funding	CDBG: \$15,000
	Description	Funds to be used for continuation of a program to distribute meals to home-bound seniors, "Meals on Wheels." Matrix Code: 5A Citation: 570.201(e) Performance Measure: SL-1 National Objective: LMC Presumed benefit: Yes
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	193 low-income, home-bound seniors in Stockton will have meals delivered to their homes.
	Location Description	City-wide
	Planned Activities	Low-income, home-bound seniors in Stockton will have meals delivered to their homes.
11	Project Name	Community Center for the Blind and Visually Impaired
	Target Area	City-wide
	Goals Supported	Public Services
	Needs Addressed	Homelessness
	Funding	CDBG: \$12,660
	Description	Funds phone system upgrades to improve accessibility for clients and staff costs for implementation. Matrix Code: 5B National Objective: LMC Citation: 570.201(e) Performance Measure: SL-1 Presumed benefit: Yes

	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	100 blind or visually impaired clients.
	Location Description	2453 Grand Canal Boulevard, Suite 5, Stockton, CA 95207
	Planned Activities	Phone system upgrades to improve accessibility for clients and staff costs for implementation.
12	Project Name	Bread of Life
	Target Area	City-wide
	Goals Supported	Public Services
	Needs Addressed	Homelessness
	Funding	CDBG: \$30,000
	Description	Fund operating expenses to provide food to low-income families. Matrix Code: 5W Citation: 570.201(e) Performance Measure: SL-1 National Objective: LMC Presumed benefit: Yes
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	4,250 low-income clients.
	Location Description	City-wide
	Planned Activities	Fund operating expenses to provide food to low-income families.

13	Project Name	Kelly's Angels
	Target Area	City-wide
	Goals Supported	Public Services
	Needs Addressed	Homelessness
	Funding	CDBG: \$20,000
	Description	Funding for operating expenses of program offering services to youth who are experiencing trauma due to violence or crimes. Matrix Code: 05D Citation: 570.201(e) Performance Measure: SL-1 National Objective: LMC Presumed benefit: No
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	50 low-income individuals will be served by the program, including referrals from the District Attorney's Victim's Assistance Unit.
	Location Description	343 East Main St., Suite 305, Stockton, CA 95202
	Planned Activities	Services will be provided to youth who are experiencing trauma due to violence or crimes.
14	Project Name	Community Medical Center
	Target Area	City-wide
	Goals Supported	Public Services
	Needs Addressed	Homelessness
	Funding	CDBG: \$127,489

	Description	Rehabilitation of building to create a youth health clinic to provide supportive services to Stockton's low-income and homeless youth. Matrix Code: 03PT Citation: 570.201(c) Performance Measure: SL-1 National Objective: LMC Presumed benefit: No
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	9,000 low-income individuals upon completion of the clinic
	Location Description	701 East Weber Avenue, Stockton, CA 95202
	Planned Activities	Rehabilitation of building to creat a youth health clinic to provide supportive services to Stockton's low-income and homeless youth.
15	Project Name	Child Abuse Prevention Council
	Target Area	City-wide
	Goals Supported	Public Services
	Needs Addressed	Homelessness
	Funding	CDBG: \$70,000
	Description	Provide for employment of a Court Appointed Special Advocates (CASA) recruiter/trainer who will recruit and train CASA volunteers to support Stockton foster care youth who have been removed from their homes due to exposure to abuse and neglect. Matrix Code: 05N Citation: 570.201(e) Performance Measure: SL-1 National Objective: LMC Presumed benefit: Yes
	Target Date	6/30/2022

	Estimate the number and type of families that will benefit from the proposed activities	150 foster youth from ages 12-24
	Location Description	127 North Sutter Street, stockton CA 95202
	Planned Activities	To recruit and train CASA volunteers to increase the capacity of the organization to provide CASA services to more foster youth.
16	Project Name	Women's Center-Youth & Family Services
	Target Area	City-wide
	Goals Supported	Public Services
	Needs Addressed	Homelessness
	Funding	CDBG: \$80,000
	Description	Rehabilitation of facilities that serve domestic violence victims and homeless youth. Matrix Code: 03C Citation: 570.201 (c) Performance Measure: SL-1 National Objective: LMC Presumed benefit: Yes
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	4,450 low-income and homeless individuals
	Location Description	729 North California Street, Stockton CA 95202 620 North San Joaquin Street, Stockton CA 95202 426 E. Flora Street, Stockton CA 95202 436 East Flora Street, Stockton CA 95202

	Planned Activities	Rehabilitation of facilities serving domestic violence victims and homeless youth.
17	Project Name	Boys & Girls Club at Sierra Vista Stockton
	Target Area	City-wide
	Goals Supported	Public Services
	Needs Addressed	Homelessness
	Funding	CDBG: \$50,000
	Description	Provide for the purchase and delivery of resources and supplies to low-income youth, including academic packets, school supplies, and fresh food. Matrix Code: 05D Citation: 570.201(e) Performance Measure: SL-1 National Objective: LMC Presumed benefit: No
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	300 low-income youth
	Location Description	1700 East 11th Street, Stockton, CA 95206
	Planned Activities	Youth Services
18	Project Name	New Legacy Foundation
	Target Area	City-wide
	Goals Supported	Public Services
	Needs Addressed	Homelessness
	Funding	CDBG: \$20,000

	Description	Provide for the hosting of financial literacy classes low-income and homeless individuals. Matrix Code: 05H Citation: 570.201(e) Performance Measure: SL-1 National Objective: LMC Presumed benefit: No
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	140 low-income individuals, including 50 homeless individuals.
	Location Description	401 E. Main Street, Stockton, CA 95202
	Planned Activities	Provide financial literacy classes to low-income and homeless individuals.
19	Project Name	Commercial Facade Improvement Program
	Target Area	City-wide
	Goals Supported	Support Economic Development
	Needs Addressed	Non-Housing Community Development 1
	Funding	CDBG: \$450,000
	Description	Rehabilitation of commercial properties in eligible target areas including facade improvements. Matrix Code: 14E Citation: 570.202(3) Performance Measure: EO-3 National Objective: SBA Presumed benefit: No
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	Three (3) businesses will receive facade improvements. Qualifying businesses are those located in the target area.

	Location Description	Eligible areas include the Waterfront Redevelopment Project Area, El Dorado Street between Harding Way and Park Street, California Street between Harding Way and Park Street, East Main Street between Wilson Way and Highway 99, Fremont street between Ryde Avenue and Interstate 5, Charter Way between Interstate 5 and Airport Way, and Airport Way between Charter Way and Twelfth Street.
	Planned Activities	Rehabilitation; Commercial facade
20	Project Name	Micro Storefront Beautification Grant
	Target Area	City-wide
	Goals Supported	Support Economic Development
	Needs Addressed	Non-Housing Community Development 1
	Funding	CDBG: \$40,000
	Description	Assist commercial property and/or business by providing funding for minor storefront improvements. Matrix Code: 14E Citation: 570.202 Performance Measure: EO-3 National Objective: SBA Presumed benefit: No
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	Four (4) businesses will be assisted.
	Location Description	Eligible areas include targeted commercial corridors: Charter Way, MLK jr. Blvd. E. Main Street, Airport Way, Wilson Way, E. Fremont Street, West Lane, E. Ben Holt (East of Pacific Ave.), Hammer Lane, Lower Sacramento R. (Near Ponce De Leon), Pacific Ave. (between Harding and Alpine Ave.), Harding Way, Yosemite St., El Dorado St. (up to Essex St.) California St. (up to Alpine Ave.) and the Downtwon Improvement District.
Planned Activities	Assist Commercial property and/or business by providing funding for minor storefront improvements.	
21	Project Name	Stockton Entrepreneurship Program

	Target Area	City-wide
	Goals Supported	Support Economic Development
	Needs Addressed	Non-Housing Community Development 1
	Funding	CDBG: \$235,000
	Description	Previously named the Entrepreneurship/Business Incubator. Funding for organizations that serve as business service providers to facilitate and provide services to entrepreneurs, new innovators, emerging or existing businesses. Funding for Entrepreneurs with creative ideas who need capital to start or expand their business, providing goods or services to the community and/or introducing products to underserved areas of the community. Matrix Code: 18C Citation: 570.201(o) Performance Measure: EO-1 National Objective: LMA Presumed benefit: No
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	Seven (7) microenterprise businesses for low/moderate income persons.
	Location Description	City-wide
	Planned Activities	Direct Financial Assistance to for-profits & to assist entrepreneurs start or expand their business concept.
22	Project Name	Fresh Produce Access Grant - Stocked Full of Produce
	Target Area	City-wide
	Goals Supported	Support Economic Development
	Needs Addressed	Non-Housing Community Development 1
	Funding	CDBG: \$60,000

	Description	The program will reduce food insecurity by offering retail stores grant funding to assist with needed infrastructure upgrades to promote the sale and storage of healthier and/or fresh food options. Matrix Code: 18A Citation: 570.203(a) Performance Measure: EO-1 National Objective: LMA Presumed benefit: No
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	Three (3) businesses will be assisted
	Location Description	City-wide
	Planned Activities	These grants can be used to make improvements in retail stores such as the installation of new refrigeration or shelving, to provide more access to healthier and/or fresh food options in United States Department of Agriculture defined Food Deserts within the City of Stockton.
23	Project Name	Food Entrepreneurship & Urban Garden Support
	Target Area	City-wide
	Goals Supported	Support Economic Development
	Needs Addressed	Non-Housing Community Development 1
	Funding	CDBG: \$100,000
	Description	Previously named the Stockton Community Kitchen Incubator. Provide funding to supports small local food and beverage entrepreneurs in Stockton. Matrix Code: 18C Citation: 570.203(b) Performance Measure: EO-3 National Objective: LMA Presumed benefit: No
	Target Date	6/30/2022

	Estimate the number and type of families that will benefit from the proposed activities	Three (3) businesses.
	Location Description	City-wide
	Planned Activities	Direct Financial Assistance to support small local food and beverage entrepreneurs in Stockton.
24	Project Name	Oak Park Facility Upgrades
	Target Area	City-wide
	Goals Supported	Support Economic Development
	Needs Addressed	Non-Housing Community Development 1
	Funding	CDBG: \$150,000
	Description	Funding for the continued renovations of the facility, specifically for system upgrades and replacements, such as lighting, electrical, HVAC, water lines, and restrooms Matrix Code: 03F Citation: 570.202 Performance Measure: SL-3 National Objective: LMA Presumed benefit: Yes
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	The project site is in Census Tract 0014.00, which has a median income of \$ 44,988 and is on the border of Census Tracts 0013.00 and 0015.00
	Location Description	3545 Alvarado Avenue, Stockton, CA 95204

	Planned Activities	Redevelopment of Oak Park Ice Rink facility for the continued renovations of the facility, specifically for system upgrades and replacements, such as lighting, electrical, HVAC, water lines, and restrooms intended primarily for recreational use that is a benefit to the community.
25	Project Name	Homeless Initiative
	Target Area	City-wide
	Goals Supported	Housing and Services for the Homeless
	Needs Addressed	Homelessness
	Funding	CDBG: \$350,000
	Description	Subject to a request for proposals, to be used for acquisition, construction, or rehabilitation of temporary shelters or transitional housing for the homeless in conjunction with recommendations by the Committee on Homeless Policy. Matrix Code: 03C Citation: 570.201(c) Performance Measure: SL-1 Presumed benefit: Yes
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	To be determined (subject to request for proposals).
	Location Description	City-wide
Planned Activities	Subject to request for proposals; to be used for acquisition, construction, or rehabilitation of temporary shelters or transitional housing for the homeless.	
26	Project Name	HOME Administration
	Target Area	City-wide
	Goals Supported	Affordable Housing
	Needs Addressed	Affordable Housing
	Funding	HOME: \$176,053

	Description	Administration of HOME program, including oversight, management, and capacity building.
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	n/a - Administration
	Location Description	City-wide
	Planned Activities	Administration of HOME program.
27	Project Name	HOME Housing Program Delivery
	Target Area	City-wide
	Goals Supported	Affordable Housing
	Needs Addressed	Affordable Housing
	Funding	HOME: \$100,000
	Description	Delivery costs associated with implementation of specific housing programs and projects. This includes staff time spent reviewing agreements, staff reports, and general technical assistance provided to HOME assisted projects.
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	N/A
	Location Description	City-wide

	Planned Activities	Delivery costs associated with implementation of specific housing programs and projects
28	Project Name	HOME Housing Loans
	Target Area	City-wide
	Goals Supported	Affordable Housing
	Needs Addressed	Affordable Housing
	Funding	HOME: \$1,320,397
	Description	HOME funds will be used to provide financial assistance for the rehabilitation or development of low-income housing on a city-wide basis. The Housing Rehabilitation Program will be available to assist single family homeowners to make repairs to their residences. The Down payment Assistance Program will assist qualified low to moderate income households with down payment assistance and/or soft 2nd mortgages. Funds will also be available for multi-family rehabilitation or construction projects.
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	To be determined (subject to proposals).
	Location Description	City-wide
Planned Activities	Financial assistance for the rehabilitation or new construction development of low-income housing.	
29	Project Name	CHDO Set-Aside Funds
	Target Area	City-wide
	Goals Supported	Affordable Housing
	Needs Addressed	Affordable Housing

	Funding	HOME: \$264,079
	Description	Set-aside funds as required by HUD HOME regulations for housing activities owned, developed and/or sponsored by qualified Community Housing Development Organizations (CHDO).
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	14 housing units for low/moderate income families.
	Location Description	City-wide
	Planned Activities	Set-aside funds as required by HUD HOME regulations for housing activities owned, developed and/or sponsored by qualified Community Housing Development Organizations (CHDO). Type of housing (rental vs. homeowner and rehabilitation vs. construction) subject to request for proposals.
30	Project Name	ESG21 Stockton
	Target Area	City-wide
	Goals Supported	Public Services
	Needs Addressed	Homelessness
	Funding	ESG: \$292,379
	Description	Non-staff operational costs for organizations that provide services to the homeless, funds provided to Central Valley Low Income Housing Corporation (CVLIHC) for the provision of Rental Assistance and Stabilization Services to households at risk of becoming homeless, to re-house households that are experiencing homelessness, and to conduct data collection via Homeless Management Information System; and Administration of the ESG program including staff and operational costs of oversight and management. Citations: 576.102, 576.103, 576.104, 576.108 Performance Measure: SL-1 Presumed benefit: Yes
	Target Date	6/30/2022

<p>Estimate the number and type of families that will benefit from the proposed activities</p>	<p>5,054 homeless people will receive shelter or services, or receive rent assistance and stabilization services, with data collection and another 12,375 homeless via the Homeless Management Information Systems.</p>
<p>Location Description</p>	<p>Central Valley Low Income Housing Corporation (CVLIHC) - 2431 West March Lane, #350, Stockton, CA 95207 - Services offered city-wide</p> <ul style="list-style-type: none"> • Women's Center - Youth and Family Services - 620 North San Joaquin Street, Stockton, CA 95202 - shelter locations are confidential • Gospel Center Rescue Mission - 445 South San Joaquin Street, Stockton, CA 95203 • Haven of Peace - 7070 Harlan Road, French Camp, CA 95231 • St. Mary's Dining Room - 545 West Sonora Street, Stockton, CA 95203 • Stockton Shelter for the Homeless - 411 South Harrison Street, Stockton CA 95203 • Ready to Work - 119 East Weber Avenue, Stockton CA 95202

	Planned Activities	<p>ESG21 Shelter (\$163,427): Non-staff operational costs for organizations that provide services to the homeless. Funds will be provided to: Women's Center-Youth & Family Services, Gospel Center Rescue Mission, Haven of Peace, St. Mary's Interfaith, Stockton Shelter for the Homeless, and Ready to Work.</p> <ul style="list-style-type: none"> • ESG21 Homeless Prevention and Rapid Rehousing (HP - \$75,561; RRH - \$18,891): Funds will be provided to Central Valley Low Income Housing Corporation (CVLIHC) for the provision of Rental Assistance and Stabilization Services to households at risk of becoming homeless, and for the provision of Rent Assistance and Stabilization Services to re-house households that are experiencing homelessness. • ESG21 Data Collection (\$14,500): Funds will be provided to Central Valley Low Income Housing Corporation (CVLIHC) to conduct data collection through the Homeless Management Information Systems. • ESG21 Administration (\$20,000): Administration of the ESG program including staff and operational costs of oversight and management.
31	Project Name	CDBG Program Administration
	Target Area	City-wide
	Goals Supported	Housing and Services for the Homeless Affordable Housing Support Economic Development Public Services
	Needs Addressed	Homelessness Affordable Housing Non-Housing Community Development 1
	Funding	CDBG: \$996,821
	Description	Administration of CDBG program including staff and operational costs of oversight and management. Matrix code: 21A Citation: 570.206
	Target Date	6/30/2022

	Estimate the number and type of families that will benefit from the proposed activities	n/a - Administration
	Location Description	City-wide
	Planned Activities	
32	Project Name	Multi-family Affordable Housing Development
	Target Area	City-wide
	Goals Supported	Housing and Services for the Homeless Affordable Housing
	Needs Addressed	Affordable Housing
	Funding	HOME: \$6,380,677
	Description	HOME-ARPA funding added to FY 2021-2022 in the amount of \$6,380,677 for the acquisition and development of affordable multi-family housing.
	Target Date	9/30/2030
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	
Planned Activities	The development of affordable housing units for low-income households at or below 60% AMI.	

AP-50 Geographic Distribution – 91.220(f)

Description of the geographic areas of the entitlement (including areas of low-income and minority concentration) where assistance will be directed

The City does not allocate funds to specific geographic target areas; rather, the City makes resources available on a city-wide basis, but provides additional outreach and recruitment in areas with high concentrations of unmet needs. Organizations that are awarded program and/or project funding may have their headquarter offices located in other cities within San Joaquin County; however, all Stockton funded activities are required to serve only City of Stockton eligible households.

Geographic Distribution

Target Area	Percentage of Funds
City-wide	98
Former Redevelopment Project Areas	2

Table 8 - Geographic Distribution

Rationale for the priorities for allocating investments geographically

The City of Stockton allocates resources on a citywide basis. For example, the housing rehabilitation programs, and down payment assistance programs are equally available to qualifying residents in all geographic subareas, with eligibility being determined based on the income of the household receiving assistance. Households with the greatest demonstrated unmet need generally receive higher priority for assistance. Additional preference is given to households residing in areas with disproportionately high concentrations of low and moderate income households, minority households, and/or households experiencing HUD defined housing problems, such as those areas identified under subsection 50 of the Consolidated Plan. Projects located in areas that correspond with other related or complementary programs and/or projects also receive additional preference or priority consideration. For example, funding for the rehabilitation of existing rental housing, that would be affordable to low and moderate income households and located in areas targeted for crime abatement activities under the Stockton Marshall Plan would receive higher priority than projects located in other areas.

Discussion

Affordable Housing

AP-55 Affordable Housing – 91.220(g)

Introduction

The 2020-2025 Consolidated Plan establishes housing strategies for renter, owner, and homeless populations and Five-Year goals for each of these strategies. The City operates its various housing programs based on these strategies, which have been prioritized to guide the allocation of resources. Consistent with the priorities outlined in the Consolidated Plan, the City will undertake or fund the following activities to address housing needs:

- Multi-Family Loan Pool
- CHDO Set-Aside
- Housing Rehabilitation Program
- Down Payment Assistance Program
- Emergency Repair Program
- San Joaquin Fair Housing

One Year Goals for the Number of Households to be Supported	
Homeless	1,500
Non-Homeless	185
Special-Needs	50
Total	1,735

Table 9 - One Year Goals for Affordable Housing by Support Requirement

One Year Goals for the Number of Households Supported Through	
Rental Assistance	10
The Production of New Units	200
Rehab of Existing Units	4
Acquisition of Existing Units	1
Total	215

Table 10 - One Year Goals for Affordable Housing by Support Type

Discussion

AP-60 Public Housing – 91.220(h)

Introduction

The City of Stockton and the Housing Authority of San Joaquin County (Housing Authority) maintain a strong partnership built on the common goal and priority of providing affordable housing opportunities for Stockton's low-income residents. Within the last five years the Housing Authority has applied for affordable housing funding through the City of Stockton's NOFA process, and has been awarded upwards of \$4.5 million to assist in the financing of three of its affordable housing projects. A Housing Authority city funded project includes phase II and III of their 394 unit Sierra Vista housing complex targeting extremely low-income households at 30% AMI.

Additionally, through the City NOFA, the City also awarded \$735,000 in CDBG funds to the Partnership in Housing for the Homeless project, which is a joint effort between Stockton Shelter for the Homeless, the Housing Authority, and S.T.A.N.D. to build 13 accessing dwelling unit (ADU) units of permanent housing for the homeless. The City looks forward to a continued amiable relationship with the Housing Authority to further expand housing opportunities for Stockton's most vulnerable residents.

Actions planned during the next year to address the needs to public housing

The City plans to issue a NOFA in the upcoming year that the Housing Authority will be eligible to apply for funding. Additionally, the Housing Authority recently applied for funding for two of its affordable housing developments that are currently being evaluated for funding.

Actions to encourage public housing residents to become more involved in management and participate in homeownership

The City continues to maintain a partnership with the local Housing Authority to assist public housing residents achieve homeownership. The City's Down Payment Assistance Program funds can be used in conjunction with the Housing Authority's homebuyer program funds including the Housing Choice Voucher Program.

Under the Housing Authority's homeownership program, assistance is available to Housing Choice Voucher (HCV) participants who meet the home ownership eligibility requirements. The homeownership program allows first time homebuyers to use the voucher subsidy to meet monthly homeownership expenses. HCV participants interested in applying for the HCV Homeownership program must meet the following program criteria:

- Must be a participant of the HCV program for at least one year in San Joaquin County.
- Must be a first-time homebuyer.

- Meet the minimum employment and income requirements.
- Must be employed for at least 30 hours per week (except for disabled).
- Must earn at least \$11,310 annually (welfare income not included).
- Must not have defaulted on a mortgage under the HCV Homeownership Program.

The homeownership program has a maximum term of 15 years. There are exceptions for disabled families. This program is limited.

If the PHA is designated as troubled, describe the manner in which financial assistance will be provided or other assistance

The Housing Authority of San Joaquin County is not designated as “troubled” by HUD.

Discussion

AP-65 Homeless and Other Special Needs Activities – 91.220(i)

Introduction

The City will utilize Emergency Solutions Grant funds to assist in funding the operation of emergency homeless shelters, implementation of Homeless Prevention and Rapid ReHousing activities which will assist in reducing and preventing homelessness. The City of Stockton works with the San Joaquin Continuum of Care (CoC) to implement policies, procedures and establish performance standards for those agencies providing services to address the needs of the homeless persons and as identified in the Continuum's planning guidelines. The CoC is a consortium of local government agencies, nonprofit organizations, and other interested parties, encompassing all jurisdictions in San Joaquin County, including the City of Stockton. They hold meetings that are open to all homeless providers, and that serve as a venue for ongoing program planning and coordination and feedback for addressing homelessness. The CoC has identified the following homeless performance measures goals:

1. Reduction in the average and median length of time persons remain homeless.
2. Reduction in the percent of persons who return to homelessness from permanent housing.
3. Reduction in the total number of persons who are homeless.
4. Increase in the percent of adults who gain or increase employment or non-employment cash income over time.
5. Reduction in the number of persons who become homeless for the first time.
6. Increase in the percent of persons who exit to or retain permanent housing from PSH.
7. Reduce the number of people living unsheltered in our community.
8. Increase the number of units available to those exiting homelessness.

In addition, Central Valley Low Income Housing Corporation (CVLIHC) and Family Resources and Referral Center are the organizations that will be implementing the Coordinated Entry System and defining established relationships with all the local shelters and service providers. CVLIHC will continue to conduct outreach to the shelters to notify them of the activities and the process for making referrals to the various programs. CVLIHC is also well known in the community and receives many calls directly from those looking for housing assistance.

Describe the jurisdictions one-year goals and actions for reducing and ending homelessness including

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

The CoC recently conducted the 2019 Point inTime Count (PIT) which utilized methods of outreach that were considerably improved over prior years. Thus, the PIT identified a notably larger population of unsheltered homeless, compared to prior years. Based on this significantly improved response rate, the CoC can prepare more detailed and comprehensive estimates of need, including analysis of needs by

type (e.g., housing, social services, etc.), as well as needs based on the characteristics of the respondent (e.g., age, race/ethnicity, veterans' status, disability status, etc.). These data can subsequently be used to better assess the needs of unsheltered homeless and can be used to direct any indicated changes in service provision. This is particularly valuable since unsheltered homeless are frequently among the hardest to reach regarding available services.

The following represents a list of actions to be taken during the five-year planning period designed to improve outreach to homeless persons, especially unsheltered persons, as well as those who are at risk of homelessness. Note that these actions are not only designed to promote outreach that both identifies and quantifies needs, but also offer opportunities to inform the homeless regarding available resources service populations. Additional outreach is undertaken with homeless persons and families residing in emergency shelters and transitional housing. However, because these persons are entering a facility, it is much easier to access these individuals using existing tools, such as entrance and exit surveys, and one-on-one education.

Addressing the emergency shelter and transitional housing needs of homeless persons

Short-term strategies include, but should not be limited to, the following:

- Continue to provide material, financial, and technical assistance to maintain, preserve, and expand existing shelter programs;
- Provide assistance for near-term rehabilitation and improvement of existing shelter facilities;
- Continue to provide support to emergency and transitional housing providers for the coordination and provision of complementary supportive services;
- Maintain and improve coordination between emergency, transitional, and permanent supportive housing to ensure a smooth and supported transition for persons and families striving to exist homelessness;
- Maintain the existing inventory of housing for homeless persons and, where necessary, provide resources to ensure consistent or improved capacity;
- Improve coordination with local healthcare providers, law enforcement agencies, etc. to refine and improve discharge policies to ensure that persons are not discharged into homelessness.

Long-term strategies include, but should not be limited to, the following:

- Support the acquisition, rehabilitation, or construction of emergency shelter facilities, providing priority to projects that substantively expand or improve the inventory;

- Support the acquisition, rehabilitation, or construction of transitional and permanent supportive housing, providing priority to projects that substantively expand or improve the inventory;
- Implement a mechanism to promote the availability of permanent, and permanent supportive

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

The ESG and CoC interim regulations encourage providing homeless persons and households with housing as quickly as is practicable, and only availing supportive services that are of greatest need to support stable housing, while other needs are addressed through existing mainstream resources available within the community. This recognizes that while there are multiple models for housing and supportive service provision for the homeless, the housing first model, as well as rapid rehousing and preventative services, have emerged as important implementation strategies, among other industry best practices. Below are actions intended to facilitate the rapid transition of homeless persons from an unsheltered or sheltered condition, toward permanent and independent living.

- Maintain and expand support to existing programs with proven track records of encouraging the transition from emergency or transitional shelters to permanent and supportive housing;
- Provide resources for expanded case management services, including lengthening the amount of time that persons may receive case management, as a method for improving eligibility for rental housing and applicable supportive services;
- Coordinate rapid re-housing needs assessment with coordinated intake strategy and case management strategy;
- Encourage the establishment of new permanent, and permanent supportive, housing for homeless individuals and households with children.

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs.

The availability of HPRP funds greatly expanded the availability of resources for homelessness prevention. While the City continues the programs established under HPRP, the lack of resources severely limits the efficacy of the program and the number of households assisted. The following include several actions intended to preserve and expand the existing programs and ensure the future availability of homeless prevention services and assistance.

- Identify and secure alternative funding sources for programs previously funded through HPRP;
- Coordinate with existing housing and assistance programs to provide priority, and a streamlined or expedited applications process, for persons at imminent risk of becoming homeless;
- Provide coordinated diversion and referral services to persons at-risk of homelessness;
- Coordinate diversion services with rapid rehousing efforts to shorten the length of stay

Discussion

The following goals and objectives, developed in cooperation with the San Joaquin County Continuum of Care, will be implemented through the Homelessness Prevention and Re-housing activities funded with ESG:

- Intervention on behalf of households who are in imminent risk of becoming homeless to prevent people from initially becoming homeless;
- Diversion from emergency shelters of working households who have reached the point of contacting shelters; and
- Rapid re-housing of working households who have become homeless. The homeless prevention activity targets the following populations who can reasonably be expected of becoming self-sufficient within three months or less:
 - Households forced to vacate rental properties that enter foreclosure;
 - Households at imminent risk of becoming homeless due to sudden and substantial loss in income out of the control of members of the household;
 - Households at imminent risk of becoming homeless due to a sudden and substantial increase in utility costs which is not due to the activity of one or more members of the household;
 - Households forced to vacate rental housing condemned by local housing officials, when condemnation is not a result of the activity of one or more household members;

- Households at imminent risk of becoming homeless due to a traumatic life event, such as death of a spouse or primary care giver or recent health crisis that prevented the household from meeting its normal financial responsibilities;
- Households with at least one adult employed, at imminent risk of becoming homeless due to factors not related to activity of one or more household members; or
- Households currently living in an emergency shelter, in locations not meant for human habitation, or fleeing domestic violence. The City plans to undertake activities to address the housing and supportive service needs for persons who are not homeless, but have other special needs. Funds have also been allocated to the San Joaquin Consolidated Plan County Human Services Agency for the distribution of meals to home-bound seniors known as "Meals on Wheels.

AP-75 Barriers to affordable housing – 91.220(j)

Introduction:

It is often in the public interest for local governments to impose regulations concerning the scope and characteristics of development as a method for protecting public health and general welfare. However, government regulations, policies, and procedures can also act to constrain the development of otherwise desirable land uses. Regulations designating the type and location of housing, for example, can potentially constrain the ability of housing developers to provide higher density housing that would be more affordable to lower-income households. Similarly, building codes and other requirements can significantly increase the cost to develop housing, or make the development process so arduous as to discourage potential housing developers. California housing law requires that each jurisdiction include an analysis of governmental constraints to affordable housing development as a required component of the adopted General Plan Housing Element. The remainder of this section presents a summary of the major findings identified under the Potential Housing Constraints Section of the City of Stockton Housing Element. To the degree practicable, the analysis also includes a discussion of the actions to be taken to overcome these constraints, as identified in the Housing Element.

Actions it planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment

The Housing Element of the City of Stockton General Plan, adopted in April 2016, provides a thorough analysis of the existing policy barriers to affordable housing development. An analysis of the permitted densities and development standards identified that the adopted Code facilitates the production of a variety of housing types, with residential development permitted in non-residential and mixed use zones, with permitted densities up to 87 units per acre in the Downtown. The Housing Element states that the City applies flexible development standards for infill housing projects to encourage the development of underutilized properties, however, development standards may be modified to create consistency with surrounding development and physical site constraints. The Housing Element determined that the City's overall parking standards did not constitute a constraint, nor does processing and permitting. Since the last Housing Element, the City's Affordable Housing Density Bonus has been made consistent with State law. It allows for a density bonus of up to 35 percent and allows developers that are eligible for a density bonus to receive up to three additional incentives.

The City adopted a Development Code amendment in 2016 to be consistent with State law to allow emergency shelters by right, without discretionary review, in the PF, IL, and IG zone. Per the San Joaquin Partnership's Regional Development Fee Comparative Analysis Report (June 2013), the City of Stockton's fees for a single-family dwelling were the second highest of all the San Joaquin County jurisdictions but were about average for all 21 jurisdictions included in the analysis. To promote

affordable housing, the City established a fee reduction program for developers of affordable housing units. Developers of multi-family housing developments can receive a fee reduction of \$14,997 per unit and a fee reduction of \$19,997 for a single-family residential development.

Based on analysis of the permitted densities and development standards, the City has found that the General Plan and Development Code facilitate production of a variety of housing types. The City allows residential development in non-residential and mixed use zones and permits densities as high as 87 units per acre in the downtown area. Additionally, the City has flexible development standards to encourage the development of small infill parcels.

Recent state legislation provides clarification and fee assistance for the creation of Accessory Dwelling Units (ADUs) with two separate bills signed on October 8, 2017: SB 229 (Wieckowski) and AB 494(Bloom). These bills, effective January 1, 2018, clarify and improve various provisions of the law to promote the development of ADUs, including allowing ADUs to be built concurrently with a single-family home, opening areas where ADUs can be built to include all zoning districts that allow single-family uses, modifying fees from utilities, such as special districts and water corporations, and reducing parking requirements. In 2018, City Council accept the Planning Commission's recommendation and adopt an Ordinance to amend Title 16 of the Stockton Municipal Code, Section 16.80.310, "Secondary Dwelling Units;" and Section 16.240.020, "Definitions of Specialized Terms and Phrases;" to comply with state planning and zoning law (Government Code Section 65852.2).

Discussion:

AP-85 Other Actions – 91.220(k)

Introduction:

The City will work in partnership with its nonprofit and public service agencies to address the needs of the underserved populations in the City of Stockton. The City will fund several agencies that provide food, assistance, shelter and/or services for the homeless, elderly, and working poor.

Actions planned to address obstacles to meeting underserved needs

The City will leverage funds we receive with other resources and use our programs in conjunction with other city initiatives, including our single-family rehabilitation program. The City will continue to use its Notice of Funding Availability NOFA process to solicit eligible affordable housing projects to fund.

Actions planned to foster and maintain affordable housing

The City will leverage funds we receive with other resources and use our programs in conjunction with other city initiatives, including our single-family rehabilitation program. The City will continue to use its Notice of Funding Availability NOFA process to solicit eligible affordable housing projects to fund.

Actions planned to reduce lead-based paint hazards

Actions planned to reduce the number of poverty-level families

The City's Consolidated Plan includes an Antipoverty Strategy. The activities in the City's Antipoverty Strategy can be classified under two categories: Economic Development and Supportive Service Programs. The Consolidated Plan recognizes that in order for households to transition from unemployment or low-income positions to median income positions, there needs to be vocational training, resource management, and life skill training. The Plan also recognizes that while households are obtaining the training, the families will continue to need assistance in meeting their basic needs. In these difficult economic conditions, the City's Community Development Committee determined that allocating scarce resources to those agencies that will provide some of the most basic services, such as food, to the most number of people was the best way to assist households.

Consistent with the City's Antipoverty Strategy, the City proposes to fund the following programs:

- Four programs that will provide food and nutritional education to homeless and low-income individuals;
- Fund non-staff operational costs for four emergency shelters. In addition to providing shelter, these organizations also provide services that assist individuals in achieving self-sufficiency; and
- Fund homelessness prevention and re-housing activities which will help keep households in their homes or provide assistance to re-housing households that have become homeless.

Actions planned to develop institutional structure

The City of Stockton Economic Development Department is the lead agency responsible for the development of the Consolidated Plan and Annual Action Plan. The Economic Development Department is also the primary agency responsible for the administration and management of programs and projects covered by these Plans. During the 2021-2022 Program Year the City will:

- Continue to work with private housing developers to expand the availability of affordable housing,
- Continue to participate in the San Joaquin County Continuum of Care Committee,
- Continue implementation of the Housing Element,
- Continue to work with affordable housing developers to ensure that their developments include the facilities to provide the services needed for the residents,
- Continue to work with participants in the establishment of goals and objectives of the Consolidated Plan to ensure measurable progress is made, and

- Update website, provide handouts and program guidelines to keep the public informed

Actions planned to enhance coordination between public and private housing and social service agencies

To facilitate preparation of the Annual Plan, the City of Stockton consulted with various agencies and organizations located throughout the city and county, including public and assisted housing providers and developers, an assortment of government agencies, as well as private and public health, mental health, and social service agencies. In most cases, these consultations represent a continuation of ongoing interactions between the City and the agency or organizations described.

Discussion:

Program Specific Requirements

AP-90 Program Specific Requirements – 91.220(I)(1,2,4)

Introduction:

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

Action Plan CDBG response: The City expects to receive approximately \$75,000 of program income during the 2021-2022 program year, including a \$1,595,238 repayment from the Redevelopment Successor Agency. The City does not have any float funded activities. The City also does not have any program income received in the preceding program year that has not been included in a prior plan nor any surplus funds from an urban renewal settlement. No grant funds have been returned to the line of credit. We do not anticipate funding any urgent need activities. The City expects to spend 85 percent of its CDBG allocation for activities that benefit persons of low- and moderate income.

Community Development Block Grant Program (CDBG) Reference 24 CFR 91.220(I)(1)

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

1. The total amount of program income that will have been received before the start of the next program year and that has not yet been reprogrammed	0
2. The amount of proceeds from section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in the grantee's strategic plan.	0
3. The amount of surplus funds from urban renewal settlements	0
4. The amount of any grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan	0
5. The amount of income from float-funded activities	0
Total Program Income:	0

Other CDBG Requirements

1. The amount of urgent need activities	0
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2. The estimated percentage of CDBG funds that will be used for activities that benefit persons of low and moderate income. Overall Benefit - A consecutive period of one, two or three years may be used to determine that a minimum overall benefit of 70% of CDBG funds is used to benefit persons of low and moderate income. Specify the years covered that include this Annual Action Plan.

85.00%

HOME Investment Partnership Program (HOME)
Reference 24 CFR 91.220(l)(2)

1. A description of other forms of investment being used beyond those identified in Section 92.205 is as follows:

The City of Stockton proposes to use its allocation of HOME funds to rehabilitate and construct both rental and owner occupied housing units and to fund the City's Down Payment Assistance Program (DAP). The majority of the funds will be subject to an annual request for developer proposals be used for an eligible activity, including real property acquisition, site improvements, construction, and rehabilitation costs. The City does not propose to use HOME funds to provide any assistance in a form not included in Section 92.205(b).

Developer proposals for HOME funds are accepted through an annual Notice of Funding Availability (NOFA) identifying the purpose and type of funds available, with mailers sent via U.S. Postal Service and to interested parties and regional developers and posted to the City's website and social media pages. To encourage a variety of project types and locations, the City's HOME program does not limit beneficiaries. Application packages are available electronically on the City's website or via mail, and hard copies are available for pickup in the City's Economic Development Department. The competitive applications are reviewed by City staff and/or a committee of regional housing experts and representatives from the community. The applications are next scored through set criteria, including eligible uses, commitment and expenditure deadlines, developer capacity, experience, concurrence with established goals and policies, leveraging, or other requirements. Recommendations concerning funding are then made to the City Council, which holds a publicly noticed hearing to vote on moving forward with the recommended allocations. The City uses a variety of methods to conduct outreach to consumers for all our housing programs, including the DAP. Information about all the housing programs is posted on the City's website. Economic Development Department staff also participates in various housing workshops and homeownership fairs throughout the year. Notices of these events are sent to the Housing Authority. Advertisements and public notices are also run in local newspapers, including the local Spanish newspaper.

To ensure that households utilizing the City's DAP are ready to undertake and maintain homeownership, the City requires that all households must have completed a minimum eight classroom hour homebuyer training course given by a HUD approved trainer prior to the close of escrow. The City also ensures that the households become successful homeowners by making sure that the home they are purchasing is affordable for them. The total housing cost cannot exceed 35 percent of the household's income and their total debt cannot exceed 41 percent of their income.

When HOME funds are allocated for homebuyer assistance or for the rehabilitation of owner occupied single-family properties, the City utilizes the HOME affordable homeownership annual limits provided by HUD for newly constructed housing and for existing housing.

2. A description of the guidelines that will be used for resale or recapture of HOME funds when used for homebuyer activities as required in 92.254, is as follows:

The City of Stockton's Down Payment Assistance Program requires that units assisted with HOME funds must remain affordable to low-income purchasers for a period based upon the amount of HOME funds provided for the property. To ensure compliance with this affordability period, down payment assistance recapture provisions are incorporated into each property loan and regulatory agreement. Repayment of the HOME subsidy and any accrued interest is due upon the sale or transfer of the property during the affordability period. When the recapture requirement is triggered by a sale (voluntary or involuntary), and there are insufficient net proceeds to repay the City's HOME investment, the City will accept any amount of net proceeds (net proceeds are defined as the sales price minus any senior loan repayment and any closing costs). The City will reinvest these recaptured subsidies into other eligible housing activities.

3. A description of the guidelines for resale or recapture that ensures the affordability of units acquired with HOME funds? See 24 CFR 92.254(a)(4) are as follows:

The City's Affirmative Marketing Policy has been in use since 2000 and is made a part of all HOME agreements for projects of five or more units. The purpose of the policy is to assure that individuals who normally might not apply for vacant units because they are socially and/or economically disadvantaged be informed of vacancies, be encouraged to apply, and have an equal opportunity to rent units. The policy establishes methods for informing the public about fair housing laws and affirmative marketing policies; procedures to be used by owners to solicit applications; records that must be maintained; and how the City will assess the success of affirmative marketing actions.

The City also ensures that outreach to minority and women owned businesses occur for the issuance of all contracts. The City has adopted Affirmative Outreach Action Guidelines for use with all federally funded projects.

Affirmative action requirements are also included in all subrecipient agreements to ensure that if subrecipients are hiring contractors and subcontractors, they adhere to the provisions of the City's Affirmative Action Program and meet all federal requirements.

4. Plans for using HOME funds to refinance existing debt secured by multifamily housing that is rehabilitated with HOME funds along with a description of the refinancing guidelines required that will be used under 24 CFR 92.206(b), are as follows:

For multi-family projects in the City of Stockton, when loaning HOME funds to rehabilitate the units if refinancing is necessary to permit or continue affordability under § 92.252, with a minimum affordability period of 15 years. The project application must demonstrate: that rehabilitation is the primary eligible activity and ensure that this requirement is met by establishing a minimum level of rehabilitation per unit or a required ratio between rehabilitation and refinancing; require a review of management practices to demonstrate that disinvestment in the property has not occurred, that the long term needs of the project can be met and that the feasibility of serving the targeted population over an extended affordability period can be demonstrated; state whether the new investment is being made to maintain current affordable units, create additional affordable units, or both; insure that HOME funds cannot be used to refinance multi-family loans made or insured by any Federal program, including CDBG.

5. If applicable to a planned HOME TBRA activity, a description of the preference for persons with special needs or disabilities. (See 24 CFR 92.209(c)(2)(i) and CFR 91.220(l)(2)(vii)).
6. If applicable to a planned HOME TBRA activity, a description of how the preference for a specific category of individuals with disabilities (e.g. persons with HIV/AIDS or chronic mental illness) will narrow the gap in benefits and the preference is needed to narrow the gap in benefits and services received by such persons. (See 24 CFR 92.209(c)(2)(ii) and 91.220(l)(2)(vii)).
7. If applicable, a description of any preference or limitation for rental housing projects. (See 24 CFR 92.253(d)(3) and CFR 91.220(l)(2)(vii)). Note: Preferences cannot be administered in a manner that limits the opportunities of persons on any basis prohibited by the laws listed under 24 CFR 5.105(a).

**Emergency Solutions Grant (ESG)
Reference 91.220(l)(4)**

1. Include written standards for providing ESG assistance (may include as attachment)
2. If the Continuum of Care has established centralized or coordinated assessment system that meets HUD requirements, describe that centralized or coordinated assessment system.

While there is no formal central intake system within the San Joaquin Continuum of Care, all homeless services providers, including those providing homeless prevention and rapid housing assistance, utilize the same HMIS. With a single subrecipient administering prevention and re

housing efforts, a single assessment tool is used for these activities. In addition, the City of Stockton is working with the San Joaquin Continuum of Care to develop and implement a formal coordinated assessment tool and process for use by all providers.

3. Identify the process for making sub-awards and describe how the ESG allocation available to private nonprofit organizations (including community and faith-based organizations).

The City of Stockton will implement the Emergency Solutions Grant (ESG) program in accordance with The McKinney Vento Homeless Assistance Act as Amended by S.896 HEARTH Act of 2009, found at 24 CFR Part 576, to ensure eligible activities are selected and financially managed in accordance with local and federal requirements. The City of Stockton's process for implementing the ESG program is laid out below.

Public Participation

City staff and the Community Development Committee (CDC) holds an annual Kick off/Needs Assessment Meeting in the late Fall, which serves as the basis for the development of the Annual Action Plan.

- Update annual Action Plan Schedule, Application and Instructions.
- Issue Public Notice.
- Issue NOFA.
- Post agenda.
- Publish notice of NOFA in the Record and link to the application and instructions on the City's website through the City's Grants web-portal Neighborly; Annual Applications are generally submitted in Mid January, however, due to COVID-19 the application submittal was mid-February.
- Staff reviews applications for eligibility, completeness, ESG requirements per Application Instructions, consistency with the Consolidated Plan, and 24 CFR Part 576.
- CDC holds meetings for review and to make recommendations to City Council; agendas posted with Clerk before each meeting, February March.
- Subrecipients sent recommendation letters in advance of Council hearing.
- Issue Public Notice.
- Distribute draft Action Plan requesting public comment.
- Award Funds. City Council reviews staff and CDC funding recommendations at publicly noticed meeting and votes their approval.
- Action Plan is submitted to HUD via IDIS.
- Award letters sent to subrecipients following AAP submittal, including CFDA number Agreements.

Draft agreements with subrecipients and route for signatures, Summer

- Prepare environmental documentation.
- Set up: Purchase orders once HUD grant agreement executed, Internal HTE and IDIS input Grant Administration. Administer grants as required per 24 CFR Part 576
- Review and process invoices for reimbursement (see City's Administrative Directive for processing payments and Economic Development Department Payment Processing Procedures)
- Review expenditure deadlines and requirements.
- Provide technical assistance to subrecipients, as needed.
- Close out projects as each one wraps up.

Monitoring. Monitor subrecipients per Monitoring Schedule and Monitoring Procedures Reporting. Follow CAPER schedule for end of year reporting requirements.

4. If the jurisdiction is unable to meet the homeless participation requirement in 24 CFR 576.405(a), the jurisdiction must specify its plan for reaching out to and consulting with homeless or formerly homeless individuals in considering policies and funding decisions regarding facilities and services funded under ESG.

The goal of the CoC is to coordinate local efforts to identify and address the needs of the homeless population, provide coordinated outreach services, and to identify gaps and shortfalls where additional resources and coordination may be necessary. The CoC incorporates the resources and efforts of a wide variety of organizations and agencies. These include the Central Valley Low Income Housing Corporation (CVLIHC), the Stockton Shelter for the Homeless, the Women's Center YFS, the Gospel Center Rescue Mission, the Saint Mary's Dining Hall, and Dignity's Alcove Veterans Housing, among other important organizations and agencies. Information is collected through direct outreach, during Point in Time counts, and data entry into the HMIS system.

5. Describe performance standards for evaluating ESG.

As the largest city within the San Joaquin CoC area, the City of Stockton maintains a seat on the CoC governing body and participates in consultation with the San Joaquin County Community Development Department, and other participating agencies and organizations, regarding the coordination of ESG funding, including how ESG funds are allocated and the identification of performance standards and evaluation outcomes. Under the CoC, the primary contact for the collaborative application is the Central Valley Low Income Housing Corporation (CVLIHC), which is also responsible for administration of the Homeless Management Information System (HMIS).

Attachments

Citizen Participation Comments

No.	Channel	Comment	Response
1	May 5, 2021 Community Development Committee Meeting	How does the Plan address the mental health challenges experienced by many of the unsheltered homeless?	The Plan allocates funding to organizations that provide case management services to unsheltered homeless, which includes referrals to mental health providers.
2		The Community Development Committee should be engaged earlier in the Annual Action Plan process to address questions and opportunities for improvement.	Staff will take this into consideration for future Annual Action Plan developments.
3		The Annual Action Plan process should start earlier to ensure there is more opportunity to engage in dialogue with organizations.	The comment has been noted.
4		On page 8, would it be possible for the name of the organizations to be hyperlinked to their website to allow more information to be learned?	Staff will look into the feasibility of this feature.
5		On pages 23 & 24, are these the evaluation from 2020?	The information on these pages are the annual goals and objectives for the upcoming Fiscal Year 2021-2022.
6		Does the Annual Action Plan contain a performance and evaluation report?	The performance of the previous Fiscal Year is documented in the Consolidated Annual Performance and Evaluation Report (CAPER).
7		Will the Committee receive a presentation on the CAPER?	Yes, the City provides an overview of the CAPER to the Committee, which is open to the public.
8		What percentage of the HOME funding goes to homeownership? If those funds are not utilized within that year, do they roll over to the next year?	The Down Payment Assistance Program has been underutilized in recent years and staff is in the process of revamping it. Funding that is not utilized typically rolls over to the next year.

9	Does this Plan align with the county in any area? Does the City have a representative on the Continuum of Care (CoC)?	The Plan allocates funding to projects and organizations working toward the goals established in the San Joaquin Community Response to Homelessness Strategic Plan. The City's Economic Development Director serves on the CoC Board.
10	Regarding the ESG section in the Annual Action Plan, it mentioned the City was going to be working with the CoC to develop a formal coordinated assessment. How would that differ to what we are using now, like HMIS system, and would it affect any funding that CVLIHC receives to administer that program?	The Homeless Management Information System (HMIS) will continue to be used. The City, in collaboration with the CoC, recently awarded funding for a Coordinated Entry System (CES). The CES will create a network of providers to ensure the individuals needing assistance are directed to the appropriate resources.
11	The community would benefit greatly from a presentation from the City outlining the Plan and the anticipated benefits.	The comment has been noted.
12	The City should engage with newer non-profits seeking to apply for funding for the first time.	The comment has been noted.
13	The process should begin earlier, preferably in August, and should allow for greater dialogue between the City, the Committee, and community members. This process should be used as a resource to enable community building.	The comment has been noted.

14		Was there any form of community engagement or opportunities for our community to participate in this Annual Action Plan?	There was a kickoff meeting in January, the NOFA process kicks off the community engagement piece where the availability of funding was announced. It was announced to all the agencies and the public that would like to apply.
15		Is there any type of scorecard or quality assurance? How can the Council be reassured that these programs are effective for the community?	There is a scoring criterion in which the agencies' overall financial feasibility, wherewithal, capacity, ability to implement the program, experience in delivering the program, and working with federal sources of funding are examined.
16	May 11, 2021 City Council Public Hearing	There is a little over a million dollars being allocated for the down payment assistance program, but I was under the impression that this was not operating at this time.	The City has a down payment assistance program that is currently being revamped. The City hopes to reintroduce that program to the community shortly.
17		What in the Action Plan is going to create home ownership to our low- and moderate-income residents? Do we have an idea when these funds will be available and how will we get this information to the community so that they are aware?	Under CDBG, there is \$1.7 million that can go towards down-payment assistance and the rehab programs. The housing programs are first come, first serve, but there is not a certain percentage of the funding that is dedicated to this activity. These federal funds will be available July 1 st , pending approval from the City Council.
18		What will San Joaquin Fair Housing be doing with the funding recommended? Is this going to increase the number of properties for those with vouchers that have been on the list for 10 years?	San Joaquin Fair Housing is the agency that provides fair housing counseling that represents the city of Stockton in providing those services to low-income residents/tenants. They provide conflict resolution and mediation services between landlords and tenants, to assist and prevent tenants from being displaced through eviction. They also conduct fair housing discrimination training for landlords and tenants. They provide a variety of services to assist individuals in staying housed. .

19	Last year, San Joaquin Fair Housing received \$125,000. How many people did they help? So, they offer classes to those with the vouchers and those that accept vouchers?	On average, they serve 400 people a year, because they also hold classes and seminars. They hold classes for individuals that are interested in learning their rights of fair housing.
20	Who does Central Valley Low Income Housing help?	There are two distinctions between rapid rehousing and homeless prevention. Rapid rehousing comes with services to secure housing and there is an expectation that individuals placed in housing will be able to assume the full lease amount. The rents are typically structured to be reduced, until the renter assumes 100 percent of the rent. The eviction prevention program is when an individual already lives in a unit and the program pays the tenants rental arrears to help the individual stay in the unit to help them avoid them becoming homeless through an eviction.
21	If Downtown Stockton Alliance is not recommended for funding in the Annual Action Plan, will their shower project be discontinued?	No. Downtown Stockton Alliance applied for 3 different grant programs, but it was for the same activity, so we only funded one of the activities, since it would have been a duplication of activities/services. Funding was awarded under ESG-CV program, which is not included in the Action Plan.
22	On the scoring committee, is there a section for them to offer feedback? Is that in this presentation?	Yes, there is a section for the scoring committee to provide comments, but it is not included in the presentation.
23	Could future Action Plans contain the comments and concerns from the scoring committee?	Staff will look into that.
24	Is the 5-year Consolidated Plan amendable?	Yes, it is.

25	How much was allocated to the down payment assistance program last year? How much money was spent on down payment assistance program last year?	Last year, the housing loan pool was funded at approximately \$1 million, and no down payment assistance loans were funded.
26	Average price of Homes are increasing upwards of \$500,000; If you cannot qualify for the \$500,000 home, you cannot have access to the down payment assistance program?	Correct, as home prices increase the DPA is not sufficient to allow those with low-incomes to purchase a home even with the DPA. Staff are revamping the DPA program and trying to explore ways to make the program more amenable and beneficial for low-income household interested in homeownership.
27	Can we find a way to incorporate into our Plan encouraging developers and buyers to pursue affordable housing?	The Plan includes goals for multi-family, homeless housing, disabled housing, and additional housing types beyond home ownership. In addition to the first-time homebuyer program, and the loan rehab program, staff are also looking into an infill façade improvement program.
28	Who are typical façade participants?	The current façade improvement program is for businesses to spruce up the exterior of their businesses through new awnings, windows or paint, but staff is also looking into a façade program for homeowners.
29	What can be done to create a stock of homes for Stocktonians to allow them to buy homes?	Staff is evaluating its homeowner programs to make them more accessible to low-income households.

Grantee Unique Appendices

When recorded mail to:

CITY OF STOCKTON
ECONOMIC DEVELOPMENT
400 E MAIN ST 4TH FLOOR
STOCKTON, CA 95202

Above Space for Recorder's Use

**THE RECORD
PROOF OF PUBLICATION**
STATE OF CALIFORNIA
COUNTY OF SAN JOAQUIN

THE UNDERSIGNED SAYS:

I am a citizen of the United States; I am over the age of 18 years and not a part to or interested in the above-entitled matter. I am the principal clerk of the printer of THE RECORD, a newspaper of general publication, printed and published daily in the City of Stockton, County of San Joaquin by the Superior Court of the County of San Joaquin, State of California, under the date of February 26, 1962, File No. 52857, San Joaquin County Records; that the notice of which the annexed is a printed copy (set in type not smaller than nonpareil), has been published each regular and entire issue of said newspaper and not in any supplement thereof in issues dated the following date, to wit,
May 26 2022

NOTICE OF PUBLIC HEARING
CITY OF STOCKTON HOME-ARPA AMENDMENT TO THE FY 2021-2022 CONSOLIDATED PLAN, SUBSTANTIAL AMENDMENT TO THE FY 2021-2022 ACTION PLAN

All citizens and other interested parties are hereby notified that the City of Stockton has prepared an Amendment to its FY 2021-2022 Consolidated Plan and FY 2021-2022 Annual Action Plan for its HOME Investment Partnerships Program (HOME), American Rescue Plan Act (ARPA). The proposed Substantial Amendment to the FY 2021-2022 Action Plan includes the following changes: Under AP '95 Exceeded Resources, the City will have expended \$3,580,677 in HOME-ARPA funds made available through the Act, to prevent and respond to the spread of COVID-19 for the City of Stockton residents.

Under AP '95, the proposed HOME-ARPA related activities are listed below:

Program	Activity	Amount
HOME-ARPA	Housing production and preservation and supportive services activities	\$4,742,400
HOME-ARPA	Administrative planning	\$628,677
Program Total		\$5,371,077

- Funding will be focused on activities and at-risk of homelessness housing activities for individuals, families, and unaccompanied youth under the age of 25 years, not aimed at acquisition, rehabilitation, new construction, and rental assistance.
- Eligible local nonprofits are eligible to apply for HOME-ARPA funds.
- Funded activities are authorized by the Homeless Needs Assessment as outlined in the 2020-2024 Consolidated Plan.

Copies of the proposed Substantial Amendment to the FY 2021-2022 Action Plan and Homeless Needs Assessment as outlined in the Consolidated Plan, will be available for review and comment to the public beginning May 31, 2022, and ending June 14, 2022, online at www.stocktonca.gov/home.

All written comments must be received on or before June 14, 2022, by 5:00 p.m., and are to be sent to: Wilton Robinson, Deputy Housing Director, City of Stockton, Economic Development Department, 400 E. Main Street, 18th Floor, Stockton, CA 95202.

The public hearing for the Amendment to the FY 2021-2022 Consolidated Plan, Substantial Amendment to the FY 2021-2022 Action Plan is tentatively set for presentation to City Council for final approval on Tuesday, June 14, 2022, at 5:30 pm at City Council Chambers, City Hall, 425 N. B. Donade Street, Stockton, CA.

ELIZA R. GARZA, CMC
CITY CLERK
CITY OF STOCKTON

I declare under penalty of perjury that the foregoing is true and correct. Executed on May 13, 2022 at Green Bay, Wisconsin.

Eliza R. Garza
City Clerk

5/26/2022 8:00:11AM

0000274210

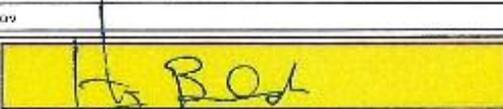
Page 1 of 1

Grantee SF-424's and Certification(s)

OMB Number: 4340-0064
Expiration Date: 12/31/2022

Application for Federal Assistance SF-424		
<p>* 1. Type of Submission:</p> <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application		
<p>* 2. Type of Application:</p> <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision		
<p>* If Revision, select appropriate item(s):</p> <input type="text"/> <p>* Other (Specify):</p> <input type="text"/>		
<p>* 3. Date Received:</p> <input type="text"/>		<p>4. Applicant Identifier:</p> <input type="text"/>
<p>5a. Federal Entity Identifier:</p> <input type="text"/>		<p>5b. Federal Award Identifier:</p> <input type="text" value="B-21-WC-06-0026"/>
<p>State Use Only:</p>		
<p>6. Date Received by State:</p> <input type="text"/>		<p>7. State Application Identifier:</p> <input type="text"/>
<p>8. APPLICANT INFORMATION:</p>		
<p>* a. Legal Name: <input type="text" value="City of Stockton"/></p>		
<p>* b. Employer/Taxpayer Identification Number (EIN/TIN):</p> <input type="text" value="94-600436"/>		<p>* c. Organizational DUNS:</p> <input type="text" value="8316034316000"/>
<p>d. Address:</p>		
<p>* Street1: <input type="text" value="425 North El Dorado Street"/></p> <p>Street2: <input type="text"/></p> <p>* City: <input type="text" value="Stockton"/></p> <p>County/Parish: <input type="text" value="San Joaquin"/></p> <p>* State: <input type="text" value="CA: California"/></p> <p>Province: <input type="text"/></p> <p>* Country: <input type="text" value="USA: UNITED STATES"/></p> <p>* Zip / Postal Code: <input type="text" value="95202-1951"/></p>		
<p>e. Organizational Unit:</p>		
<p>Department Name:</p> <input type="text" value="Economic Development Dept"/>		<p>Division Name:</p> <input type="text"/>
<p>f. Name and contact information of person to be contacted on matters involving this application:</p>		
<p>Prefix: <input type="text"/> * First Name: <input type="text" value="Carrie"/></p> <p>Middle Name: <input type="text"/></p> <p>* Last Name: <input type="text" value="Wright"/></p> <p>Suffix: <input type="text"/></p> <p>Title: <input type="text" value="Director"/></p> <p>Organizational Affiliation:</p> <input type="text"/>		
<p>* Telephone Number: <input type="text" value="209-937-8694"/></p>		<p>Fax Number: <input type="text" value="209-937-5099"/></p>
<p>* Email: <input type="text" value="carrie.wright@stocktonca.gov"/></p>		

Application for Federal Assistance SF-424	
* 8. Type of Applicant 1: Select Applicant Type:	
C: City or Township Government	
Type of Applicant 2: Select Applicant Type:	
Type of Applicant 3: Select Applicant Type:	
* Other (specify):	
* 10. Name of Federal Agency:	
U.S. Department of Housing and Urban Development	
11. Catalog of Federal Domestic Assistance Number:	
14.218	
CFDA Title:	
Community Development Block Grant (CDBG)/ Entitlement Grants	
* 12. Funding Opportunity Number:	
* Title:	
Community Development Block Grant	
13. Competition Identification Number:	
Title:	
14. Areas Affected by Project (Cities, Counties, States, etc.):	
	<input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>
* 15. Descriptive Title of Applicant's Project:	
City of Stockton's 2021-2022 Action Plan	
Attach supporting documents as specified in agency instructions.	
	<input type="button" value="Add Attachments"/> <input type="button" value="Delete Attachments"/> <input type="button" value="View Attachments"/>

Application for Federal Assistance SF-424	
16. Congressional Districts Of:	
* a. Applicant: CA-05	* b. Program/Project: CA-01
Attach an additional list of Program/Project Congressional Districts if needed.	
<input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>	
17. Proposed Project:	
* a. Start Date: 07/01/2021	* b. End Date: 06/30/2022
18. Estimated Funding (\$):	
* a. Federal	3,388,867.00
* b. Applicant	
* c. State	
* d. Local	
* e. Other	1,700,000.00
* f. Program Income	1,670,250.00
* g. TOTAL	6,759,105.00
* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?	
<input type="checkbox"/> a. This application was made available to the State under the Executive Order 12372 Process for review on <input type="text"/>	
<input type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by the State for review.	
<input checked="" type="checkbox"/> c. Program is not covered by E.O. 12372.	
* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes", provide explanation and attach	
<input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>	
21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)	
<input checked="" type="checkbox"/> ** I AGREE	
** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.	
Authorized Representative:	
Prefix: <input type="text"/>	* First Name: Harry
Middle Name: <input type="text"/>	
* Last Name: Black	
Suffix: <input type="text"/>	
* Title: City Manager	
* Telephone Number: 209-937-8212	Fax Number: 209-937-7149
* Email: harry.black@stocktonca.gov	
* Signature of Authorized Representative: 	* Date Signed: 5/14/21

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0018
Expiration Date: 02/28/2022

Public reporting burden for this collection of information is estimated to average 16 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0343-0042), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

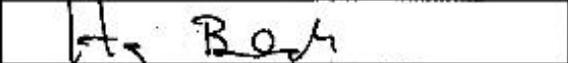
1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4783) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicap; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1942 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the applicant.

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Standard Form 4240 (Rev. 7-97)
Prescribed by OMB Circular A-102

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523), and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 105 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (Identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL 	TITLE City Manager
APPLICANT ORGANIZATION City of Stockton (CDBG Program)	DATE SUBMITTED 5/14/21

SF-424D (Rev. 7-97) Back

OPTIONAL Community Development Block Grant Certification

Submit the following certification only when one or more of the activities in the action plan are designed to meet other community development needs having particular urgency as specified in 24 CFR 570.208(c):

The grantee hereby certifies that the Annual Plan includes one or more specifically identified CDBG-assisted activities which are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community and other financial resources are not available to meet such needs.

H. Bosh
Signature of Authorized Official

5/14/21
Date

City Manager
Title

Application for Federal Assistance SF-424		
* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	* 2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision	* If Revision, select appropriate letter(s): <input type="text"/> * Other (Specify): <input type="text"/>
* 3. Date Received: <input type="text"/>	4. Applicant Identifier: <input type="text"/>	
5a. Federal Entity Identifier: <input type="text"/>	5b. Federal Award Identifier: <input type="text" value="E-21-RC-06-0026"/>	
State Use Only:		
6. Date Received by State: <input type="text"/>	7. State Application Identifier: <input type="text"/>	
B. APPLICANT INFORMATION:		
* a. Legal Name: <input type="text" value="City of Stockton"/>		
* b. Employer/Taxpayer Identification Number (EIN/TIN): <input type="text" value="94-600435"/>	* c. Organizational OUNS: <input type="text" value="831603431000"/>	
d. Address:		
* Street1: <input type="text" value="125 North El Dorado Street"/>	Street2: <input type="text"/>	
* City: <input type="text" value="Stockton"/>	County/Parish: <input type="text" value="San Joaquin"/>	
* State: <input type="text" value="CA: California"/>	Province: <input type="text"/>	
* Country: <input type="text" value="USA: UNITED STATES"/>	Zip / Postal Code: <input type="text" value="95202-1951"/>	
e. Organizational Unit:		
Department Name: <input type="text" value="Economic Development Dept."/>	Division Name: <input type="text"/>	
f. Name and contact information of person to be contacted on matters involving this application:		
Prefix: <input type="text"/>	* First Name: <input type="text" value="Carrie"/>	
Middle Name: <input type="text"/>	* Last Name: <input type="text" value="Wright"/>	
Suffix: <input type="text"/>	Title: <input type="text" value="Director"/>	
Organizational Affiliation: <input type="text"/>		
* Telephone Number: <input type="text" value="209-937-8594"/>	Fax Number: <input type="text" value="209-937-5099"/>	
* Email: <input type="text" value="carrie.wright@stocktonca.gov"/>		

Application for Federal Assistance SF-424	
* 9. Type of Applicant 1: Select Applicant Type:	
<input type="text" value="City or Township Government"/>	
Type of Applicant 2: Select Applicant Type:	
<input type="text"/>	
Type of Applicant 3: Select Applicant Type:	
<input type="text"/>	
* Other (specify):	
<input type="text"/>	
* 10. Name of Federal Agency:	
<input type="text" value="U.S. Department of Housing and Urban Development"/>	
11. Catalog of Federal Domestic Assistance Number:	
<input type="text" value="14.231"/>	
CFDA Title:	
<input type="text" value="Emergency Solutions Grant Program"/>	
* 12. Funding Opportunity Number:	
<input style="background-color: yellow;" type="text"/>	
* Title:	
<input style="background-color: yellow;" type="text"/>	
13. Competition Identification Number:	
<input type="text"/>	
Title:	
<input type="text"/>	
14. Areas Affected by Project (Cities, Counties, States, etc.):	
<input type="text"/>	<input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachments"/>
* 15. Descriptive Title of Applicant's Project:	
<input type="text" value="City of Stockton's 2021-2022 Action Plan"/>	
Attach supporting documents as specified in agency instructions:	
<input type="button" value="Add Attachments"/>	<input type="button" value="Delete Attachments"/> <input type="button" value="View Attachments"/>

Application for Federal Assistance SF-424

16. Congressional Districts Of:

* a. Applicant * b. Program/Project

Attach an additional list of Program/Project Congressional Districts if needed.

17. Proposed Project:

* a. Start Date: * b. End Date:

18. Estimated Funding (\$):

* a. Federal	<input type="text" value="292,379.00"/>
* b. Applicant	<input type="text" value=""/>
* c. State	<input type="text" value=""/>
* d. Local	<input type="text" value=""/>
* e. Other	<input type="text" value=""/>
* f. Program Income	<input type="text" value=""/>
* g. TOTAL	<input type="text" value="292,379.00"/>

* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?

a. This application was made available to the State under the Executive Order 12372 Process for review on

b. Program is subject to E.O. 12372 but has not been selected by the State for review.

c. Program is not covered by E.O. 12372.

* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)

Yes No

If "Yes", provide explanation and attach

21. "By signing this application, I certify (1) to the statements contained in the list of certifications" and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances" and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)

** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix: * First Name:

Middle Name:

* Last Name:

Suffix:

* Title:

* Telephone Number: Fax Number:

* Email:

* Signature of Authorized Representative:
 * Date Signed:

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0009
Expiration Date: 02/28/2022

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

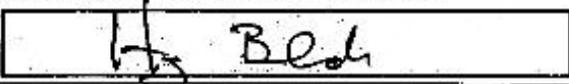
1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs); to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-362) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-256), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

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Standard Form 424D (Rev. 7-97)
Prescribed by OMB Circular A-102

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1506 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1966, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11693 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
	City Manager
APPLICANT ORGANIZATION	DATE SUBMITTED
City of Stockton (836 Program)	5/14/21

SF-424D (Rev. 7-97) Back

Emergency Solutions Grants Certifications

The Emergency Solutions Grants Program recipient certifies that:

Major rehabilitation/conversion/renovation – If an emergency shelter's rehabilitation costs exceed 75 percent of the value of the building before rehabilitation, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed rehabilitation.

If the cost to convert a building into an emergency shelter exceeds 75 percent of the value of the building after conversion, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed conversion.

In all other cases where ESG funds are used for renovation, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 3 years after the date the building is first occupied by a homeless individual or family after the completed renovation.

Essential Services and Operating Costs – In the case of assistance involving shelter operations or essential services related to street outreach or emergency shelter, the recipient will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure, so long the recipient serves the same type of persons (e.g., families with children, unaccompanied youth, disabled individuals, or victims of domestic violence) or persons in the same geographic area.

Renovation – Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

Supportive Services – The recipient will assist homeless individuals in obtaining permanent housing, appropriate supportive services (including medical and mental health treatment, victim services, counseling, supervision, and other services essential for achieving independent living), and other Federal, State, local, and private assistance available for these individuals.

Matching Funds – The recipient will obtain matching amounts required under 24 CFR 576.201.

Confidentiality – The recipient has established and is implementing procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project, except with the written authorization of the person responsible for the operation of that shelter.

Homeless Persons Involvement – To the maximum extent practicable, the recipient will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, and operating facilities assisted under the ESG program, in providing services assisted under the ESG program, and in providing services for occupants of facilities assisted under the program.

Consolidated Plan – All activities the recipient undertakes with assistance under ESG are consistent with its consolidated plan.

Discharge Policy – The recipient will establish and implement, to the maximum extent practicable and where appropriate, policies and protocols for the discharge of persons from publicly funded institutions or systems of care (such as health care facilities, mental health facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent this discharge from immediately resulting in homelessness for these persons.

H. Boock
Signature of Authorized Official

5/14/21
Date

City Manager
Title

Application for Federal Assistance SF-424		
* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	* 2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision	* If Revision, select appropriate letter(s): <input type="text"/> * Other (Specify): <input type="text"/>
* 3. Date Received: <input type="text"/>	* 4. Applicant Identifier: <input type="text"/>	
5a. Federal Entity Identifier: <input type="text"/>	5b. Federal Award Identifier: <input type="text"/>	
State Use Only: 6. Date Received by State: <input type="text"/> 7. State Application Identifier: <input type="text"/>		
8. APPLICANT INFORMATION:		
* a. Legal Name: <input type="text"/> City of Stockton		
* b. Employer/Taxpayer Identification Number (EIN/TIN): <input type="text"/> 04-6900435	* c. Organizational DUNS: <input type="text"/> 8316034310090	
d. Address:		
* Street: <input type="text"/> 425 North El Dorado Street Street 2: <input type="text"/>	* City: <input type="text"/> Stockton	
* County/Parish: <input type="text"/> San Joaquin	* State: <input type="text"/> CA: California	
* Province: <input type="text"/>	* Country: <input type="text"/> USA: UNITED STATES	
* Zip / Postal Code: <input type="text"/> 95202-1951		
e. Organizational Unit:		
Department Name: <input type="text"/> Economic Development Dept.	Division Name: <input type="text"/>	
f. Name and contact information of person to be contacted on matters involving this application:		
Prefix: <input type="text"/>	* First Name: <input type="text"/> Corrie	
Middle Name: <input type="text"/>		
* Last Name: <input type="text"/> Wright		
Suffix: <input type="text"/>		
Title: <input type="text"/> Director		
Organizational Affiliation: <input type="text"/>		
* Telephone Number: <input type="text"/> 209-937-8694	* Fax Number: <input type="text"/> 209-937-5099	
* Email: <input type="text"/> corrie.wright@stocktonca.gov		

Application for Federal Assistance SF-424	
* 9. Type of Applicant 1: Select Applicant Type: <input type="text" value="City or Township Government"/>	
Type of Applicant 2: Select Applicant Type: <input type="text"/>	
Type of Applicant 3: Select Applicant Type: <input type="text"/>	
* Other (specify): <input type="text"/>	
* 10. Name of Federal Agency: <input type="text" value="U.S. Department of Housing and Urban Development"/>	
11. Catalog of Federal Domestic Assistance Number: <input type="text" value="14.239"/>	
CFDA Title: <input type="text" value="HOME Investment Partnership Program"/>	
* 12. Funding Opportunity Number: <input type="text" value=""/>	
* Title: <input type="text" value=""/>	
13. Competition Identification Number: <input type="text"/>	
Title: <input type="text"/>	
14. Areas Affected by Project (Cities, Counties, States, etc.): <input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>	
* 15. Descriptive Title of Applicant's Project: <input type="text" value="City of Stockton's 2021-2022 Action Plan"/>	
Attach supporting documents as specified in agency instructions. <input type="button" value="Add Attachments"/> <input type="button" value="Delete Attachments"/> <input type="button" value="View Attachments"/>	

Application for Federal Assistance SF-424	
16. Congressional Districts Of:	
* a. Applicant	CA-09
* b. Program/Project	CA-09
Attach an additional list of Program/Project Congressional Districts if needed.	
<input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>	
17. Proposed Project:	
* a. Start Date:	07/01/2021
* b. End Date:	06/30/2022
18. Estimated Funding (\$):	
* a. Federal	1,750,529.00
* b. Applicant	
* c. State	
* d. Local	
* e. Other	
* f. Program Income	100,000.00
* g. TOTAL	1,850,529.00
* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?	
<input type="checkbox"/> a. This application was made available to the State under the Executive Order 12372 Process for review on <input type="text"/>	
<input type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by the State for review.	
<input checked="" type="checkbox"/> c. Program is not covered by E.O. 12372.	
* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes", provide explanation and attach	
<input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>	
21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)	
<input checked="" type="checkbox"/> ** I AGREE	
** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.	
Authorized Representative:	
Prefix:	<input type="text"/>
* First Name:	Harry
Middle Name:	<input type="text"/>
* Last Name:	Black
Suffix:	<input type="text"/>
* Title:	City Manager
* Telephone Number:	209-937-0212
Fax Number:	209-937-9119
* Email:	harry.black@stocktonca.gov
* Signature of Authorized Representative	<input type="text" value="Harry Black"/>
* Date Signed:	5/14/21

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0009
Expiration Date: 07/28/2022

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NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1686-1688), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

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Prescribed by OMB Circular A-102

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-645) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1958 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
	City Manager
APPLICANT ORGANIZATION	DATE SUBMITTED
City of Stockton IHOMF Program	5/14/21

SF-424D (Rev. 7-97) Back

Specific HOME Certifications

The HOME participating jurisdiction certifies that:

Tenant Based Rental Assistance – If it plans to provide tenant-based rental assistance, the tenant-based rental assistance is an essential element of its consolidated plan.

Eligible Activities and Costs – It is using and will use HOME funds for eligible activities and costs, as described in 24 CFR §§92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in §92.214.

Subsidy layering -- Before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing;

H. Bash
Signature of Authorized Official

5/14/21
Date

City Manager
Title

APPENDIX TO CERTIFICATIONS

INSTRUCTIONS CONCERNING LOBBYING CERTIFICATION:

Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Application for Federal Assistance SF-424		
* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	* 2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision	* If Revision, select appropriate letter(s): _____ * Other (Specify): _____
* 3. Date Received: _____	4. Applicant Identifier: _____	
5a. Federal Entity Identifier: _____	5b. Federal Award Identifier: M-21-MF-03-0221	
State Use Only:		
6. Date Received by State: _____	7. State Application Identifier: _____	
8. APPLICANT INFORMATION:		
* a. Legal Name: <u>City of Stockton</u>		
* b. Employer/Taxpayer Identification Number (EIN/TIN): <u>94-5000436</u>	* c. UEN: <u>W0008372609</u>	
d. Address:		
* Street1: <u>428 North El Dorado Street</u>	Street2: _____	
* City: <u>Stockton</u>	County/Parish: _____	
* State: <u>the California</u>	Province: _____	
* Country: <u>USA; UNITED STATES</u>	Zip / Postal Code: <u>95202-1091</u>	
e. Organizational Unit:		
Department Name: <u>Economic Development Department</u>	Division Name: <u>Economic</u>	
f. Name and contact information of person to be contacted on matters involving this application:		
* Title: <u>Director of Economic Development</u>	* First Name: <u>Carrie</u>	* Last Name: <u>Wright</u>
* Middle Name: _____	* Phone: <u>209 937-6539</u>	
* Fax: _____	* Email: <u>carrie.wright@stocktonca.gov</u>	
* Telephone Number: <u>(209) 937-6539</u>	* Fax Number: <u>(209) 937-6099</u>	

Application for Federal Assistance SF-424	
* 9. Type of Applicant 1: Select Applicant Type:	
01: City or Township Government	
Type of Applicant 2: Select Applicant Type:	
Type of Applicant 3: Select Applicant Type:	
* Other (specify):	
* 10. Name of Federal Agency:	
U.S. Department of Housing and Urban Development	
11. Catalog of Federal Domestic Assistance Number:	
14.839	
CFDA Title:	
HOME Investment Partnership	
* 12. Funding Opportunity Number:	
* Title:	
HOME-ARP	
13. Competition Identification Number:	
Title:	
14. Areas Affected by Project (Cities, Counties, States, etc.):	
* 15. Descriptive Title of Applicant's Project:	
City of Stanton's HOME-ARP allocation plan.	
Attach supporting documents as specified in agency instructions.	

Application for Federal Assistance SF-424

16. Congressional Districts Of:

* a. Applicant: * b. Program/Project:

Attach an additional list of Program/Project Congressional Districts if needed.

17. Proposed Project:

* a. Start Date: * b. End Date:

18. Estimated Funding (\$):

* a. Federal	<input type="text" value="6,300,677.00"/>
* b. Applicant	<input type="text"/>
* c. State	<input type="text"/>
* d. Local	<input type="text"/>
* e. Other	<input type="text"/>
* f. Program Income	<input type="text"/>
* g. TOTAL	<input type="text" value="6,300,677.00"/>

* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?

a. This application was made available to the State under the Executive Order 12372 Process for review on

b. Program is subject to E.O. 12372 but has not been selected by the State for review.

c. Program is not covered by E.O. 12372.

* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)

Yes No

If "Yes", provide explanation and attach

21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)

** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix: * First Name:

Middle Name:

* Last Name:

Suffix:

* Title:

* Telephone Number: Fax Number:

* Email:

* Signature of Authorized Representative:  * Date Signed:

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4540-0009
Expiration Date: 02/28/2025

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PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

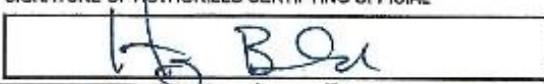
1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4783) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1688), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§280 de-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

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Prescribed by OMB Circular A-102

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. Those requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1965, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archeological and Historic Preservation Act of 1974 (16 U.S.C. §§469s-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
	City Manager
APPLICANT ORGANIZATION	DATE SUBMITTED
City of Stockton	9/30/21

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ASSURANCES - NON-CONSTRUCTION PROGRAMS

Public reporting burden for the collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0040), Washington, DC 20503.

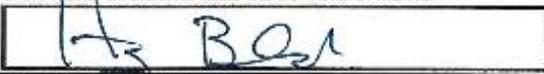
PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
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11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-193) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11890; (d) evaluation of flood hazards in floodplains in accordance with EO 11888; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
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13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
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SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL 	TITLE City Manager
APPLICANT ORGANIZATION City of Stockton	DATE SUBMITTED 9/30/22

Standard Form 424B (Rev. 7-87) Back

HOME-ARP CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the participating jurisdiction certifies that:

Affirmatively Further Fair Housing --The jurisdiction will affirmatively further fair housing pursuant to 24 CFR 5.151 and 5.152.

Uniform Relocation Act and Anti-displacement and Relocation Plan --It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, (42 U.S.C. 4601-4655) and implementing regulations at 49 CFR Part 24. It will comply with the acquisition and relocation requirements contained in the HOME-ARP Notice, including the revised one-for-one replacement requirements. It has in effect and is following a residential anti-displacement and relocation assistance plan required under 24 CFR Part 42, which incorporates the requirements of the HOME-ARP Notice. It will follow its residential anti-displacement and relocation assistance plan in connection with any activity assisted with funding under the HOME-ARP program.

Anti-Lobbying --To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of Jurisdiction --The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations and program requirements.

Section 3 --It will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u) and implementing regulations at 24 CFR Part 75.

HOME-ARP Certification --It will use HOME-ARP funds consistent with Section 3205 of the American Rescue Plan Act of 2021 (P.L. 117-2) and the CPD Notice: *Requirements for the Use of Funds in the HOME-American Rescue Plan Program*, as may be amended by HUD, for eligible activities and costs, including the HOME-ARP Notice requirements that activities are consistent with its accepted HOME-ARP allocation plan and that HOME-ARP funds will not be used for prohibited activities or costs, as described in the HOME-ARP Notice.



Signature of Authorized Official



Date

City Manager

Title

HOME-ARP

Home-ARP Plan

Consultation

Describe the consultation process including methods used and dates of consultation:

Table I: List of organizations consulted:

Agency/Org Consulted	Type of Agency/Org	Method of Consultation	Feedback
San Joaquin CoC	Continuum of Care	Special Meeting of the Strategic Planning Committee. Various presentations and CoC committee meetings.	See narrative
Stockton Shelter for the Homeless	Homeless Service Provider	Client and Staff Discussion Group	See narrative
St. Mary's Dining Room	Homeless Service Provider	Client and Staff Discussion Group	See narrative
Women's Center Youth and Family Services	Domestic Violence Service Provider	Client and Staff Discussion Group	See narrative
San Joaquin County Veteran's Services	Veteran's Services	Interview with Matt Garber, Asst. Director HCSA	See narrative
Housing Authority County of San Joaquin	Public Housing Authority	Interview with Peter Ragsdale, Executive Director HACSJ	See narrative
Health Care Services Agency	Public Agency, addressing the needs of QPs, including people with disabilities	Interview with Natascha Garcia, Program Director Whole Person Care	See narrative
Reinvent South Stockton	Private Organization addressing fair housing, civil rights and needs of people with disabilities	Client and Staff Discussion Group	See narrative

Summarize feedback received and results of upfront consultation with these entities:

In March 2022, several discussions were held to gather input on how best to prioritize funding coming into the City of Stockton, including HOME-ARP. Commonalities and key priorities emerged in all groups, though the discussions with persons with lived experiences focused more on immediate service and housing needs and less on systems and capacity building activities.

The need for affordable permanent housing and permanent supportive housing for individuals who are homeless or at risk of homelessness was identified as the overwhelming priority, especially for

vulnerable populations including youth, individuals fleeing domestic violence, veterans, and those with medical and behavioral health concerns.

“We need housing above all”

Increase availability. Waitlists for housing support can exceed two years, and once a housing voucher or rent support is made available, there are still no units within an affordable price range or willing to accept them as tenants. Service providers felt that in order to move people out of homelessness and into housing, the system will need to control a larger supply of housing. Under the current rental market, with a less than 2 percent vacancy rates, programs are paying costs significantly above market rents to house homeless individuals.

Case management to address client needs. Individuals and families need more programming about how to manage and maintain housing, and how to break the barriers to obtaining housing. There is broad agreement that it is a misconception that persons experiencing homelessness do not want to work, though many experiencing homelessness said that lack of water for basic hygiene makes seeking and maintaining employment difficult. Overall, participants recommended more supports to prepare (including training programs), search, and apply for jobs.

Ensure the type of housing meets the unique needs of clients. “Safety, affordability, stability”

Both adults and youth stated that the type of housing offered was less important than having long-term, stable, affordable, and safe housing that is located close to services and resources. Individuals were willing to consider co-housing situations as long as they had their own room with a door that locks to ensure their safety and the security of their belongings. Housing providers additionally noted the need to be innovative around the types of housing and encouraged thinking beyond typical single-family houses and apartments (i.e., tiny homes, renting rooms, converting unused hotels and motels to housing, pods, and individual and efficiency units, like dorms).

Public Participation

Describe the public participation process, including information about and the dates of the public comment period and public hearing(s) held during the development of the plan:

- *Date(s) of public notice:* 5/26/2022
- *Public comment period:* 5/31/2022 – 6/14/2022
- *Date(s) of public hearing:* 6/14/2022

Describe the public participation process

The public planning process occurred in collaboration with local homeless service providers, housing advocates, the Housing Authority, the San Joaquin Continuum of Care, and homeless individuals and families, including youth and people who were at imminent risk of homelessness.

San Joaquin Continuum of Care serves as the regional coordinating entity over homeless services. The goal of the SJCoC is “to provide a comprehensive, coordinated homeless, housing, and services delivery system.” SJCoC is governed by a membership body and a 19-member governing board made up of representatives from local homeless serving organizations, people with lived experiences, representatives of different local governments, including the City of Stockton, and the Housing Authority of San Joaquin.

Housing Authority of San Joaquin. Operating with federal oversight, local housing authorities are tasked with administering public housing projects and the distribution of housing choice vouchers – a national program that subsidizes a portion of rent payments directly to landlords. Over the past five years the Housing Authority of San Joaquin has worked aggressively to increase its portfolio and has significantly added to the City’s housing stock of both affordable rental apartments and permanent supportive housing for people who are homeless.

Service Providers. The primary entities that meet the day-to-day needs of those who are homeless are the local community-based organizations, faith-based institutions, and emerging grassroots collections of neighborhood activists and people with lived experiences working jointly to make change in their neighborhoods and communities. The service continuum is comprised of many significant partners, who provide a broad range of services. The CoC, is an all-volunteer entity, made up of local service providers and housing advocates. These include:

- Housing developers and affordable housing providers
- Housing navigators, helping to find and maintain housing
- Providers of emergency shelters and other temporary dwellings
- Housing advocates and community-based tenant assistance and eviction prevention programs
- Outreach teams, providing a mobile, on-site health, case management, and other services
- Food pantries, meal programs, clothes pantries, etc. in fixed locations to meet basic needs
- Health and behavioral health clinics

Homeless Individuals. Public participation including intensive efforts to engage people with lived experiences in homelessness into conversations about their experiences and recommendations for

addressing homelessness. Methods of engagement included on-site discussion groups with clients at three different homeless shelters, including one for adults, one for families, and one for youth. In addition, the plan incorporates the findings from nearly 250 interviews conducted by members of the homeless street outreach team with unsheltered individuals.

Citizen Participation. The local planning process was incorporated citizen input made during several public meetings including meetings of the Continuum of Care and of the City's Ad-hoc Committee on Homelessness. In general citizens are concerned with the growing number of people who are living unsheltered and are urging permanent solutions to address homelessness and housing insecurity.

Describe efforts to broaden public participation:

This Plan reflects the input from many community members and an extensive review of data and reports. To fully understand the issues, concerns, and gaps in the services system, extensive interviews and discussions were held with individuals and families who are experiencing homelessness, individuals who had lived experiences of homelessness, youth with lived experiences of homelessness, administrators of the Public Housing Authority, the County Health Care Services Agency, and the County Whole Person Care homeless outreach initiative.

Group discussions were held with the Housing Justice Coalition of the Reinvent South Stockton Initiative and the SJCoC Strategic Planning Committee.

The Plan also encompasses a survey, conducted by the United Way of San Joaquin, of 243 unsheltered individuals living in homeless encampments and other locations. Survey results provide valuable information on the challenges surrounding homelessness, including how to best serve unsheltered individuals and insight into why shelters are not at full capacity.

Summarize the comments and recommendations received through the public participation process either in writing, or orally at a public hearing:

Proposed objectives, strategies and funding plans were **discussed at a regular meeting of the Stockton City Council on June 14, 2022**. A presentation was shared at the meeting to provide an overview of key findings from the assessment of needs, including local strengths and challenges which drove funding recommendations, strategies, and goals to be achieved

Members of the public in the audience included representatives from local shelters and homeless outreach providers. The meeting was live streamed on the City's cable channel. No public comments were received during the meeting on June 14, 2022.

Council members expressed a strong commitment to addressing homelessness, along with some frustration that more has not yet been achieved, especially in the areas of addressing veteran homelessness, rising rates of chronic homelessness, and disproportional numbers of African American individuals experiencing homelessness. Council members expressed support for the recommendations and urged city staff to work as expediently as possible to fulfill plan goals and to meet or exceed plan objectives.

Summarize any comments or recommendations not accepted and state the reasons why:

None. All comments accepted.

Needs Assessment and Gaps Analysis

Table II: Homeless Needs Inventory and Gap Analysis

	Homeless												
	Current Inventory					Homeless Population				Housing Gap Analysis			
	Family		Adults Only		Vets	Family HH (at least 1 child)	Adult HH (at least 1 child)	Vets	Victims of DV	Family		Adults Only	
	# of Beds	# of Units	# of Beds	# of Units	# of Beds					# of Beds	# of Units	# of Beds	# of Units
Emergency Shelter	366	87	713	Unav.	56								
Transitional Housing	255	63	178	Unav.	0								
Permanent Supportive Housing	91	272	460	Unav.	326								
Other Permanent Housing	0	0	14	Unav.	0								
Sheltered Homeless						487	475	34	22				
Unsheltered Homeless						0	1,354	90	10				
Current Gap										487	240	1,629	914

• Unav. Data is missing or not reported on Housing Inventory Count or Point in Time Count reports.

Table III: Housing Needs Inventory and Gap Analysis

	Non-Homeless		
	Current Inventory	Level of Need	Gap Analysis
	# of Units	# of Households	# of Households
Total Rental Units	87,737 San Joaquin County Housing Element 2015-2023		
Rental Units Affordable to HH AT 30% AMI (At-Risk of Homelessness)	750 Rough Estimate		
Rental Units Affordable to HH at 50% AMI (Other Populations)	2500 Rough Estimate		
0%-30% AMI Renter HH w/ 1 or more severe housing problems (At Risk of Homelessness)		8,000 Estimate from HDIS data of unique count of homeless persons served, in 2020.	
30%-50% AMI Renter HH w/ 1 or more severe housing problems (Other Populations)		13,293 From Regional Housing Needs Allocation Plan by SJCOG	
Current Gaps		19,293	16,000 (Estimate)

• Data is for the entirety of San Joaquin County

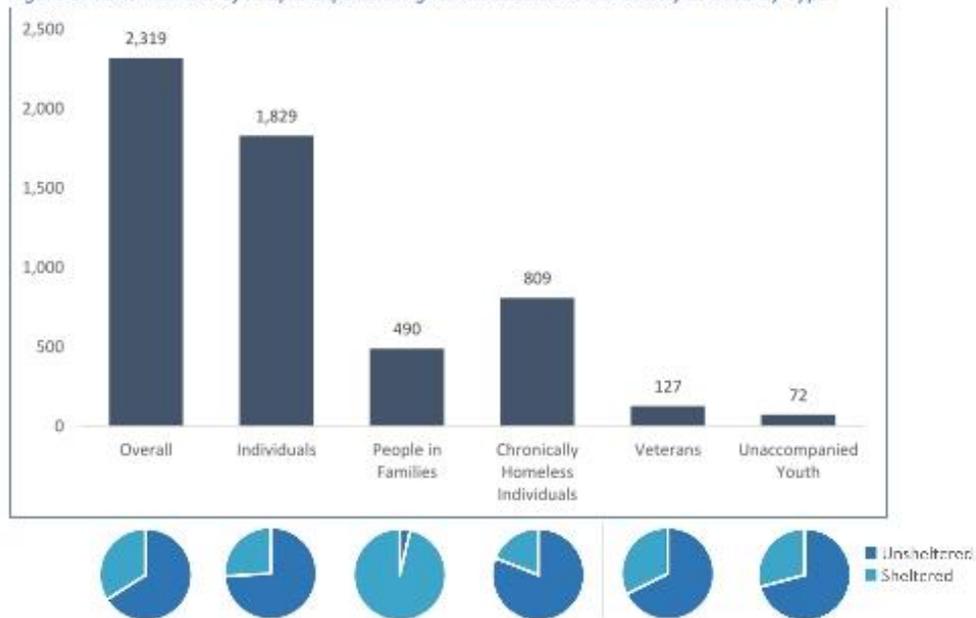
Describe the size and demographic composition of qualifying populations within the PJ's boundaries:

- **Homeless as defined in 24 CFR 91.5**

Findings from the Countywide PIT Count

The SJCoC 2022 PIT Count identified 2,319 individuals experiencing homelessness in the County on the day of the count. Over half (58%, n=1,355) were in unsheltered locations such as on the street, in abandoned buildings, or in other places not suitable for human habitation, and 42% (n=964) were in sheltered locations—emergency shelters or transitional housing programs. The majority (79%) were individuals, and the rest were people living in families with children. Among the individuals counted, 72 were unaccompanied youth (people under the age of 25 experienced homelessness on their own) and 127 were veterans. There were 809 individuals experiencing chronic homelessness in the County during the 2022 PIT Count, representing 35 percent of the homeless population. Over 80 percent of individuals experiencing chronic homelessness in the County are unsheltered (Figure 1).

Figure 1. Total Number of People Experiencing Homelessness in the County in 2022 by Type



Source: 2022 SJCoC PIT Count

Sixty-six percent of the unsheltered population is living within the City of Stockton. Key findings of the recent unsheltered count of people within Stockton are:

- 49% identified as chronically homeless
- 82% are from Stockton
- 6% are veterans
- 83% have been homeless for one year or more

Additionally, volunteers did not observe any unsheltered households with children, indicating some success by the Family/HT/DV Homeless Outreach teams in rapidly diverting homeless families into programming.

Volunteers counted 90 unsheltered veterans and 34 sheltered veterans however, following the count event, the community celebrated the opening of Victory Gardens, a permanent supported housing program serving homeless veterans.

- **At Risk of Homelessness as defined in 24 CFR 91.5**

The San Joaquin County Office of Education estimates that nearly 5,000 students are homeless as defined by the McKinney Vento Act, including youth and families that are doubling up with family or friends, couch surfing, or moving several times during the course of one year. As of 2018, the Office of Education counted 4,618 students.

The Family Resource and Referral Agency operates the County 211 information line. According to 2021 data there were over 15,000 calls for housing assistance in 2021. It is further estimated that of the callers nearly 4,000 were either at imminent risk of homelessness or had recently become without a permanent place to live. Of the calls:

- 4,575 Rent Payment Assistance (due to COVID impact)
- 3,372 Low-Cost Home Rental Listings
- 2,246 Rent Payment Assistance (general)
- 1,847 Rapid Re-housing / Homeless Individuals
- 1,363 At Imminent Risk of Homelessness
- 1,189 Housing Choice Vouchers
- 1,134 Women seeking shelter programs

Given an estimated household size of 2 people (the County Housing Element estimates the typical household to have 3.2 individuals), the City estimates that there are approximately 8,000 people at risk of homelessness in the region.

- **Fleeing, or Attempting to Flee, Domestic Violence, Dating Violence, Sexual Assault, Stalking, or Human Trafficking, as defined by HUD in the Notice**

Family violence is a major concern in this community. According to the San Joaquin County District Attorney's Office there were over 1,800 cases filed with the courts involving domestic or other family violence. Among the family violence cases filed in 2021:

- 1,162 Domestic Violence
- 180 Stalking or Human Trafficking

- 540 Child Abuse and Child Sexual Assault
- 178 Elder and Dependent Abuse

Within the County, there are over 6,000 victims of Domestic Violence that are receiving ongoing assistance through the victims' services and an additional 3,000 who have recently experienced harm in the form of sexual assault, stalking, or human trafficking.

Approximately half of the cases referred to the DA's Office for review pertaining to family violence are not filed for prosecution.

- **Other populations requiring services or housing assistance to prevent homelessness and other populations at greatest risk of housing instability, as defined by HUD in the Notice**

The median household income in the City of Stockton is estimated at \$58,393, higher than national trends, but lower than other parts of California.

For a household of three the median income is established at \$67,500. Households are considered low income if earning under \$4,000; very low income if earning under \$33,750; and extremely low income if earning under \$21,720.

According to the San Joaquin Council of Governments there are an estimated 231,000 households in the County. Of which:

- 13,398 households (5.8%) earn less than \$10,000
- 12,936 households (5.6%) earn less than \$14,000
- 23,562 households (10.2%) earn less than \$25,000
- 21,714 households (9.4%) earn less than \$35,000

The San Joaquin Council of Governments is further estimating that across the region an additional 21,637 housing units are needed serving low- and very low-income households; of which 4,014 should be built within the City of Stockton.

Identify and consider the current resources available to assist qualifying populations, including congregate and non-congregate shelter units, supportive services, TBRA, and affordable and permanent supportive rental housing (Optional):

The City administers, coordinates, and distributes funding for housing and homelessness services through its Economic Development Department. Funding dedicated to housing and homelessness services includes Community Development Block Grant (CDBG) funds, Emergency Solutions Grant (ESG) funds, HOME Investment Partnerships, Homeless Housing, Assistance and Prevention Program (HHAP) grants and special CARES Act allocations including CDBG-CV and ESG-CV.

Notable examples of City investments to develop resources to assist qualifying populations include:

a. Local health care and managed care plans

Assessment and Respite Center: The City Economic Development Department and Police Department partnered with Community Medical Centers and County Behavioral Health on the establishment of an Assessment and Respite Center for homeless individuals in need of mental health care, substance use disorder treatment (including medication-assisted treatment for opiates), and a safe place to stay during assessment and initial treatment. The Economic Development Department provided strategic funding

for the facility and Stockton Police provides on-going support and referrals. *(Local health care, behavioral health, justice partnership)*

b. Public health systems

Homeless Outreach Team (HOT): The City Police and Fire Departments partner with a number of public and private organizations on a multi-agency HOT to ensure that homeless encampments are safe and sanitary, to provide illness and disease prevention, health care and behavioral health services, and to encourage individuals to move to shelters or housing. Partners include County Behavioral Health Services, Whole Person Care teams, Community Medical Centers, San Joaquin District Attorney's Office, and County Public Health Services. *(Behavioral health, local health care, justice, and public health partnership)*

c. Behavioral health

Progressive Housing: Stockton Self-Help Housing and County Behavioral Health developed scattered-site Permanent Supportive Shared Housing facilities for over 60 individuals with severe mental illness who were homeless or at risk of homelessness. The City provided HHAP funding for additional services. Mental Health Services Act funds are used for operational costs *(Behavioral health partnership)*

Crossways Residences: The City partnered with the Housing Authority of San Joaquin and County Behavioral Health to provide strategic funding for 42 Permanent Supportive Housing units for individuals with severe mental illness. Mental Health Services Act funds were used in the rehabilitation and operation of the three housing facilities. *(Public housing authority/behavioral health partnership)*

d. Homeless services

Navigation Services: The City provided strategic funding to the Family Resource and Referral Center to develop its 211 service to assist homeless individuals locate and access the services they need. The City also provides funding to St. Mary's Dining Room, a comprehensive navigation center for homeless individuals offering housing navigation, comprehensive case management, on-sight, full scope medical and dental clinics, as well as programming and activities to meet basic needs including serving three meals a day, providing 150 showers a day, operating a clothes pantry, library, learning center, and community socialization groups to hundreds of sheltered and unsheltered homeless individuals daily. *(Social service partnership).*

Shelter Programs: The City provides strategic funding for emergency shelter and navigation programs for Adults, Families, Women Escaping Domestic Violence and Youth. Within the County there are 1,161 shelter beds, about half of which (n=554) are within the City of Stockton.

Stockton Shelter for the Homeless: This shelter is adding 180 low barrier beds and a one-stop navigation center. The City provided funding through HHAP, CDBG, and ESG-CV for these services as well as outreach, rental assistance, landlord incentives, and permanent housing. *(Social service partnership)*

d. Housing Projects

Town Center Studios: In 2020, the City Council approved a \$4.2 M application for Project Homekey - a collaboration between the City, STAND Affordable Housing, and Central Valley Low Income Housing. The resulting project, Town Center Studios, has 20 rehabilitated units with an additional 20 units in development. *(Public housing authority/social services partnership)*

Victory Gardens: A 49-unit permanent affordable housing project, was developed by the Housing Authority of San Joaquin in conjunction with partner funding from the City of Stockton Home Investment Partnership, CDBG funds, and the State Veteran Housing and Homelessness Prevention program. *(Public housing authority/social services partnership)*

Flora Street Project: Visionary Home Builders and the City jointly applied for a Project Homekey grant on the Flora Street project, a 15-unit housing development for survivors of domestic violence and human trafficking, in collaboration with the Women's Center Youth and Family Services. The City plans to allocate matching funds for the project. *(Non-profit affordable housing developer/Social service partnership)*

Housing Authority of San Joaquin applied for a Project Homekey grant to renovate a 120-room motel into permanent housing units in collaboration with STAND and Central Valley Low Income Housing. The City provided a \$6 M match of American Rescue Plan funds for the project. *(Public housing authority/social services partnership)*

e. Justice entities

Law Enforcement Assisted Diversion: Stockton Police, in partnership with County Behavioral Health and the County District Attorney's Office operates a Law Enforcement Assisted Diversion (LEAD) project for homeless individuals with behavioral health issues who may have committed non-violent crimes. LEAD's goal is to foster trusting relationships with unsheltered individuals and encourage participation in intensive behavioral health and health care services that would lead to permanent housing. *(Justice/behavioral health partnership)*

Describe the unmet housing and service needs of qualifying populations:

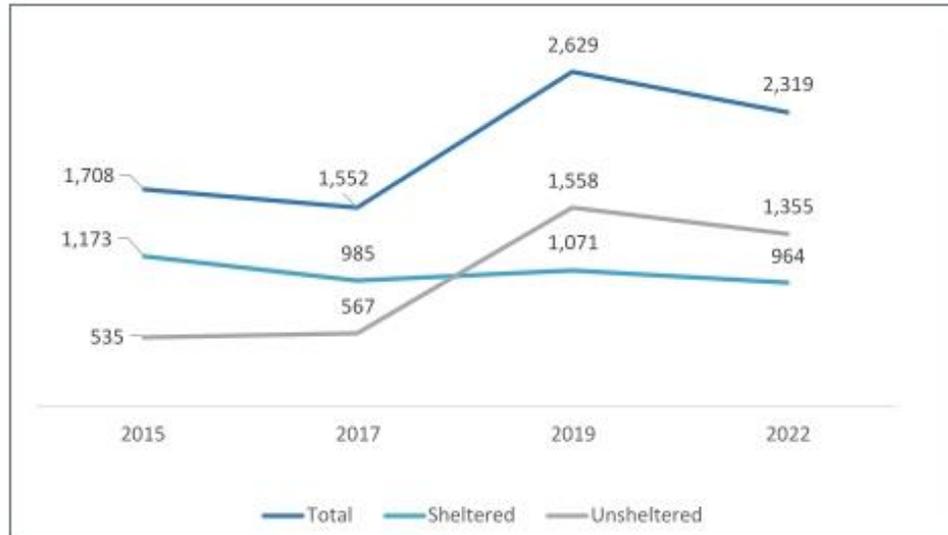
There are insufficient housing and services across all areas of the continuum of care. In addition to the housing shortages described above, the CoC and other community partners have identified the following challenges.

- **Homeless as defined in 24 CFR 91.5**
 - There is an insufficient supply of housing units to meet demand at all levels and vacancy rates for affordable housing units are at functional zero. Practically, this means that landlords can be selective about their tenants and are less likely to enter into rental agreements with people that have experienced evictions or prior episodes of homelessness. Housing Choice Vouchers are allocated, but not fully subscribed due to a paucity of landlords willing to enter into lease agreements under the voucher terms.

- **At Risk of Homelessness as defined in 24 CFR 91.5**
 - Federal Emergency Rental Assistance Program (ERAP) funding released during the COVID-19 pandemic may have been pivotal to averting increases in homelessness. The recent PIT count showed a potential flattening of the (until now) steep year-to-year increases in homelessness. The City of Stockton received over \$40 million in ERAP funds and, through community-based partners, approved over 5,000 families for rental assistance, potentially averting homelessness. With the funds ending City Council Members and Supervisor's

Provide additional characteristics associated with instability and increased risk of homelessness in their HOMEARP allocation plan. These characteristics will further refine the definition of “other populations” that are “At Greatest Risk of Housing Instability,” as established in the HOMEARP Notice. If including these characteristics, identify them here:

Figure 2. PIT Count of People Experiencing Homelessness in the County by Year



Source: 2015 & 2017 CA-511 HUD PIT Report; 2019, 2022 SJCoC PIT Count

Unsheltered homelessness rose rapidly between 2017 and 2019. Whereas most persons experiencing homelessness were sheltered in 2017, by 2019 the majority were unsheltered (Figure 2). The gap between sheltered and unsheltered persons remains relatively consistent from 2019 to 2022 (59% and 58%, respectively). Notably, there appears to be slight declines in the total number of people counted as homeless between 2019 and 2022, though additional data is needed to verify this finding. Additional key findings include:

- While nationally the number of veterans experiencing homelessness is declining, and some states and communities have reached functional zero for veteran homelessness, the percentage of persons experiencing homelessness who are veterans in the County remains relatively unchanged from the PIT Count in 2019 to 2022 (6% of total homeless in 2019 and 2022).
- The percentage of individuals experiencing chronic homelessness has increased from 27 percent in 2019 to 35 percent in 2022. This means that more people experiencing homelessness stay unsheltered for longer periods of time.
- Persons who are Black or African American are overrepresented among individuals experiencing homelessness in Stockton—comprising 28% of the city’s homeless population and 12% of the city’s population.

- While 44 percent of Stockton residents identify as Hispanic/Latino, this group makes up 31 percent of the city's homeless population.
- Like the county, the state, and the nation, more males experience homelessness in Stockton than females (66% versus 34%).
- Just over one quarter (27%) of individuals experiencing homelessness in Stockton reported having a significant mental illness and the same percentage reported having a substance use disorder.

Identify priority needs for qualifying populations: Enter narrative response here. Explain how the PJ determined the level of need and gaps in the PJ's shelter and housing inventory and service delivery systems based on the data presented in the plan:

The City of Stockton, in partnership with the San Joaquin Continuum of Care, used a community planning process to establish priority goals and programming activities to serve individuals and families who are homeless and/or at-risk of homelessness. The community established several major objectives, including:

1. Increase the supply of affordable and permanent housing, and
2. Expand and enhance emergency, crisis services to for those experiencing homelessness.

Goals and strategies to meet targeted objectives are described below.

HOME-Arp funds will be specifically allocated to support affordable housing production, addressing the highest priority need, with a goal of increasing the proportion of people who exit homelessness to permanent housing by 30% and reducing the number of people who are forced to become homeless for the first time due to the unavailability of affordable housing.

Community Goals, Objectives and Justification

Goal 1A: Reducing the number of persons experiencing homelessness.

↓ Reduce 15%

Baseline: The annual estimate of the number of people accessing services who are experiencing homelessness for San Joaquin County is 8,034, according to HDIS data from the State of California.

Approach: Through local investments, the City of Stockton anticipates up to 200 units of affordable housing and 50 units of permanent supportive housing will be developed. It is anticipated that each unit will house 1-2 people for an average of 1.6 persons per unit. This will reduce the number of people experiencing homelessness by approximately 400 individuals, within the City of Stockton alone.

Equity Goal: Reduce the overall total of those experiencing homelessness and reduce the proportion that are African American, from 28% to 20%.

Goal 1B: Reducing the number of persons experiencing homelessness on a daily basis.

↓ Reduce 8%

Baseline: The daily estimate of the number of people experiencing unsheltered homelessness in San Joaquin County is 1,558, according to HDIS data from the State of California.

Approach: The City of Stockton is working with program partners to open approximately 300 new low-barrier shelter beds. On a daily basis it is anticipated that 240 additional individuals will be accessing shelter services.

Equity Goal: Reduce unsheltered homelessness among African Americans by 15%.

Goal 2: Reducing the number of people who become homeless for the first time.

↓ Reduce 15%

Baseline: The annual estimate of the number of people who become homeless for the first time in San Joaquin County is 3,520, according to HDIS data from the State of California.

Approach: Recent City investments of over \$40 million in rental assistance funds may contribute to lowering first-time homelessness within the County. Additionally, the City will continue to maintain rapid re-housing programs and support fair housing advocacy organizations to help tenants resolve situations and prevent evictions. HOME-ARP funds will be used to support additional affordable housing development.

Equity Goal: Reduce the proportion of those newly experiencing homelessness who are African American from 32% to 24%

Goal 3: Increasing the number of people exiting homelessness to permanent housing.

↑ Increase 30%

Baseline: The annual estimate of the number of people exiting homelessness and into permanent housing throughout San Joaquin County is 833, according to HDIS data from the State of California.

Approach: As discussed above, 200 units of new affordable housing targeting homeless individuals are in development. It is anticipated that most individuals who occupy the new units will be homeless, either unsheltered or living in temporary accommodations or shelters, immediately prior to occupancy. Additional investments into market rate and affordable housing will reduce the pressure on the housing inventory.

Equity Goal: Increase the rate of single people exiting to permanent housing from 6% to 8%, for an additional 58 adults in households without children exiting to permanent housing.

Goal 4: Reducing the length of time persons remain homeless.

↓ Reduce 10%

Baseline: On average it takes 72 days between enrollment into in street outreach, emergency shelter, transitional housing, safe haven projects and move-in for persons

entering rapid rehousing and permanent housing in San Joaquin County, according to HDIS data from the State of California.

Approach: Through investments in the CES and HMIS, the City hopes to streamline processes and quickly move people into housing. More critically, the City seeks to reduce the proportion of people who report being homeless for five or more years from 26% to 20%.

Equity Goal: *The length of time to enrollment in housing for veterans and people with HIV will reduce 50%, and approximate local averages for all people,*

Goal 5: Reducing the number of persons who return to homelessness after exiting homelessness to permanent housing.

↓ Reduce 9%

Baseline: Currently, 10% of those housed in permanent housing throughout San Joaquin County return to homelessness, according to HDIS data from the State of California.

Approach: The City anticipates completion of several permanent supportive housing units within the next few years, adding 50 new PSH units to the community. These units are paired with mental health case managers who work intensively with clients to manage medications, control behaviors, and stabilize within their homes. With intensive case management and support it is anticipated that more individuals will successfully maintain their housing. Additionally, the City is committing \$200,000 annually to housing providers for onsite case managers and other operating supports as needed to help newly housed tenants stay securely in their homes.

Equity Goal: *Reduce the proportion of people who have fled domestic violence and who subsequently return to homelessness after being placed in permanent housing from 20% to 12%.*

HOME-ARP Funding Plan

Describe the method(s) that will be used for soliciting applications for funding and/or selecting developers, service providers, subrecipients and/or contractors:

The City of Stockton uses a public procurement process to provide notifications of funding availability. Interested applicants are invited to submit project concepts. In general, the following criteria are used in selecting projects:

- Adherence to local vision and needs
- Site control
- Experience and capacity of project team
- Capacity to leverage additional financing

The City reserves the right to enter into discussions with any and all applicants in the interest in identifying the project best situated to meet public needs.

The City has developed an Affordable Housing Loan Program. The purpose of the Affordable Housing Loan Program is to facilitate the development of new multi-family affordable housing units of twelve units or more. Typically, city funds are provided to housing developers through the loan fund with terms up to 55 years, at a simple interest rate. This upfront city commitment is used as match funds by developers to leverage additional financing.

A Notice of Funding Availability (NOFA) is posted to the City's website and noticed through local publications in English and Spanish. Additionally local developers and partners may opt into the City's vendor notification system. Developers who opt into the vendor notification system will receive regular e-mails providing notification of all project NOFAs and Bids released by the City of Stockton.

Most recently, the City release a NOFA on December 17, 2021 for the purpose of developing a list of qualified projects eligible for affordable housing allocations, including affordable housing loan programs. The local rank and review process is determined based on the scoring criteria set forth in the application:

- Developer Experience and Capacity
- Financing and Leveraging
- Target Population and Provision of Services
- Project Readiness

Following submission, there is an initial review to ensure that applications meet the threshold for further review (eligibility review) before being passed to a committee of qualified experts to review the proposal to determine project feasibility and impact.

Applicants must also sign disclosures and notifications pertaining to non-discrimination, non-collusion, prohibition against lobbying, disclosure of insurance and other monitoring requirements in accordance with federal, state, and local regulations.

Describe whether the PJ will administer eligible activities directly:

The City of Stockton will administer eligible HOME-ARP grants to local subrecipients.

If any portion of the PJ's HOME-ARP administrative funds are provided to a subrecipient or contractor prior to HUD's acceptance of the HOME-ARP allocation plan because the subrecipient or contractor is responsible for the administration of the PJ's entire HOME-ARP grant, identify the subrecipient or contractor and describe its role and responsibilities in administering all of the PJ's HOME-ARP program:

Not Applicable

TABLE IV: Use of HOME-ARP Funding

	Funding Amount	Percent of the Grant	Statutory Limit
Supportive Services	\$ 0		
Acquisition and Development of Non-Congregate Shelters	\$ 0		
Tenant Based Rental Assistance (TBRA)	\$ 0		
Development of Affordable Rental Housing	\$ 5,742,610		
Non-Profit Operating	\$ 0	0%	5%
Non-Profit Capacity Building	\$ 0	0%	5%
Administration and Planning	\$ 638,067	10%	15%
Total HOME ARP Allocation	\$ 6,380,677		

Describe how the PJ will distribute HOME-ARP funds in accordance with its priority needs identified in its needs assessment and gap analysis:

The City of Stockton anticipates receipt of \$6,380,677 in HOME-ARP funding.

The majority of funds are intended for the development of affordable housing for federally approved qualifying populations, primarily through interest bearing loans.

Homeless Services and Housing Activities

All partners agree that the highest priority need is to increase the number of affordable housing units that will lease units to homeless individuals, those at risk of homelessness, and other at-risk populations.

The City of Stockton is working to jump start a range of housing opportunities, including affordable housing for low- and moderate-income households and permanent housing reserved for those that are homeless. A diverse range of housing options is necessary for the economic health and wellbeing of the City. More housing opportunities are needed at all income levels, especially those that are affordable to low- and moderate- income households.

Too many households in Stockton are rent burdened and new units of all types will hopefully cool the rate at which rents are increasing. HOME-ARP funds will be prioritized for affordable and permanent housing projects through the City's Affordable Housing Loan Program. In addition, all city funded new affordable housing development have a homeless and at risk of homelessness set aside of 20%.

Funding will be focused on homeless housing activities, not limited to acquisition, rehabilitation, and new construction.

HOME-ARP Production Housing Goals

Affordable Housing Loan Program

Housing characteristics required by the qualifying populations

Qualifying populations targeted for HOME-ARP rental housing (average household size)	None
Average household size	2
Unit size needed (number of bedrooms)	1, 2, and 3 bedrooms

HOME-ARP Funding

Total amount of HOME-ARP funding allocated to jurisdiction	\$6,380,677
Amount of HOME-ARP expected to be used for admin, NFP operating and capacity building	\$638,067
Amount of HOME-ARP available for HOME-ARP eligible activities	\$5,742,610
Amount of HOME-ARP allocated to non-rental housing eligible activities	\$0
Amount of HOME-ARP available for rental housing operations and reserves	\$0
Estimated amount for ongoing operating costs or operating cost assistance reserve	\$0
Amount of HOME-ARP available for rental housing development	\$5,742,610
Estimated amount from other housing development funding sources	\$126,992,448
Total amount available for rental housing development	\$132,735,057
Average per unit development cost for qualifying population	\$495,297
Estimated HOME-ARP Housing Production Goal	265

Describe the specific affordable rental housing production goal that the PJ hopes to achieve and describe how the production goal will address the PJ's priority needs:

The City of Stockton has a regional target to support the development of at least 200 units of permanent supported housing for the homeless and an additional 400 units of affordable housing. HOME -ARP funds will provide a major source of funding for affordable housing production and may fund as much as 60% of anticipated units.

HOME-ARP housing production goal: between 240 – 265 units

Preferences

Identify whether the PJ intends to give preference to one or more qualifying populations or a subpopulation within one or more qualifying populations for any eligible activity or project:

No preferences are identified, however homeless populations of interest include:

- Chronically homeless
- Individuals and families fleeing domestic violence
- Unaccompanied Youth under age 25
- Families with children and youth who have experienced persistent instability
- Veterans
- Individuals with chronic health conditions, including behavioral health concerns
- Older adults

If a preference was identified, explain how the use of a preference or method of prioritization will address the unmet need or gap in benefits and services received by individuals and families in the qualifying population or subpopulation of qualifying population, consistent with the PJ's needs assessment and gap analysis:

Not Applicable

Referrals

Identify the referral methods that the PJ intends to use for its HOME-ARP projects and activities. PJ's may use multiple referral methods in its HOME-ARP program. (Optional)

- To be determined, project by project.

If the PJ intends to use the coordinated entry (CE) process established by the CoC, describe whether all qualifying populations eligible for a project or activity will be included in the CE process, or the method by which all qualifying populations eligible for the project or activity will be covered. (Optional):

Not applicable.

If the PJ intends to use the CE process established by the CoC, describe the method of prioritization to be used by the CE. (Optional):

Not applicable.

If the PJ intends to use both a CE process established by the CoC and another referral method for a project or activity, describe any method of prioritization between the two referral methods, if any. (Optional):

Not applicable.

Eligibility Limitations

Describe whether the PJ intends to limit eligibility for a HOME-ARP rental housing or NCS project to a particular qualifying population or specific subpopulation of a qualifying population identified in section IV.A of the Notice:

No.

If a PJ intends to implement a limitation, explain why the use of a limitation is necessary to address the unmet need or gap in benefits and services received by individuals and families in the qualifying population or subpopulation of qualifying population, consistent with the PJ's needs assessment and gap analysis:

Not applicable.

If a limitation was identified, describe how the PJ will address the unmet needs or gaps in benefits and services of the other qualifying populations that are not included in the limitation through the use of HOME-ARP funds (i.e., through another of the PJ's HOME-ARP projects or activities):

Not applicable.

HOME-ARP Refinancing Guidelines

If the PJ intends to use HOME-ARP funds to refinance existing debt secured by multifamily rental housing that is being rehabilitated with HOME-ARP funds, the PJ must state its HOME-ARP refinancing guidelines in accordance with 24 CFR 92.206(b). The guidelines must describe the conditions under which the PJ will refinance existing debt for a HOME-ARP rental project.

Not applicable.

Funding will not be allocated to refinance existing debt secured by multifamily rental housing rehabilitated with HOME-ARP funds.

Application for Federal Assistance SF-424		
* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	* 2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision	* 3. Revision, select appropriate letter(s): <input type="text"/> * 3.Prior (Specify): <input type="text"/>
* 4. Date Received: <input type="text"/>	4. Applicant Identifier: <input type="text"/>	
5a. Federal Entity Identifier: <input type="text"/>	5b. Federal Award Identifier: 21-NB-06-0201	
State Use Only:		
6. Date Received by State: <input type="text"/>	7. State Application Identifier: <input type="text"/>	
8. APPLICANT INFORMATION:		
* a. Legal Name: <input type="text" value="City of Stockton"/>		
* b. Employer/Taxpayer Identification Number (EIN/TIN): <input type="text" value="94-600036"/>	* c. UEI: <input type="text" value="W7BX337QSLQ9"/>	
* d. Address:		
* Street1: <input type="text" value="425 North 51 Berado Street"/>	Street2: <input type="text"/>	
* City: <input type="text" value="Stockton"/>	County/Parish: <input type="text" value="San Joaquin"/>	
* State: <input type="text" value="CA California"/>	Province: <input type="text"/>	
* Country: <input type="text" value="USA: UNITED STATES"/>	* Zip / Postal Code: <input type="text" value="95202-1951"/>	
* e. Organizational Unit:		
Department Name: <input type="text" value="Economic Development Department"/>	Division Name: <input type="text" value="Housing"/>	
* f. Name and contact information of person to be contacted on matters involving this application:		
Prefix: <input type="text" value="Mr."/>	* First Name: <input type="text" value="Garcia"/>	Middle Name: <input type="text"/>
* Last Name: <input type="text" value="Wright"/>	Suffix: <input type="text"/>	
Title: <input type="text" value="Director of Economic Development"/>		
Organizational Affiliation: <input type="text"/>		
* Telephone Number: <input type="text" value="(209) 937-3533"/>	Fax Number: <input type="text" value="(209) 937-5099"/>	
* Email: <input type="text" value="garcia.wright@stocktonca.gov"/>		

Application for Federal Assistance SF-424	
* 9. Type of Applicant 1: Select Applicant Type:	
<input type="checkbox"/> City or Township Government	
Type of Applicant 2: Select Applicant Type:	
<input type="checkbox"/>	
Type of Applicant 3: Select Applicant Type:	
<input type="checkbox"/>	
* Other (specify):	
<input type="checkbox"/>	
* 10. Name of Federal Agency:	
<input type="checkbox"/> U.S. Department of Housing and Urban Development	
* 11. Catalog of Federal Domestic Assistance Number:	
<input type="checkbox"/> 2.229	
CFDA Title:	
<input type="checkbox"/> HOME Investment Partnership	
* 12. Funding Opportunity Number:	
<input type="checkbox"/>	
* Title:	
<input type="checkbox"/> HOME-ARP	
* 13. Competition Identification Number:	
<input type="checkbox"/>	
* Title:	
<input type="checkbox"/>	
* 14. Areas Affected by Project (Cities, Counties, States, etc.):	
<input type="checkbox"/> [REDACTED] [REDACTED] [REDACTED]	
* 15. Descriptive Title of Applicant's Project:	
<input type="checkbox"/> City of Stockton's HOME-ARP allocation plan.	
Attach supporting documents as specified in agency instructions.	
<input type="checkbox"/> [REDACTED] [REDACTED] [REDACTED]	

Application for Federal Assistance SF-424

16. Congressional Districts Of:

* a. Applicant: * b. Program/Project:

Attach an additional list of Program/Project Congressional Districts if needed.

17. Proposed Project:

* a. Start Date: * b. End Date:

18. Estimated Funding (\$):

* a. Federal	<input type="text" value="6,380,677.00"/>
* b. Applicant	<input type="text"/>
* c. State	<input type="text"/>
* d. Local	<input type="text"/>
* e. Other	<input type="text"/>
* f. Program Income	<input type="text"/>
* g. TOTAL	<input type="text" value="6,380,677.00"/>

* 18. Is Application Subject to Review By State Under Executive Order 12372 Process?

a. This application was made available to the State under the Executive Order 12372 Process for review on

b. Program is subject to E.O. 12372 but has not been selected by the State for review.

c. Program is not covered by E.O. 12372.

* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)

Yes No

If "Yes", provide explanation and attach

21. "By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)

** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix: * First Name:

Middle Name:

* Last Name:

Suffix:

* Title:

* Telephone Number: Fax Number:

* Email:

* Signature of Authorized Representative: * Date Signed:

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0008
Expiration Date: 02/28/2026

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PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1973 (42 U.S.C. §§4728-4763) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §704), which prohibits discrimination on the basis of handicap; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-618), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§525 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

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Standard Form 424D (Rev. 7-87)
Prescribed by OMB Circular A-102

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and Federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-203).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§468a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
	City Manager
APPLICANT ORGANIZATION	DATE SUBMITTED
City of Stockton	9/30/22

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ASSURANCES - NON-CONSTRUCTION PROGRAMS

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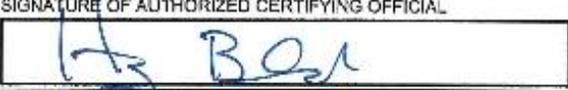
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2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 18 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1688, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (20 U.S.C. §794), which prohibits discrimination on the basis of handicap; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprobans ve Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 et-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
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9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333), regarding labor standards for federally-assisted construction subagreements.
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11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1956, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
19. Will comply with the requirements of Section 106(e) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL 	TITLE City Manager
APPLICANT ORGANIZATION City of Stockton	DATE SUBMITTED 9/30/22

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HOME-ARP CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the participating jurisdiction certifies that:

Affirmatively Further Fair Housing --The jurisdiction will affirmatively further fair housing pursuant to 24 CFR 5.151 and 5.152.

Uniform Relocation Act and Anti-displacement and Relocation Plan --It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, (42 U.S.C. 4601-4655) and implementing regulations at 49 CFR Part 24. It will comply with the acquisition and relocation requirements contained in the HOME-ARP Notice, including the revised one-for-one replacement requirements. It has in effect and is following a residential anti-displacement and relocation assistance plan required under 24 CFR Part 42, which incorporates the requirements of the HOME-ARP Notice. It will follow its residential anti-displacement and relocation assistance plan in connection with any activity assisted with funding under the HOME-ARP program.

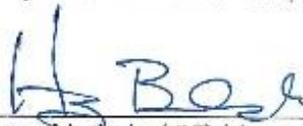
Anti-Lobbying --To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of Jurisdiction --The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations and program requirements.

Section 3 --It will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u) and implementing regulations at 24 CFR Part 75.

HOME-ARP Certification --It will use HOME-ARP funds consistent with Section 3205 of the American Rescue Plan Act of 2021 (P.L. 117-2) and the CPD Notice: *Requirements for the Use of Funds in the HOME-American Rescue Plan Program*, as may be amended by HUD, for eligible activities and costs, including the HOME-ARP Notice requirements that activities are consistent with its accepted HOME-ARP allocation plan and that HOME-ARP funds will not be used for prohibited activities or costs, as described in the HOME-ARP Notice.


Signature of Authorized Official

9/30/22
Date

City Manager
Title